

BEST AND FINAL OFFER (BAFO) - PRICE SCHEDULE

RFP 14-X-23110

AUDITING AND OTHER RELATED SERVICES FOR DISASTER RECOVERY (HURRICANE SANDY)

Refer to RFP [Section 3.0](#) (Scope of Work) for task requirements and deliverables, [Section 4.4](#) (Organizational Support and Experience), and [Section 6.7.2](#) (Bidder's Price Schedule) for additional information regarding this Price Schedule. Failure to submit all information required will result in the proposal being considered non-responsive.

Bidder's Name: GUIDEPOST SOLUTIONS LLC

Date: June 6, 2013

POOL 1: PROGRAM AND PROCESS MANAGEMENT AUDITING

LINE #	STAFF CLASSIFICATIONS	YEAR 1 HOURLY RATE	YEAR 2 HOURLY RATE	YEAR 3 HOURLY RATE
1	Partner/Principal/Director	\$	\$	\$
2	Program Manager	\$	\$	\$
3	Project Manager	\$	\$	\$
4	Subject Matter Expert	\$	\$	\$
5	Supervisory/Senior Consultant	\$	\$	\$
6	Consultant	\$	\$	\$
7	Associate/Staff	\$	\$	\$
8	Administrative Support Staff	\$	\$	\$

Line #	Pass Through Price Lines *	Year 1	Year 2	Year 3
9	Other Direct Costs	N/A	N/A	N/A
10	Travel Expenses and Reimbursements	N/A	N/A	N/A

- The State makes no guarantee of volume of work effort.
- * The Pass Through Price Lines shall be used to reimburse for Travel and Other Direct Costs only. No mark-up will be provided for Price Lines 9 and 10.

Bidder's Name: GUIDEPOST SOLUTIONS LLC

Date: June 6, 2013

POOL 2: FINANCIAL AUDITING AND GRANT MANAGEMENT

LINE #	STAFF CLASSIFICATIONS	YEAR 1 HOURLY RATE	YEAR 2 HOURLY RATE	YEAR 3 HOURLY RATE
11	Partner/Principal/Director	\$	\$	\$
12	Program Manager	\$	\$	\$
13	Project Manager	\$	\$	\$
14	Subject Matter Expert	\$	\$	\$
15	Supervisory/Senior Consultant	\$	\$	\$
16	Consultant	\$	\$	\$
19	Associate/Staff	\$	\$	\$
18	Administrative Support Staff	\$	\$	\$

Line #	Pass Through Price Lines *	Year 1	Year 2	Year 3
19	Other Direct Costs	N/A	N/A	N/A
20	Travel Expenses and Reimbursements	N/A	N/A	N/A

- The State makes no guarantee of volume of work effort.
- * The Pass Through Price Lines shall be used to reimburse for Travel and Other Direct Costs only. No mark-up will be provided for Price Lines 9 and 10.

Bidder's Name: GUIDEPOST SOLUTIONS LLC

Date: June 6, 2013

POOL 3: INTEGRITY MONITORING/ANTI-FRAUD

LINE #	STAFF CLASSIFICATIONS	YEAR 1 HOURLY RATE	YEAR 2 HOURLY RATE	YEAR 3 HOURLY RATE
21	Partner/Principal/Director	\$325.00	\$335.00	\$345.00
22	Program Manager	\$195.00	\$200.00	\$205.00
23	Project Manager	\$250.00	\$260.00	\$270.00
24	Subject Matter Expert	\$285.00	\$295.00	\$305.00
25	Supervisory/Senior Consultant	\$165.00	\$170.00	\$175.00
26	Consultant	\$165.00	\$170.00	\$175.00
27	Associate/Staff	\$75.00	\$80.00	\$85.00
28	Administrative Support Staff			

Line #	Pass Through Price Lines *	Year 1	Year 2	Year 3
29	Other Direct Costs	N/A	N/A	N/A
30	Travel Expenses and Reimbursements	N/A	N/A	N/A

- The State makes no guarantee of volume of work effort.
- * The Pass Through Price Lines shall be used to reimburse for Travel and Other Direct Costs only. No mark-up will be provided for Price Lines 9 and 10.



GUIDEPOST SOLUTIONS LLC

May 30, 2013

State of New Jersey
Department of the Treasury
Division of Purchase and Procurement
Procurement Bureau
Proposal Receiving Room – 9th floor
33 West State Street
Trenton, NJ 08625-0230

Att: RFP Custodian

RE: Prequalification Pool: Auditing and Other Related Services in Support of Disaster Recovery (Hurricane Sandy) (RFP # 2014-X-23110)

Dear Sirs/Madams:

Enclosed is the submission of Guidepost Solutions LLC to perform Integrity Monitoring/Anti-Fraud (Pool 3) Services in response to the Request for Proposal numbered 2014-X-23110 in the following formats:

- One (1) complete original proposal (bound)
- Seven (7) complete and exact copies (six bound and one unbound)

In addition, we have enclosed in a separate sealed package our Pricing Schedule for the three (3) year contract period.

Please do not hesitate to contact me should you have any questions about the enclosed material.

Very truly yours,

Thomas A. McShane
President
Investigations and Monitoring



GUIDEPOST SOLUTIONS LLC

COPY

Submitted to:

State of New Jersey
Department of the Treasury
33 West State Street
P.O. Box 230
Trenton, NJ 08625-0230

Prequalification Pools: Auditing and Other Related Services
in Support of Disaster Recovery (Hurricane Sandy)
Request for Proposal 14-X-23110



Submitted by:

Thomas A. McShane

Guidepost Solutions LLC
415 Madison Avenue, 11th Floor
New York, NY 10017
(T) 212-817-6700
(F) 212-817-6728
(E) tmcshane@guidepostsolutions.com

May 30, 2013



GUIDEPOST SOLUTIONS LLC

New Jersey Department of Treasury
Division of Purchase and Property
RFP Number 14-X-23110

TABLE OF CONTENTS

	<u>Tab</u>
Volume One – Required Forms, Registrations and Certifications	
• RFP Signatory Page	A
• Ownership Disclosure Form	B
• Disclosure of Investment Activities in Iran	C
• Disclosure of Investigations and Other Actions	D
• Subcontractor Utilization Plan	E
• Source Disclosure Certification	F
• Cooperative Purchasing Form	G
• New Jersey Business Registration Certificates	H
• New Jersey WBE and SBE Certificates	I
Volume Two - Integrity Monitoring / Anti-Fraud Services (Pool Three)	
• Technical Proposal	J
• Management Overview	K
• Organizational Support and Experience	L
○ Background	
○ Location	
○ Organizational Charts	
○ List of References	
○ Resumes	
○ Experience on Similar Contracts	
○ Additional Experience	
○ Disclosure	
○ Ownership of Material	
• Backup Staff Listing	M
• Financial Statements and Certification	N
• Detailed Resumes	O
Volume Three – Price Schedule / Sheet	Sealed Envelope



Technical Proposal

Approach and Plans for Accomplishing the Scope of Services

Guidepost Solutions LLC (“Guidepost”) is well positioned to provide the integrity monitoring/anti-fraud services called for in the tasks outlined in Pool 3. Guidepost is a full service investigations and monitoring firm comprised of attorneys with extensive investigative, prosecutorial and law enforcement experience; investigators with both public and private sector experience; forensic accountants, researchers and other fraud-fighting experts with decades of experience in forensic accounting, construction monitoring and fraud prevention and detection.

Guidepost’s team has been engaged to provide integrity monitoring, compliance services and disaster recovery integrity oversight services on behalf of numerous governmental, quasi-governmental and private entities, including on behalf of the Port Authority of New York and New Jersey (“PANYNJ”), the Department of Investigation of the City of New York, the New York State Attorney General, the New York City Business Integrity Commission, the Dormitory Authority of the State of New York, the New York City Department of Housing, the State of Louisiana and Tulane University.

Relevantly, in the aftermath of several recent disasters, including Hurricanes Sandy and Katrina, Guidepost and its team members have been called upon to help develop and implement procedures designed to address and audit the particular and heightened risks of fraud, waste and abuse that typically plague recovery efforts following such events.

Consistent with the Scope of Services outlined in Section 3.0 of the RFP, Guidepost and its team will undertake the tasks called for by the State of New Jersey as follows:

a) Forensic Accounting and Specialty Accounting Services

We will design and implement audit procedures to examine for project compliance with state and federal guidelines, regulations and laws, all documents related to the dispersal and tracking of project funds, including bid documents, correspondence, and payments to contractors, subcontractors, suppliers and consultants. Where applicable, we will review certified payroll reports and trace entries to employee time sheets and other available time records to confirm prevailing wage and Davis Bacon Act compliance.

A primary focus of Guidepost’s forensic audits and related services in the integrity monitoring/anti-fraud context is the money trail. Most relevant information flows through the procurement and accounts payable processes. Activity for which billings are submitted will be scanned for reasonableness and for indications of potential impropriety. In addition,



GUIDEPOST SOLUTIONS LLC

supporting documentation will be examined in detail to identify inaccuracies and other potential issues. Items deemed to be questionable will be investigated through additional document reviews and interviews.

In matters involving construction, our engineers and other technical specialists will support the work of the forensic auditors with technical guidance in the monitoring of the design process (where design specifications and potential conflicts of interest will be a focus), the procurement process (where scope of work and engineer estimates will be a focus), the payment application process (where project scheduling and material specifications and costs will be a focus), and the change order process (where the accuracy of supporting documentation and consistency with engineering estimates will be a focus).

Based upon the Scope of Work outlined in Section 3.0, Guidepost anticipates that there will be a large volume of documents and other related data generated from internal and external sources which we will need to review and analyze. In order to facilitate an efficient and targeted analysis of this data, it is proposed to use Guidepost Insight to support and enhance Guidepost's monitorship / anti-fraud program. Guidepost Insight is further described below.

b) Risk Assessment and Loss Prevention Strategies

We will review the Using Agency's existing procedures and processes to assess the adequacy of those procedures and processes to protect against the risks associated with the type of disaster recovery projects outlined in the Community Development Block Grant Disaster Recovery Action Plan (the "Action Plan").

We will devote particular attention to the procurement and/or award process (selecting bidders/fund recipients, prequalification/selection procedures and bid/proposal analysis). A focus will be on the policies and procedures implemented and the records maintained with respect to the disbursement of public monies to private entities to undertake the recovery work outlined in the Action Plan. Historically, programs such as these are plagued by the circumvention of controls by corrupt participants and the diversion of public funds. Schemes designed to direct funds, services or contracts to favored but unworthy individuals or entities or to facilitate duplicate payments to single entities are commonplace. Our focus will be on the effectiveness of the controls put in place to address these risks.

Detailed recommendations will be provided to the Using Agency and/or the State Contract Manager for strengthening existing procedures and introducing new business controls as needed.



c) Performance and Program Monitoring and Promotion of Best Practices

Based on our experience with similar programs, we believe it is vital to develop aggressive oversight procedures if corruption is to be prevented. Active and contemporaneous oversight of the work undertaken and the funds being disbursed is essential to ensuring the integrity of the program. Verifying the accuracy and reliability of records created and kept with respect to program activity will be a primary focus of the monitorship. Guidepost's experience in these matters suggests that the following are among the important monitoring/anti-fraud activities to be undertaken to ensure the promotion of best practices:

- Review existing fraud detection and prevention controls, and design and facilitate upgrades as needed, including procedures to guard against conflicts of interest, collusion, kickback schemes, purchasing and/or disbursement corruption, vendor overcharging, fraudulent claim applications, material theft and violation of prevailing wage and other laws.
- Continually assess areas of vulnerability and the effectiveness of the systems in place to prevent a corruption of the programs.
- Maintain an ongoing monitoring presence to promote integrity in several key ways: detect corrupt practices, deter corrupt practices and facilitate the ongoing enhancement of fraud prevention controls by identifying weaknesses.

d) Fraud and Misconduct Investigation, Prevention, Detection and Remediation

We will develop, in consultation with the State Treasurer or Using Entity, a comprehensive corruption prevention and detection program specifically designed for each assignment. The program will address integrity concerns and will seek to promote a program-wide culture of honesty and high ethical standards.

The program may include, where applicable:

- an assessment of the general and program-specific vulnerabilities to fraud and corruption;
- reasonable modifications to be made to oversight practices, accounting methods and operational procedures to reduce existing integrity hazards and to enhance financial accountability;
- regular auditing of payment processes;
- compliance audits focused on State and Federal guidelines, regulations and laws (including prevailing wage and Davis Bacon Act), environmental protocols and SBE requirements; and
- real-time analysis of project related data from internal and external sources using the Guidepost Insight program, more fully described in Section *i*, below.



This real-time analysis will be performed on a continuous basis using search criteria developed specifically for each disaster recovery assignment. We will then perform targeted audits and investigations based on red-flags identified via Guidepost Insight. Inquiries and analyses will be designed to confirm the integrity of the disbursement of funds for services, materials and equipment invoiced by vendors. These activities, which are typically undertaken in an overt fashion, are an integral part of the corruption prevention program and are designed to deter as well as detect improper conduct. Additionally, we will use field investigations to address issues surfaced by the forensic accounting team or identified by our data analytics software.

There will be occasions when suspicious or questionable activity surfaces requiring further investigation. When this occurs, we will meet with the Using Agency or the Treasurer's Office to develop a targeted investigative strategy. As the investigation evolves, we will keep the Using Agency or the Treasurer's Office apprised of our results and modify the investigative plan in consultation with agency leadership.

e) Implementation and Management of Appropriate Compliance Systems and Controls Required by State and Federal Governing Guidelines, Regulations and Law

We will conduct an analysis to identify the laws, rules and regulations that apply, or are likely to apply, to each assignment. We will review existing procedures and processes designed to ensure compliance with those laws, regulations and rules, as mandated in Part 85, including disaster threshold eligibility, fair housing, labor standards, environmental regulations and procurement regulations. We will assess the adequacy of those procedures and processes, make recommendations for enhancements, and oversee implementation of those recommendations.

f) Development and Implementation of Policies and Procedures to Assist in Ensuring that Program Requirements are met, including preventing a duplication of benefits, and measures to prevent and detect fraud, waste, abuse and mismanagement of funds, Compliance with Federal and State laws and DRGR regulations

As noted in §4.1(d) above, we will develop, in consultation with the Using Agency and State Contract Manager, a comprehensive corruption prevention program specifically designed for each project or program. The program will address integrity concerns such as fraud, waste, abuse and mismanagement of funds and compliance with federal and state laws and DRGR regulations as applicable. We will seek through the program to promote a project-wide culture of honesty and high ethical standards. A primary focus will be to prevent individuals and entities from receiving duplicate benefits. Where applicable, we will conduct compliance audits focused on environmental protocols and SBE or M/WBE requirements.



GUIDEPOST SOLUTIONS LLC

If acceptable to the Using Agency or the Treasurer's Office, we will conduct real-time queries of project related data from internal and external sources using the Guidepost Insight program. These queries would be performed on a continuous basis using search criteria developed specifically for each project. The search criteria would be designed to identify inconsistencies and/or connections that may be indicia of such abuses as duplicate payments, directed contracts or overbillings. We would then perform targeted audits and investigations based on red-flags identified via Guidepost Insight.

g) Compliance with Local Regulations and Ordinances

We will conduct an analysis of local regulations and ordinances likely to apply to each project. That will require a detailed understanding of the specific tasks required for each assignment. We will then review existing procedures and processes designed to ensure compliance with those regulations and ordinances, assess the adequacy of those procedures, make recommendations for enhancements, and oversee implementation of those recommendations.

