T-040 P0001/0001 F-054



State of New Jersey

DEPARTMENT OF THE TREASURY DIVISION OF PROPERTY MANAGEMENT & CONSTRUCTION P. O. Box 034 TRENTON, NEW JURSEY 08625

FORD M SCUDDER State Treasurer

CHRISTOPHER CHIANESE Director

CHRIS CHRISTIE Governor

01-19-'17 08:32 FROM-

KIM GUADAGNO LI. Governor

Telephone (609) 777-3094 / Facsimile (609) 777-1970

January 18, 2017

Automatic Door Systems, LLC t/a Joseph Hamilton Construction 86 Porete Avenue North Arlington, NJ 07031

Re: Project #P1151-00 - Demolition of Dwellings 1049 Baumann Ct, 505, 619 Central Ave, 1408, 1414, 1420, 1426, 1434, 1437, 1446, 1453 & 1457 New Church St City of Rahway, NJ - Union County Award Amount: <u>\$407,090.00</u>

Gentlemen:

We have received and accepted your certificates of insurance, performance and payment bonds.

Attached is a fully executed contract for your records.

Contract performance shall be completed within <u>63</u> calendar days of the date of this Notice to Proceed.

You have been authorized to proceed on January 18, 2017 with Non-Permit Activities.

Very truly yours,

Richard M. Ferrara, Assistant Deputy Director Contracts and Procurement

C W. Fernandez D. Panton J. DeAngelo B. Mahan S. Taylor B. Coleman L. Calderone Central File

Receipt and Understanding is Hereby Acknowledged.

Signature

71/81 Date

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State of New Jersey

DEPARTMENT OF THE TREASURY DIVISION OF PROPERTY MANAGEMENT & CONSTRUCTION *P.O. Box 034* Trenton, New Jersey 08625

FORD M. SCUDDER State Treasurer

CHRISTOPHER CHIANESE Director

CHRIS CHRISTIE Governor

KIM GUADAGNO Lt. Governor

Telephone (609) 777-3094 / Facsimile (609) 777-1970

January 13, 2017

Automatic Door Systems, LLC t/a Joseph Hamilton Construction 86 Porete Avenue North Arlington, NJ 07031

Re: Project #P1151-00, Demolition of Dwellings 1049 Baumann Ct, 505, 619 Central Ave. 1408, 1414, 1420, 1426, 1434, 1437, 1446, 1453 & 1457 New Church St City of Rahway, NJ - Union County Award Amount: <u>\$407,090.</u>

Gentlemen:

The State of New Jersey intends to accept your bid price on the above referenced project. A mandatory contract award meeting is scheduled for <u>Wednesday</u>, January 18, 2017 at 10:00 a.m., at the offices of the Division of Property Management and Construction located at 33 West State Street, 9th Floor, Trenton, New Jersey.

Enclosed are the original and two copies of the formal contract to be signed by an official of the firm and witnessed by a staff member. Please affix the corporate seal if required. Contracts must be presented with properly executed performance and payment bonds using the enclosed sample forms (submit two originals of each bond).

ONE INSURANCE CERTIFICATE MUST BE PRODUCED NAMING YOUR FIRM AS THE INSURED AND LISTING THE STATE OF NEW JERSEY AS THE ADDITIONAL INSURED (SEE ARTICLE 13.4 OF THE GENERAL CONDITIONS).

The bonds and certificates of insurance must reflect the same date as the contract award meeting. Contract performance (on site) shall commence not later than ten (10) days after receipt of Notice to Proceed. You shall perform no work under the contract until the required evidence of financial responsibility and bonds have been furnished. Thereafter, work other than that at the contract site may be undertaken. You shall perform no work at the site except pursuant to a Notice to Proceed issued by the Contracting Officer.

The State of New Jersey recently introduced a new payment procedure for all vendors. In order to process invoices for payment contractors must register on NJ START. Please go to <u>www.njstart.gov</u> to register your business online.

Time extensions for completion of the work will not be granted due to a failure to comply with these procedures.

Should you have any questions regarding the above, please contact this office at (609) 777-3094.

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Assistant Deputy Director Contracts and Procurement

CONSTRUCTION CONTRACT AWARD SCHEDULE

STATE OF NEW JERSEY

DIVISION OF PROPERTY MANAGEMENT & CONSTRUCTION PO BOX 034, TRENTON, NEW JERSEY 08625-0034

No SBE SET ASIDE

PROJECT NUMBER: <u>P1151-00</u>

AWARD DATE: January 18, 2017 Wednesday, 10:00 a.m.

PROJECT/ Demolition of Dwellings LOCATION: 1049 Baumann Court, 505, 619 Central Avenue, 1408, 1414, 1420, 1426, 1434, 1437, 1446, 1453 and 1457 New Church Street, City of Rahway, NJ – Union County A/E: Mott MacDonald 111 Wood Avenue South Iselin, NJ 08830 (973) 912-2490

CALENDAR 63

| NAME/ADDRESS/PHONE | IDENTIFICATION NUMBER | LIQUIDATED DAMAGES | AWARD AMOUNT |
|---|--------------------------------------|---|--|
| Automatic Door System | - | \$250.00 | Bond #CSC-224027 |
| LLC t/a Joseph Hamilto | n TRADE CODE | TRADE | Colonial Surety Company |
| <i>Construction 86 Porete Avenue N. Arlington, NJ 07031</i> | C008, C009, | <i>Single Bid Lump Sum All Trades</i> | 123 Tice Blvd, Suite 240 Woodcliff Lake, NJ 07677 |
| Bergen County | <i>C021</i> | General Construction, | \$407,090.00 |
| (201) 955-5311 | | Demolition | <i>\(\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i> |
| | | Demolition TOTAL CONTRACTS | TOTAL AWARD AMOUNT |
| | | | |
| . Fernandez PERMITS MALIED TO | PERMITS RETURNED FROM CONTRACTOR: | TOTAL CONTRACTS | TOTAL AWARD AMOUNT |
| . Fernandez | PERMITS RETURNED | TOTAL CONTRACTS | TOTAL AWARD AMOUNT |

| Comments: | | | |
|--------------------|--------|--|--|
| Reco – 01-12-17 | | | |
| | 10.17 | | |
| Contract Date – 01 | -18-17 | | |
| NTP Date - 01-18-2 | 17 | | |
| | ., | | |
| | | | |
| | | | |

DPMC-34 (08/07)

| CONTRAC | ſ |
|---------|---|
|---------|---|

| THIS AGREEN | IENT, made this day | of January | , 2017 |
|--------------------|---|---|--------------------------|
| by and between(Cor | The State of New Jersey, porate Name of Owner) | herein called "owner," ad | cting herein through its |
| | Division of Property Managemen (Title of Au | nt and Construction, Deputy Di uthorized Official) | rector, , and |
| | AUTOMATIC | DOOR SYSTEMS, LLC | |
| | T/A JOSEPH HAM | ILTON CONSTRUCTION | |

(a corporation)

of <u>86 Porete Avenue</u>, City of <u>North Arlington</u>, County of <u>Bergen</u>, and State of <u>New Jersey</u> hereinafter called "Contractor". (FID#20211095000)

WITNESSETH: That for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the OWNER, the CONTRACTOR hereby agrees with the OWNER to commence and complete the construction described as follows:

| CONTRACT PROJECT | NO.: P1151-00-CC01 DEMOLITION OF DWELLINGS 1049 BAUMANN COURT, 505, 619 CENTRAL AVENUE, 1408, 1414, 1420, 1426, 1434, 1437, 1446, 1453 AND 1457 NEW CHURCH STREET UNION COUNTY – CITY OF RAHWAY, NEW JERSEY |
|-----------------------------------|--|
| LUMP SUM BID | |
| SPECIFICATIONS: | Dated December 2016 and are included as part of this contract |
| BULLETINS: | "A" dated 12-01-16 and "B" dated 12-20-16, have been acknowledged by the bidder. Included as part of this contract. |
| GEN.CONDITIONS: | Instructions to Bidders & General Conditions revised December 2015. Included as part of this contract. |
| DRAWINGS: | Included in Specifications dated December 2016. Included as part of this contract |
| POST BID REVIEW CERTIFICATION: | Dated 01-11-17 and included as part of this contract. |

*Refer to Page 3.

hereinafter called the project, for the sum of FOUR HUNDRED SEVEN THOUSAND NINETY Dollars (\$407,090.00) and all extra work in connection therewith, under the terms as stated in the General and Special Conditions of the Contract Specifications, and at his (its or their) own proper cost and expense to furnish all the materials, supplies, machinery, equipment, tools, superintendent, labor, insurance, and other accessories and services necessary to complete the said project in accordance with the conditions of the Contract Specifications, the General Conditions, Supplementary General Conditions and Special Conditions of the Contract Specifications, the plans, which include all maps, plats, blue prints, and other drawings and printed or written explanatory matter thereof, the specifications and contract documents therefore as prepared by <u>Mott MacDonald</u>, herein entitled the Architect/Engineer, all of which are made a part hereof and collectively evidence and constitute the contract.

The contractor hereby agrees to commence work under this contract on <u>(See Notice to Proceed)</u> and to fully complete the project within <u>63</u> consecutive calendar days thereafter. This is of the essence for the completion of this contract. The contractor further agrees to pay, as liquidated damages, the sum of <u>\$250.00</u>, for each consecutive calendar day thereafter as hereinafter provided in Article 8.6 of the General Conditions.

The OWNER agrees to pay the CONTRACTOR in current funds for the performance of the contract, subject to additions and deductions, as provided in the General Conditions of the Contract Specification, and to make payments on account thereof as provided in Article 10 of the General Conditions.

Only domestic materials shall be acquired or used for any public work unless the head of the department, or other public officer charged with the duty by law, shall determine it to be inconsistent with the public interest, or the cost to be unreasonable, or domestic materials of the class or kind to be used are not mined, produced or manufactured, as the case may be, in the United States in commercial quantities and of a satisfactory quality.

The Contractor shall conform to all provisions of "Law Against Discrimination" (P.L.1975,c.,127; N.J.S.A. 10:5-1 et seq.).

IN WITNESS WHEREOF, the parties to these presents have executed this contract in four (4) counterparts, each of which shall be deemed an original, in the year and day first above mentioned.

ATTEST:

(Witness)

Ву

DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION

RICHARD S. FLODM DEPUTY DIRECTOR

(Title)

(Affix Corporate Seal)

(Witness)

By

JOSEPH HAMILTON CONSTRUCTION (Contractor) INL

PRE

(Title)

AUTOMATIC DOOR SYSTEMS, LLC t/a

86 PORETE AVENUE NORTH ARLINGTON, NJ 07031 (Address)

WARRANTY:

It is hereby certified and warranted by the undersigned contractor and by the undersigned principals or officers thereof, for said Contractor and for themselves, personally and individually, that no person has been employed to solicit or secure this Contract in violation of the provisions of Section 10, Chapter 48 of the Laws of 1954, N.J.S.A. 52:34-15, or in violation of any other laws of the State of New Jersey; and it is further warranted that all applicable laws and regulations shall be complied within the performance of this contract.

Please be advised that pursuant to N.J.S.A. 54:49-19 et seq. and notwithstanding any provision of the law to the contrary, whenever any taxpayer, partnership or S corporation under contract to provide goods or services or construction projects to the State of New Jersey or its agencies or instrumentalities, including the legislative and judicial branches of State government, is entitled to payment for those goods or services at the same time the taxpayer, partner or shareholder of that entity is indebted for any State tax, the Director of the Division of Taxation shall seek to set off so much of that payment as shall be necessary to satisfy the indebtedness. The amount set-off shall not allow for the deduction of any expense or other deductions which might be attributable to the taxpayer, partner, or shareholder subject to set-off under this Act.

