

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **06/30/2020**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

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9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) - NJT00762 - \$13,527,232 Henderson Substation - NJT00766 - \$11,082,981 Bayhead Substation - NJT00768 - \$4,800,380 ROC Unit Substation - NJT01113 - \$6,676,486	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within EisnerAmper's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	September 2021	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated, via conference call, progress meeting #16 on April 2, 2020 related to contract 16-35X (ROC). Participated, via conference call, progress meeting #8 on April 7, 2020 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #33 and #31 on April 8, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #9 on April 21, 2020 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #17 on April 30, 2020 related to contract 16-35X (ROC). Participated, via conference call, progress meeting #10 on May 5, 2020 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #35 and #33 on May 6, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #18 on May 14, 2020 related to contract 16-35X (ROC). Participated, via conference call, progress meeting #20 on June 11, 2020 related to contract 16-35X (ROC). Participated, via conference call, progress meeting #13 on June 16, 2020 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #37 and #35 on June 17, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated via conference call, progress meeting #14 on June 30, 2020 related to contract 17-026X (Bay Head).	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #33 through #37 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #31 through #35 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #15 through #20 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #8 through #13 related to Contract No. 17-026X (Bay Head). Received various Form E and E2 for DBE Payment Report for subcontractors retained by DMR, NE Remsco and O'Hara. Received payment applications for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC). Received various agreements for subcontractors retained by DMR (Hoboken) and O'Hara (Henderson). Received NPC approval documents related to Contract No. 17-053X. Received FTA grant documents and PRINTS ID quarterly reports. Received procurement documents associated with Naik CM (contract 17-007).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Continued testing of procurement files related Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Performed invoice testing related to Contracts No. 17-053X (Henderson) and 16-049X (Hoboken). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X) and NE Remsco (Contract No. 16-035X) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	On March 9, 2020, Governor Phil Murphy declared a State of Emergency and a Public Health Emergency, effective immediately, to ramp up New Jersey's efforts to contain the spread of COVID-19. This has impacted project scheduling to ensure the safety of workers.	

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21.	Provide details on any other items of note that have occurred in the past quarter	<p>For contract no. 16-035X (ROC), the process/procedure for handling the generator cutover was being discussed and determined as of June 30. Cutover procedure meetings are being held to establish that the proper process and procedures are taken.</p> <p>For contract no. 17-026X (Bay Head), on May 11, 2020, the Borough of Bay Head filed an Order to Show Cause and Complaint in Ocean County Superior Court. On May 15, 2020, NJ TRANSIT was served with an Order, preliminarily enjoining NJ TRANSIT from performing any construction or land disturbance associated with the construction of Bay Head Substation.</p>	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date:	September 30, 2020

State of New Jersey
Department of Treasury
Work Authorization No. 3
IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
David A. Cace	0.20
Elliott Lee	28.10
Timothy Van Noy	12.00
Maureen Egan	58.90
Nancy Pham	78.00
Marisol Gonzalez	50.65
Elwood Hill*	13.00
Courtney Meizinger*	2.00
Stephanie Blue*	9.00
Subtotal_IOM Services for the Substations Program	251.85
Elliott Lee	4.20
Nancy Pham	1.90
Subtotal_Rev. 1 – Substation Program: Construction Management Services	6.10
Total	257.95

* Member of EisnerAmper's DBE firm.