As on-going audits are conducted, there will be an added emphasis on verifying the adequacy of implemented procedures to ensure compliance with applicable local regulations and ordinances.

h) Disseminate information regarding the Anti-Fraud Hotline maintained by the Office of the State Comptroller

In the course of our integrity monitoring assignments, we routinely publicize integrity hotlines maintained by contracting and investigative agencies. Awareness of the hotline is typically achieved through the use of posters at project work sites and the distribution of flyers and/or pocket cards to workers and the general public. In the case of this assignment, in light of the amount of public money that will be involved and the public scrutiny that will attend the disbursement of that public money, we recommend the use of public service radio announcements to increase awareness of the integrity hotline.

i) Develop data management systems/programs for the purpose of collecting, conducting and reporting required compliance and anti-fraud analytics

We will create procedures and design a data management program for the collection and tracking of information and documents relating to compliance and fraud prevention programs. Guidepost successfully developed such a data management system during its work as the fraud investigation agency for the Gulf Coast Claims Facility, for which Guidepost directed the investigation of nearly 18,000 compensation claims alleging losses due to the BP oil spill of April 20, 2010. The data management program tracked the intake and analysis of each claim, the collection of all claim-related documents, the preparation and implementation of investigation plans and the issuance of a concluding report.



Notably, and as touched upon above, Guidepost has also identified a sophisticated data mining software, Guidepost Insight, which is capable of collecting, analyzing and linking vast quantities of data and identifying anomalies. As programmed by the monitoring team, Guidepost Insight would mine project or program data and documents to search for duplicate billings and other inconsistencies that suggest a corruption of the program, including for example inconsistencies between time sheets and payroll records submitted on extra work tickets, or common business addresses that suggest a relationship between fund/project management entities and fund/project management recipients. The results will direct our forensic accountants to “red flags” for further inquiry and analysis; this will give rise to targeted rather than sample reviews of project documents and a more broad-reaching and efficient monitoring effort.

Plan for Responding to Request for Engagement

Upon receipt of a request for engagement, Guidepost will immediately undertake a conflict of interest check. If no conflicts are identified, we will closely review the scope of work to determine the most cost-effective and efficient approach to the work required. We will then prepare and submit a detailed proposal, schedule and budget to the Using Agency or the Treasurer’s Office.

Current and Previous Contracts for Similar Services

A detailed listing of previously held, or currently held, contracts in which similar services were provided by the project team, can be found in the section entitled “Experience of Bidder on Similar Contracts” (Tab L).



MANAGEMENT OVERVIEW

Overview of Approach and Plans to Meet the Requirements of the RFP

Based on the information provided in the RFP, it is clear that the State of New Jersey seeks to establish an effective integrity monitoring/anti-fraud program with respect to the disbursement and use of federal funds in relation to disaster relief, long term recovery, restoration of infrastructure and housing and economic revitalization in the aftermath of Hurricane Sandy. It has been our experience that the most effective way to prevent corruption, fraud, abuse and the mismanagement and/or diversion of funds is to design and implement a multi-disciplined program built on a platform of deterrence and detection. Our monitoring/anti-fraud team, therefore, includes lawyers, forensic accountants, field investigators, research analysts, environmental specialists and engineers.

First, an important aspect of our integrity monitoring program is the vetting of individuals and entities who will be involved in or benefit from the program. We typically implement a customized screening program to review the backgrounds of those seeking involvement in a project or program to identify any past behavior suggestive of a current risk of impropriety or a risk to the reputation of the contracting entity. Once identified, such risks may then be mitigated with appropriate strategies which we will recommend.

Second, integral to our integrity monitoring/anti-fraud program is the on-going review and oversight of project or program records by our team of forensic accountants. For example, they will review all aspects of the fund disbursement and payment processes to ensure that the processes are free of traditional abuses such as kickbacks, collusion, diversion of funds, mismanagement, duplicative payments, accommodating bids, etc. For another example, they will review project records relating to labor billing to ensure that, where applicable, prevailing wage laws are followed and that the project is not beset with the kinds of workforce abuses that typically plague major publicly funded programs, i.e., no-show jobs, bonus hours, etc.

Third, our construction integrity monitoring/anti-fraud program, in particular, typically includes the on-going presence of field agents to gather field intelligence and to track program activities. Our field agents perform such activities as verifying the credentials of workers on site and confirming that only authorized and appropriately disclosed and vetted subcontractors are present. They may also perform routine headcounts of workers on site and provide the results of those headcounts to our forensic accountants to permit reconciliation with certified payrolls and confirmation of compliance with prevailing wage requirements. Our program has been proven effective. In construction projects, change order claims are a common area for abuse. Through these efforts, we have uncovered systematic fraud in the change order process, inflation of worker hours, billing for non-existent equipment and materials and kickbacks to approve unperformed work.



Fourth, in the construction setting, our engineers will support the work of our forensic auditors and investigators with technical guidance regarding engineering and structural integrity issues, the procurement process, the payment process, and scope reviews. They will review project schedules, budgets and construction processes to identify potential integrity issues.

Finally, also in the construction setting, our team of environmental engineers will review the environmental compliance process for indications of potential wrongdoing. This includes on-site and off-site reviews of project activity, inspections, records of inspections and filings made in purported compliance with environmental regulations.

CONTRACT MANAGEMENT

Responsiveness

Ultimate responsibility for responsiveness to the client on program or project integrity monitoring projects resides with our Project Executive and Project Managers. Therefore, it will be the responsibility of the executive and the managers to ensure that project goals, timetables and reporting obligations are met. However, our team also relies upon dedicated and experienced discipline leaders to ensure that client expectations are recognized and acted upon on a daily basis. To that end, we promote the regular exchange of information between and among project leaders and client representatives to ensure that the project team continues, on an ongoing basis, to be made aware of the dynamic expectations of the client.

We are cognizant of the fact that “being responsive” not only means responding in a timely fashion, it also means ensuring that you understand what needs to be done and how to accomplish the client’s objectives. Therefore, in all of our integrity monitoring assignments, we commit to having skilled resources available at every level to facilitate swift and appropriate responses that meet the requirements of the monitorship and the expectations of the client. The composition of our organizational chart below underscores that commitment.

Quality Control

As noted, we utilize a team approach to ensure that there is a constant exchange of project information across the investigative, research, auditing and engineering disciplines. This approach is also intended to ensure that the activities of the respective component disciplines benefit from the collective review and guidance not only of the Project Executive and Project Manager, but also of other discipline leaders. In our frequent internal meetings we discuss monitorship activities and progress, and we revisit and reinforce with discipline leaders the overall monitorship goals. Through these meetings and by this exchange and review of information, we seek to ensure the overall quality of the work of the monitorship. And finally,



our monitorship protocols require that all written memoranda and formal reports be reviewed by senior members of the team before delivery to the oversight agency/entity.

Working with the Monitored Entity

We will develop a working and interactive relationship with the monitored entity's personnel on the program or project. We will endeavor to fulfill our monitorship duties in a manner that has minimal impact on the monitored entity's assigned work and the schedule for completion of work. It has been our experience that meeting with the monitored entity at the preliminary stages of the monitorship program to discuss the goals of the program and to work through potential administrative and logistical issues helps to alleviate any misconceptions and fosters a cooperative and effective work environment.

Reporting

We seek to minimize surprises by supplementing periodic reporting regimens with a procedure that calls for on-going and frequent communications (both verbal and written) with the oversight agency/entity. We typically maintain regular telephone and email contact with the agency/entity to provide contemporaneous updates regarding notable events, including identified corruption risks, integrity hotline allegations, possible compliance violations and investigative initiatives. We also regularly seek guidance from the agency/entity to ensure that our investigative strategies comport with agency/entity goals.

Consistent with the specific reporting requirements outlined in the RFP, we will adopt a protocol of i) monthly reports on activities conducted on or for each task to include the type of activity, results, recommendations and analysis; ii) monthly reports on analysis of data as to fraud detection, outlier trends and progress by agencies and contractors to correct anomalies and system processes to provide verification of resolution and prevention of reoccurrence; iii) monthly reports on the resolution and closure of issues identified as result of any audit or monitoring from agencies providing oversight; and iv) as required by N.J.S.A. Section 52:15D-2E, reports every two months to the State Treasurer for transmission to the Legislature and the Governor. Those reports will detail Guidepost's provision of services. Since Guidepost's reports cannot include any information that may compromise a criminal investigation, the reports will include a privilege log that details the denial of any sensitive information to the Legislature and the Governor.



POTENTIAL PROBLEMS

It has been our experience that integrity monitorships of this type are commonly challenged by the following issues that we propose be addressed in the manner indicated:

- a) Obtaining the cooperation of the monitored entity, and its employees, is often a challenge. A lack of cooperation may adversely impact the efficiency and effectiveness of an integrity monitorship.

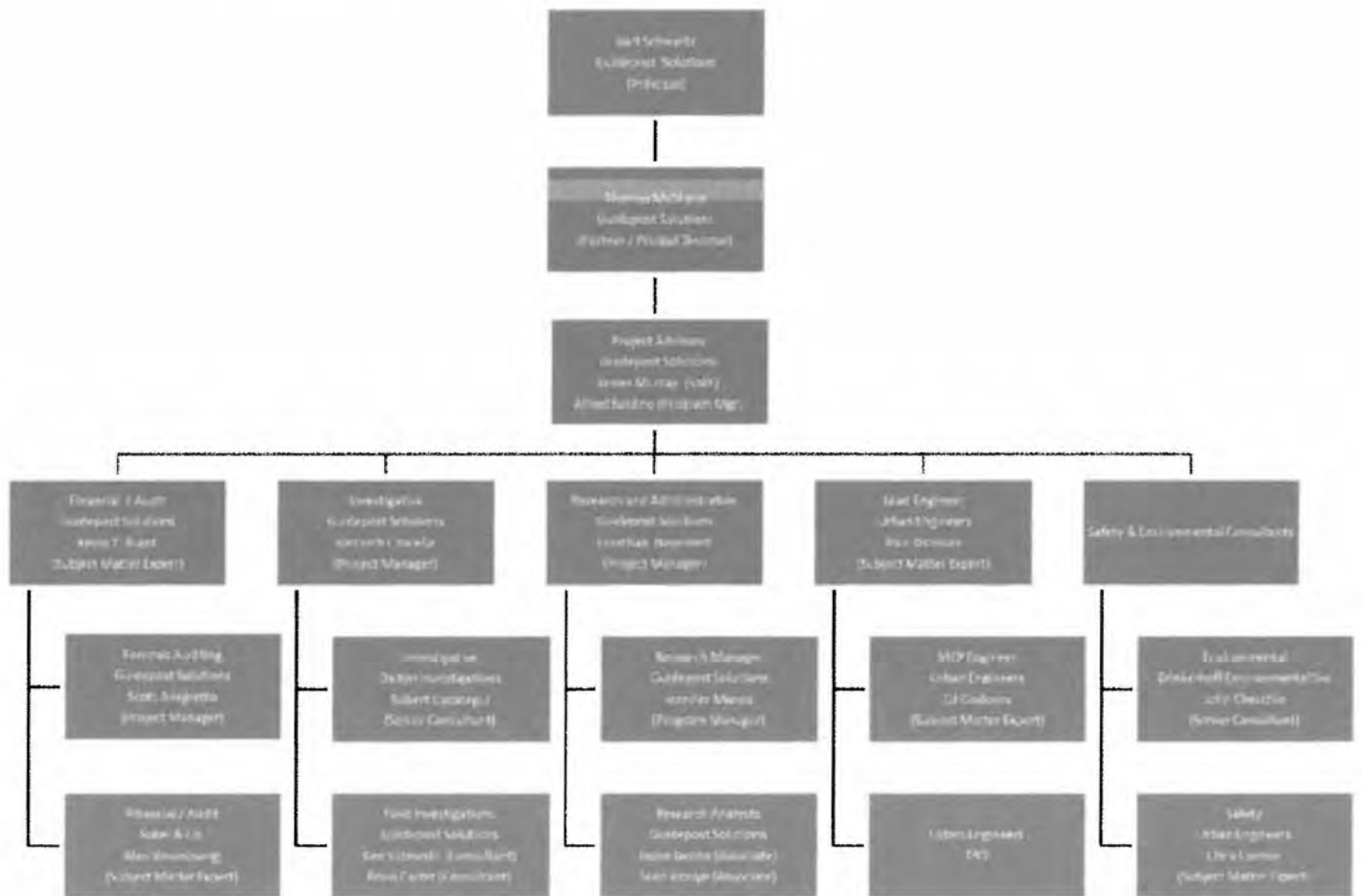
We have addressed this problem by making an effort at the outset of our monitorship assignments to meet with the leadership and management of the monitored entity to explain in detail what our role will be, what expectations we have of the company and to create, from the outset, an open line of communication. We have found that this approach has encouraged the cooperation of the monitored company. We plan the same approach here.

- b) Access to subcontractor records is essential to an effective, comprehensive integrity monitorship. In some circumstances, gaining access to those records in order to conduct targeted forensic audits has been a challenge. In order to promote the success of subcontractor audits, measures should be taken to ensure that the integrity monitor has access to subcontractor records and cooperation from subcontractor employees. Those measures include mandating that every subcontract agreement include the express requirement that the subcontractor grant unfettered access to its records to the integrity monitor for the purpose of conducting forensic audits. Those provisions are then most effectively enforced and the requirement of cooperation ensured by withholding payment to any subcontractor who fails to live up to that obligation. We propose that approach here.

- c) We have found that a common initial expectation of monitored entities is that construction work will be interrupted and impeded by the work of the integrity monitor. Guidepost has been successful in blunting that expectation and preventing monitored entities from challenging monitorship activities on the basis of such alleged interruptions. We have done so by developing a monitorship program that relies upon diligent but unobtrusive oversight. A key element of the success of our program is that we staff our monitorship assignments with field agents who understand the construction process and have a heightened awareness of the timing and scheduling of construction activities; that awareness makes them better able to perform essential monitorship tasks without intrusion or disruption. We propose to take the same approach here.