The Director of the Division of Taxation shall give notice of the set-off to the taxpayer, partner or shareholder and provide an opportunity for a hearing within 30 days of such notice under the procedures for protests established under N.J.S.A. 54:49-18. No request for conference, protest, or subsequent appeal to the Tax Court from any protest shall stay the collection of the indebtedness. Interest that may be payable by the State, pursuant to P.L. 1987, c 184 (c. 52:32-32 *et. seq.* to the taxpayer shall be stayed.

By signing this contract, I certify, pursuant to N.J.S.A. 52:34-12.2, that the entity for which I am authorized to bid:

has no ongoing business activities in Northern Ireland and does not maintain a physical presence therein through the operation of offices, plants, factories, or similar facilities, either directly or indirectly, through intermediaries, subsidiaries or affiliated companies over which it maintains effective control; or

will take lawful steps in good faith to conduct any business operations it has in Northern Ireland in accordance with the McBride principles of nondiscrimination in employment as set forth in <u>N.J.S.A.</u> 52:18A-89.5 and in conformance with the United Kingdom's Fair Employment (Northern Ireland) Act of 1989, and permit independent monitoring of their compliance with those principles.

I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

(Affix Corporate Seal)

By

| JOSEPH HAMILTON CONSTRUCTION (Contractor) | 0011011 | |
|---|---------|--|
| adminut | | |
| Alpen | 1 | |
| PRESIDENT | | |

AUTOMATIC DOOR SYSTEMS, LLC t/a

(Title)

86 PORETE AVENUE NORTH ARLINGTON, NJ 07031 (Address)

This contract conforms to the standard form approved by the Attorney General.

CHRISTOPHER S. PORRINO ATTORNEY GENERAL OF NEW JERSEY

* Current Wage Rates dated January 18, 2017 and are included as part of this contract.

"THE MINORITY PERCENTAGE GOAL REQUIREMENT FOR THIS CONTRACT IS <u>45%</u> PER SKILLED CRAFT."

"THE FEMALE PERCENTAGE GOAL REQUIREMENT FOR THIS CONTRACT IS 6.9% PER SKILLED CRAFT."

"(The contract partner) shall maintain all documentation related to products, transactions or services under this contract for a period of five years from the date of final payment. Such records shall be made available to the New Jersey Office of the State Comptroller upon request."

3

BID PROPOSAL FORM STATE OF NEW JERSEY DEPARTMENT OF TREASURY DIVISION OF PROPERTY MANAGEMENT & CONSTRUCTION P O BOX 034 TRENTON NEW JERSEY 08625-0034

The bid proposal is to be returned in the pre-addressed envelope and will be accepted no later than 2:00 p.m.

| 1/3/17 | after which time the bid proposals will be publicly opened and read. |
|---|---|
| FIRM NAME: (Please Type or Print) (Business Street Address ONLY – No P O Box) | Automatic DEORSYSTENSICCI 17/4 JOSoph HAM!HON OCNIMULTION 36 PORTE AUE North A-lington N.J. 03021 |
| PROJECT NO | P1151-00 |
| PROJECT: | |
| LOCATION: | 1049 Baumann Ct, 505 Central Ave, 619 Central Ave, 1408 New Church St, 1414 New Church St, 1420 New Church St, 1426 New Church St, 1434 New Church St, 1437 New Church St, 1446 New Church St, 1453 New Church St and 1457 New Church St, City of Rahway |
| COUNTY: | Union |
| specifications. Single Bid lump sum all trades In accordance with <u>N.J.S.A. 52</u> : | bid documents listed below. vised: December 2015 |
| | ded in the Specifications Dated: Included in the Specifications |
| This project will be fully comple | eted and ready for occupancy within63 Calendar days. |
| Liquidated damages will be asso | essed at 1/20 of one percent (.05%) of the value of this contract (minimum of \$250.00). |
| The above price is good through | a sixty (60) days after the bid opening date. |
| Submit only one bid proposal ar | id bid bond form. |
| | y percent (50%) of the TOTAL bid, including alternates if applicable, must accompany |

DPMC-3 Single Prime (rev. 10/13) INDEX 500

PROPOSAL PAGE 1 OF 8

The Contractor must include prices for the base bid and all alternates and unit prices when requested, otherwise the bid may be considered non-responsive.

Having examined the bid documents and the site of the proposed work and being familiar with all of the conditions surrounding the construction of the proposed project including the availability of materials and labor, the Contractor hereby proposes to furnish all labor, materials and supplies, and to construct the project as submitted, within the time set forth therein, and at the price stated. This price is to cover all expenses incurred in performing the work required, of which this proposal is a part.

The Contractor acknowledges and affirms that it has personal knowledge of or has obtained and reviewed a copy of the valid prevailing wage rates for all trades involved in the project for the geographical location of the project as issued by the Commissioner of the Department of Labor, P O Box 389, Trenton, New Jersey, 08625 (609) 292-2259.

The Contractor acknowledges receipt of the following Bulletins:

| 12/20/16 |
|----------|
| |
| |
| |
| |
| |
| |

PROPOSAL PAGE 2 OF 8

PROJECT NO.: P1151-00

The names and addresses of each Subcontractor included in this Single Bid proposal are listed below and are classified with DPMC in accordance with N.J.S.A. 52:35-1 et seq. at the time of the bid due date. If the Single Prime contractor intends to perform the work described under any of the listed trades sections of this bid proposal form, that Single Prime Contractor must be classified in that trade and listed in the appropriate Subcontractor section of this bid proposal. The Contractor acknowledges the failure to list classified Subcontractors as part of Single Bid proposals shall constitute a non-waivable material deviation resulting in a rejection of the bid.

| NAME: TA Joseph Hamittor Construction | |
|---------------------------------------|----------|
| ADDRESS: 86 Porete Aue 07031 | ÷ |
| PLUMBING (C030) | |
| NAME: WILLIAM J. GUARINI, INC | |
| ADDRESS: 132 MAllory Ave 07307 | <u>_</u> |
| ASBESTOS REMOVAL/TREATMENT (C092) | |
| NAME: AIL Pro-MANASEMENT | |
| ADDRESS: 27 Outwater Carle 07026 | |
| | |

ALLOWANCES

There are NO allowances in this bid.

EXECUTION OF CONTRACT

Upon receipt of written notice of the acceptance of this bid, the Contractor shall execute the formal contract within 10 calendar days and deliver a Performance and Payment Bond as well as other information as required in the bid solicitation.

COMMENCEMENT OF WORK

Contractor acknowledges that the work is to commence upon receipt of the Notice to Proceed with the exception of permit activities.

BID SECURITY

Bid Bond is fifty percent (50%) of the TOTAL bid, including alternates if applicable, and is to become the property of the State in the event the contract and bond are not executed within the time set forth as liquidated damages for the delay and additional expense incurred by the Owner.

PROPOSAL PAGE 3 OF 8

CERTIFICATION

I certify that the below named firm is classified by the Division of Property Management and Construction in the

| approved amount of | \$ 1,500,000 | for (trade) | C026. | Coll | C00 9 | until |
|--------------------|--------------------|-------------|-------|------|-------|-------|
| 10/8/17 | (expiration date). | | | f. | | _ |

I further certify that this firm's bid for this project does not cause the firm to exceed its aggregate rating limit, including consideration of uncompleted construction work (please refer to N.J.A.C. 17:19-2.13, which describes how certain major trade subcontract work is discounted 85% for purposes of calculating whether a contractor is within its rating).

(Seal-if Bid proposal is by a corporation)

| Respectfully submitted, |
|---|
| Automatic book systems, ici |
| By: The Joseph, HAM. IDN CONSTRUCTION |
| X A Munt |
| (Signature) |
| Secretary |
| (Title) |
| 86 PORete AUE |
| (Business Street Address ONLY – No P O Box) |
| N. Asliniston N.J. Bergen 07031 |
| (City State County Zip) |
| Phone No. 201-955-0406 |
| Fax No. |
| |

Federal Identification No.

Any change in ownership information since filing your Request for Classification (Form DPMC 27)

Yes

No No

If yes, attach explanation.

PROPOSAL PAGE 4 OF 8

.

STATE OF NEW JERSEY DEPARTMENT OF TREASURY DIVISION OF PROPERTY MANAGEMENT & CONSTRUCTION

NON-COLLUSION AFFIDAVIT

| PROJECT: | Demolition of Residential Dwellings |
|---|--|
| | 1049 Baumann Ct, 505 Central Ave, 619 Central Ave, 1408 New Church St, 1414 New Church St, 1420 New Church St, 1426 New Church St, 1434 New Church St, 1437 New Church St, 1446 New Church St, 1453 New Church St and 1457 New Church St |
| | City of Rahway, Union County |
| | Bid Due Date January 3, 2017 2:00 PM |
| STATE OF | NEW JERSEY |
| | [SS. |
| COUNTY O |)F [|
| 1, Josep | LAM: TON of the City of Short Hills |
| in the County | and the State of New Jersey |
| of full age, be | eing duly sworn according to law on my oath depose and say that: |
| I am | Cash |
| | <u>SCIRETARY</u> <u>TA</u> |
| of the firm of | Automotic Door Systems, LCC Joseph Mamilton CONSTRUCTPON |
| authority so t collusion, or project; and t knowledge th | or making the Bid Proposal for the above named project, and that I execute the said Bid Proposal with full o do; that said Contractor has not, directly or indirectly, entered into any agreement, participated in any otherwise taken any action in restraint of free, competitive bidding in connection with the above named hat all statements contained in said bid proposal and in this affidavit are true and correct, and made with full hat the State of New Jersey relies upon the truth of the statements contained in said Bid Proposal and in the intained in this affidavit in awarding the contract for the said project. |
| Subscribed an | 1d sworn to before me this 3 10 my |
| of an | legit 17 |
| Kus | teit Delengel |
| My Commiss | ion expires,, |
| Ę | RISTINE M NIEBERGALL Notary Fublie Blais of New Jeriey Hasten Explites BegrentBet 18, 2018 |

Public Law 2005, Chapter 92 Formerly: Executive Order 129

In accordance with Public Law 2005, Chapter 92 (N.J.S.A. 52:34-13.2 et seq., superseding Executive Order 129 (2004)) all bidders submitting a proposal shall be required to submit a Source Disclosure Certification that all services will be performed in the United States. The bidder shall disclose the location by country where services under the contract will be performed and any subcontracting of services under the contract and the location by country where any subcontracted services will be performed.

SOURCE DISCLOSURE CERTIFICATION FORM

| Bidder: | ADS | /JHC | | |
|---------|-----|------|--|--|
|---------|-----|------|--|--|

I hereby certify and say:

I have personal knowledge of the facts set forth herein and am authorized to make this Certification on behalf of the Bidder.

The Bidder submits this Certification as part of a bid proposal in response to the referenced solicitation issued by the State of New Jersey, Department of Treasury, Division of Property Management and Construction (DPMC), in accordance with the requirements of Public Law 2005, Chapter 92, (N.J.S.A. 52:34-13.2 et seq., superseding Executive Order 129 (2004)).

The following is a list of every location where services will be performed by the bidder and all subcontractors.

| Bidder or Subcontractor | Description of Services | Performance Location(s) by Country |
|--------------------------------|---------------------------------------|--|
| ADS/JHC | Reme Wio N | UNION COUNTY |
| An pro | asherves | UNIONT COUNTY |
| William J. GUAFin? | plunbins | U HION COUNTY |
| Any changes to the information | on set forth in this Certification du | ring the term of any contract available of a |

Any changes to the information set forth in this Certification during the term of any contract awarded under the referenced Project Number will be immediately reported by the Bidder to the Contract Compliance Unit in the DPMC, Department of Treasury, State of New Jersey, PO Box 034, Trenton, NJ 08625.

