

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

Firm Name: **EisnerAmper LLP**

**Work Authorization No. 2 Hudson-Bergen Light Rail (HBLR) Repair and Recovery and Locally Prioritized Resilience (NJ-44-X003)**

Engagement: **Integrity Oversight Monitoring Contract 14-033B**

For Quarter Ending: **12/31/2017**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	<b>Total Project:</b> \$44,904,852	
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	<p>The Hudson-Bergen Light Rail Repair and Recovery and Locally Prioritized Resilience is comprised of the following scope of work:</p> <ul style="list-style-type: none"> <li>- <b>Sandy DMG. 05 HBLR 4 Track &amp; Row:</b> Repair/replacement of damaged track components (rail resurfacing, lubricators, heaters, drainage swales, inlets, culverts and ballast washout and related activities.</li> <li>- <b>Sandy DMG. 05 HBLR 4 Vehicle Base Facility:</b> Replacement of damaged yard switch machines, installation of storage container for critical parts, auxiliary power repairs, and switch heater repairs, purchase of Wheel Truing Machine and installation of VBF, and the purchase of off site wheel truing services.</li> <li>- <b>Sandy DMG. 05 HBLR 4 Signals and Communications:</b> Replacement of mainline switches, impedance bond boxes, repairs at grade crossings, replacement of communication components, installation of Mill Creek CIH and testing and replacement of signal cable.</li> <li>- <b>Sandy DMG. 05 HBLR 4 Traction Power/Station Dist. Power:</b> Testing and replacement of Auxiliary Power Cable, repair Traction Power Cables and associated costs for design, project administration, construction, the purchase of necessary equipment and materials, and other related costs.</li> </ul>	
8.	Contract/Program Location	HBLR Line - Jersey City/Hudson County	
9.	Amount Expended to Date	\$14,141,191.00	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	12/31/2019	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Held a meeting with NJT CP&P personnel to discuss current NJT invoicing procedures and process. Held a meeting with NJT CP&P to discuss departure of NJT HBLR Project Manager. Held a teleconference with NJT Procurement Officer to discuss status of NPCs including related procurement files. Held a meeting with HNTB to discuss their role in verifying the percentage of completion for applicable HBLR NPCs. Held a meeting with TFC (TFC Project Manager and TFC Compliance Manager) to discuss various invoices selected for testing.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received safety logs for work performed during the month of September 2017. Received updated NPC status logs from NJT Procurement (October 2017 and November 2017).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Testing of invoices for a selection of NPCs. Discussion with NJT Procurement regarding the current status of all NPCs. Meeting with CP&P to discuss updated invoicing process and procedures at NJT.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions were provided and are being tested by EisnerAmper for a selection of invoices.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Continue to test TFC invoices, held meetings with CP&P to discuss their process and procedures around invoices as well as overall HBLR project management.	
19.	Provide details of any integrity issues/findings	None noted; ongoing testing.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted	
21.	Provide details on any other items of note that have occurred in the past quarter	Receipt of lab tests associated with Traction Power Cable and Signal Cable replacement is pending upon NJT receipt of lab analysis.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	

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<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	Receipt of lab tests associated with Traction Power Cable and Signal Cable replacement is pending upon NJT receipt of lab analysis. In connection with the request for the FTA Superstorm Sandy Damage Assessment Report, a meeting is to be schedule among EisnerAmper, the FTA and NJT CP&P is to be scheduled.	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott Lee
Signature:	
Date:	4/2/2018

**State of New Jersey**  
**Department of Treasury**  
**Integrity Oversight Monitoring Reporting Model**  
Attachment for Question #23

<b>Professional</b>	<b>Hours</b>
David A. Cace	3.75
Elliott Lee	20.30
Timothy Van Noy	9.45
Adam Karasick	7.25
Nicole Griffin	38.60
Kaixin Jiang	1.50
Marisol Gonzalez	12.15
Ina Xhoxhaj	8.00
	<u>101.00</u>