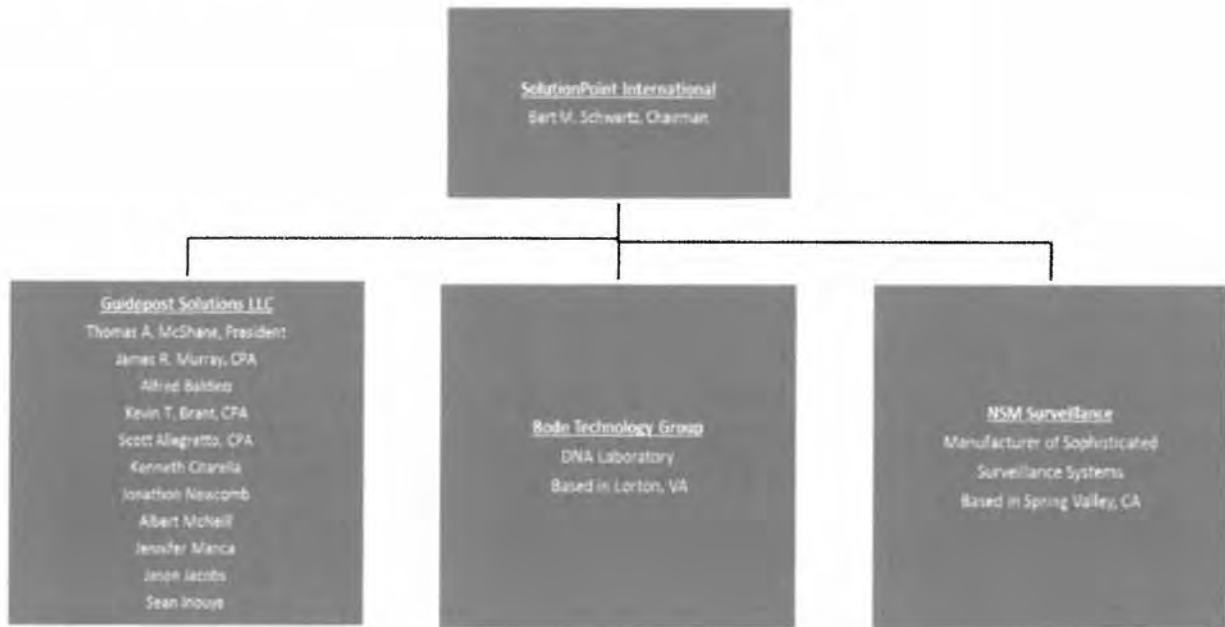


A. Contract-Specific Chart





B. Chart of Entire Firm





LIST OF REFERENCES:

The following individuals and entities are familiar with the work of Guidepost's team members on projects of a similar nature:

Guidepost Solutions

- Steven A. Pasichow – Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email: spasichow@panynj.gov
Projects: World Trade Center Vehicular Security Center Project, Eastside Tour Bus Parking Facility and Roadway Project, and Streets, Utilities and Infrastructure Program; Welsbach Electric Corp.; Ruttura and Sons Construction Co., Inc.; Bovis Lend Lease LMB, Inc.
- John Bellanie – Deputy Inspector General
New York City Department of Investigation
Telephone (212) 825-5895
Project: Welsbach Electric Corp.
- Paul Mahoney, Esq. – Chief Civil Enforcement
New York State Attorney General's Office
Medicaid Fraud Control Unit
Telephone (212) 417-5254
Email: Paul.Mahoney@oag.state.ny.us
Project: Staten Island University Hospital Monitorship
- Kimberly D. Hardy
Special Counsel – Regulatory Compliance
New York City Department of Housing Preservation and Development
Telephone (212) 863-5128
Email: hardyk@hpd.nyc.gov
Projects: Residential Housing Construction Projects
- Andrew Lankler, Esq.
Lankler Carragher & Horwitz LLP (Integrity Monitor)
Telephone (212) 812-8910
Email: alankler@lchattorneys.com
Project: NYC Rapid Recovery Program



GUIDEPOST SOLUTIONS LLC

- Robert J. McGuire, Esq.
Independent Monitor – Bovis Lend Lease LMB, Inc.
Telephone (212) 817-6734
Email: robert.mcguire@rjmcguire.net
Project: Bovis Lend Lease LMB, Inc. Monitorship

Sobel & Co., LLC

Steven A. Pasichow – Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email: spasichow@panynj.gov
Project: World Trade Center Tower One Integrity Monitorship

Urban Engineers

Steven A. Pasichow – Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email: spasichow@panynj.gov
Project: World Trade Center Tower One Integrity Monitorship

RESUMES:

The following are summary resumes for principals, management, supervisory and key personnel who may be assigned any Pool 3 projects. (Complete resumes for each are provided in Tab O.) For each of the individuals listed below, the relevant projects noted at the end of each resume evidence the qualifications and ability to contribute to the successful performance of the tasks required by this RFP. Please refer to Section 4.4.4.6 (Experience of Bidder on Similar Contracts) for contact information and dates for the projects listed.

Bart M. Schwartz – *Principal*

Mr. Schwartz is Chairman of Guidepost's parent company, SolutionPoint International, Inc. He has extensive experience as a trial lawyer, corporate advisor and CEO of a private company and a unit of a public company. He served as the Chief of the Criminal Division of the United States Attorney's Office in the Southern District of New York, where he had direct responsibility for overseeing prosecutions related to financial and business fraud, organized crime, and other types of white collar crime. In 1991 Mr. Schwartz founded and was CEO of Decision Strategies, an internationally recognized investigative and security firm, which was sold to SPX in 2001. Mr. Schwartz has had numerous court and other appointments to monitor the conduct of corporations in the concrete, construction, waste management and other industries. He has



received assignments from or with the approval of the Securities and Exchange Commission, and the Department of Justice in Washington, D.C., the U.S Attorney's Office for the S.D.N.Y., the Manhattan District Attorney's office, the New York Organized Crime Task Force, the New York School Construction Authority, and the New York Department of Environmental Conservation.

Relevant Recent Projects: NYC Rapid Repairs Project (Principal); Vantage Properties, LLC (Principal/Project Executive); Deutsche Bank (Principal/Project Executive)

Thomas A. McShane, Esq. – Project Executive (Partner / Principal Director)

Mr. McShane, President of Investigations and Monitoring at Guidepost, has played a key supervisory role in each of the monitorship assignments undertaken by Guidepost over the last eight years, including spearheading and directing the development of the company's Independent Monitorship Program. Prior to joining Guidepost, Mr. McShane managed and directed the New York office of Pinkerton Consulting and Investigation, practiced law at McKeegan, McShane & Drago, PC, served as a chief counsel to the New York State Temporary Commission on Local Government Ethics, was counsel to the New York State Commission on Government Integrity and served as a prosecutor in the Office of the Nassau County District Attorney where he specialized in the investigation and prosecution of public officials and employees. Mr. McShane is the Chairman of the Westchester County Solid Waste Commission.

Relevant Projects: NYC Rapid Repairs Program (Project Executive); WTC Vehicular Security and Related Projects (Project Executive); Welsbach Electric Corp. (Project Executive); Ruttura & Sons Construction (Project Executive); Bovis Lend Lease LMB, Inc. (Project Executive); Vantage Properties, LLC (Project Manager)

James R. Murray, CPA, CFE – Project Advisor – Financial/Audit (Subject Matter Expert)

Mr. Murray, Senior Managing Director at Guidepost, is a financial investigator with more than thirty-two years of diversified auditing and forensic accounting experience, including a distinguished sixteen year career in law enforcement. He has been central to the growth of the Guidepost's monitoring practice over the last eight years. He has developed the corruption prevention auditing programs that have been integral to each of Guidepost's monitorship assignments, and he has directed the monitoring team's auditing and field staff in those assignments. Mr. Murray previously held senior management positions at Citigate Global Intelligence and Security, Pinkerton's Consulting and Investigations office and Kroll Associates, where he founded Kroll's Financial Investigations Group. Mr. Murray's law enforcement experience includes service as Chief Auditor for the New York State Deputy Attorney General responsible for the investigation of fraud and abuse in the healthcare industry; the Chief Special Auditor for the New York State Commission of Investigation overseeing fraud inquiries in state funded programs and investigations of political corruption; and Chief Forensic Accountant for a New York metropolitan District Attorney's Office.



Relevant Recent Projects: WTC Vehicular Security and Related Projects (Project Executive); Welsbach Electric Corp. (Forensic Auditing Project Manager); MJM Construction (Project Executive); Ruttura & Sons Construction (Project Manager)

Alfred A. Baldino, CFE – Project Advisor – Investigations (Program Manager)

Mr. Baldino, a senior investigator at Guidepost, is a twenty-one year veteran of the New York City Police Department where he attained the rank of Lieutenant. He has worked with Guidepost almost since its inception, spearheading the company's investigative and intelligence work on integrity monitorship assignments. Mr. Baldino's expertise in investigating organized crime, in particular, has made him integral to the company's efforts to identify associations with organized criminal elements. Mr. Baldino served for eight years in the NYPD Organized Crime Investigation Division (OCID), investigating traditional organized crime in the metropolitan area. In 1995, Mr. Baldino assumed supervision of OCID investigation "Operation Wasteland" which focused on organized crime in the waste hauling industry. In 1996, Mr. Baldino was detailed to the New York City Trade Waste Commission. He ultimately became the Commanding Officer of the unit assigned to the New York City Business Integrity Commission which is mandated to use its licensing authority to purge regulated industries of mob connected firms.

Relevant Projects: WTC Vehicular Security and Related Projects (Project Manager); Welsbach Electric Corp. (Project Manager); Ruttura & Sons Construction (Lead Investigator); Bovis Lend Lease LMB, Inc. (Lead Investigator)

Kevin T. Brant, CPE, CFE – Financial / Audit Project Manager (Subject Matter Expert)

Mr. Brant has over twenty-five years of experience in performing forensic accounting, monitoring and compliance services. Prior to joining Guidepost Solutions, Mr. Brant was a Director of Litigation Support and Financial Investigations at BDO Consulting, a Senior Managing Director of the Financial Investigations at Citigate Global Intelligence & Security and a Managing Director at Kroll Associates. He also served as a Senior Special Auditor Investigator for the New York State Deputy Attorney General for Medicaid Fraud Control. He has been engaged on numerous occasions to perform investigations of complex financial transactions.

Relevant Projects: Bovis Lend Lease LMB, Inc. (Project Manager); Welsbach Electric Corp. (Project Manager)

Kenneth Citarella, Esq., CFE, CIPP – Investigations Project Manager (Project Manager)

Mr. Citarella is a Managing Director for Guidepost. Mr. Citarella joined Guidepost in 2010 as the Project Manager for claim fraud investigations for the Gulf Coast Claims Facility in its administration of the \$20 billion BP compensation fund. In that capacity, Mr. Citarella supervised a staff of 300 professionals investigating nearly 18,000 claims referred for



investigation of potential fraud. Before joining Guidepost Solutions, Mr. Citarella had a 28-year career as a prosecutor in the Westchester County (NY) District Attorney's Office, which he concluded as Deputy Chief of the Investigations Division. During his public sector career, he prosecuted investment frauds, larcenies, embezzlements, anti-trust violations, public corruption, forgeries and many other economic crimes. He also worked with a commercial litigation law firm and with the Corporate Investigations Division of Prudential.

Relevant Projects: NYC Rapid Repairs Program (Project Manager); Gulf Coast Credit Facility (Project Manager)

Jonathan L. Newcomb, CFE – Research & Administration (Project Manager)

Mr. Newcomb is a Senior Director at Guidepost with fifteen years of experience as an investigator. His skills range from complex research analytics to conducting and supervising every sort of field investigation, especially interviewing witnesses. He has a particular expertise in developing and implementing investigative plans that involve a variety of investigative resources and personnel. Prior to joining Guidepost, Mr. Newcomb was a Principal at Proactive Integrity Associates, LLC, Senior Manager in the Global Business Intelligence and Investigations practice at UHY Advisors FLVS, Inc., a Senior Associate at Citigate Global Intelligence & Security, Inc. and a Manager at Decision Strategies, LLC, all of which are international investigative and forensic accounting firms.

Relevant Projects: NYC Rapid Repairs Program (Project Manager); MJM Construction (Project Manager)

Scott E. Allegretto, CPA, CFE – Forensic Auditor (Project Manager)

Mr. Allegretto is an Associate Managing Director and forensic auditor in Guidepost's Business Investigations and Business Controls practice areas. In his eight years with the company, Mr. Allegretto has worked on each of the company's monitorship assignments. His expertise in forensic auditing has made Mr. Allegretto integral to the company's development of corruption prevention auditing programs and to its on-going oversight of the financial activities of monitored companies and projects. Prior to joining Guidepost, Mr. Allegretto was a Senior Director for Citigate Global Intelligence and Security and a Director for Kroll Associates, Inc. in its Financial Services Group. Mr. Allegretto has conducted financial investigations and fraud audits and was involved in a number of integrity monitorship programs. Mr. Allegretto began his forensic auditing career with the NYS Office of the Attorney General, Medicaid Fraud Control Unit.

Relevant Projects: WTC Vehicular Security and Related Projects (Forensic Auditor); Welsbach Electric Corp. (Forensic Auditor); MJM Construction (Forensic Auditor); Ruttura & Sons Construction (Forensic Auditor); Vantage Properties, LLC (Forensic Auditor)



Jennifer Manca – Research Manager (Program Manager)

Ms. Manca is Senior Director of Investigative Research at Guidepost Solutions LLC. She has more than nine years of experience conducting investigative research and background investigations in a variety of contexts. Most recently, Ms. Manca has overseen vendor screening and background investigations for all of Guidepost's integrity monitoring assignments, developing a database of approximately 1,000 construction subcontractors and vendors in the New York City area. Prior to working for Guidepost, Ms. Manca served as director of research for a high profile investigations and security firm and as a senior analyst for an international investigative and executive protection firm.

Relevant Projects: WTC Vehicular Security and Related Projects (Research Manager); Welsbach Electric Corp. (Research Manager); Ruttura & Sons Construction (Research Manager); Bovis Lend Lease LMB, Inc. (Research Manager)

Sobel & Co., LLC

Alan Rosenzweig, CPA (Subject Matter Expert)

Mr. Rosenzweig has more than 34 years of experience in accounting and construction services. He helped launch the firm's successful Construction Industry Services Group and is a member of the firm's Accounting and Auditing Committee. He provides audit, accounting and tax services to clients as well as business development assistance ranging from profitability analysis to industry profiling. His relevant experience includes providing accounting, audit and tax services for general contractors and subcontractors in the construction industry, as well as in the trucking and transportation segments.

Relevant Projects: Tower One of the World Trade Center Project

Rebecca B. Fitzhugh, CPA (Program Manager)

Ms. Fitzhugh is a Senior Manager in Sobel & Co.'s Forensic Accounting and Litigation Support Group and has more than 14 years of experience in forensic accounting, litigation services, and public accounting. Her litigation and forensic accounting experience includes fraud vulnerability analyses, integrity monitoring, shareholder and contract disputes, and white-collar crime. She has worked with clients in industries including government, construction, manufacturing, commercial real estate, nonprofit and retail. Ms. Fitzhugh has lectured and written on topics ranging from fraud in the construction industry to identity theft, and was an adjunct faculty member at New York University, teaching a section of the Certified Fraud Examiners exam review course.

Relevant Projects: Tower One of the World Trade Center Project



Urban Engineers, Inc.

Paul F. Dionisio, PE – Lead Engineer (Subject Matter Expert)

Mr. Dionisio is the Deputy Managing Director for Integrity Monitoring, as well as a senior structural engineer at Urban Engineers. He has 37 years of experience in the inspection, design, and construction management of transportation facilities and structures. His experience includes stations and platforms, railroad and highway bridges, and viaducts. As a Lead or Project Engineer on transportation projects, Mr. Dionisio performs the planning, organizing, engineering, and controlling of work to achieve approved objectives, on schedule and within budget. He has completed safety courses for Amtrak and the Long Island Rail Road.

Relevant Projects: Integrity Monitoring World Trade Center, Tower One (2012 – Present)

Ed Godorov, PE – MEP Engineer (Subject Matter Expert)

Mr. Godorov is a senior mechanical engineer at Urban Engineers, who is responsible for the design and construction management of the heating, ventilation, air conditioning, plumbing, and fire protection for shopping malls, food markets, hotels and motels, financial centers, corporate centers, industrial complexes, medical and pharmaceutical facilities, public and private educational institutions. He additionally has been performing integrity monitoring for MEP trades for Urban Engineers.

Relevant Projects: Integrity Monitoring World Trade Center, Tower One (2008 – Present)

Christopher Connor – Safety (Subject Matter Expert)

Mr. Connor is Urban Engineers' safety officer whose experience as a Construction Safety and Risk Reduction Manager encompasses a strong commitment to excellence in areas of construction safety and security on heavy civil, rail, bridge, tunnel, high rise, and process engineering projects. He has performed construction safety monitoring on behalf of the PANYNJ at the WTC Construction Site, the NYS Metropolitan Transportation Authority Bridges and Tunnels Division, and the NYC Department of Environmental Protection BEDC division. He is a fire and life safety expert as well as an experienced fire investigator.

Relevant Projects: Integrity Monitoring World Trade Center, Tower One (2010 – Present)

Dalton Investigative Services LLC

Robert E. Uzcategui – Senior Investigator (Senior Consultant)

Mr. Uzcategui is an owner and senior investigator with Dalton, a MBE subcontractor to Guidepost, and a twenty-one year veteran of the New York City Police Department where he attained the rank of Lieutenant. He has worked on almost all of the company's monitorship assignments since the company's inception. Mr. Uzcategui has brought to those assignments



his own expertise in investigating organized crime. Mr. Uzcatogui spent five years investigating organized crime's influence in the wholesale markets and carting industry in New York City when he supervised and directed the investigation and prosecution of organized crime and its influence in the Hunts Point Market, resulting in the development and implementation of internal controls for licensing and monitoring businesses and vendors in the Hunts Point Market. Mr. Uzcatogui was the Licensing Coordinator for the Business Integrity Commission, where he supervised and directed licensing investigations and intelligence gathering. His work has included cases involving extortion, vendor contracts, fraud and falsification of records, as well as labor and collective bargaining agreements.