I understand that, after award of a contract to the Bidder, it is determined that the Bidder has shifted services declared above to be provided within the United States to sources outside the United States, prior to a written determination by the Director, Division of Property Management and Construction, that extraordinary circumstances require the shift of services or that the failure to shift the services would result in economic hardship to the State of New Jersey, the Bidder shall be deemed in breach of contract, which contract will be subject to termination for cause under its contract with DPMC.

I further understand that this Certification is submitted on behalf of the Bidder in order to induce DPMC to accept a bid proposal, with knowledge that the State of New Jersey and DPMC are relying upon the truth of the statements contained herein.

I certify that, to the best of my knowledge and belief, the foregoing statements by me are true. I am aware that if any of the statements are willfully false, I am subject to punishment. AutoMAHIC DOOD SYSTEMS ICC

| Bidder: | JOSEDH NA | M. HON | CONSTRA | |
|-------------|--------------|-----------|------------|--|
| | [Name of Org | arization | or Entity] | |
| By:X | Auto | A | | |
| Print Name: | Boserh | Homil | HONI | |

| Title: | SICROTAL | 1 | |
|--------|----------|---|--|
| | | | |

Date: 1.3.2017 **PROPOSAL PAGE 6 of 8**

STATE OF NEW JERSEY DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION DISCLOSURE OF INVESTMENT ACTIVITIES IN IRAN

ADSISHC BIDDER

Pursuant to Public Law 2012, c. 25, any person or entity that submits a bid or proposal or otherwise proposes to enter into or renew a contract must complete the certification below to attest, under penalty of perjury, that neither the person or entity, nor any of its parents, subsidiaries, or affiliates, is identified on the Department of Treasury's Chapter 25 list as a person or entity engaging in investment activities in Iran. The Chapter 25 list is found on the Division of Purchase and Property's website at http://www.state.nj.us/treasury/purchase/pdf/Chapter25List.pdf. Bidders must review this list prior to completing the below certification. Failure to complete the certification may render a bidder's proposal non-responsive. If the Director finds a person or entity to be in violation of Iaw, s/he shall take action as may be appropriate and provided by Iaw, rule or contract, including but not limited to, imposing sanctions, seeking compliance, recovering damages, declaring the party in default and seeking debarment or suspension of the party.

PLEASE CHECK THE APPROPRIATE BOX:

I certify, pursuant to Public Law 2012, c. 25, that neither the bidder listed above nor any of the bidder's parents, subsidiaries, or affiliates is listed on the N.J. Department of the Treasury's list of entities determined to be engaged in prohibited activities in Iran pursuant to P.L. 2012, C. 25 ("Chapter 25 List"). I further certify that I am the person listed above, or I am an officer or representative of the entity listed above and am authorized to make this certification on its behalf. I will skip Part 2 and sign and complete the Certification below.

OR

I am unable to certify as above because the bidder and/or one or more of its parents, subsidiaries, or affiliates is listed on the Department's Chapter 25 list. I will provide a detailed, accurate and precise description of the activities in Part 2 below and sign and complete the Certification below. Failure to provide such will result in the proposal being rendered as non-responsive and appropriate penalties, fines and/or sanctions will be assessed as provided by law.

Part 2: PLEASE PROVIDE FURTHER INFORMATION RELATED TO INVESTMENT ACTIVITIES IN IRAN

You must provide a detailed, accurate and precise description of the activities of the bidding person/entity, or one of its parents, subsidiaries or affiliates, engaging in the investment activities in Iran outlined above by completing the box(es) below.

| Name | Relationship to Bidder/Offeror |
|------------------------------|--------------------------------|
| Description of Activities | |
| Duration of Engagement | Anticipated Cessation Date |
| Bidder/Offeror Contract Name | Contact Phone Number |

List Additional Activities on Separate Sheet

PROPOSAL PAGE 7 of 8

PROJECT NO.: P1151-00

P.L. 2012 c. 25

CERTIFICATION: I, being duly sworn upon my oath, hereby represent and state that the foregoing information and any attachments thereto to the best of my knowledge are true and complete. I attest that I am authorized to execute this certification on behalf of the above-referenced person or entity. I acknowledge that the State of New Jersey is relying on the information contained herein and thereby acknowledge that I am under a continuing obligation from the date of this certification through the completion of any contracts with the State to notify the State in writing of any changes to the answers of information contained herein. I acknowledge that I am aware that it is a criminal offense to make a false statement or misrepresentation in this certification, and if I do so, I recognize that I am subject to criminal prosecution under the law and that it will also constitute a material breach of my agreement(s) with the State of New Jersey and that the State at its option may declare any contract(s) resulting from this certification void and unenforceable.

| Full Name (Print): Josen HAM/ton | Signature: <u>×</u> | Johnst |
|----------------------------------|---------------------|------------|
| Title: SCCROTARY | Date: | V 1.3.2017 |

PROPOSAL PAGE 8 of 8

STATE OF NEW JERSEY DEPARTMENT OF TREASURY DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION PO BOX 034, TRENTON, NJ 08625-0034

PROJECT #: P1151-00 Bulletin A **Demolition of Residential Properties**

> 1049 Baumann Court 505 Central Avenue 619 Central Avenue 1408 New Church Street 1414 New Church Street 1420 New Church Street 1426 New Church Street 1434 New Church Street 1437 New Church Street 1446 New Church Street 1453 New Church Street 1457 New Church Street

City of Rahway UNION COUNTY, N.J.

A/E: Mott MacDonald

DATE: December 5, 2016

BULLETIN "A"

Bidder must acknowledge receipt of this Bulletin on bid form in the space provided therefore.

This Bulletin is issued for the purpose of amending certain requirements of the original Contract Documents, as noted hereinafter, and is hereby made part of and incorporated in full force as part of the Contract Documents. Unless specifically noted or specified hereinafter, all work shall comply with the applicable provisions of the Contract Documents.

Attached documents for Bulletin "A":

- Attachment "A" Statement of Assurances
 Attachment "B" Additional Information for HUD Statement of Assurances for Contractor MUST BE COMPLETED AND RECEIVED PRIOR TO CONTRACT AWARD
- 3. Attachment "C" Section 3 Utilization Plan Template MUST BE COMPLETED AND RECEIVED PRIOR TO CONTRACT AWARD

END OF BULLETIN "A"

ATTACHMENT "A"

STATEMENT OF ASSURANCES FOR CONTRACTOR/CONSULTANT

ADDITIONAL FEDERALLY FUNDED AGREEMENT PROVISIONS COMMUNITY DEVELOPMENT BLOCK GRANT-DISASTER RECOVERY FUNDED PROJECTS

ASSURANCES

The purpose of this Statement of Assurances is to list requirements applicable to programs funded in whole or in part by Community Development Block Grant-Disaster Recovery ("CDBG-DR") funds received from the U.S. Department of Housing and Urban Development ("HUD"). Not all of the requirements listed herein shall apply to all activities or work under the Contract.

As used herein, "Contractor" and "Consultant" refer to any contractors or consultants awarded a Contract to provide goods or perform services in connection with the Project and paid with CDBG-DR funds.

Contractor/Consultant agrees to comply with all *applicable* federal CDBG-DR laws, guidelines and standards in a manner satisfactory to the State and HUD, including all administration and compliance requirements set forth by this Statement of Assurances. To the extent that Contractor/Consultant utilizes any subconsultants/subcontractors, Contractor/Consultant shall require and ensure that each subconsultant/subcontractor comply with all *applicable* federal CDBG-DR laws, guidelines and standards; any subcontracts entered into by Contractor/Consultant shall set forth these requirements. Contractor/Consultant also agrees to comply with all *applicable* cross-cutting statutes and regulations, subject to waivers cited in the Federal Register, Docket No. FR–5696–N–01 (March 5, 2013) (Allocations, Common Application, Waivers, and Alternative Requirements for Grantees Receiving CDBG - DR funds in Response to Superstorm Sandy), and all other waivers granted by HUD.

A. GENERAL PROVISIONS

- 1. Under provisions of the Hatch Act that limit the political activity of employees and HUD regulations governing political activity (24 CFR 570.207), CDBG funds shall not be used to finance the use of facilities or equipment for political purposes or to engage in other partisan political activities, such as candidate forums, voter transportation, or voter registration. However, a facility originally assisted with CDBG funds may be used on an incidental basis to hold political meetings, candidate forums, or voter registration campaigns, provided that all parties and organizations have access to the facility on an equal basis, and are assessed equal rent or use charges, if any.
- 2. No federally appointed funds shall be used for lobbying purposes regardless of level of government, in accordance with 2 CFR 200.450.
- 3. HUD rules prohibit the use of CDBG funds for inherently religious activities, as set forth in 24 CFR 570.200(j), except for circumstances specified in the Department of Housing and Urban Development Allocations, Common Application, Waivers, and Alternative Requirements for Grantees Receiving CDBG Disaster Recovery Funds in Response, 78 FR 14329 (March 5, 2013).

- 4. HUD rules impose drug-free workplace requirements in Subpart B of 2 CFR part 2429, which adopts the government-wide implementation (2 CFR Part 182) of sections 5152-5158 of the Drug-Free Workplace Act of 1988.
- 5. Citizens will be provided with an appropriate address, phone number, and times during which they may submit complaints regarding activities carried out utilizing these CDBG-DR funds. The State will provide a written response to every citizen complaint within fifteen (15) working days of the complaint.
- B. PERSONALLY IDENTIFIABLE INFORMATION: To the extent the Contractor/Consultant receives personally identifiable information, it will comply with the Privacy Act of 1974 and HUD rules and regulations related to the protection of personally identifiable information. The term "personally identifiable information" refers to information which can be used to distinguish or trace an individual's identity, such as their name, social security number, biometric records, etc., either alone or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, mother's maiden name, etc. See 2 CFR 200.79 & OMB M-07-16. Contractor/Consultant shall require all persons that have access to personally identifiable information (including subcontractors/subconsultants and their employees) to sign a Non-Disclosure Agreement.

C. FINANCIAL MANAGEMENT AND PROCUREMENT

- 1. To the extent applicable, Contractor/Consultant shall adhere to the principles and standards governing federal grant distribution as set forth in the OMB Uniform Administrative Requirements, Cost Principles and Audit Requirements for Federal Awards (2 CFR Part 200).
- 2. Contractor/Consultant shall comply with all *applicable* laws pertaining to financial management, including 2 CFR Part 180 and 2 CFR Part 2424, which prohibit the making of any award or permitting any award (sub grant or contract) at any tier to any party that is debarred or suspended or is otherwise excluded from or ineligible for participation in federal assistance programs. To the extent that it uses subcontractors or subconsultants, Contractor must verify that none of them are on the List of Parties Excluded from Federal Procurement or Non-procurement Programs promulgated in accordance with Executive Orders 12549 and 12689, "Debarment and Suspension," as set forth at 2 CFR Part 2424. No Contractors or Subcontractors that are on the List may receive any CDBG funds.
- 3. Conflict of interest rules, as set forth in 24 CFR 570.489, 24 CFR 570.611, and 2 CFR 200.112, apply. Contractor/Consultant shall disclose in writing any potential conflict of interest to DPMC and DEP.
- 4. *To the extent applicable*, Contractor/Consultant shall comply with 24 CFR Part 570 regarding the management and disposition of cash, real and personal property acquired with CDBG-DR funds.
- 5. *To the extent applicable*, Contractor/Consultant shall comply with 24 CFR 570.489(j) regarding change of use of real property. These standards apply to real property within its control (including activities undertaken by subcontractors/subconsultants). These standards apply from the date CDBG-DR funds are first spent until five years after the close-out of the Program.

