

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: EisnerAmper LLP

Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B

Engagement: NJ Transit P.O. #B-51389-003

For Quarter Ending: 12/31/2017

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	

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7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC).	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	
9.	Amount Expended to Date	Gannett Fleming: \$10,973,616.82	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	September 2021	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Held two meetings with NJT Office of Business Development regarding EisnerAmper's DBE Compliance Monitoring Program and associated forms used for testing.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received documentation supporting a selection of Gannett Fleming invoices (design services) selected for testing, including a review of applicable NJT policies and procedures and the contract between Gannett Fleming and NJT.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Commenced testing a sample of Gannett Fleming invoices (design services). Drafted DBE Compliance Monitoring Program.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Tested a sample of payment requisitions including payment request memos prepared by NJT Project Manager, invoice review checklists and quality control form.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Commenced testing a sample of Gannett Fleming invoices (design services). Drafted DBE Compliance Monitoring Program.	
19.	Provide details of any integrity issues/findings	None noted; testing ongoing.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted	
21.	Provide details on any other items of note that have occurred in the past quarter	None noted	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

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Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date:	4/2/2018

State of New Jersey
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Attachment for Question #23

Professional	Hours
Elliott Lee	12.90
Jason Hoff	6.50
Nichole Griffin	11.30
Kaixin Jiang	14.10
Marisol Gonzalez	10.90
Robert Bright	0.50
Ken Brzozowski	10.50
Tariq Boston	10.00
	<u>76.70</u>