Relevant Projects: WTC Vehicular Security and Related Projects (Senior Investigator); Welsbach Electric Corp. (Lead Investigator); Ruttura & Sons Construction (Senior Investigator)

Brinkerhoff Environmental Services, Inc.

John Checchio – Environmental Engineer (Senior Consultant)

Mr. Checchio is a Certified Civil and Environmental Engineer with over 25 years of experience managing civil and environmental engineering projects, which include groundwater investigations and remediation, environmental assessments, feasibility studies, preparation of bid specifications, and underground storage tank management for industrial and residential projects. Mr. Checchio has been a Senior Environmental Engineer at Brinkerhoff Environmental Services, Inc. of Manasquan, NJ for more than 5 years.

Relevant Projects: WTC Vehicular Security and Related Projects (Senior Investigator)

Stewart Consulting LLC

Robert C. Stewart – Senior Investigator (Senior Consultant)

Mr. Stewart is a retired federal prosecutor with over 40 years of law enforcement / investigative experience. He has been active in the private sector performing complex investigations and providing monitoring services. His many assignments include an appointment by the Federal District Court in Newark to conduct an independent investigation of Local 148 of the International Union of Allied Novelty and Production Workers. He was also appointed to the Advisory Board of Project RISE, an internal integrity remediation initiative of the International Brotherhood of Teamsters. He has performed numerous internal integrity or remedial monitoring assignments for law firms, corporate clients and agencies, including the New York City Business Integrity Commission and the Manhattan District Attorney's Office.



Mary Pisula Stewart – Senior Investigator (Senior Consultant)

Ms. Stewart has over 20 years of research and general investigative experience on a wide range of assignments in the governmental and private sectors. Her assignments have included independent investigations into allegations of sexual and financial misconduct for multiple religious orders and archdioceses of the Catholic Church. In addition, she was involved in several federal political corruption prosecutions, most involving graft in regard to construction projects.

BACKUP STAFF:

In addition to the managerial, supervisory and key personnel named above, Guidepost will draw upon its internal resources and the collective staff of seasoned professionals of the above-referenced subcontractors to address periods of high-demand during the engagement.

Specifically, with regard to audit services, Guidepost will draw upon the resources of Sobel, a Certified Public Accounting and Consulting firm based in Livingston, NJ having over 100 professionals on staff. For engineering expertise, Guidepost will leverage off the resources of Urban Engineers, a multidisciplinary planning, design and construction services firm with more than 466 employees across eleven offices.

A listing of backup personnel available to the Guidepost integrity monitoring team appears at Tab M to this proposal. In addition, Guidepost will include as part of its overall recruitment strategy, a plan to secure additional backup personnel with the requisite experience in integrity monitoring.

EXPERIENCE OF BIDDER ON SIMILAR CONTRACTS

1. World Trade Center Vehicular Security Center Project, Eastside Tour Bus Parking Facility And Roadway Project, And Streets, Utilities And Infrastructure Program During 2009-2013

A major component of the redevelopment of the World Trade Center is the Vehicular Security Center, the Bus Parking Facility and the Streets Program (collectively, "The Project"). The \$850 million project began in 2009 and is projected for completion in 2013. Guidepost's role as the Project Integrity Monitor is to oversee, from an integrity perspective, all facets of the project including procurement, contract management, fiscal oversight, records compliance, and on-site construction monitoring. On a daily basis we observe site construction activities and gather field intelligence. This includes conducting headcounts and identifying subcontractors and deliveries to site. In addition, we review manifests, superintendents' daily reports and sign-in logs. A major focus of the assignment is labor billing practices. We regularly perform labor audits of contractors to confirm payment of prevailing wages, the accuracy of overtime hours



billed, and the payment of proper union benefits. The audits are performed in conjunction with headcounts and labor related documents obtained during site observations. Our engineers and architects work with other team members to provide technical expertise and guidance. They review project schedules, budgets and processes to identify potential integrity issues. Additionally, our engineers participate in our oversight of the procurement process, including scope reviews.

Client Contact Information

Ronald Calvosa, Project Manager
Port Authority of New York and New Jersey
Telephone (973) 565-4361
Email rcalvosa@panynj.gov

Steven A. Pasichow
Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email spasichow@panynj.gov

Term of Contract

December 2009 through December 2013

2. New York City Rapid Repairs Program: Integrity Monitorship of Conti of New York, LLC for Work on Staten Island, NY

In the aftermath of Hurricane Sandy in October 2012, New York City implemented a program to provide basic repairs to restore heat, hot water and electricity to victims of the storm, at no cost to the homeowners. In November 2012, Conti of New York, LLC (Conti) was contracted by the City of New York to provide repairs to residential properties located in Staten Island, with a contract sum not to exceed \$70 million. Guidepost was part of the Integrity Monitor team overseeing Conti's work and reporting to the New York City Department of Investigation (DOI). This work included on-site integrity monitoring; process analysis; program, contract and records compliance; and liaison with City agencies. On a daily basis we observed site construction activities and gathered field intelligence and data. This included conducting headcounts, identifying subcontractors and workers, tracking installations and identifying issues relating to integrity, scope, quality, safety and code. We discussed project issues daily with the contractor, the construction manager, City officials and DOI personnel. In addition, we reviewed for integrity purposes, key project documents such as field tickets, foreman and construction manager daily field reports, pay quantity tracking sheets, work orders and sign-in sheets. A major focus of the assignment was labor law compliance, particularly with regard to the number of hours worked and the rates being paid to the workers.

Client Contact Information

Andrew Lankler, Esq.
Lankler Carragher & Horwitz LLP (Monitor)
Telephone (212) 812-8910
Email alankler@lchattorneys.com

Michael Carroll, Assistant Commissioner
New York City Department of Investigation
Telephone (212) 825-3338
Email mcarroll@doi.nyc.gov



Term of Contract

December 2012 through April 2013

3. Welsbach Electric Corp.

The Port Authority of New York and New Jersey (PANYNJ) and the New York City DOI required, as condition of the award of public contracts to Welsbach Electric Corporation (Welsbach), that Welsbach accept monitorship of its operations. Subsequent to the commencement of the monitorship engagement, the NYC Economic Development Corporation Inspector General and the NYC Transit Authority executed additional monitoring agreements with Welsbach. Guidepost's monitorship of this electrical contracting company with over 400 employees lasted for over 5 years. During that period, Guidepost monitored all aspects of the company's activities and reported quarterly to the public agencies with which Welsbach contracted. We conducted background investigations on all subcontractors used by Welsbach and reviewed all subcontracts. On a regular basis we visited job sites to observe site construction activities and to gather field intelligence. This included conducting headcounts and identifying subcontractors. Labor activity was a key element in this monitorship. We conducted covert surveillance of workers performing maintenance and construction activities on Port Authority, MTA and NYC DOT projects. We recorded hours worked and compared the findings with payroll records. We also conducted monthly forensic audits of payment requisitions including all accompanying supporting documentation for all public contracts. The audits included charges for subcontractors, labor, materials and general conditions. In addition, we audited numerous departments of the company including the warehouse (inventory), garage (fleet maintenance), fuel (truck fueling), sale of scrap, and vehicle control and strengthened integrity controls.

Client Contact Information

Steven A. Pasichow
Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email spasichow@panynj.gov

John Bellanie
Deputy Inspector General
New York City Department of Investigation
Telephone (212) 825-5895

Term of Contract

November 2006 through May 2012



4. Ruttura and Sons Construction Co., Inc.

A principal of Ruttura and Sons Construction Co., Inc. (Ruttura) was convicted of having engaged in unfair labor practices. As a result, Ruttura entered a monitoring agreement with the PANYNJ as a condition of being awarded a Port Authority contract. The agreement required Ruttura to retain an Independent Private Sector Inspector General to monitor various provisions of the agreement. Guidepost was the monitor. Subsequent to its execution, the following additional agencies required their own agreements - the NYC Economic Development Corp., the NYC Business Integrity Commission and the NYS Dormitory Authority. Guidepost created and implemented a corruption prevention program which included a code of conduct, ethics training, an integrity hotline and anti-fraud procedures and protocols. Guidepost performed background investigations on all subcontractors of Ruttura and its affiliated companies. In addition, Guidepost conducted background research on all key employees to identify integrity issues and associations with organized crime. Each month, Guidepost met with Ruttura's Chief Financial Officer to review the financial status of the company, review job status reports and budgets, bid status, and revenue projections. During the monitorship Guidepost conducted investigations regarding the theft of equipment, labor racketeering and environmental compliance.

Client Contact Information

Steven A. Pasichow
Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email spasichow@panynj.gov

Robert Joyce, CFE
Port Authority of New York & New Jersey
Office of Inspector General
(973)565-4349

Term of Contract

August 2006 through September 2010

5. MJM Construction / New York City Department of Housing Preservation and Development Affordable Housing Residential Apartments at 12 East Clarke Place, Bronx, NY

In its role as labor compliance monitor selected and appointed by the New York City Department of Housing Preservation and Development ("HPD"), Guidepost monitors labor compliance during the construction of an affordable housing complex located at 12 East Clarke Place/27 East 169th Street, Bronx, New York. The project includes financing provided by HPD, to which we deliver periodic reports. A primary focus of the assignment is to observe general site construction activities and to gather information from workers on a weekly to bi-weekly basis through unannounced site visits. This includes conducting headcounts, identifying subcontractors and observing general construction progress. In addition, we conduct interviews with workers regarding issues relating to wage rates, personal identification



information, complaints and grievances. We perform labor audits to ensure labor law compliance and to confirm payment of wages and accurate overtime hours.

Client Contact Information

Kimberly D. Hardy, Esq.
Special Counsel – Regulatory Compliance
New York City Department of Housing
Preservation and Development
Telephone (212) 863-5128
Email hardyk@hpd.nyc.gov

Reginald Evans
Senior Project Manager
New York City Department of Housing
Preservation and Development
evansr@hpd.nyc.gov

Term of Contract

August 2012 through February 2015

6. Bovis Lend Lease LMB, Inc.

The Manhattan District Attorney’s Office (DA) conducted an investigation into a fatal fire at the site of the former Deutsche Bank Building at 130 Liberty Street, New York, NY. The investigation resulted in the execution of a Non-Prosecution Agreement between the DA and the Bovis Lend Lease LMB, Inc. (Bovis), the construction manager for the demolition project. The agreement required the appointment of an Independent Monitor (IM) to oversee compliance of its terms, including the creation and implementation of a robust fire safety program and the development of an integrity program for selection of subcontractors. Former New York City Police Commissioner Robert J. McGuire was appointed the IM for the four year assignment.

Mr. McGuire engaged Guidepost to develop a compliance and integrity monitoring program and assist in the overall monitorship. Shortly after the commencement of the monitorship, the Port Authority of New York and New Jersey executed a separate monitoring agreement with Bovis.

In support of the IM, Guidepost evaluated the company’s policies and procedures regarding integrity vetting of subcontractors and made recommendations to strengthen the program. Thereafter, during the term of the monitorship, Guidepost vetted approximately 1,000 Bovis subcontractors. Guidepost also oversees the company’s compliance with fire safety and safety obligation. In that connection, we undertook the following:

- Evaluation of existing fire safety policies and procedures, including requirements mandated by the Non-Prosecution Agreement.
- Development of a fire safety compliance monitoring program.
- Recommendations to strengthen the existing fire safety program.



- Interviews with Bovis safety personnel to gauge the level of knowledge and compliance with program elements.

We reported in writing semi-annually to the District Attorney regarding our findings; we otherwise communicated verbally on a regular basis with both the District Attorney and the Port Authority with respect to Bovis's efforts and with respect to any issues of concern. The monitorship on behalf of the Port Authority is ongoing.

Client Contact Information

Robert J. McGuire, Esq.
Independent Monitor
Telephone (212) 817-6734
Email robert.mcguire@rjmcguire.net

Steven A. Pasichow
Assistant Inspector General
Port Authority of New York & New Jersey
Telephone (973) 565-4366
Email spasichow@panynj.gov

Term of Contract

February 2009 through October 2012

7. Deutsche Bank

In December 2010, as part of a non-prosecution agreement relating to Deutsche Bank's involvement in the implementation of fraudulent tax shelters, the U.S. Attorney's Office for the Southern District of New York appointed Mr. Schwartz, along with Guidepost, as Independent Expert. In this capacity, we reviewed and monitored Deutsche Bank's compliance program and, in particular, measures taken by Deutsche Bank to achieve compliance with the U.S. federal income tax laws and to prevent and detect misconduct relating to products and transactions susceptible to abuse on behalf of high net worth individuals.

Client Contact Information

Joseph Polizzotto Managing Director
General Counsel – Americas
Deutsche Bank AG
Legal Department
60 Wall Street, Mailstop: NYC60-3601
New York, NY 10005-2836 USA
Telephone (212) 250-3919
Email joseph.polizzotto@db.com

Robert E. Rice
Director, Regulatory and Internal
Investigations; Managing Director
Deutsche Bank Americas (Legal Department)
60 Wall Street
New York, New York 10005
Telephone (212) 250-7526

Term of Contract

January 2011 to April 2012



8. Vantage Properties LLC

In February 2010, Mr. Schwartz was selected as Auditor of Vantage Properties, LLC and Vantage Management Services, LLC (collectively, "Vantage") with approval by the Attorney General of the State of New York, in connection with its compliance with an Assurance of Discontinuance ("AOD") entered into by Vantage and the Attorney General. Vantage, which owned and/or managed more than 130 residential buildings in New York City ("NYC") with more than 8,500 tenants, entered into the AOD to obviate the need for further investigation or litigation relating to alleged predatory real estate practices and non-compliance with NYC Rent Stabilization Code and NYC Housing Maintenance Code. As part of the assignment, Guidepost, with the assistance of an outside attorney/ subject matter expert, created a monitoring plan with specific methods and sampling procedures that were approved by the Attorney General and Vantage with regard to scope, efficiency and cost. Guidepost monitored Vantage with respect to specific policies and procedures relating to rent bills, refusal of rent payments, the charging of legal fees, government housing benefits, new open market leases, rent stabilized lease renewals, the issuance of non-payment notices, rent demands and review of tenants subject to legal process.

Client Contact Information

Robert Odell
Vantage Properties, LLC
745 Fifth Avenue, 29th Floor
New York, NY 10151
Telephone (917) 584 8777
Email: Rodell@vantageny.com

Brooke Davis
NYS Office of the Attorney General
Civil Rights Bureau
120 Broadway, 23rd Floor
New York, NY 10271
Telephone (212) 416-8250

Term of Contract

June 2010 – February 2013

ADDITIONAL EXPERIENCE OF THE BIDDER:

World Trade Center – Post Sandy Recovery and Repair

Our monitorship team has been providing construction monitoring services at several locations at the World Trade Center rebuilding site. After Hurricane Sandy struck, the duties of the World Trade Center monitors expanded on an emergency basis to include increased monitoring of the dewatering process, clean up and restoration of the construction site. The integrity monitors worked with the Port Authority Office of the Inspector General to develop enhanced monitoring procedures designed to prevent the kinds of fraud, waste and abuse that plague emergency recovery and restoration efforts. The Integrity Monitors oversaw those procedures in their implementation and operation, including:



- Conducting real time, on-site spot checks of activities and head counts of workers and equipment;
- Reviewing the accuracy and integrity of contractor invoices for labor, equipment and materials;
- Confirming the accuracy and integrity of the supporting documentation for invoices, including time and materials tickets;
- Maintaining detailed equipment logs, including photographs and serial numbers, and identifying all damaged equipment;
- Monitoring the disposal of damaged equipment, including hazardous and contaminated materials; and
- Monitoring the collection, safeguarding and disposal of salvageable materials and equipment.

