

Firm Name: **EisnerAmper LLP**
Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B
 Engagement: **NJ Transit P.O. #B-51389-003**
 For Quarter Ending: **09/30/2023**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Chief Procurement Officer (Acting)	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3

IOM Services for the Substations Program

Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2023**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$29,639,312.51 Henderson Substation (NJT00766) - \$28,231,023.72 Bayhead Substation (NJT00768) - \$31,527,373.52 ROC Unit Substation (NJT01113) - \$16,202,739.80	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	December 2024	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated, via conference call, progress meeting #83 on July 11, 2023 related to contract 17-026X (Bay Head). Conducted a site visit for contract 17-053X (Henderson) on July 12, 2023 to observe demolition. Participated, via conference call, progress meeting #94 on July 20, 2023 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #84 on July 25, 2023 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #85 on August 8, 2023 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #95 on August 17, 2023 related to contract 16-035X (ROC). Participated, via conference call, to project status update call on August 21, 2023 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #86 on August 22, 2023 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #96 on August 31, 2023 related to contract 16-035X (ROC). Attended annual NJ Transit safety training on September 8, 2023. Conducted a site visit for contract 16-049X (Hoboken) on September 12, 2023 to observe demolition. Participated, via conference call, to project status update call on September 12, 2023 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #88 on September 19, 2023 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #97 on September 28, 2023 related to contract 16-035X (ROC).	

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3

IOM Services for the Substations Program

Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2023**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #93 through #96 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #77 and 82 through #88 related to Contract No. 17-026X (Bay Head). Received various payment applications for Contracts No. 17-026X (Bay Head) and 17-007 (Naik). Received NPC / Change order approval packages related to Contracts No. 17-026X (Bay Head) and 16-035X (ROC). Received various construction documents including job cost reports and bond/insurance documents for Contracts 16-049X (Hoboken), 17-026X (Bay Head) and 16-035X (ROC).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed ongoing invoice testing related to Contract No. 17-026X (Bay Head), 16-035X (ROC), 17-053X (Henderson) and 16-049X (Hoboken). Attended construction progress meetings and performed fieldwork construction monitoring procedures for Contracts No. 16-035X (ROC) and 17-026X (Bay Head). Performed monitoring procedures related to NPCs for Contracts No. Contract No. 17-026X (Bay Head), 16-035X (ROC), 17-053X (Henderson) and 16-049X (Hoboken).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for PKF (Contract No. 17-026X) and Naik (Contract No. 17-007) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and project management related to Contract No. 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	
21.	Provide details on any other items of note that have occurred in the past quarter	For Contract Nos. 16-049X (Hoboken), 17-053X (Henderson) and 16-035X (ROC), the project completion date has lapsed. Per NJ Transit, a change order is in process and will require Board Approval. Negotiations are underway with the Contractor for the extension of time and potential additional compensation.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	

Firm Name: **EisnerAmper LLP**

Work Authorization No. 3

IOM Services for the Substations Program

Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2023**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Tim Van Noy
Signature:	
Date:	December 19, 2023

State of New Jersey
Department of Treasury
Work Authorization No. 3
IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
Tim Van Noy	5.90
Craig Mann	73.40
Nancy Pham	54.15
Brooke DiMarinis	18.25
Andrew Woloszynski	1.90
Marisol Gonzalez	26.90
Sasha Smith*	1.00
Sara Harbinson*	2.00
Subtotal_IOM Services for the Substations Program	183.50
Tim Van Noy	2.00
Craig Mann	16.10
Nancy Pham	4.70
Brooke DiMarinis	0.30
Andrew Woloszynski	0.10
Marisol Gonzalez	0.20
Subtotal_Rev. 1 – Substation Program: Construction Management Services	23.40
Total	206.90

* Member of EisnerAmper's DBE firm.