



NEW JERSEY BOARD OF PUBLIC UTILITIES

**44 South Clinton Avenue,
3rd Floor, Suite 314, P.O. Box 350
Trenton, New Jersey 08625-0350**

ELECTRIC POWER and/or GAS SUPPLIER LICENSE INITIAL APPLICATION

Please Type or Print

1. Legal Name of Applicant: _____

D/B/A or Trade Name (if applicable): _____

2. NJ Principal Business Address: _____

Telephone Number: (____) _____ Fax: (____) _____

E-mail address: _____

Internet address: _____

Mailing Address if different from above: _____

3. Type of Application being applied for: (circle one):

Gas

Electric

Both Gas and Electric

4. Type of Ownership (circle one):

Corporation

Partnership

Sole Proprietor

Limited Partnership

Limited Liability Company

5. Federal Tax Number: _____

6. Key Operating Personnel to be responsible for conduct of Licensee's business in New Jersey:

7. Please provide names, titles, and telephone numbers of any Directors, Officers, Partners, or Sole Proprietors:

8. Please provide names, titles, telephone numbers, and addresses of any stockholders having 10% or more of the entity.

9a. Please provide the name, address, telephone number and e-mail address of the resident agent in New Jersey.

9b. Please provide the name, address, telephone number and e-mail address of the in-state customer service agent.

10. Please provide the name, title, address, telephone number and e-mail address of the regulatory contact person.

11. Has the applicant or any of the key operating personnel, officers, directors, partners, owners, or listed stockholders ever been under investigation in New Jersey, or any other state, or federal jurisdiction in connection with the sale or delivery of electricity or natural gas?

_____ Yes _____ No

If yes, attach a schedule giving details and provide relevant documents.

12. Has the applicant or any of the key operating personnel, officers, directors, partners, owners, or listed stockholders ever been indicted, arrested (other than for motor vehicle violations) or convicted of any offense, crime, misdemeanor, or disorderly persons charge in New Jersey or any other state, or by the federal government ?

_____ Yes _____ No

If yes, attach a schedule giving details and provide relevant documents.

13. Has the applicant or any of the key operating personnel, officers, directors, partners, owners, or listed stockholders ever had a license, or right to engage in any business or profession, revoked, denied, suspended, or restrained by any agency of New Jersey or any other state, or by the federal government in connection with the sale or delivery of electricity or natural gas?

_____ Yes _____ No

If yes, attach a schedule giving details and provide relevant documents.

14. Has the applicant or any of the key operating personnel, officers, directors, partners, owners, or listed stockholders of your organization ever been subject to any disciplinary proceeding in connection with a license or right to engage in any business or profession in New Jersey, any other state, or by the federal government in connection with the sale or delivery of electricity or natural gas?

_____ Yes _____ No

If yes, attach a schedule giving details and provide relevant documents.

15. Has the applicant or any of the key operating personnel, officers, directors, partners, owners or listed stockholders ever filed a petition in bankruptcy or reorganization or been affiliated with any entity that has filed a petition in bankruptcy or reorganization in connection with the sale or delivery of electricity or natural gas?

_____ Yes _____ No

If yes, give particulars on a separate schedule containing date of bankruptcy or reorganization proceedings, copy of petition in bankruptcy and copy of discharge, if applicable.

16. Has the applicant or any of the key operating personnel, officers, directors, partners, owners or listed stockholders ever been investigated or involved as a defendant in any regulatory proceeding?

_____ Yes

_____ No

If yes, give particulars on a separate schedule containing date of such investigation or litigation, regulatory body or authority initiating such proceeding and resolution.