D. <u>RECORDS AND RECORDS RETENTION</u>

- 1. The Contractor/Consultant shall be responsible for maintaining records, in accordance with N.J.A.C. 17:44-2.2(b), 2 CFR 200.333, 24 CFR 570.502 and 570.506. Records shall be maintained for the longer of:
 - (a) a period of three (3) years from submission of the final expenditure report for the Program; and
 - (b) a period of five (5) years from the date of final payment.
- 2. If any litigation, claim, or audit pertaining to the Contract has been started before the expiration of the five-year record retention period, records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the required five-year period, whichever is later.
- 3. Contractor/Consultant shall provide the State and HUD, including their representatives or agents, access to and the right to examine all records, books, papers, or documents related to the Contract and the use of CDBG funds.
- E. <u>FEDERAL LABOR STANDARDS</u>: *To the extent applicable*, Contractor/Consultant shall comply with Federal Labor Standards, including:
 - Section 110 of the Housing and Community Development Act of 1974, 42 U.S.C. §5310, 24 CFR §570.603 and HUD Handbook 1344.1 Federal Labor Standards Requirements in Housing and Community Development Programs, as revised, which require that all laborers and mechanics (as defined at 29 CFR §5.2) employed by Contractor/Consultant (including its subcontractors/subconsultants) in connection with construction contracts over \$2,000, are paid wages at rates not less than those prevailing on similar construction in the locality as per the Davis-Bacon Act (40 U.S.C. §3141 et seq.), as amended; except that these requirements do not apply to the rehabilitation of residential property if such property contains less than 8 units;
 - 2. The Contract Work Hours and Safety Standards Act (40 U.S.C. 3701 et seq.), requiring that mechanics and laborers (including watchmen and guards) employed on federally assisted contracts of \$100,000 or greater be paid wages of not less than one and one-half times their basic wage rates for all hours worked in excess of forty hours in a work-week, and projects must comply with safety standards;
 - 3. The Federal Fair Labor Standards Act (29 U.S.C. 201 <u>et seq.</u>), requiring that covered nonexempt employees be paid at least the minimum prescribed wage, and also that they be paid one and one-half times their basic wage rate for all hours worked in excess of the prescribed work-week;
 - 4. The Copeland "Anti-Kickback" Act (18 U.S.C. 874) as supplemented in Department of Labor regulations (29 CFR 3), which requires payment of wages once a week and allows only permissible payroll deductions;
 - 5. Department of Labor regulations in parallel with HUD requirements above:
 - a. 29 CFR 1: Procedures for Predetermination of Wage Rates

- b. 29 CFR 5: Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction (Also, Labor Standards Provisions Applicable to Nonconstruction Contracts Subject to the Contract Work Hours and Safety Standards Act)
- c. 29 CFR 6: Rules of Practice for Administrative Proceedings Enforcing Labor Standards In Federal and Federally Assisted Construction Contracts and Federal Service Contracts
- d. 29 CFR 7: Practice Before the Administrative Review Board With Regard to Federal and Federally Assisted Construction Contracts.

F. SECTION 3 REQUIREMENTS

1. To the extent applicable, Contractor/Consultant shall comply with Section 3 of the Housing and Urban Development Act of 1968, as amended ("Section 3"). Section 3 is intended to encourage recipients of HUD funding to direct new employment, training, and contracting opportunities to the greatest extent feasible to low- and very low-income persons, and to businesses that employ these persons, within their community. Section 3 applies to grantees and subrecipients that receive assistance exceeding \$200,000 in certain types of HUD funding, including CDBG funding, and to contractors and subcontractors that enter into contracts in excess of \$100,000 funded by certain types of HUD funding, including CDBG funds, for any activity that involves housing construction, rehabilitation, and demolition, or other public construction. A guide to Section 3 applicability and compliance requirements is located at HUD's website. http://portal.hud.gov/hudportal/HUD?src=/program_offices/fair_housing_cqual_opp/section3 Isection3, under Frequently Asked Questions (FAQs).

2. Pursuant to 24 CFR 135.38, the following language shall be included in all contracts and subcontracts:

- a. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- b. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with 24 part CFR 135.
- c. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and shall post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- d. The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action,

as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor shall not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

- e. The contractor shall certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR 135.
- f. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- g. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises, Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

G. FAIR HOUSING AND NON-DISCRIMINATION

- 1. To the extent applicable, Contractor/Consultant shall comply with the following fair housing and non-discrimination laws. Any act of unlawful discrimination committed by Contractor/Consultant or failure to comply with applicable laws shall be grounds for termination of the Contract.
 - a. Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. §200d et seq., and the regulations issued pursuant thereto (24 CFR Part 1), which provide that no person in the United States shall on the grounds or race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which it receives federal financial assistance and shall immediately take any measures necessary to effectuate this assurance. If any real property or structure thereon is provided or improved with the aid of federal financial assistance extended to it this assurance shall obligate it, or in the case of any transfer of such property, and transferee, for the period during which the property or structure is used for another purpose involving the provision of similar services or benefits.
 - b. Fair Housing Act (Title VIII of the Civil Rights Act of 1968, as amended, 42 U.S.C. 3601–3619), which requires administering all programs and activities relating to housing and community development in a manner to affirmatively further fair housing. Title VIII further prohibits discrimination against any person in the sale or rental of housing, or the provision of brokerage services, including in any way making unavailable or denying a dwelling to any person, because of race, color, religion, sex, national origin, handicap or familial status.
 - c. Title II of the Civil Rights Act of 1968 (25 U.S.C. 1301-1303), which prohibits discrimination because of race, color, religion, or natural origin in certain places of public accommodation.
 - d. Architectural Barriers Act (ABA) of 1968, 42 U.S.C. 4151 et seq. The ABA requires access to buildings designed, built, altered, or leased by or on behalf of the federal

government or with loans or grants, in whole or in part, from the federal government. As used in the ABA, the term "building" does not include privately owned residential structures not leased by the government for subsidized housing programs.

- e. Title IX of the Education Amendments Act of 1972, 20 U.S.C. 1681 *et seq.*, which prohibits discrimination on the basis of sex in any federally funded education program or activity.
- f. Section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. §794, which provides that no otherwise qualified individual shall solely by reason of his or her handicap be excluded from participation, denied program benefits, or subjected to discrimination under any program or activity receiving federal funding assistance.
- g. Section 508 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. §794d, which requires Federal agencies to make their electronic and information technology (EIT) accessible to people with disabilities, and applies to all federal agencies when they develop, procure, maintain or use electronic and information technology.
- h. Section 109 of Title I of the Housing and Community Development Act of 1974, and the regulations issued pursuant thereto (24 CFR 570.602), which provides that no person in the United States shall, on the grounds of race, color, national origin, or sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with funds provided under that Part. Section 109 further prohibits discrimination to an otherwise qualified individual with a handicap, as provided under Section 504 of the Rehabilitation Act of 1973, as amended, and prohibits discrimination based on age as provided under the Age Discrimination Act of 1975. The policies and procedures necessary to ensure enforcement of section 109 are codified in 24 CFR 6.
- i. Section 104(b)(2) of the Housing and Community Development Act of 1974, 42 U.S.C. 5304(b), which requires communities receiving community development block grants to certify that the grantee is in compliance with various specified requirements.
- j. Age Discrimination Act of 1975, 42 U.S.C. 6101 et seq., which prohibits discrimination on the basis of age in programs and activities receiving federal financial assistance.
- k. Title II of the Americans with Disabilities Act of 1990, 42 U.S.C. 12131 *et seq.*, which prohibits discrimination against people with disabilities by public entities, which includes any state or local government and any of its departments, agencies or other instrumentalities.
- 1. Housing for Older Persons Act of 1995 ("HOPA") (42 U.S.C. 3607), which governs housing developments that qualify as housing for persons age 55 or older.
- m. Accessibility requirements contained in Title III of the Americans with Disabilities Act of 1990 (42 U.S.C. 12181 *et seq.*).
- n. Executive Order 11063: Equal Opportunity in Housing, November 20, 1962, as amended by Executive Order 12259, and the regulations issued pursuant thereto, which pertains to equal opportunity in housing and non-discrimination in the sale or rental of housing built with federal assistance.
- o. Executive Order 11246 (Johnson), September 24, 1965, as amended by Executive Order 11375 (Johnson), October 13, 1967, as amended by Executive Order 13672 (Obama), July 21, 2014, which prohibit discrimination in employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. Further contractors and subcontractors on federal and federally assisted construction contracts shall take affirmative action to insure that equal opportunity is provided in all aspects of their employment, including, but not limited to: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training and apprenticeship.

- p. Executive Order 12086: Consolidation of contract compliance functions for equal employment opportunity, October 5, 1978.
- Executive Order 12892: Leadership and Coordination of Fair Housing in Federal Programs: q. Affirmatively Furthering Fair Housing, January 17, 1994.
- Executive Order 12898: Federal Actions to Address Environmental Justice in Minority \mathbf{r}_{c} Populations and Low-Income Populations, February 11, 1994.
- Executive Order 13166: Improving Access to Services for Persons with Limited English s. Proficiency, August 11, 2000.
- Executive Order 13217: Community-Based Alternatives for Individuals with Disabilities, t. June 19, 2001.
- u. Executive Order 13330: Human Service Transportation Coordination, February 24, 2004.
- v. Implementing regulations for the above:
 - i. 24 CFR part 1: Nondiscrimination in Federally Assisted Programs of HUD.
 - ii. 24 CFR part 3: Nondiscrimination on the Basis of Sex in Education Programs or Activities receiving Federal Financial Assistance.
 - iii. 24 CFR 5.105: Other Federal Requirements.
 - iv. 24 CFR 6: Nondiscrimination in Programs, Activities Receiving Assistance under Title I of the Housing and Development Act of 1974.
 - v. 24 CFR part 8: Nondiscrimination Based on Handicap in Federally Assisted Programs and Activities of the Department of Housing and Urban Development.
 - vi. 24 CFR 50.4(1) and 58.5 (j): Environmental Justice.
 - vii. 24 CFR 91.225(a)(1): Affirmatively Furthering Fair Housing.
 - viii. 24 CFR 91.325(a)(1): Affirmatively Furthering Fair Housing.
 - 24 CFR 91.325(b)(5): Compliance with Anti-discrimination laws. ix.
 - x. 24 CFR 91.520: Performance Reports.
 - xi. 24 CFR part 100- part 125: Fair Housing.
 - xii. 24 CFR part 107: Non-discrimination and Equal Opportunity in Housing under Executive Order 11063 (State Community Development Block Grant Grantees).
 - xiii. 24 CFR part 121: Collection of Data.
 - xiv. 24 CFR part 135: Economic Opportunities for Low- and Very Low-Income Persons.
 - xv. 24 CFR part 146: Non-discrimination on the Basis of Age in HUD Programs or Activities Receiving Federal Financial Assistance.
 - xvi. 24 CFR 570.206(c): Fair Housing Activities.
- xvii. 24 CFR 570.487(b): Affirmatively Furthering Fair Housing.
- xviii. 24 CFR 570.487(e): Architectural Barriers Act and Americans with Disabilities Act (State Community Development Block Grant Grantees).
- xix. 24 CFR 570.490(a)-(b): Recordkeeping requirements.
- xx. 24 CFR 570.491: Performance Reviews and Audits.
- 24 CFR 570.495(b): HCDA Section 109 nondiscrimination.
- xxi. xxii. 24 CFR 570.506(g): Fair Housing and equal opportunity records.
- xxiii. 24 CFR 570.601: Affirmatively Further Fair Housing.
- xxiv. 24 CFR 570.608 and Part 35: Lead-Based Paint.
- xxv. 24 CFR 570.614: Architectural Barriers Act and Americans with Disabilities Act.
- xxvi. 24 CFR 570.904: Equal Opportunity and Fair Housing Review
- xxvii. 24 CFR 570.912: Nondiscrimination compliance

H. CONTRACTING WITH SMALL AND MINORITY FIRMS AND WOMEN'S BUSINESS ENTERPRISES

1. Contractor/Consultant shall take affirmative steps and use best efforts to afford small and disadvantaged businesses, minority business enterprises, and veteran and women's business

enterprises the maximum practicable opportunity to participate in the performance of this contract. As used in this contract, the terms "small business" means a business that meets the criteria set forth in section 3(a) of the Small Business Act, as amended (15 U.S.C. 632), and "minority and women's business enterprise" means a business that is at least fifty-one percent (51%) owned and controlled by minority group members or women. For purposes of this definition, "minority group members" are Afro-Americans, Spanish-speaking, Spanish surnamed or Spanish-heritage Americans, Asian-Americans, and American Indians. Contractor/Consultant may rely on written representations by businesses regarding their status as minority and women business enterprises in lieu of an independent investigation.