In addition to facilitating the integrity of operations, the oversight by the integrity monitors at the World Trade Center also designed to ensure that the documentation submitted by contractors engaged by the Port Authority will be sufficient to support FEMA applications for reimbursement.

The Gulf Coast Claims Facility

From August 2010 through June 2012, Guidepost was the fraud investigation unit of the Gulf Coast Claims Facility which evaluated claims seeking compensation for damages due to the BP oil spill in the Gulf of Mexico. During that period, Guidepost assembled over 300 professionals (including investigators, auditors and analyst) and investigated nearly 18,000 claims. Guidepost created an investigative organization, designed a customized database, established operational and quality control procedures, and conducted the investigations under intense public scrutiny and time pressure. Guidepost completed thousands of fraud reports addressing single claims and claim fraud schemes involving hundreds of related claims.

State of Louisiana

In the aftermath of Hurricane Katrina, Guidepost was engaged on behalf of the State of Louisiana to review damage claims to determine whether there was sufficient documentation to substantiate the authenticity of the claims. A team of Guidepost forensic accountants undertook the review on-site in Louisiana. They examined claims for services, equipment and materials utilized in the recovery effort. The total value of the claims reviewed was over \$45,000,000. Over 80% of the claims were rejected due to failure by the claimants to properly document their claims in accordance with FEMA requirements.



Tulane University

Tulane University suffered widespread and costly losses in Hurricane Katrina. Numerous facilities were damaged and a great deal of temporary equipment was needed to get the university back in operation as quickly as possible. Personnel and equipment had to be distributed among many facilities simultaneously under emergency conditions. This created a ripe opportunity for billing fraud. With constant monitoring of assigned resources and through the application of innovative software, professionals now with Guidepost minimized fraud opportunities and maximized insurance settlements.

DISCLOSURE:

On May 1, 2010, Guidepost Solutions LLC acquired SafirRosetti LLC from Global Options Inc. Global Options Inc. was a holding company. One of the other companies owned by Global Options Inc. at the time was James Lee Witt Associates. Guidepost Solutions LLC does not now and has not ever had an affiliation with James Lee Witt Associates.

Urban Engineers is currently working as a sub-consultant to CDM Smith on a project located in Erie, PA, but not on the disaster recovery New Jersey State Contract G-8034.

FINANCIAL CAPABILITY OF THE BIDDER:

Guidepost's submission includes the following financial documentation at Tab N.

- Financial Statements dated December 31, 2012.
- A certification from the Brian Trainor, Senior Vice President of Finance for SolutionPoint International, Inc.

PRICE SCHEDULE / SHEET:

Guidepost has submitted its pricing proposal using the State-supplied format which accompanied the RFP. As per the instructions of the RFP, the price schedule has been submitted in a separate, sealed package labeled "Guidepost Pricing Schedule."

Ownership of Material – Background Intellectual Property:

Guidepost holds a license to utilize and market software called Guidepost Insight, a sophisticated data mining software that collects, analyzes and links data, identifies anomalies and allows for the instant visualization of results. As part of that license, Guidepost has developed various proprietary rules, codes and other frameworks for performing data aggregation and analytics relating to fraud detection and prevention. Those rules, codes and frameworks are the intellectual property of Guidepost. As such, any rules, codes and frameworks previously developed by Guidepost for use in Guidepost Insight or other applications shall not be subject to Section 5.8 of the RFP and shall not be considered the property of the State of New Jersey, any Using Agency or any other government body without



GUIDEPOST SOLUTIONS LLC

explicit written consent of Guidepost. The Guidepost Insight software is owned by a third party, and therefore is not subject to Section 5.8 of the RFP.

STATE OF NEW JERSEY
DEPARTMENT OF THE TREASURY
RFP # 2014-X-23110

BACKUP STAFF LISTING

I. **Guidepost Solutions LLC**

- Alexandra Greco
- Christopher Grniet
- Mary Stutzman

II. **Dalton Investigative Services**

- Ruben DelaConcha
- William Jacovino

III. **Brinkerhoff Environmental Services, Inc.**

- Laura Brinkerhoff
- Ira N. Pierce
- Jason P. Hooper
- Glenn M. Donohue

IV. **Sobel & Co.**

- Darryl Neier
- Adam Lipkin
- Megan Kelly
- Brian Levine

V. **Urban Engineers, Inc.**

- William Thomsen
- Thomas E. Mitchell
- Joseph F. Musil, Jr.
- John M. Sabatino



Bart M. Schwartz

Principal

Mr. Schwartz is the Chairman has extensive experience as a trial lawyer, corporate advisor and CEO of a private company and a unit of a public company. For more than 30 years, Mr. Schwartz has managed complex investigations, prosecutions and security assessments, and provided sophisticated investigative services to a wide array of clients.

He served under United States Attorney Rudolph Giuliani as the Chief of the Criminal Division in the Southern District of New York. In that post, he had direct responsibility for overseeing prosecutions related to financial and business fraud, organized crime, and other types of white collar crime.

Among the assignments he has had over the years are:

- Served as the independent compliance expert to a special committee of the Board of SAIC, arising out of the “CityTime” investigation conducted by the U.S. Attorney’s Office for the Southern District of New York and the New York City Department of Investigation;
- Appointed Receiver of the Madoff-related Merkin hedge funds, which includes making investment decisions, managing litigation and investor relations
- Selected by the CFTC and the Fraud Section of the Department of Justice to monitor BP;
- Retention by the United Arab Emirates to oversee compliance with MOUs between the United Arab Emirates and Bangladesh, Pakistan, Mauritania and Sudan relating to the repatriation and compensation of children from those countries who were involved in camel racing in the United Arab Emirates;
- Retention by Hewlett-Packard to conduct a worldwide review of their investigative practices and procedures;
- DHL and Department of Commerce Monitor relating to OFAC issues;
- Elected, by investors, to the Board of Directors of the Bear Stearns High-Grade Structured Credit Strategies Enhanced Leverage (Overseas) Ltd. to conduct an independent investigation; now serving as Co-Counsel to the Receiver;



GUIDEPOST SOLUTIONS LLC

- Appointment by Mayor Giuliani to chair a task force to conduct a top-to-bottom management review of the New York City Department of Buildings;
- Selection by the NY Metropolitan Transit Authority (MTA) to conduct a transparency assurance audit for the procurement of \$5 billion of new subway cars;
- Retention by the MTA in 2003 to build a compliance and ethics department and to study MTA procurement procedures;
- Appointment with the approval of the SEC to review Smith Barney's compliance with the settlement in the Independent Research case;

Mr. Schwartz has had numerous court and other appointments to monitor the conduct of corporations in the concrete, construction, waste management and other industries. He has received assignments from or with the approval of the Securities and Exchange Commission, and the Department of Justice in Washington, D.C., the U.S Attorney's Office for the S.D.N.Y., the Manhattan District Attorney's office, the New York Organized Crime Task Force, the New York School Construction Authority, and the New York Department of Environmental Conservation. He has conducted asset searches for the Bankruptcy Court, Trustees and Board Special Committees, including most recently in the Adelphia case.

He is a 1971 graduate of the New York University School of Law and a 1968 graduate of the University of Pittsburgh. He co-authored a chapter on investigations for West Publications in the treatise *Business and Commercial Litigation in Federal Courts*. Mr. Schwartz frequently speaks at law and other conferences. He has served as an expert witness.

In 1991 Mr. Schwartz founded and was CEO of Decision Strategies, an internationally recognized investigative and security firm, which was sold to SPX (NYSE:SPW) in 2001. He continued as CEO until 2003, when he resigned to start his new venture. He has conducted many domestic and international investigations, including internal investigations and inquiries relating to HR matters, compliance issues, the Foreign Corrupt Practices Act, fraud, asset searching and due diligence.



GUIDEPOST SOLUTIONS LLC

Thomas A. McShane, Esq.

Project Executive (Partner / Principal Director)

Mr. McShane is a President of Guidepost Solutions LLC in its New York City office. His responsibilities include supervision and direction of a professional staff of Managing Directors who oversee the firm's investigative and business intelligence practices; business development, and supervision of all investigative, consulting and administrative functions of the office. Relevant projects have included (a) spearheading and directing the development of the company's Independent Monitorship Program; and (b) directing complex investigations into allegations of corrupt practices by the management of corporations in the entertainment, healthcare, media and industrial products fields. Mr. McShane has played a key supervisory role in each of the monitorship assignments undertaken by the company over the last seven years.

Prior to joining the company, Mr. McShane managed and directed the New York office of Pinkerton Consulting and Investigation from April 2002 to October 2003. There, he supervised and directed a professional staff of attorneys, accountants, investigators, computer forensic specialists and research specialists in the conduct of confidential investigations, due diligence inquiries, risk and vulnerability assessments, forensic investigations and security consultations.

Mr. McShane began his legal career as a prosecutor in the Office of the Nassau County District Attorney where he specialized in the investigation and prosecution of public officials and employees. He left the District Attorney's Office to serve as a counsel to the New York State Commission on Government Integrity, a blue ribbon commission created by the Governor of New York State to investigate the roots of corruption in the institutions of government throughout New York State. In that capacity, he designed and implemented investigative strategies and supervised the commission's team of investigative auditors and detectives in broad-ranging investigations of government practices throughout New York State.



GUIDEPOST SOLUTIONS LLC

Mr. McShane later served as Chief Counsel to a successor commission, the New York State Temporary Commission on Local Government Ethics and, in that role, supervised the Commission's investigative and legal staff in a state-wide audit of the procedures and practices of municipal government. He provided counsel and guidance to municipalities regarding the creation of codes of ethics crafted to eliminate corrupt practices and to strengthen public confidence in the integrity of government.

Prior to joining SafirRosetti, Mr. McShane practiced law with the New York City law firm of McKeegan, McShane & Drago, PC at which he was named a partner specializing in the representation of individual and commercial clients in all aspects of civil litigation including trials and appeals.

Mr. McShane is a graduate of Hamilton College and the Fordham University School of Law. He is licensed to practice law in the states of New York and Massachusetts and he is admitted to the practice of law in the United States District Courts for the Southern and Eastern Districts of New York and in the United States Supreme Court.

Relevant Integrity Monitoring Experience:

- New York City Rapid Repair Program, Integrity Monitorship (2012-2013) – Project Executive
- World Trade Center Vehicular Security Center Project, Eastside Tour Bus Parking Facility and Roadway Project, and Streets, Utilities and Infrastructure, Integrity Monitorship (2009-2013) – Project Executive
- Ruttura and Sons Construction Co., Inc., Integrity Monitorship (2007-2011) – Project Executive
- Bovis Lend Lease LMB, Inc., Safety and Integrity Monitorship (2008-2013) – Project Manager
- Staten Island University Hospital, Integrity Monitorship (2002-2005) – Project Executive
- Welsbach Electric Corp., Integrity Monitorship (2007-2011) – Project Executive
- Lettire Construction Corp., Integrity Monitorship (2010-2012) – Project Executive



GUIDEPOST SOLUTIONS LLC

James R. Murray, CPA, CFE

Project Advisor (Subject Matter Expert)

James R. Murray is a Senior Managing Director in the Financial Investigations Services and Litigation Support Practice of Guidepost Solutions LLC, formerly SafirRosetti. Mr. Murray is a financial investigator with over thirty-two years of diversified auditing and forensic accounting experience, including a distinguished sixteen year career in law enforcement.

Mr. Murray has been central to the growth of the company's monitoring practice over the last four years. He has developed the corruption prevention auditing programs that have been integral to each of the monitorship assignments, and he has directed the monitoring team's auditing and field staff in those assignments. In addition, Mr. Murray has relevantly conducted and directed hundreds of complex fraud and litigation related investigations in a wide range of industries and clients. Mr. Murray's expertise is in the development of investigative strategies, the analysis of financial documents and the interviewing of witnesses.

Prior to joining SafirRosetti, Mr. Murray was a Senior Managing Director with the financial investigation group at Citigate Global Intelligence and Security. Mr. Murray managed Pinkerton's Consulting and Investigations office, based in New York. He also was responsible for overseeing Pinkerton's Financial Investigations Practice. Mr. Murray was also a Senior Managing Director for Kroll Associates, where he founded Kroll's Financial Investigations Group. During Mr. Murray's eleven years with Kroll his primary focus was the investigation of embezzlement and financial irregularities. By example, some of his notable successful investigations included a \$100 million "cooking the books" scheme; a \$25,000 hospital inventory theft; a \$2.5 million fictitious billing scam by a chemical company's Senior Vice President; and the submission of \$8.0 million in erroneous charges by the former owners of a regional car rental agency.

Mr. Murray also created Kroll's "Construction Risk Mitigation Services" program, designed to assist owners in addressing integrity issues pertaining to the construction process. He has developed an expertise in the prevention and detection of fraudulent and abusive construction practices and in the creation and implementation of integrity monitoring and compliance programs.



GUIDEPOST SOLUTIONS LLC

Previously, Mr. Murray was the President of Forensic Accounting Services, PC, and organization specializing in litigation support and financial investigations. The company serviced law firms requiring assistance with such matters as insurance loss analysis, partnership disputes, due diligence, asset tracing and economic crime detection.

Mr. Murray's law enforcement experience includes service as Chief Auditor for the New York State Deputy Attorney General responsible for the investigation of fraud and abuse in the healthcare industry. In addition, he was the Chief Special Auditor for the New York State Commission of Investigation where he oversaw inquiries into fraud in state funded programs and investigations of political corruption. Mr. Murray closed his law enforcement career after serving as Chief Forensic Accountant for a major New York metropolitan District Attorney's Office. There he conducted investigations ranging from bank embezzlements to murder allegations.

Mr. Murray received a B.A. degree, with a major in Accounting from Florida Atlantic University. He is a licensed Certified Public Accountant and Certified Fraud Examiner. Mr. Murray is the Treasurer and a Director of the International Association of Independent Private Sector Inspector General.

Relevant Integrity Monitoring Experience:

Project Name	Project Description	Approx. Project Cost (\$ Millions)	Dates of Monitorship
WTC - Vehicle Security Ctr.	Project Integrity Monitorship	\$800	2009 - 2013
Ruttura Concrete	Company Integrity Monitor - Several Projects	300	2007 - 2011
Welsbach Electric	Company Integrity Monitor - Several Projects	250	2007 - 2012
Staten Island Univ. Hosp.	Integrity Monitor - ER Construction	38	2010 - 2011
Grace Industries	Integrity Monitor - Several Paving Projects	80	1995 -1996
Goldman Sachs	Integrity Monitor - Excavation	23	1997- 1998
MBNA Bank	Project Integrity Monitorship - Bank Buildings	55	1996 - 1997
Bear Stearns	Project Integrity Monitor - 47 Story Building	480	2002 - 2003
Goldman Sachs	Project Integrity Monitor - 2 Condo Projects	45	1995 - 1996
Lettire Construction	Prevailing Wage Monitor - 2 Apt. Buildings	70	2011 - 2012
Bovis Lend Lease	Safety & Integrity Monitor - Several Projects	300+	2008 - 2013
Pinnacle Industries	Integrity Monitor - 40 Story Building	40	2009 - 2010
American Bridge	Integrity Monitor - Bridge Renovation	140	2002 -2003



GUIDEPOST SOLUTIONS LLC

Kevin T. Brant, CPA, CFE

Financial / Audit Manager (Subject Matter Expert)

Kevin T. Brant is a Senior Managing Director of Forensic Accounting services at Guidepost Solutions based in New York. He is a Certified Public Accountant and Certified Fraud Examiner. Mr. Brant has over twenty-five years of experience in performing forensic accounting, monitoring and compliance services.