Financial and Business Information

- A. Attach an unqualified, audited financial statement prepared by a Certified Public Accountant or a Public Accountant. If applicant has not been in business long enough to satisfy this requirement, it shall file (1) income statements and balance sheets covering the life of the business; and (2) credit reports or ratings prepared by an established credit reporting agency regarding the credit and payment history of the applicant.
- B. Provide a written reference from a licensed lending institution.
- C. Attach pro forma estimate of balance sheet, projecting the first year of business under the proposed license.
- D. Attach pro forma estimate of profit and loss statement, projecting the first year of business under the proposed license.
- E. Describe experience in electric power and/or natural gas business, as applicable, of applicant or any key operating personnel, officers, directors, partners, owners, or listed stockholders of your organization.
- F. If applicable, provide financial statements for the last two years of operations in the electric power and/or gas business.
- G. Provide information concerning customer complaints for the two years prior to the date of the application involving the energy-related business activities of applicant.
- H. Attach a list of types of services or products to be offered by applicant by customer class.
- I. Attach a list of the projected franchise areas in which the applicant expects to be offering services.
- J. Attach evidence that applicant has met and can continue to meet the financial and other requirements necessary to move power through the independent system operator for the transmission infrastructure and the financial and other requirements for the utility distribution network.
- K. If a public company, attach SEC filings for the past two years.
- L. For applicants intending to market to residential customers, attach a sample residential supply contract.

CERTIFICATION UNDER OATH

1. I, _____, hereby certify that I am the _____ of the
(Type or print name) *(title)*
Licensee and have been authorized to file this initial license application and certification on behalf of the Licensee.

2. I hereby certify that I have carefully examined all of the statements contained in this initial license application and in the attachments hereto and made a part hereof, that I have knowledge of the matters set forth herein, that all statements made and matters set forth herein are true and correct to the best of my knowledge, information, and belief and that I know of no material omission. I am aware that submitting false or misleading information in connection with this initial license application is grounds for revocation of license and may subject me, the Licensee and other responsible persons on behalf of the Licensee to penalties of perjury, as well as to other civil or criminal penalties.

3. I hereby certify that the Licensee agrees to comply with all standards, rules and regulations applicable to this license and to meet all reliability standards in accordance with N.J.S.A. 48:3-49 et seq. or as may be established by any applicable regulatory authority.

4. I hereby certify that the Licensee understands and agrees that approval of this initial license application does not afford any rights with respect to use of a utility facility, but that exercise of the license, if approved, is subject to execution of an access agreement to be entered into between the Licensee and a utility on mutually acceptable terms.

5. I also certify that the Licensee shall provide such information as the Board or its staff shall require to assist the Board in making any determination concerning revocation, suspension, issuance or renewal of the license.

Dated this _____ day of _____, 20____ at _____
(day) *(month)* *(location)*

Signature: _____

(title)

NOTARIZATION:

New Jersey Board of Public Utilities
Supplier Licensing and Registration
44 South Clinton Avenue
3rd Floor, Suite 314, P.O. Box 350
Trenton, New Jersey 08625-0350

RE: NJBPU Internet Website Information Disclosure

Please provide the following information for inclusion on the Board's website listing of licensed electric power and gas suppliers.

Name and New Jersey Office Address of Supplier:

Business Phone Number:

Toll Free Customer Service Phone #:

Supplier Internet Address:

E-Mail Address: (For internal Board use only)

Direct Pricing Link:

NJ Utility Service Territory & Customer Class where your firm will market upon licensure/renewal: (DO NOT INDICATE SERVICE NOT YET OFFERED)

Circle each appropriate entry:

New Jersey Utility Company Service Territory:

Electric: ACE JCP&L PSE&G Rockland Electric

Gas: Elizabethtown Gas New Jersey Natural Gas

 PSE&G South Jersey Gas

Customer Classes Served: Residential: _____ Commercial: _____ Industrial: _____

If customer class served differs by utility service territory, provide specific details.

REPLY TO: NJBPU LICENSING & REGISTRATION UNIT

FAX: 609-292-2620 or **E-MAIL:** Tps.Pricelink@bpu.nj.gov

PLEASE NOTIFY LICENSING & REGISTRATION UNIT OF ANY CHANGES SUSBEQUENT TO FILING Electric Power and Gas Supplier Initial License Application