- 2. Affirmative steps shall include:
 - a. Placing qualified small and disadvantaged businesses, minority firms, veteran- and womenowned businesses on solicitation lists;
 - b. Ensuring that small and disadvantaged businesses, minority firms, veteran- and womenowned businesses are solicited whenever they are potential sources, for goods and/or services required in furtherance of Sandy recovery programs;
 - c. Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and disadvantaged businesses, minority firms, veteran- and women-owned businesses;
 - d. Establishing delivery schedules, where the requirement permits, which encourage participation by small and disadvantaged businesses, minority firms, veteran- and women-owned businesses; and
 - e. Using the service and assistance of the Small Business Administration, and the Minority Business Development Agency of the U.S. Department of Commerce.

I. <u>LIMITED ENGLISH PROFICIENCY</u>

Where potential interaction with persons with Limited English Proficiency ("LEP") is anticipated, all services must be made available to LEP persons in accordance with the State's Language Access Plan (LAP) (available online at <u>http://www.renewjerseystronger.org/wp-content/uploads/2014/08/NJ-DCA-LAP_Version-1.0_2015.01.14-for-RenewJerseyStronger.pdf</u>) and HUD requirements (see Federal Register Notice FR-4878-N-02, available online at <u>http://www.gpo.gov/fdsys/pkg/FR-2007-01-22/pdf/07-217.pdf</u>). Services should be provided in any languages for which qualified translators are available. For all other languages, DCA's *J Speak* Cards (to be provided by DCA) may be used and LEP households may be referred to DCA's language line and other translation services. DCA translation services will only be available to CDBG-DR funded programs. All LEP services provided pursuant to this Contract will be reported to DCA monthly by the number and type of those services, so that DCA may report to HUD.

J. ENVIRONMENTAL REGULATORY COMPLIANCE

To the extent applicable, Contractor/Consultant must comply with HUD regulations found at 24 CFR Part 58, implementing the National Environmental Policy Act ("NEPA"), 42 U.S.C. §4321 et seq., and other Federal environmental requirements, including but not limited to:

- 1. Floodplain management and wetland protection:
 - a. Executive Order 11990, Protection of Wetlands (May 24, 1977) (42 FR 26961), 3 CFR, 1977 Comp., p. 121, as interpreted by HUD regulations at 24 CFR 55, particularly sections 2 and 5 of the order;

- b. Executive Order 11988, Floodplain Management, May 24, 1977 (42 FR 26951), 3 CFR, 1977 Comp., p. 117, as interpreted in HUD regulations at 24 CFR part 55, particularly section 2(a) of the order;
- 2. The Coastal Zone Management Act of 1972 (16 U.S.C. § 1451 et seq.), as amended, particularly sections 307(c) and (d) (16 U.S.C. §§1456(c) and(d));
- 3. In relation to water quality:
 - a. Executive Order 12088, as amended by Executive Order 12580, relating to the prevention, control and abatement of water pollution;
 - b. The Safe Drinking Water Act of 1974 (42 U.S.C. §§ 201, 300(f) <u>et seq</u>. and U.S.C. §349), as amended, particularly Section 1424(e) (42 U.S.C. §§ 300h-303(e)), which is intended to protect underground sources of water. No commitment for federal financial assistance can be entered into for any project which the U.S. Environmental Protection Agency ("EPA") determines may contaminate an aquifer which is the sole or principal drinking water source for an area (40 CFR 149); and
 - c. The Federal Water Pollution Control Act of 1972, as amended, including the Clean Water Act of 1977, Public Law 92-212 (33 U.S.C. §1251, et seq.) which provides for the restoration and maintenance of the chemical, physical and biological integrity of the nation's water.
- Endangered Species Act of 1973 (16 U.S.C. §1531 et seq.), as amended, particularly section 7 (16 U.S.C. §1536);
- 5. The Fish and Wildlife Coordination Act of 1958, as amended;
- 6. Wild and Scenic Rivers Act of 1968 (16 U.S.C. § 1271 <u>et seq.</u>), particularly sections 7(b) and (c) (16 U.S.C. §1278(b) and (c));
- Executive Order 11738, providing for administration of the Clean Air Act and the Federal Water Pollution Control Act With Respect to Federal Contracts, Grants, or Loans, and EPA regulations (40 CFR 15);
- 8. The Clean Air Act of 1970 (42 U.S.C. § 7401 <u>et seq.</u>) as amended, particularly sections 176(c) and (d) (42 U.S.C. § 7506(c) and (d)), and 40 CFR 6, 51, 93, which prohibits engaging in, supporting in any way, providing financial assistance for, licensing or permitting, or approving any activity which does not conform to State or Federal implementation plans for national primary and secondary ambient air quality standards.
- The Farmland Protection Policy Act of 1981, 7 U.S.C.A. §4201 et seq., particularly sections 1540(b) and 1541 (7 U.S.C. §4201(b) and §4202), and Farmland Protection Policy, 7 CFR 658, which require recipients of federal assistance to minimize the extent to which their projects contribute to the unnecessary and irreversible commitment of farmland to nonagricultural uses;
- 10. Noise abatement and control requirements at 24 CFR part 51 subpart B;
- 11. Explosive and flammable operations requirements at 24 CFR part 51 subpart C;
- 12. Requirements at 24 CFR 58.5(i) relating to toxic chemicals and radioactive materials;
- Environmental Justice, Executive Order 12898—Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, February 11, 1994 (59 FR 7629), 3 CFR, 1994 Comp. p. 859.

K. EQUAL EMPLOYMENT OPPORTUNITY

1. All federally assisted construction contracts must include the equal opportunity clause provided under 41 CFR §60-1.4(b). Federally assisted construction contracts include any agreement or modification thereof between any applicant and a person for construction work which is paid for in whole or in part with funds obtained from the federal government. Construction work is defined as "the construction, rehabilitation, alteration, conversion, extension, demolition or repair of buildings, highways, or other changes or improvements to real property, including facilities providing utility services. The term also includes the supervision, inspection, and other onsite functions incidental to the actual construction." 41 CFR §60-1.3.

2. Pursuant to 41 CFR §60-1.4(b), the following language shall be included in all federally assisted construction contracts and subcontracts:

During the performance of this contract, the contractor agrees as follows:

(1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

(3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(4) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(5) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible

for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(7) The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, That in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the contractor may request the United States to enter into such litigation to protect the interests of the United States.

ATTACHMENT "B"

ATTACHMENT TO STATEMENT OF ASSURANCES, SECTION 3 REQUIREMENTS AND CERTIFICATIONS

To the extent that Contractor utilizes any subcontractors, Contractor shall require and ensure that each subcontractor comply with all *applicable* federal CDBG-DR laws, guidelines and standards as well as codes, regulations and workers' safety rules that are administered by federal agencies (EPA, OSHA, and DOT), state agencies (state OSHA and DEP), and any other local regulations and standards (i.e. building codes) that may apply.

A. SECTION 3 REQUIREMENTS

- 1. Definitions:
 - Low-Income: Low-income is used in the Section 3 regulation to include both low- and very low-income individuals. Low-income is defined as 80% or below the median income of that area. Very low-income is defined as 50% or blow the median income of that area. Local income levels can be obtained online at: <u>http://www.huduser.org/DATASETS/il.html</u>.
 - <u>New Hire</u>: Full-time employee for a new permanent, temporary, or seasonal position that is created during the expenditure of Section 3 covered financial assistance.
 - Section 3: Section 3 is a provision of the Housing and Urban Development Act of 1968, which recognizes that HUD funds are typically one of the largest sources of federal funding expended in communities through the form of grants, loans, entitlement allocations and other forms of financial assistance. Section 3 is intended to ensure that when employment or contracting opportunities are generated because a covered project or activity necessitates the employment of additional persons or the awarding of contracts for work, preference must be given to low- and very low-income persons or business concerns residing in the community where the project is located. Section 3 is both race and gender neutral. The preferences provided under this regulation are based on income level and location.
 - Section 3 Business Concern: Businesses that can provide evidence that they meet one of the following: 1) Business is owned by a Section 3 resident; or 2) 51 percent or more owned by Section 3 residents; or 3) at least 30 percent of its full time employees include persons that are currently Section 3 residents, or within three years of the date of first employment with the business concern were Section 3 residents; or 4) provides evidence, as required, of a commitment to subcontract in excess of 25 percent of the dollar award of all subcontracts to be awarded to businesses that meet the qualifications above.
 - Section 3 Resident: A "Section 3 resident" is: 1) a public housing resident; or 2) a low- or very low-income person residing in the metropolitan area or Non-metropolitan County in which the Section 3 covered assistance is expended.
- 2. NJ DCA Sandy Recovery Division (SRD)

Page 1 of 7

- a. The successful bidder shall provide a Section 3 Utilization Plan (see attached Section 3 Plan Template) prior to contract award. The Section 3 Plan must include specific information regarding the following:
 - i. Identification of the Project Area;
 - ii. Identification of past experience with Section 3 and whether goals have been met;
 - Specific information about the contractor's current workforce (payroll reports, etc.);
 - iv. Specific plans for hiring Section 3 eligible residents;
 - v. Specific plan for engaging Section 3 designated businesses and, if applicable, each HUD Section 3 certified business that will participate in the contract;
 - vi. A description of work each named Section 3 Business will perform with the dollar amount of participation;
 - vii. A signed Statement of Section 3 Utilization Commitment regarding Section 3 requirements;
 - viii. A firm commitment to include the HUD Section 3 Clause in all subcontracts; and
 - ix. A firm commitment to conduct aggressive outreach and notification to potential Section 3 residents and businesses of hiring opportunities using site signage, flyers, etc.
- b. Contractor shall perform outreach with outside organizations to identify workforce development opportunities and to facilitate the hiring of Section 3 Residents and Section 3 Businesses. See sample forms provided.
 - i. Targeted Outreach Organizations include Public Housing Authorities in impacted areas, New Jersey One Stop Career Centers, Urban League, NAACP, Hispanic Chamber of Commerce, Small Business Administration (SBA) Development Centers.
 - ii. To find Section 3 residents, New Jersey has NJ One Stop Career Centers throughout the state, which are available to assist in posting available jobs and finding qualified individuals. Their job posting website is: <u>http://jobs4jersey.com</u>. These centers have the ability to post job openings to their website and send out e-mails to qualified individuals.
 - iii. To find Section 3 businesses, HUD has a Section 3 Business Registry. Qualified Section 3 businesses can be found at <u>https://portalapps.hud.gov/Sec3BusReg/BRegistry/BRegistryHome</u>. Section 3 eligible business concerns have the ability to "self-certify" on the HUD Registry. In addition, Section 3 eligible business concerns can register with

Page 2 of 7

their local housing authority as a Section 3 business and obtain certification. Note that at any time NJ DCA-SRD can request proof and documentation of eligibility from the Section 3 business concern.