Prior to joining Guidepost Solutions, Mr. Brant was a Director of Litigation Support and Financial Investigations at BDO Consulting and a Senior Managing Director of the Financial Investigations at Citigate Global Intelligence & Security. He also worked at Kroll Associates as a Managing Director for Financial Investigations and has served as a Senior Special Auditor Investigator for the New York State Deputy Attorney General for Medicaid Fraud Control.

He has been engaged on numerous occasions to perform investigations of complex financial transactions, including clients in the financial sector. He worked with outside counsel for a major financial institution in response to a federal investigation of fraud and money laundering involving suspect Russian and American bank accounts. He has also assisted outside counsel to a prominent university to investigate a misappropriation of funds, which led to the arrest and conviction of employees in the cashier's office and a complicit bank employee. In addition, he has been retained to perform a tracing of funds in support of litigation involving hedge fund investments in the education sector. He worked jointly with outside counsel for a Fortune 100 specialty retailer to investigate and quantify losses of \$34 million resulting from vendor kickback arrangement with company's procurement manager. He provided management with recommendations for improvement of internal controls over the procurement process. Mr. Brant has also performed an investigation of a residential property manager in New York City that disclosed a scheme to embezzle funds through the use of fictitious vendor invoices. He has served as a neutral intermediary in the quantification of losses related to a multi-million dollar procurement fraud by construction contractors working for the Metropolitan Transportation Authority.



GUIDEPOST SOLUTIONS LLC

Relevant Integrity Monitoring Experience:

- Jacob K. Javits Convention Center Expansion and Renovation - Integrity monitorship of \$463 million project. Responsible for forensic audit component from 2009 to 2011.
- Lettire Construction Corp. - Prevailing wage monitorship for two apartment buildings. Provided forensic audit services (2012).
- Welsbach Electric Corp. - Provided forensic auditing services in conjunction with integrity monitorship covering multiple construction projects (2012).
- John P. Picone, Inc. - Independent review of M/W/DBE compliance program for Metropolitan Transportation Authority (2012 – 2013).
- The Arker Companies - Prevailing wage monitorship for owner / developer (2012 – 2013).
- Bear Stearns - Project integrity monitorship for construction of headquarters at 383 Madison Avenue, NYC. Provided forensic audit services (2002 – 2003).
- The Special Commissioner of Investigation for the New York City School District - Integrity monitorship of two school bus companies. Responsible for planning and implementation of forensic accounting services (2010 – 2011).
- New York City Business Integrity Commission - Integrity monitorship of New Jersey based waste hauler acquiring routes in New York City. Conducted interviews of company personnel, reviewed financial and operational books and records and reported to the Business Integrity Commission (2007 – 2009).



Scott E. Allegretto, CPA, CFE

Forensic Auditor (Project Manager)

Scott E. Allegretto is an Associate Managing Director of Guidepost Solutions LLC. He is a forensic auditor in the Business Investigations and Business Controls practice areas.

Mr. Allegretto has been with the company for over seven years. In that time, Mr. Allegretto has worked under Mr. Murray's direction on each of the company's monitorship assignments. His expertise in forensic auditing has made Mr. Allegretto integral to the company's development of corruption prevention auditing programs and to its on-going oversight of the financial activities of monitored companies and projects. In addition, Mr. Allegretto has relevantly conducted numerous complex financial fraud investigations in a wide range of industries.

Prior to joining Guidepost, Mr. Allegretto was a Senior Director for Citigate Global Intelligence and Security (CGIS), in its Business Investigations and Controls practice. Mr. Allegretto conducted financial investigations and fraud audits. Mr. Allegretto's experience includes the investigation of businesses in the transportation, construction, healthcare, municipalities and non-for-profit entities.

Prior to joining CGIS, Mr. Allegretto was a Director for Kroll Associates, Inc. in its Financial Services Group located in New York. Mr. Allegretto conducted financial investigations and fraud audits and was involved in a number of integrity monitorship programs. While at Kroll, Mr. Allegretto conducted hundreds of interviews, was responsible for developing audit procedures and audited the books and records of select vendors and departments of a large town municipality.

Mr. Allegretto began his forensic auditing career with the NYS Office of the Attorney General, Medicaid Fraud Control Unit. While there, Mr. Allegretto performed numerous forensic audits and financial investigations that involved "white collar" misconduct and testified in state grand jury proceedings. Mr. Allegretto is a Certified Public Accountant and Certified Fraud Examiner.

Relevant Integrity Monitoring Experience:

Project Name	Project Description	Approx. Project Cost (\$ Millions)	Dates of Monitorship
WTC - Vehicle Security Ctr.	Project Integrity Monitorship	\$800	2009 - 2013
Ruttura Concrete	Company Integrity Monitor - Several Projects	300	2007 - 2011
Welsbach Electric	Company Integrity Monitor - Several Projects	250	2007 - 2012
Abatement Contractor	Integrity Monitor for Asbestos Abatement Co.	14	1999 - 2000
Long Island Carting	Compliance Officer for six (6) carters on L.I.	40	1998 - 2002
American Bridge	Integrity Monitor - Bridge Renovation	140	2002 -2003



GUIDEPOST SOLUTIONS LLC

Alfred A. Baldino

Project Advisor – Investigations (Program Manager)

Mr. Baldino is a Senior Investigator at Guidepost Solutions LLC. He is a twenty-one year veteran of the New York City Police Department (NYPD) where he attained the rank of Lieutenant.

Mr. Baldino has worked with the company almost since its inception. During that time, Mr. Baldino has worked on each of the company's monitorship assignments. Specifically, Mr. Baldino has spearheaded the company's investigative and intelligence work on those monitorship assignments. In those assignments, Mr. Baldino's expertise in investigating organized crime, in particular, has made him integral to the company's efforts to identify associations with organized criminal elements.

Mr. Baldino served eight years in the NYPD Organized Crime Investigation Division (OCID), investigating traditional organized crime in the metropolitan area. In 1995, Mr. Baldino assumed supervision of OCID investigation "Operation Wasteland" which focused on organized crime in the waste hauling industry. The subsequent, far reaching arrests, indictments, convictions and forfeitures of numerous individuals, companies, and associations in "Operation Wasteland" largely contributed to the creation of the New York City Trade Waste Commission (TWC) in 1996.

In 1996, Mr. Baldino was detailed to the TWC and assigned the task of establishing the investigative component by utilizing NYPD detectives. He ultimately became the Commanding Officer of the unit assigned to the New York City Business Integrity Commission (BIC), which is mandated to use its licensing authority to purge regulated industries of mob connected firms. He was responsible for overall operation and supervision of regulatory and criminal investigations. During his tenure, Mr. Baldino established a vast institutional knowledge regarding organized crime figures involved in the carting industry and other legitimate industries throughout the greater metropolitan area.

As Investigations Coordinator he also supervised and directed organized crime investigations involving the Security Exchange Commission (stock fraud), Labor Unions (ERISA violations and featherbedding), the Construction Industry (bid rigging and commercial bribery), the private Carting



GUIDEPOST SOLUTIONS LLC

Industry (restraint of trade) and the World Trade Center disaster (fraud). He spearheaded investigations that uncovered systemic fraud and waste in the private sector, public health sector and regulated industries.

Mr. Baldino attended the Federal Bureau of Investigation (FBI) National Law Enforcement Academy in Quantico, Virginia. While there he studied organized crime and white-collar crime. He currently is an active member of the FBINA Associates. Mr. Baldino is also a member of the International Association of Certified Fraud Examiners (CFE).

Prior to entering the NYPD, Mr. Baldino obtained a Masters Degree in Public Administration from Northeastern University where he received a fellowship in the school of criminal justice concentrating on organized crime in legitimate industries.

Relevant Integrity Monitoring Experience:

Project Name	Project Description	Approx. Project Cost (\$ Millions)	Dates of Monitorship
WTC - Vehicle Security Ctr.	Project Integrity Monitorship	\$800	2009 - 2013
Ruttura Concrete	Company Integrity Monitor - Several Projects	300	2007 - 2011
Welsbach Electric	Company Integrity Monitor - Several Projects	250	2007 - 2012
Staten Island Univ. Hosp.	Integrity Monitor - ER Construction	38	2010 - 2011
Lettire Construction	Integrity Monitor- 2 construction sites	70	1995 -1996
Bovis Lend Lease	Safety & Integrity Monitor - Several Projects	300+	2008-2013
Danco Electric	Company Integrity Monitor	-	2005-2007
Acme-Skillman Gen'l Contractors	Mandated Integrity Monitorship -NYCBIC	55	2005-2007
Integrated Steel Structures	Mandated Integrity Monitor -NYC BIC	10	2007-2008
Deutsche Bank Demolition	Integrity Monitor - Re: Demo 911 Bld.	45	2007
Century Waste Containers	Mandated Integrity Monitor -NYC BIC	100	2006-2008
WTC-911 Const. Cleanup (NYPD)	Coordinated Monitoring of Const. & Demo Cos.	600+	2001-2003
Mazzochi Wrecking (911) Demo	Coordinated Monitoring, Re: crime allegations	-	2001-2003



GUIDEPOST SOLUTIONS LLC

Kenneth C. Citarella, CFE

Investigations Project Manager (Project Manager)

Ken Citarella is Managing Director, Investigations and Cyber Forensics for Guidepost Solutions.

Ken joined Guidepost in September 2010 as Project Manager for claim fraud investigations for the Gulf Coast Claims Facility in its administration of the \$20 billion BP compensation fund. In that capacity, Ken supervised a staff of 300 professionals, including over 200 field investigators. Nearly 18,000 claims were referred for investigation, many of which involved the financial analysis of a claimant's business operations, including numerous construction-related entities. The project team wrote thousands of fraud reports which were described by an official of the U.S. Department of Justice as the finest body of investigative work he had ever seen.

Ken also worked as part of Guidepost's Integrity Monitor team in the New York City Rapid Repair Program overseeing Sandy-related reconstruction on Staten Island. Guidepost monitored those residential repairs from initial assessment through final walk through, documenting work order authorizations and variances between authorized work and actual performance, permitting New York City to properly evaluate invoices and satisfactory performance by contractors.

Before joining Guidepost Solutions, Ken worked with a commercial litigation law firm and with the Corporate Investigations Division of Prudential.

Ken had a distinguished 28-year career as a white-collar and computer crime prosecutor in the Westchester County (NY) District Attorney's Office, which he concluded as Deputy Chief of the Investigations Division. Ken prosecuted investment frauds, larcenies, embezzlements, anti-trust violations, public corruption, forgeries and many other economic crimes. A pioneer in computer crime prosecution, Ken obtained convictions for computer intrusions, malicious software attacks, a software time bomb, spamming, digital child pornography and the use of the Internet for child exploitation, among other cases.



GUIDEPOST SOLUTIONS LLC

Ken is also an Adjunct Professor of Law at New York Law School, where he teaches a cybercrime course, a Certified Fraud Examiner and Certified Information Privacy Professional. Ken has lectured widely before professional, legal, academic, corporate and community groups on computer crime and fraud related issues. In 2011, Ken received the Lifetime Achievement Award from the High Technology Crime Investigation Association.



GUIDEPOST SOLUTIONS LLC

Jonathan L. Newcomb, CFE

Research & Administration (Project Manager)

Mr. Newcomb has worked as an investigator for fifteen years. His skills range from complex research analytics to conducting every sort of field investigation, especially interviewing witnesses. Currently, he manages complex litigation support assignments, internal investigations of fraud, embezzlement, FCPA, and other violations, corporate integrity reviews and monitorships, and domestic and international complex due diligence for Fortune 500 companies, law firms, high net worth individuals and governmental agencies. He has a particular expertise in developing and implementing investigative plans that involve a variety of investigative resources and personnel.

Prior to joining Guidepost Solutions, LLC, Mr. Newcomb was a Principal at Proactive Integrity Associates, LLC ("PIA"), an investigative firm which focused on construction-related matters. Prior to joining PIA, Mr. Newcomb worked as a Senior Manager in the Global Business Intelligence and Investigations practice at UHY Advisors FLVS, Inc., a Senior Associate at Citigate Global Intelligence & Security, Inc. and a Manager at Decision Strategies, LLC, all of which are international investigative and forensic accounting firms.

Mr. Newcomb's significant experience includes:

- Recently, Mr. Newcomb led the field operations for integrity monitoring of a prime construction contractor repairing residential properties as part of New York City's Rapid Repairs Program following Superstorm Sandy. The project included, among other things, oversight of field labor activities, ensuring the integrity of the approval, verification and billing processes, checking the accuracy of contractor submissions and investigation of possible fraud.
- Mr. Newcomb was responsible for supervising an asset search for \$70 million embezzled from escrow account by an attorney convicted of running a \$700 million Ponzi scheme. This asset search involved individuals, entities and assets located in the United States, Caribbean and Europe.



GUIDEPOST SOLUTIONS LLC

- Mr. Newcomb led a major litigation support fact finding investigation involving the September 11, 2001 attacks on the World Trade Center relating to insurance coverage for business interruption, property damage and personal injury, verification of specific damage claims for damages arising out of the attack, and collection and analysis of data used by engineers testifying as expert witnesses.
- Mr. Newcomb participated in an internal investigation at a credit card processing company involving allegations of conflict of interest, theft of trade secrets and falsified reporting of commissions and financial results. The investigation included a forensic review of the financial and other books and records of the company, extensive interviews with key employees in the financial control group, and background investigations of suspected employees.

Mr. Newcomb was awarded a Bachelor of Arts degree in Political Science and Criminal Justice from the University of Richmond. He is a Certified Fraud Examiner and serves as First Vice President and member of the Board of Directors of the New York Chapter of the Association of Certified Fraud Examiners. Mr. Newcomb is also licensed in New York State as a Private Investigator. In addition to his experience and certifications, he has pursued continuing professional education in a variety of disciplines, including fraud investigations, interrogation and interview techniques, anti-money laundering, white collar crime, digital forensics, relational databases and several other areas pertaining to investigations and compliance.



GUIDEPOST SOLUTIONS LLC

Jennifer Manca

Research Manager (Program Manager)

Jennifer Manca is a Senior Research Investigator and Analyst at Guidepost Solutions LLC. She has over nine years of experience conducting research using public records and financial filings, developing case management strategies as well as experience conducting field investigations and undercover assignments. At Guidepost, Ms. Manca handles complex due diligence assignments, background investigations and comprehensive asset searches.

Prior to working for Guidepost, Ms. Manca was a senior analyst for an international investigative and executive protection firm, and before that, was director of research for a high profile investigations and security firm.

Ms. Manca holds a Bachelor of Science degree in Criminal Justice from Northeastern University and a Master of Science degree in Criminal Justice from John Jay College of Criminal Justice, CUNY, where she concentrated in Investigations and Criminology.



GUIDEPOST SOLUTIONS LLC

Kenneth F. Slizewski

Field Investigator (Consultant)

Mr. Slizewski is a retired New York City Police Department Detective with almost thirty years of experience in law enforcement and investigations.

Mr. Slizewski began his law enforcement career as a patrolman with the NYPD and rose to the rank of detective. He conducted investigations into all major crimes, including homicides, robberies, rapes and narcotics related crimes. Mr. Slizewski concluded his career with the NYPD with a five year assignment to the New York City Trade Waste Commission where he worked on the Organized Crime Intelligence Team. His duties on that assignment included conducting investigations related to criminal and regulatory issues, assisting in auditing financial records, conducting surveillance operations and conducting background research regarding private and public companies.

Mr. Slizewski has been working in the private sector for almost ten years participating in investigative and security related assignments. Since joining Guidepost in Mr. Slizewski has conducted construction field investigations at the World Trade Center and participated in the NYC Rapid Repairs Construction Program.



GUIDEPOST SOLUTIONS LLC

Brian F. Carter

Senior Field Investigator (Consultant)

Brian F. Carter retired from the New York City Police Department as a second grade detective following twenty years of service. Among his many investigative assignments, Mr. Carter was detailed to the NYC Business Integrity Commission (“BIC”) where he served as intelligence officer providing support to all regulatory background investigations and criminal investigations. Mr. Carter has participated in investigations involving business integrity, fraud, white collar crime, individual background checks, traditional organized crime, trademark infringement, and a host of other areas in which crimes against individuals or businesses was suspected. As a result of his investigative assignments Mr. Carter is extremely proficient in computer database technology and its applicability to investigations and business oversight. BIC required Mr. Carter to gather information and produce written reports to support criminal charges and regulatory oversight in the trucking and waste removal business.

Mr. Carter is an accredited Professional Financial Analyst and has provided financial advice to individuals in the New York metropolitan region on a wide variety of topics, including debt elimination, income protection and asset management.



GUIDEPOST SOLUTIONS LLC

Jason D. Jacobs

Associate / Staff

Mr. Jacobs is an Associate at Guidepost Solutions. He assists in investigations and monitorship projects for private and public sector clients including, most recently, with New York City's post-Sandy Rapid Repairs Program. In connection with the NYC Rapid Repairs Monitorship, Mr. Jacobs managed teams of inspectors and investigators in their daily field inspections and drafted daily reports based on those inspections. Mr. Jacobs was also responsible for data intake, analytics and conducting investigations related to issues of safety, integrity, quality and Code compliance.

Mr. Jacobs also conducts corporate due diligence, pre-employment, and asset profiles for financial institutions, law firms, sports franchises and numerous other clients.

Prior to joining Guidepost Solutions in 2011, Mr. Jacobs worked as a Paralegal for the law firm of Stillman, Friedman, P.C., where he supported the practice of the firm's attorneys through client management, legal research, document review, legal writing and collaboration with law enforcement in civil, criminal and regulatory matters.

Mr. Jacobs holds a Bachelor of Arts degree in Political Science from Duke University.



GUIDEPOST SOLUTIONS LLC

Sean S. Inouye

Associate / Staff

Mr. Inouye is a multidisciplinary associate at Guidepost Solutions. He has conducted research and investigations for a variety of projects and cases, including in the following areas:

- Corporate due diligence;
- Pre-employment and background investigations;
- Litigation support;
- Phone records analysis;
- Field investigations and undercover assignments;
- Fraud and Forensic Accounting Investigations;
- Integrity monitorships of property management companies;
- Labor compliance and monitorships of construction projects;
- General research regarding corporate entities and individuals.

Mr. Inouye was a member of the Guidepost team that served as the integrity monitor for the New York City Hurricane Sandy Rapid Repairs Program. Some of his responsibilities included debriefing of field investigators and construction inspectors; gathering, tracking and analyzing complex data and records; forensic auditing; creating daily field reports; and conducting fraud and theft investigations.

Prior to joining Guidepost Solutions in 2010, Mr. Inouye was awarded a double Bachelor of Arts degree in Psychology and Criminology. He is currently working towards a graduate degree in Political Science – International Relations at New York University.

DALTON INVESTIGATIVE SERVICES LLC

Robert Uzcategui

Senior Field Investigator (Supervisory / Senior Consultant)

Mr. Uzcategui is a Principal of Dalton Investigative Services LLC. He is a twenty-one year veteran of the New York City Police Department where he attained the rank of Lieutenant.

Mr. Uzcategui has also worked with the company almost since its inception, and has worked on almost all of the company's monitorship assignments during that time. Mr. Uzcategui has concentrated on the company's investigative and intelligence work on those monitorship assignments. Mr. Uzcategui has brought to those assignments his own expertise in investigating organized crime - Mr. Uzcategui spent five years investigating organized crime's influence in the wholesale markets and carting industry in New York City when he supervised and directed the investigation and prosecution of organized crime and its influence in the Hunts Point Market. (As a result of those efforts, the Bronx District Attorney and the New York City Department of Investigation developed and implemented internal controls for licensing and monitoring businesses and vendors in the Hunts Point Market.)

Prior to entering the private sector, Mr. Uzcategui was the Licensing Coordinator for the Business Integrity Commission (BIC), where Mr. Uzcategui supervised and directed licensing investigations and intelligence gathering. The commission has responsibility for licensing all New York City public markets and trade waste businesses - industries with a long history of anti-competitive and corrupt business practices as well as organized crime influences. His work has included cases involving extortion, vendor contracts, fraud and falsification of records, as well as labor and collective bargaining agreements. Mr. Uzcategui assisted in the successful arrest, prosecution and debarment of numerous individuals in the wholesale markets and carting industry.

Mr. Uzcategui has worked with various state and federal agencies, is a member of the American Society of Industrial Security and possesses a Masters Degree in Public Administration and Criminal Justice.

Alan Rosenzweig
Sobel & Co., LLC
Member of the Firm, Audit and Accounting Group

Professional Credentials: Certified Public Accountant (CPA)

Memberships: American Institute of Certified Public Accountants
New Jersey Society of Certified Public Accountants.
Construction Financial Management Association
American Subcontractor's Association
Utility and Transportation Contractor's Association

Education: BS, 1979, Albright College, Accounting

As a member of the firm, Mr. Rosenzweig has more than 34 years of experience in accounting and construction services. He began his career at Rosenzweig, Weissman, and Rosenzweig in 1979, became a partner there in 1984, has been a partner at Sobel & Co. since 1997 when he merged his firm with Sobel & Co. Mr. Rosenzweig helped launch the firm's successful Construction Industry Services Group and is a member of the firm's Accounting and Auditing Committee. He provides audit, accounting and tax services to clients as well as business development assistance ranging from profitability analysis to industry profiling. He was a speaker at the 2011 Institute of Internal Auditors/Association of Certified Fraud Examiners Annual Fraud Conference and wrote an article called "*Getting it Right - Understanding Sales and Use Tax Issues for Contractors*" which was published in Commerce Magazine in December 2005.

Mr. Rosenzweig's relevant experience includes providing accounting, audit and tax services for general contractors and subcontractors in the construction industry, as well as the trucking and transportation segments. He now splits his time between the firm's Audit and Accounting Group and the Forensic Accounting and Litigation Support Group.

Construction Integrity Monitorships: **Project - 1 World Trade Center (Freedom Tower)**
Owner – Port Authority of New York & New Jersey
Dates - July 2007 - Current

Co-Managing Director of Audit – Coordinates and supervises staff assignments, oversees and conducts forensic accounting reviews of construction manager and trade contractor areas such as payment requisitions, change orders, bank account audits, contractor field audits, construction manager contract compliance, and internal controls. Involved in special monitoring assignment of major contractor working on multiple WTC project sites.

Employment History: 1997 – Current
Sobel & Co., LLC
Member of the Firm

1979 - 1997
Rosenzweig, Weissman, and Rosenzweig
Partner

Rebecca B. Fitzhugh
Sobel & Co., LLC
Senior Manager, Forensic Accounting and Litigation Support Group

Professional Credentials: Certified Public Accountant (CPA)
Certified Fraud Examiner (CFE)
Certified in Financial Forensics (CFF)
Construction Industry Technician (CIT)
Certified Inspector General Auditor (CIGA)

Memberships: American Institute of Certified Public Accountants
New Jersey Society of Certified Public Accountants
Association of Certified Fraud Examiners
Association of Inspectors General

Education: BA, Union College, Political Science
MBA, State University of New York at Binghamton

Ms Fitzhugh is a Senior Manager in Sobel & Co.'s Forensic Accounting and Litigation Support Group and has more than 14 years of experience in forensic accounting, litigation services, and public accounting.

Ms Fitzhugh's litigation and forensic accounting experience includes fraud vulnerability analyses, integrity monitoring, investigation of financial misconduct, corporate compliance and internal investigations, shareholder and contract disputes, and white-collar crime. She has worked with clients in industries including government, construction, manufacturing, commercial real estate, nonprofit and retail. Ms. Fitzhugh has lectured and written on topics ranging from fraud in the construction industry to identity theft, and was an adjunct faculty member at New York University, teaching a section of the Certified Fraud Examiners Exam Review Course.

Ms Fitzhugh's relevant experience includes the following:

Construction Integrity Monitorships: **Project - 1 World Trade Center (Freedom Tower)**
Owner – Port Authority of New York & New Jersey
Dates - July 2007 – Current

Deputy Managing Director of Audit - Manages a team of forensic and construction accountants and internal control specialists. Coordinates and supervises staff assignments, oversees and conducts forensic accounting reviews of construction manager and trade contractor areas such as payment requisitions, change orders, bank account audits, contractor field audits, construction manager contract compliance, and internal controls. Involved in special monitoring assignment of major contractor working on multiple WTC project sites.

She has also worked on the following governmental and monitoring engagements:

Rebecca B. Fitzhugh
Sobel & Co., LLC
Senior Manager, Forensic Accounting and Litigation Support Group

North Carolina Department of Transportation Office of Inspector General – Reviewed professional services agreements let by a department of the NCDOT for compliance with State and Federal policies; conducted interviews of employees and funding recipients; analyzed expenditures; investigated allegations of discrimination and intimidation. Findings resulted in the suspension of a manager and the resignation of a director.

City of Newark Forensic Audit – Managed a team of investigators, forensic accountants and internal control specialists conducting an internal control/fraud vulnerability study, and a forensic audit for the City of Newark regarding its Purchasing Department and Office Services.

University of Medicine and Dentistry of New Jersey Monitorship – Forensic accountant involved in probe of allegations of fraud, abuse and misconduct at the nation's largest health sciences University.

Employment History:	2001 – Current Sobel & Co., LLC Senior Manager, Forensic Accounting & Litigation Support Group
	1998 – 2001 Trien Rosenberg, et al Senior Accountant, Audit and Accounting
	1994 – 1998 Dow Jones Markets, Inc. Associate Product Manager

Adam Lipkin
Sobel & Co., LLC
Manager, Audit and Accounting Group

Professional Credentials: Certified Public Accountant (CPA)
Construction Industry Technician (CIT)

Memberships: American Institute of Certified Public Accountants
New Jersey Society of Certified Public Accountants
Association of Certified Fraud Examiners

Education: BS, Virginia Polytechnic Institute, Accounting and Finance

Mr. Lipkin serves as a Manager in the Audit and Accounting Group at Sobel & Co. He works closely with clients in various industries including construction, manufacturing, retail and nonprofit. He is a member of the Fortress Monitoring Group forensic accounting team assigned as Integrity Monitor for the construction of 1 World Trade Center, formerly known as the Freedom Tower. Adam performs field audits of the trade contractors on the site and has played a key role in a special project monitoring a major contractor on the project.

Adam joined Sobel & Co. after graduating from Virginia Polytechnic Institute and State University in Blacksburg, VA with a Bachelor of Science in Accounting and a Bachelor of Science in Finance. He is able to combine his knowledge base in both these areas to provide added value for our clients. Leveraging his previous experience with large, international companies such as Smith Barney – Citigroup, Adam is equally comfortable working with the firm's middle market, closely-held clients or with larger, more complex corporations.

Construction Integrity Monitorships: **Project - 1 World Trade Center (Freedom Tower)**
Owner – Port Authority of New York & New Jersey
Dates - July 2010 – Current

Construction Accountant – Performs contractor field audits, involved in special monitoring assignment of major contractor working on multiple WTC project sites.

Employment History: 2006 – Current
Sobel & Co., LLC
Manager, Audit and Accounting Group

Megan T. Kelly
Sobel & Co., LLC
Staff Accountant, Forensic Accounting and Litigation Support Group

Education: BS, Accountancy, The College of New Jersey, 2010

Ms. Kelly joined Sobel & Co.'s Forensic Accounting and Litigation Support Group in 2010 after her graduation from The College of New Jersey. During her tenure at Sobel, she has worked on a variety of forensic engagements, including an investment fraud case for the State of Delaware, a securities fraud case involving a prominent financial management and advisory company, and a fraud vulnerability study/internal control evaluation of a private investment fund. Ms. Kelly currently assists on the 1WTC integrity monitorship as part of the contractor field audit team.

While attending college, Ms. Kelly interned at Celgene Corporation in a number of departments, including Accounting/Finance, Sales Operations, and Patient Support.

Employment History: 2010 – Current
Sobel & Co., LLC
Staff Accountant, Forensic Accounting/Litigation Support Group

Brian Levine
Sobel & Co., LLC
Staff Accountant, Forensic Accounting and Litigation Support Group

Education: BS, Accountancy, The College of New Jersey, 2011

Mr. Levine joined Sobel & Co.'s Forensic Accounting and Litigation Support Group in 2012 after his graduation from The College of New Jersey. During his tenure at Sobel, he has worked on a variety of forensic engagements, including multiple internal investigations and investigations of financial misconduct.

Mr. Levine is also a member of the Metuchen Volunteer Fire Department.

Employment History: 2012 – Current
Sobel & Co., LLC
Staff Accountant, Forensic Accounting/Litigation Support Group

William T. Thomsen, PE
General Manager, National Infrastructure Services
Senior Vice President, Program Management



RECORD OF PROFESSIONAL EXPERIENCE

Mr. Thomsen's experience with Urban Engineers, Inc. encompasses the management/engineering of major projects in the fields of Transportation and Infrastructure systems. He presently serves as General Manager of all of the firm's National Infrastructure Services and as a corporate Senior Vice President overseeing National Consulting and Program Management and oversees and has performed project management, planning, design, construction, value engineering, construction claims support, and expert witness services. He also serves as the executive in charge of Urban Engineers, of NY, and Regional Manager for the Northeast. Mr. Thomsen led the firm's development in the Practice Areas of Transit, Railroad, and Project Management Oversight and Program Management Construction Services and Litigation Support. He has significant national experience with major capital project development from planning through construction for SEPTA, MARTA, DART, TRE, UTA, RTD, RTC, Tri-Rail, MDTA, Lynx, and MTA. He has served as an associate professor (part-time) at Temple University, lecturing on transportation engineering, and has taught a professional engineering registration review seminar and serves on the Civil Engineering Advisory Committee. He also assisted in the development of the National Transit Institute's first course on the Management of Transit Construction Projects.

Mr. Thomsen has special expertise concerning Public Transit systems. He has participated as a member of Urban's in-house constructability review committee, which examines contract documents for their constructability in order to mitigate construction claims. He is familiar with all aspects of civil engineering as they relate to transportation, and is well qualified in the special requirements for coordination of engineering projects with active railroads and systems of public transportation and infrastructure in urban environments. He has represented ASCE on the planning committee for the Area Coalition for Transportation in support of funding for SEPTA and is active in legislative affairs.

Integrity Monitoring Freedom Tower Project, Port Authority of New York and New Jersey (PANYNJ), New York City, NY – Mr. Thomsen serves as Managing Director for Engineering for the Fortress Monitoring Group (FMG) for the Integrity Monitoring of the Freedom Tower Project. FMG is a Joint Venture of Engineers, Investigators and Accountants. Mr. Thomsen was integrally involved in the formation of FMG and serves as a JV Principal. This assignment provides the PANYNJ with expert advice in monitoring the implementation of Design and Construction activities to accomplish the reconstruction of the Freedom Tower (Tower 1). This building is planned as one of the tallest buildings in the United States upon its completion and will take more than 5 years to construct and cost over \$2 Billion. He provides leadership and guidance for all engineering activities, studies and investigations associated with FMG's efforts in the mitigation and avoidance of fraud waste and abuse.