- c. Contractor and all sub-contractors must submit documentation demonstrating their efforts to reach the Section 3 contracting, hiring, and training goals using appropriate forms that identify their efforts. See sample forms provided.
- d. Contractor and all sub-contractors must submit with each invoice the Contractor Information Form and Section 3 Resident Certification Form, identifying new employees.
- e. Contractor and all sub-contractors must submit with each invoice the Contractor Information Form and Section 3 Business Concern Certification Form, identifying subcontractors.
- f. NJ DCA-SRD has designated a Section 3 Coordinator to ensure businesses are in compliance with HUD and NJ DCA-SRD's Section 3 guidelines. The Section 3 Coordinator will be responsible for oversecing the implementation of all Section 3 Utilization Plans approved by Subrecipients and that such plans are an official part of the contract. The Section 3 coordinator is available to answer questions from the Subrecipients.

Page 3 of 7

The bidder certifies that to the best of its knowledge and belief, neither it, nor any person or firm which has an interest in the contractor's firm, nor any of the contractor's subcontractors, is ineligible to:

- (1) Be awarded contracts by any agency of the United States Government of HUD; or,
- (2) Participate in HUD programs pursuant to 24 CFR part 24.

Automatic proz systemij cic Mame of Bidder couste.

86 Porete Alle 07031 Address Signat

KAM: I top!

By:

Soseph Print Name and Title

1,7/17

Date

Page 4 of 7

CERTIFICATION OF BIDDER REGARDING SECTION 3 To be submitted prior to award of contract

Automatic DOCR SYSTEMS, LLC TA JOSEPH (HAM: TON CONSTR. Name of Bidder

\$1151-00

Project Number

The undersigned hereby certifies that:

- 1. Section 3 provisions are included in the Contract.
- 2. A written Section 3 plan in compliance with the Section 3 Plan Format and Utilization Plan Template (Attachments B and C hereto) will be prepared and submitted prior to the award of the contract (if bid equals or exceeds \$100,000).

Joseph HAM', ton SCORETARY Print Name and Title 1/inlin P Signature

Page 5 of 7

SUCCESSFUL BIDDER SECTION 3 PLAN FORMAT To be submitted prior to award of contract

If award is received, $\frac{1}{14}$ $\frac{1}{2092ph}$ $\frac{1}{14m}$ $\frac{1}{16m}$ $\frac{1}{20HSR}$ (name of Bidder) agrees to implement the following specific affirmative action steps directed at increasing the utilization of lower income residents and businesses within the City of Rahway.

- 1. To ascertain from the locality's CDBG program official the exact boundaries of the Section 3 covered project area, and where advantageous, seek the assistance of local officials in preparing and implementing the affirmative action plan.
- 2. To attempt to recruit from within the municipalities the necessary number of lower income residents through local advertising media, signs placed at the proposed site for the project, and community organizations, and public or private institutions operating within or serving the project area, such as Service Employment and Redevelopment (SER), Opportunities Industrialization Center (OIC), Urban League, Concentrated Employment Program, Hometown Plan, or the U.S. Employment Service.
- 3. To maintain a list of all lower income area residents who have applied either on their own or on referral from any source, and to employ such persons, if otherwise eligible and if a vacancy exists.
- 4. To insert the Section 3 Contract Provisions clause in all subcontracts over \$100,000, to obtain all forms from said subcontractors, and to obtain all required documentation. (Loans, grants, contracts, and subsidies for less than \$100,000 will be exempt.)
- 5. To contact unions, subcontractors, and trade associations to secure their cooperation for this program.
- 6. To ensure that all appropriate project area business concerns are notified of pending sub contractual opportunities.
- 7. To maintain records, including copies of correspondence, memoranda, etc., which document that all of the above affirmative action steps have been taken.
- 8. To appoint or recruit an executive official of the company or agency as Equal Opportunity Officer to coordinate the implementation of this Section 3 plan.
- 9. To list information related to proposed subcontracts to be awarded to Section 3 businesses.
- 10. To list all projected workforce needs for all phases of this project by occupation, trade, skill level, and number of positions.

DOOR SYSTEMS, LCC tomatic AMILTOH OONST. ame of 1/17 Amilton Socritaly

Print Name and Title

Page 6 of 7

CERTIFICATION OF PROPOSED SUBCONTRACTOR REGARDING SECTION 3 To be submitted prior to award of contract

All-Pro MANAgement

PII51-00 Project Name and Number

Name of Subcontractor

The undersigned hereby certifies that:

- 1. Section 3 provisions are included in the Contract.
- 2. A written Section 3 plan in compliance with the Section 3 Plan Format and Utilization Plan Template (Attachments B and C hereto) will be prepared and submitted prior to the award of the contract (if the subcontract equals or exceeds \$100,000).

SecReTARY 1/17/17 HAM ITON nseal and Title Signature

Page 7 of 7

ATTACHMENT "C"

Section 3 Utilization Plan Template

SECTION 3 PLAN - TEMPLATE

Page 1 of 9

SECTION 3 PLAN

This document serves as the Section 3 Plan for $\underline{P1151 - 00}$ (insert Project Name) in compliance with the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended.

The purpose of Section 3 of the Housing and Urban Development Act of 1968, as amended (12. U.S.C. 1701u) (Section 3), is to ensure that training, employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing Federal, State and Local laws and regulations, be directed to the greatest extent possible to low- and very low-income persons, particularly those who are recipients of government assistance for housing, and to business concerns, which provide economic opportunities to low- and very low-income persons.

NUMERICAL GOALS FOR TRAINING AND EMPLOYMENT OPPORTUNITIES

TA Soseth Hamiton could (insert Bidder's name) will, to the greatest extent feasible, when awarding contracts or providing training and/or employment opportunities for activities or projects subject to the requirements of Section 3, strive to comply with the goals established in this section.

The numerical goals established in this section represent minimum numerical targets.

Training and employment opportunities will be made available to Section 3 residents as follows:

(i) 30 percent of the aggregate number of new hires/training opportunities resulting from funds awarded.

PREFERENCE FOR SECTION 3 RESIDENTS IN TRAINING AND EMPLOYMENT OPPORTUNITIES

In providing training and employment opportunities, generated from the expenditure of Section 3 activities to Section 3 residents, the following order of preference will be followed:

Highest Priority: Low- and very-low income residents certified as Section 3 eligible residing in the services area or municipality where the project is located.

Second Priority: Section 3 residents of the County in which the project is taking place.

Third Priority: Participants of public and social service programs.

Third Priority: Other Public Housing residents.

Fourth Priority: Participants in Youth build programs.

SECTION 3 PLAN – TEMPLATE

Page 2 of 9

Fifth Priority: Other Section 3 residents, including residents of the Metropolitan area or non-Metropolitan county.

LIST OF STRATEGIES TO BE ADOPTED FOR COMPLIANCE WITH THE STATED EMPLOYMENT, TRAINING AND CONTRACTING GOALS

In compliance with the Section 3 Plan requirements, the Bidder must submit specific information about their current workforce (payroll reports, etc.) as of the date the Section 3 Plan is submitted for approval along with anticipated new hires. A list of employees can be submitted on the Existing Worker Utilization Report Form or an official company form that includes the same information requested on the Existing Worker Utilization Report Form.

The Bidder must also develop a list of strategies to be adopted for compliance with the stated employment, training and contracting goals.

Contracts in excess of \$100,000 must include the Section 3 Clause.

SECTION 3 PLAN - TEMPLATE

Page 3 of 9

Name of Bidder: 7/4 Joseph Hamilton Straining AND EMPLOYMENT Project Name: 7/4 Joseph Hamilton Oca Strad

| Job Category | Total Estimated Positions Needed for Project | Number of Positions Occupied by Permanent | Number of Positions to be filled with Section 3 |
|--------------|--|---|---|
| OPPratons | d | Employees | Residents |
| LA boren S | d | d | 0 |
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SECTION 3 PLAN – TEMPLATE

Page 4 of 9

Name of Bidder:

Project Name:

EXISTING WORKER UTILIZATION REPORT FORM AUTOMATIC DOOR SYSTEMS LEE MA JEUROPH HAMITUN COHISTAUCTICA P1/51-00

Other Classification ອວຕເຕີບ Apprentice helper แตนมีเอเมทงก X Deno X X DOMU X X X DAG X X DPAO X K Dene X X Dave X X Denu Trade HUD Section Resident 0N ۳٦ sэд WORKFORCE GOALS: SECTION 3 - 30% OF NEW HIRES 2 roin 3/14 220 Oucmout chyry 9/16 ill W. Frans o Stoald 220 OULANOUNT OTALY ON Date of Hire 219 Cluininchil AU JAY SHAMARING KING SUCITIVA AL ONS (street address and zip code) UMAROLON ON02 92020 Address COMPLETE THE INFORMATION FOR ALL EMPLOYEES Employee 1D (If SSN, last four 0120 Digits) 9988 9294 5936 6537 5555 5677 Kay Mohd, ADAMS mituseuíc Name of Employee SUPICA July Chenchic Authur MiNch Salvatoe (ADAM MARKO VOIN

SECTION 3 PLAN – TEMPLATE

Page 5 of 9

NUMERICAL GOALS FOR CONTRACTING ACTIVITIES:

These goals apply to contract awards in excess of \$100,000 in connection with a Section 3 eligible project, and it applies to contractors, subcontractors, developers, and/or sub-recipients. Automotic oco System System System System States and System States and System States and System States and States an

7/4 Juleolo HAM: (to H OIN ST- (insert Bidder's name) commits to award to Section 3 business concerns:

- 1. At least 10 percent of the total dollar amount of all Section 3 covered construction contracts.
- 2. At least 3 percent of the total dollar amount of all other Section 3 covered contracts.

PREFERENCE FOR SECTION 3 BUSINESS CONCERNS

The following order of preference will be followed when providing contracting opportunities to Section 3 businesses:

Highest Priority: Section 3 business concerns that provide economic opportunities for Section 3 residents in the service area or municipality in which the Section 3 covered project is located.

Second Priority: Participants of public and social service programs.

Third Priority: Other Section 3 residents.

SECTION 3 PLAN - TEMPLATE

Page 6 of 9

Automatic PLAN FOR SECTION 3 SUB-CONTRACTING Name of Bidder: 7/4 Jerseph Hau: Hani Cuw Struction

Project Name: Pi/S1 -00

| ntract Bid | Number of | Total Subcontracts | Total Section 3 | Secti | Section 3 |
|---------------|---------------|--------------------|----------------------------------|---------------------|---------------------|
| Value (S) | Sub-Contracts | Dollar Value (S) | Subcontracts Dollar Value (S) | Percent Proposed | Percent Required |
| \$ 407 090 °° | 2 | \$ 199,550 50 | Ø | 21 | 10 |
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SECTION 3 PLAN – TEMPLATE

Page 7 of 9

STATEMENT OF SECTION 3 UTILIZATION COMMITMENT

In compliance with the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, I the undersigned certify that I am fully empowered to enter into this Statement of Section 3 Utilization Commitment on behalf of this company I am certifying that the information contained within this Section 3 Utilization Plan is accurate and correct and that I understand that the NJ DPMC may impose penalties and sanctions for the submission of any false and inaccurate statements within this document.

| Joseph it Amilton | |
|--|-------|
| Bidder Authorized Representative | |
| Signature of Authorized Representative | |
| Standard Of Autorized Representative | |
| Title SCCRETARY | |
| Bidder Section 3 Coordinator (Leave blank if the same as authorized representative) | |
| MACAMS@ JBC NJ. COM | · |
| E-mail Address AutomAt: C DCOR SYSTEMS, LCC The Joseph Hamilton Qont Straction | Phone |
| Bidder Name - | |
| 86 Porete AUE @5031 N.J. | |
| Bidder Complete Address | |

SECTION 3 PLAN – TEMPLATE

Page 8 of 9

SECTION 3 CLAUSE

All contracts subject to the Section 3 requirements will include the following clause:

- A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- C. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- G. With respect to work performed in connection with Section 3 covered Indian housing assistance, Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and Section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7(b).

SECTION 3 PLAN - TEMPLATE

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POST BID REVIEW INTERVIEW & CERTIFICATION

DPMC PROJECT NUMBER: P1151-00

PROJECT NAME: Blue Acres Demo Program City of Rahway

PROJECT ADDRESSES are as per project documents.

MEETING DATE: 1/11/2017

MEETING TIME: 10:00am

MEETING LOCATION: <u>Mott MacDonald, 5th Floor, 111 Wood Avenue South, Iselin, NJ</u> 08830-4112

INVITED: REPRESENTING:

CONTACT:

SEE ATTACHED SIGN IN SHEET

PURPOSE:

 This Post Bid Review Interview with the apparent low bidder (Contractor) for the project is to confirm the Contractor understands the project scope, submitted pricing, qualifications and ability to perform the project. Furthermore, the Contractor certifies by their signature(s) below, they understand their contractual responsibility to comply with the Contract Documents.

INTERVIEW

- 1. Introductions
- 2. Distribution of Handouts
 - a. Bid Tab
 - b. Bulletins
 - c. Sign-in sheet
- 3. <u>Contract Documents:</u> The Contractor confirms that they have received all contract documents issued by the New Jersey Division of Property Management and

P1151-00 01/11/2017 POST-BID INTERVIEW & CERTIFICATION (rev.08-22-16kr) PAGE 1 OF 7 Construction for project number **P1151-00** including all drawings, specifications and Bulletins as listed below and that these documents constitute the Contract Documents.

- a. Bulletin A <u>12-05-16</u>
- b. Bulletin B 12-20-16

Response: <u>Y</u>

4.

<u>Award</u>: The Contractor confirms that the following Base Bid was submitted in their bid submission. The Contractor also, understands that their Awarded Contract will be based upon the Base Bid.

Signed:

- a. Base Bid: The Contractor confirms that the following Lump Sum Base Bid Amount was included in their bid submission.
 - 1. Single Lump Sum Base Bid
 \$407,090.00

 Response:
 YES
 Signed:

b. There are No allowances in this project that are included in the Lump Sum Base Bid.

Response: <u>VIES</u> Signed:

- 5. <u>Trades</u>: The Contractor confirms the following trades will be working on the project:
 - a. <u>Primary / Named Trades</u>: The Contractor confirms that the following DPMC classified Contractors were submitted as "Named Subcontractors" in their bid submission. The Contractor further confirms that there will be no substitutions for the Named Subcontractors for the duration of the Project.

Demolition:

Automatic Doors T/A Joseph Hamilton Construction

hund

Asbestos Removal /Treatment:

All-Pro Management

Plumber:

Response: <u>Yes</u>

William J Guarini, Inc Annu Signed:

P1151-00 01/11/2017 POST-BID INTERVIEW & CERTIFICATION (rev.08-22-16kr) PAGE 2 OF 7

- 6. Scope of Work and General Conditions Requirements:
 - a. Intent of the Project: Contractor has reviewed the Contract Documents, and incorporated their contents / information into their bid submission package and is familiar with the intent of the project?

Response: <u>Yes</u>

Signed:

b. <u>Existing Conditions</u>: Contractor has visited the project site and familiarized themselves with the existing conditions of the site, including buildings, paving and roadways, utilities and other features, in order to plan and coordinate the proposed work accordingly and that the conditions of the existing site are incorporated into their bid submission?

Response: <u>Ves</u>

Signed:

c. <u>Mobilization</u>: Upon execution of the contract and issuance of a Notice to Proceed (Usually on the same day), the Contractor is prepared to mobilize to the site and immediately begin work?

Response: YES

Signed:

d. <u>Contract Duration</u>: The Contractor fully understands that the contractual calendar days, **63**, start with issuance of NTP which is typically dated for the same day as the Award Meeting.

Signed:

Response: VES

e. <u>Supervision</u>: The contractor will provide one Full Time Competent Superintendent for the Duration of the Entire Project. This superintendent shall be responsible to coordinate all project activities, serve as the official on-site contact person for the Contractor and attend all, if needed project meetings including the pre-construction meeting. This superintendent may be a "working" superintendent.

Response: VET

Signed:

UNE

f. <u>Substitutions</u>: Contractor affirms that this bid is not based upon substitutions of anything specified in the Contract Documents.

Response: <u>VES</u>

The Contractor is aware of the following DPMC requirements:

a. <u>Requirement for Payment</u>: Applications will be considered incomplete without the required DPMC documents and will be rejected for payment.

Signed:

b. <u>Contract Time</u>: Contractor confirms that they have reviewed the project schedule and are prepared to execute the work as outlined and within the stipulated contract time of **63 calendar days** from the State's issuance of a Notice to Proceed.

P1151-00 01/11/2017

7.

POST-BID INTERVIEW & CERTIFICATION (rev.08-22-16kr) PAGE 3 OF 7 c. Requirement for Substantial Completion: Contractor understands that Substantial Completion must occur within the Contract Time. The Contractor also confirms that they understand that the project will not be considered substantially complete until all required deliverables have been delivered to and accepted by the A/E and the State. This is also to include all manifests and "C" of "A's".

Signed: Response: <u>VES</u>

- Execution of the Work: The Contractor understands the following concerning the 8. execution of the work listed below but not limited to:
 - a. All temporary fencing to be installed on All properties before any work takes place on any property
 - b. All demo is done while being wet down, including loading of all trucks.
 - c. Some towns do not allow use of hydrants. Contractor responsible for alternate water source for demo process.
 - d. Backfilling and compaction testing requirements
 - e. The asbestos abatement plan, asbestos abatement closeout documents, and demolition and salvage/recycling plan must be submitted and approved prior to beginning the various phases of work.
 - f. Working hours are from 8am to 4:30pm Monday through Friday.
 - g. The streets and adjacent properties will remain fully operational throughout the duration of the project.
 - h. The Contractor shall contact the local utility companies at the outset of the project for coordination of the work, including the water and sewer terminations, and thoroughly locate and mark existing utilities on the property.
 - The Contractor will be required to use all appropriate DPMC forms during the i. construction process. These forms will be furnished by the DPMC Construction Admin to the Contractor.

Response: <u>Yes</u>

Annust

Signed: Project Specific Issues: The Contractor confirms that they are aware of the following project specific issues listed below but not limited to:

a. This contract includes all site specific information per contract documents.

Response: <u>VPS</u>

Signed: _____

Bonds: The Contractor is aware that if they are the successful low bidder, they will be 10. responsible to furnish the following bonds within the timeframe specified in the Intent-to-Award Letter. (Note: this is typically, but not always, 10 days from the date of the Intentto-Award Letter.)

Performance and Payment.

Response: <u>YE5</u>

Signed: POST-BID INTERVIEW & CERTIFICATION (rev.08-22-16kr)

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P1151-00 01/11/2017

9.

- 11. <u>UCC Permit Status:</u> The Contractor understands the following concerning the UCC Building Permit for this project:
 - a. A Plumbing Permit is not required on these DPMC Demo Projects related to the water and sewer cut and caps
 - b. The required UCC Building Permit Tech Sheets have been prepared by the state and will be forwarded by the state to the Contractor.
 - c. The Contractor shall return the UCC Building Permit Tech Sheets to the AE, with the appropriate signatures and attachments per property.
 - d. DPMC will submit the fully executed UCC Demo Permit Package to the DCA for their issuance of a UCC Demo Permit(s).
 - e. The Notice to Proceed will be issued at the Contract Award Meeting. The Contract Time will begin when the Notice to Proceed is issued. Note: the Contractor is <u>not</u> authorized to perform Work governed by the UCC Demo Permit until it is issued by DCA and received by the Contractor.
 - f. Prior to the UCC permit being submitted to the DCA, the asbestos abatement work must be completed and "All Clear Letter" submitted, sewer and water terminations letters must be submitted, and the Certificate of Pesticide Application must be submitted per property.

Response: VES

4 Aprillion Signed:

- 12. <u>The Submittal Process:</u> The Contractor understands the following concerning the Submittal Process:
 - a. Contractor shall furnish submittals, including DPMC 12/13 Form, in accordance with the Submittal Schedule as prepared by the Consultant.
 - b. Submittals up to and including item # 21 are required within 4 days of the Notice to Proceed.
 - c. All products intended to be used on the project must be submitted to the Consultant for review and approval.
 - d. The Contractor is expected to utilize electronic submittals wherever possible. Details of this process will be discussed at the pre-construction meeting.
 - e. A third (3rd) review of submittals will require a back charge in the form of a credit change order by the contractor.
 - f. The Consultant will not review submittals that supersede previously approved submissions unless there is justification.
 - g. All Submittals must be in and approved by the AE/State prior to Substantial Completion.

Response: VET

Anna Signed:

P1151-00 01/11/2017 POST-BID INTERVIEW & CERTIFICATION (rev.08-22-16kr) PAGE 5 OF 7

Review of Drawing Set / Specifications: The Contractor confirms that their bid 13. submission includes all work necessary to deliver a complete project based upon the intent of the Contract Documents

Response: VES

huma Signed:

- 14. Comparison of the Bid Submission with the Consultant's Estimate by CSI Division: The Contractor confirms that their bid submission; accurately reflect the Scope of the Work as defined by the Contract Documents:
 - a. Review of the Base Bid
 - b. Cost Breakdown Review Lot by Lot Breakdown

Response: YES____

J. Annu Signed:

15. HUD CDBG-DR Guidelines: The Contractor confirms that they are familiar with the HUD CDBG-DR Section 3 guidelines, will submit the certifications and Section 3 Utilization Plan (included in Bulletin A) demonstrating their planned conformance with the guidelines, and will submit the forms contained in Appendix F during the course of the work to demonstrate their actual conformance with the guidelines.

Response: 155

Signed:

P1151-00 01/11/2017 POST-BID INTERVIEW & CERTIFICATION (rev.08-22-16kr) PAGE 6 OF 7

POST BID CERTIFICATION **DPMC PROJECT # 1151-00**

as authorized HAMION JOSEPH SECRETARY 1

(Name and Title of Bidder's Representative - print)

signatory for <u>Automatic Doors T/A Joseph Hamilton Construction hereby confirm that the bid</u> (Company Name - print)

submitted by this Company is complete; that said Company is not requesting to modify or withdraw their submitted bid; and that the statements made during this Post Bid Interview are true and accurate.

Furthermore, by my signature below, I attest that said Company is prepared to enter into a contract for this project and execute the Work in conformance with the Contract Documents, administrative contract requirements, governing codes and regulations.

Bidder's Representative)

Date

Witnessed by:

DPMC/Representative Signature

DPMC Representative (print)

Date

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EXHIBIT B

<u>(Revised December 2010)</u> <u>MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE</u> <u>N.J.S.A. 10:5-31 et. seq. (P.L. 1975, C. 127)</u> <u>N.J.A.C. 17:27-7.2</u>

CONSTRUCTION CONTRACTS

During the performance of this contract, the contractor agrees as follows:

- a. The contractor or subcontractor, where applicable will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following; employment, up-grading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.
- b. The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.
- c. The contractor or subcontractor will send to each labor union, with which he has a collective bargaining agreement a notice, to be provided by the agency contracting officer, advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d. The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et. seq., as amended and supplemented from time to time and the Americans with Disabilities Act.
- e. When hiring or scheduling workers in each construction trade, the contractor or subcontractor agrees to make good faith efforts to employ minority and women workers in each construction trade consistent with the targeted employment goal prescribed by N.J.A.C. 17:27-7.2, provided however, that the Dept. of LWD, Construction EEO Monitoring Program may, in its discretion, exempt a contractor or subcontractor from compliance with the good faith procedures prescribed by the following provisions, A, B, and C, as long as the Dept. of LWD, Construction EEO Monitoring Program is satisfied that the contractor or subcontractor is employing workers provided by a union which provides evidence, in accordance with standards prescribed by the Dept. of LWD, Construction EEO Monitoring Program, that its percentage of active "card carrying" members who are minority and women workers is equal to or greater than the applicable employment goal established in accordance with N.J.A.C. 17:27-7.2. The contractor or subcontractor agrees that a good faith effort shall include compliance with the following procedures:
 - (A) If the contractor or subcontractor has a referral agreement or arrangement with a union for a construction trade, the contractor or subcontractor shall, within three business days of the contract award, seek assurances from the union that it will cooperate with the contractor or subcontractor as it fulfills its affirmative action obligations under this contract and in accordance with the rules promulgated by the Treasurer pursuant to N.J.S.A. 10.5-31 et seq., as supplemented and amended from time to time and the Americans with Disabilities Act. If the contractor or subcontractor is unable to obtain said assurances from the construction trade union at least five business days prior to the commencement of construction work, the contractor or subcontractor agrees to afford equal employment opportunities minority and women workers directly, consistent with this chapter. If the union has provided said assurances indicates a significant possibility that the trade union will not refer sufficient minority and women workers consistent with affording equal employment opportunities to minority and women workers directly consistent with this chapter, provide such opportunities to minority and women workers directly consistent with this chapter,

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by complying with the hiring or scheduling procedures prescribed under (B) below; and the contractor or subcontractor further agrees to take said action immediately if it determines that the union is not referring minority and women workers consistent with the equal employment opportunity goals set forth in this chapter.

- (B) If good faith efforts to meet targeted employment goals have not or cannot be met for each construction trade by adhering to the procedures of (A) above, or if the contractor does not have a referral agreement or arrangement with a union for construction trade, the contractor or subcontractor agrees to take the following actions:
 - (1) To notify the public agency compliance officer, the Dept. of LWD, Construction EEO Monitoring Program, and minority and women referral organizations listed by the Division pursuant to N.J.A.C. 17:27-5.3, of its workforce needs, and request referral of minority and women workers;
 - (2) To notify any minority and women workers who have been listed with it as awaiting available vacancies;
 - (3) Prior to commencement of work, to request the local construction trade union refer minority and women workers to fill job openings, provided the contractor or subcontractor has a referral agreement, or arrangement with a union for the construction trade;
 - (4) To leave standing requests for additional referral of minority and women workers with the local construction trade union, provided the contractor or subcontractor has a referral agreement or arrangement with a union for the construction trade. The State Training and Employment Service and other approved referral sources in the area;
 - (5) If it is necessary to layoff some of the workers in a given trade on the construction site, layoffs shall be conducted in compliance with the equal employment opportunity and non-discrimination standards set forth in this regulation, as well as with applicable Federal and State court decisions;
 - (6) To adhere to the following procedure when minority and women workers apply or are referred to the contractor or subcontractor:
 - (i) The contractor or subcontractor shall interview the referred minority or women worker.
 - (ii) If said individuals have never previously received any document or certification signifying a level of qualification lower than that required in order to perform the work of the construction trade, the contractor or subcontractor shall in good faith determine the qualifications of such individuals. The contractor or subcontractor shall hire or schedule those individuals who satisfy appropriate qualification standards, in conformity with the equal employment opportunity and non-discrimination principles set forth in this chapter. However, a contractor or subcontractor shall determine that the individual at least possesses the requisite skills, and experience recognized by a union, apprentice program or a referral agency, provided the referral agency is acceptable to the Dept. of LWD, Construction EEO Monitoring Program. If necessary, the contractor or subcontractor shall hire minority and women workers who qualify as trainees pursuant to these rules. All of the requirements, however, are limited by the provisions of (C) below.
 - (iii) The name of any interested women or minority individual shall be maintained on a waiting list, and shall be considered for employment as described in (i) above, whenever vacancies occur. At the request of the Dept. of LWD, Construction EEO Monitoring Program, the contractor or subcontractors shall provide evidence of its good faith efforts to employ women and minorities from the list to fill vacancies.
 - (iv) If, for any reason, said contractor or subcontractor determines that a minority individual or a women is not qualified or if the individual qualifies as anadvanced trainee or apprentice, the contractor or subcontractor shall inform the individual in writing with the reasons for the determination and maintain a copy of the determination in its files, and send a copy to the public agency compliance officer and to the Dept. of LWD, Construction EEO Monitoring Program.
 - (7) To keep a complete and accurate record of all requests made for the referral of workers in any trade covered by the contract, and on forms made available by the Dept. of LWD, Construction EEO Monitoring Program upon request.

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The contractor or subcontractor agrees that nothing contained in (B) above shall preclude the contractor or subcontractor from complying with the union hiring hall or apprenticeship policies in any applicable collective bargaining agreement or union hiring hall arrangement, and, where required by custom or agreement, it shall send journeymen and trainees to the union for referral, or to the apprenticeship program for admission, pursuant to such agreement or arrangement. However, where the practices of a union or apprenticeship program will result in the exclusion of minorities and women or the failure to refer minorities and women consistent with the targeted county employment goal, the contractor or subcontractor shall consider for employment persons referred pursuant to (B) above without regard to such agreement or arrangement; provided further, however, that the contractor or subcontractor shall not be required to employ minority and women advanced trainees and trainees in numbers which result in the employment of advanced trainees as a percentage of the total workforce for the construction trade, which percentage significantly exceeds the apprentice to journey worker ratio specified in the applicable collective bargaining agreement, or in the absence of a collective bargaining agreement, exceeds the ratio established by practice in the area for said construction trade. Also the contractor or subcontractor agrees that, in implementing the procedures of (B) above, it shall, where applicable, employ minority and women workers residing within the geographical jurisdiction of the union.

After notification of award, but prior to signing a construction contract, the contractor shall submit to the public agency compliance officer and the Dept. of LWD, Construction EEO Monitoring Program an initial project workforce report (Form AA-201) electronically provided to the public agency by the Dept. of LWD, Construction EEO Monitoring Program, through its website, for distribution to and completion by the contractor, in accordance with N.J.A.C. 17:27-7. The contractor also agrees to submit a copy of the Monthly Project Workforce Report once a month thereafter for the duration of this contract to the Division and to the public agency compliance officer.

The contractor agrees to cooperate with the public agency in the payment of budgeted funds, as is necessary, for on-the-job and/or off-the-job programs for outreach and training of minorities and women.

(D) The contractor and its subcontractors shall furnish such reports or other documents to the Dept. of LWD, Construction EEO Monitoring Program as may be requested by the Dept. of LWD, Construction EEO Monitoring Program from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Dept. of LWD, Construction EEO Monitoring Program for conducting a compliance investigation pursuant to <u>Subchapter 10 of the Administrative Code (NJAC 17:27)</u>

(C)

ANTIDISCRIMATION PROVISIONS

Mandatory Language

N.J.S.A. 10:2-1 (2014)

The contractor agrees that:

Antidiscrimination provisions. <u>Every contract</u> for or on behalf of the State or any country or municipality or other political subdivision of the State, or any agency of or authority created by any of the foregoing, for the construction, alteration or repair of any public building or public work or for the acquisition of materials, equipment, supplies or services shall contain provisions by which the contractor agrees that:

- a. In the hiring of persons for the performance of work under this contract or any subcontract hereunder, or for the procurement, manufacture, assembling or furnishing of any such materials, equipment, supplies, or services to be acquired under this contract, no contractor, nor any person acting on behalf of such contractor or subcontractor, shall, by reason of race, creed, color, national origin, ancestry, marital status, gender identity or expression, affectional or sexual orientation or sex, discriminate against any person who is qualified and available to perform the work to which the employment relates;
- b. No contractor, subcontractor, nor any person on his behalf shall, in any manner, discriminate against or intimidate any employee engaged in the performance of work under this contract or any subcontract hereunder, or engaged in the procurement, manufacture, assembling or furnishing of any such materials, equipment, supplies or services to be acquired under such contract, on account of race, creed, color, national origin, ancestry, marital status, gender identity or expression, affectional or sexual orientation or sex;
- c. There may be deducted from the amount payable to the contractor by the contracting public agency, under this contract, a penalty of \$50.00 for each person for each calendar day during which such person is discriminated against or intimidated in violation of the provisions of the contract; and
- d. This contract may be canceled or terminated by the contracting public agency, and all money due or to become due hereunder may be forfeited, for any violation of this section of the contract occurring after notice to the contractor from the contracting public agency of any prior violation of this section of the contract.

No provision in this section shall be construed to prevent a board of education from designating that a contract, subcontract or other means of procurement of goods, services, equipment or construction shall be awarded to a small business enterprise, minority business enterprise or a women's business enterprise pursuant to P.L. 1985, c.490 (C.18A:18A-51 et seq.).

ADDITIONAL MANDATORY CONSTRUCTION CONTRACT LANGUAGE

It is the policy of the State of NJ Department of the Treasury, Division of Property Management & Construction (DPMC), that its contracts should create a workforce that reflects the diversity of the State of New Jersey. Therefore, contractors engaged by the DPMC, to perform under a construction contract shall put forth a good faith effort to engage in recruitment and employment practices that further the goal of fostering equal opportunities to minorities and women.

The contractor must demonstrate to the DPMC's satisfaction that a good faith effort was made to ensure that minorities and women have been afforded equal opportunity to gain employment under the DPMC's contract with the contractor. Payment may be withheld from a contractor's contract for failure to comply with these provisions.

Evidence of a "good faith effort" includes, but is not limited to:

- 1. The Contractor shall recruit prospective employees through the State Job bank website, managed by the Department of Labor and Workforce Development, available online at http://NJ.gov/JobCentralNJ;
- 2. The Contractor shall keep specific records of its efforts, including records of all individuals interviewed and hired, including the specific numbers of minorities and women;
- 3. The Contractor shall actively solicit and shall provide the DPMC with proof of solicitations for employment, including but not limited to advertisements in general circulation media, professional service publications and electronic media; and
- 4. The Contractor shall provide evidence of efforts described at 2 above to the DPMC no less frequently than once every 12 months.
- 5. The Contractor shall comply with the requirements set forth at N.J.A.C. 17:27.

AMERICANS WITH DISABILITIES ACTS State Contract Language

Equal Opportunity for Individuals with Disabilities

The CONTRACTORS and the STATE do hereby agree that the provision of Title II of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S12101 et. seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs and activities provided or made available by public entities. and the rules and regulations promulgated pursuant thereunto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the STATE pursuant to this contract, the CONTRACTOR agrees that the performance shall be in strict compliance with the Act. In the event that the CONTRACTOR, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the CONTRACTOR shall defend the STATE in any action or administrative proceeding commenced pursuant to this Act. The CONTRACTOR shall indemnify, protect, and save harmless the STATE, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The CONTRACTOR shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the STATE's grievance procedure, the CONTRACTOR agrees to abide by any decision of the STATE which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the STATE or if the STATE incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the CONTRACTOR shall satisfy and discharge the same at its own expense.

The STATE shall, as soon as practicable after a claim has been made against it, give written notice thereof to the **CONTRACTOR** along with full and complete particulars of the claim. If any action or administrative proceeding is brought against the STATE or any of its agents, servants, and employees, the STATE shall expeditiously forward or have forwarded to the **CONTRACTOR** every demand, complaint, notice, summons, pleading, or other process received by the STATE of its representatives.

It is expressly agreed and understood that any approval by the STATE of the services provided by the CONTRACTOR pursuant to this contract will not relieve the CONTRACTOR of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the STATE pursuant to this paragraph.

It is further agreed and understood that the STATE assumes no obligation to indemnify or save harmless the CONTRACTOR, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the CONTRACTOR expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the CONTRACTOR's obligations assumed in this Agreement, nor shall they be construed to relieve the CONTRACTOR from any liability, nor preclude the STATE from taking any other actions available to it under any other provisions of the Agreement or otherwise at law.

(Revised 4/10)

EXHIBIT A

MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE N.J.S.A. 10:5-31 et seq. (P.L. 1975, C. 127)