Project Management Oversight, Metropolitan Transportation Authority (MTA) and New York City Department of Transportation (NYCDOT), Federal Transit Administration (FTA), New York City, NY – Mr. Thomsen serves as Program Director for the Project Management Oversight Program to monitor the progress of all FTA-funded transit projects in New York City for FTA Region 2 above lower Manhattan. The PMO Team serves as an extension of FTA's staff in assessing the grantee's project management, construction management, and technical capacity in executing major rail car procurement projects. The total value of these programs is approximately \$30 billion in transit projects for the MTA which includes: New York City Transit (NYCT), Long Island Rail Road (LIRR), the East Side Access (ESA) Project; the Second Ave Subway (SAS) and several projects for NYCDOT. During this project Mr. Thomsen lead the readiness review and evaluations on the successful implementation of the 2 largest Full Funding Grant Agreements (FFGA) ever developed by the FTA in history for both the ESA and the SAS projects respectively. Mr Thomsen has lead numerous other major capital projects for Urban throughout his career, listed below is a sampling of some of the significant ones:

Keystone Corridor Improvement Program

Project Management Oversight, Los Angeles County Metropolitan Transportation Authority Metro Red Line Tunnels



Philadelphia International Airport High Speed Rail Line
Northeast Corridor 54th Street Interlocking
Schuylkill Valley Metro Feasibility Study
Cross County Metro
Center City Commuter Rail Connection
Philadelphia Trolley Feasibility Study
General Engineering Consultant, SEPTA
Project Management Oversight, Dallas Area Rapid Transit
Project Management Oversight, Downtown People Mover Reconstruction, Detroit
Eglinton Tunnels, Value Engineering, Toronto Transit Commission, Toronto, Canada
Yonge Station, Value Engineering, Toronto Transit Commission, Toronto, Canada

EMPLOYMENT HISTORY

Urban Engineers, Inc., Philadelphia, PA (2006 – present)
Trauner Consulting Services, Inc. Philadelphia, PA (1997-2006)
US Army Corps of Engineers, Los Angeles, CA and Philadelphia, PA (1981– 1990 & 1992 – 1997)
Century Program Management, Los Angeles, CA (1990 – 1992)

REGISTRATIONS/MEMBERSHIPS

Professional Engineer NY, NJ, PA, MD
NJ DOT ETRP Compliance NJ
American Society of Civil Engineers
Past President - Philadelphia Section
Chairman of Transportation and Urban Development
Secretary - District IV Legislative Affairs Committee
Member - National Committee, High Speed Ground Transportation
American Public Transit Association
Light Rail Transit Committee
High Speed Inter City Ground Transportation Subcommittee
Chairman, Capital Projects Subcommittee
Intercity Corridor Development Committee
SAME, CMAA, ASCE, Member

EDUCATION

MS, 1985, Drexel University, Engineering Management
BS, 1978, Clarkson College of Technology, Civil/Environmental Engineering

Thomas E. Mitchell, PE

Civil Engineer / Claims Analyst



RECORD OF PROFESSIONAL EXPERIENCE

Mr. Mitchell's 30 years of construction consulting experience includes expert witness testimony experience for construction claims, as well as technical and management experience. He has worked with clients on federal, state, local, and private projects. Project types include heavy, marine, highway, transit, educational, hospital and health-care, military, aviation, hotel, and other commercial construction. His experience includes all aspects of construction contracts, from procurement, management, and dispute resolution. He also has experience with the design, drafting and editing construction contracts; drafting general and special provisions for contracts; and technical specifications. While working on construction projects, he performed construction, quality control, and quality assurance inspections. He has performed construction management and contract administration; change order evaluations and negotiations; and construction claims analyses for time extension and cost damages entitlement. Mr. Mitchell's experience includes dispute resolution of construction claims. When construction claims have been elevated to litigation, Mr. Mitchell has successfully provided expert testimony on matters related to quality management, entitlement, damages, and delays. He provides training courses and seminars on a wide variety of construction topics, including construction management, CPM scheduling, claims avoidance, writing, and dispute resolution

PROJECT MANAGEMENT OVERSIGHT

2nd Avenue Subway PMO, Federal Transit Administration, New York City, NY - Analyst for a \$3 billion project for the 2nd Avenue Subway project. The work includes tunnel boring and cut & cover station construction. The assignment was to assess the labor availability and provide a risk analysis if the labor market would support construction of project from 2007 to 2013. Additionally, he provides an on-going assignment to evaluate change orders for compliance to prescribed procedures from the Project Management Plan and requests for time extension by reviewing Primavera schedules. (2006 to present)

East Side Access, Long Island RR, Federal Transit Administration, New York City, NY - Analyst for a \$6 billion expansion of the Long Island RR into Grand Central Station, on the east side of Manhattan, an extension of the existing commuter rail system from the Sunnyside Interlocking. The work includes tunnel boring and underground station construction. The assignment was to assess the labor availability and provide a risk analysis if the labor market would support construction of project from 2007 to 2012. Additionally, he provides an on-going assignment to evaluate change orders for compliance to prescribed procedures from the Project Management Plan and requests for time extension by reviewing Primavera schedules. (2006 to present)

INTEGRITY MONITORING

Integrity Monitoring, One World Trade Center, Port Authority of New York and New Jersey (PANYNJ), New York City, NY – Mr. Mitchell was approved to provide construction claims evaluation services, including review of schedules and correspondence. Authorized to conduct reviews, document potential risks, and time and cost implications for reporting to the Inspector General. The effort supplements the search for waste, fraud, and abuse that may be systemic to the construction industry and the evaluations, reports, and analyses are forwarded to the NYNJPA Inspector General.

LITIGATION, CONSTRUCTION AND INSURANCE CLAIMS

Sordoni Construction Company v. Pennsylvania Department of Transportation – Mr. Mitchell provided expert witness services to the Office of Chief Counsel and the Pennsylvania Department of Transportation related to the \$1.3 million claim filed by Sordoni for the Park, Plaza, and Pedestrian Court, Scranton, PA. Evaluated the performance of contractor as related to adherence to contract, prepared expert witness reports, and provided expert witness testimony at the Pennsylvania Board of Claims. (2011-2012)

Shire Corporation (Crum & Forster, Surety) v. Rhode Island Department of Transportation, Warwick, RI – Mr. Mitchell was engaged by the law firm McElroy, Deutsch, Mulvaney & Carpenter, LLP for expert witness services for the \$4.5 million reconstruction of the post-tensioned pre-cast box girder Greenwood Railroad

Bridge. Mr. Mitchell prepared an expert report in preparation of arbitration. Mr. Mitchell testified to his findings in arbitration in November 2010. The arbitration decision was in favor of the client. (2008-2011)

US Department of Justice, Civil Division - Candelaria Corporation v. US, Northern Arizona Health Care System, Prescott, AZ and Phoenix Health Care System, Phoenix, AZ - Contractor filed two separate complaints in Court of Federal Claims for damages related to the \$4.8 million construction of Expanded Medical Specialty Care Clinic facility and the \$1.0 million renovation at the Carl T. Hayden VA Medical Center. Mr. Mitchell evaluated the contractor's construction claims/complaints in excess of \$2.5 Million. Expert reports were prepared in preparation of trial. Expert witness presentation was provided during mediation before a Federal Claims Court Judge. (2008-2011)

AIG Insurance Claim Investigations and Evaluations, York Risk Services Group, and Law Firms - Investigated insurance claims for water intrusion, structural damages, explosions and other losses due to construction activities or accidents. Included in these investigations are damages at chemical manufacturing plant; vibratory damages to existing building due to adjacent high rise construction. Coordinated and managed other technical experts to investigate insurance losses at facilities damaged due to fire, water intrusion, earthquakes, and adjacent construction activities. 2007-Present

CONSTRUCTION MANAGEMENT/SCHEDULING

Maryland State Highway Administration – Construction Claim and Schedule Services

Delaware River Port Authority, General Engineering Services – Construction Monitoring and Inspection, New Jersey and Pennsylvania

New Jersey Department of Transportation

Replacement of Clifton Avenue and Nesbitt Street Bridges over NJ Transit and I-280, Newark, Essex County, New Jersey

Rt. 280 @ GSP Interchange 145, Burnet Street to Steuben Street, East Orange, Essex County, NJ

Connecticut Department of Transportation

Replacement of Bridge 01034, Rte. 63 over Naugatuck River and Metro North, Naugatuck, CT

Realignment of Grove Street & Prospect Hill Road, New Milford, CT

Haynes Construction Claim Analysis - Highway Maintenance and Electrical Facility and Salt Storage Shed, Southbury, CT

Construction Claim Analysis –Oxford-Waterbury Airport, Southbury, CT

EMPLOYMENT HISTORY

Urban Engineers, Inc., Philadelphia, PA (2006 – present)

Trauner Consulting Services, Inc. Philadelphia, PA (1997-2006)

US Army Corps of Engineers, Los Angeles, CA and Philadelphia, PA (1981– 1990 & 1992 – 1997)

Century Program Management, Los Angeles, CA (1990 – 1992)

REGISTRATIONS/MEMBERSHIPS

Professional Engineer CA, MD, CT, PA, and OH

American Arbitration Association (AAA) – Roster of Neutrals

National Construction Dispute Resolution Committee (AAA)

Construction Management Association of America

American Society of Civil Engineers (Construction Institute)

EDUCATION

BS in Civil Engineering, Loyola Marymount University, 1982

Master of Civil Engineering –Villanova University, 1996

Paul T. Dionisio, PE
Structural Engineer



RECORD OF PROFESSIONAL EXPERIENCE

Mr. Dionisio has extensive experience in the inspection, design, and construction management of transportation facilities and structures. His experience includes stations and platforms, railroad and highway bridges, and viaducts. As a Lead or Project Engineer on transportation projects, Mr. Dionisio performs the planning, organizing, engineering, and controlling of work to achieve approved objectives, on schedule and within budget. He has completed safety courses for Amtrak and the Long Island Rail Road.

Integrity Monitoring World Trade Center, Tower 1, New York, NY - Deputy Managing Director for the integrity monitoring of the construction of \$3.5 billion Tower 1, World Trade Center, 3.6 million SF (335,000 sq. m.) office building in downtown New York City. This responsibility reports directly to the Owner and is centered on eliminating fraud, waste and abuse. Duties include monitoring the activities of the design professionals and the construction manager, as well as monitoring the construction for safety, compliance with drawing and specifications, schedule cost avoidance. 2012 - Present

Project Management Oversight on Second Avenue Subway and East Side Access, Federal Transit Administration (FTA), Manhattan and Queens, NY - Acted as Task Manager for Readiness for Bid reviews of numerous construction contract packages including monitoring of design development and quality reviews for the \$3 billion project for the 2nd Avenue Subway project and the \$6 billion East Side Access expansion of the Long Island Rail Road into Grand Central Station, on the east side of Manhattan. The 2nd Avenue Subway work includes tunnel boring and cut and cover station construction. The East Side Access work includes tunnel boring and underground station construction. 2010-2012

ADDITIONAL RELEVANT EXPERIENCE

New York State Department of Transportation - Provided project engineering and inspection services for a number of projects including:

Emergency Flood Inspection of NYC Arterial Highway System and Local Bridges, Kings and Queens Counties, NY - Project Engineer for the visual and physical inspection of various bridge elements of 30 bridges for flood damage and susceptibility to scour.

NYS DOT Biennial Bridge Inspections, NYC - Inspection Leader/Quality Control Engineer led a team of inspectors for several bridge inspection programs.

Reconstruction of the Brooklyn-Queens Expressway from Broadway to 25th Avenue, NYS DOT, Queens, NY - QA/QC Specialist responsible for leading the final design services for this \$252 million reconstruction of 1.5 miles of the Brooklyn-Queens Expressway between Broadway and 25th Avenue.

New Jersey Transit - Acted as Project Manager, structural engineer, and Quality Control/Quality Assurance Specialist for the following projects:

Undergrade Bridge Inspection Services, New Jersey Transit, NJ - Project Manager responsible for in-depth condition inspection, inventory, load rating, remedial work recommendations and cost estimating for approximately 100 bridges.

Parcel 73, Rail Operations, New Jersey Transit, NJ - QA/QC Specialist responsible for structural report review.

Trenton Station Renovation, New Jersey Transit, Trenton, NJ - Quality Assurance Manager responsible for technical evaluations of the improvements such as an assessment of potential impacts to, and staging requirements for, catenary systems, requirements for structural repairs, and participated in regulatory interfaces or the transformation of a 30-year-old station into this \$79 million new intermodal transportation center.

Rahway Railway Station Rehabilitation, New Jersey Transit, Rahway, NJ - Project Manager responsible for technical reviews, scheduling and interfacing with New Jersey Transit for civil/structural engineering and surveying services for the \$12.6 million Rahway Railway Station rehabilitation project which included rehabilitation of a pedestrian tunnel, railroad bridge modifications, two elevators, canopies, island and side platforms and a new multi-level station building.

Metropolitan Transportation Authority – Provided engineering project management, structural engineering services, construction inspection, and many other function for MTA, including:

North Undergrade Bridge Program, MTA Metro-North Railroad, Westchester/Dutchess Counties, NY - QA/QC Specialist responsible for structural engineering design and inspection services for undergrade bridges in New York State. The services also included structural and resident engineering.

Demolition and Removal of Obsolete Railroad Structures, MTA Metro-North Railroad, Bronx/Westchester/Putnam Counties, NY - Project Manager responsible for structural engineering, inspection and construction support services for the demolition of several buildings and bridge structures along Metro-North's Harlem and Hudson Lines.

Design of New Drain Line Valve Installations at Seven Locations, MTA New York City Transit, Manhattan and Bronx, NY - Structural Engineer responsible for the reconfiguration of an existing pump room and structural evaluation and waterproofing of 3,000 LF of tunnel for the investigation and design of new drain line check valve and gate valve installations into existing subway track drain lines at seven subway line locations in Manhattan and the Bronx to mitigate subway flooding.

General Architectural/Engineering Services, Contract 5-01-98218-0-4, MTA, Various Locations, NY - Principal-In-Charge for the engineering consultant and design services for various MTA system facilities on an as-needed basis.

Indefinite Quantity and Engineering Design Services for Miscellaneous Construction Projects, MTA New York City Transit, Various Counties, NY - Project Manager responsible for structural, civil, architectural, surveying and environmental engineering for this five year, \$4 million indefinite quantity contract to provide engineering services related to rapid transit and surface engineering projects, including coordination with MTA Real Estate on easements and modified structural and civil/site designs to accommodate easements.

Environmental On-Call, MTA New York City Transit, New York, NY - QA/QC Specialist responsible for environmental reviews for environmental engineering and assessment; remediation design; archaeology, hazardous materials assessment and abatement; cost estimating; health and safety plans; civil, mechanical, electrical, traffic and geotechnical engineering; hydrology and hydraulics; landscape architecture and construction inspection. Professional fees: \$10 million maximum.

Port Authority of New York / New Jersey –

Traffic Engineering On-Call Services, The Port Authority of New York and New Jersey, Various Locations, NJ - QA/QC Specialist responsible for reviewing for design of traffic engineering and safety improvements at railroad at-grade crossings in Port Newark.

PATH Consolidated Maintenance Facility at C&D Yard, The Port Authority of New York and New Jersey, Jersey City, NJ - Structural Engineer responsible for track and structural review. As a subconsultant, provided civil and structural design services for design of a new Consolidated Maintenance Facility at the PATH C&D Yard.

EMPLOYMENT HISTORY

Urban Engineers of NY, PC (2010 – present)
 Dewberry-Goodkind, Inc., New Jersey (1995 – 2010)
 Stone & Webster Engineering Corp., New Jersey (1987 – 1995)
 Iffland Kavanagh Waterbury, P.C., New York, NY (1979 – 1987)
 Malcolm Pirnie, Inc. (1975 – 1979)

REGISTRATIONS/MEMBERSHIPS

Professional Engineer FL, NJ, CT, and NY
 American Society of Civil Engineers
 AIA/American Consulting Engineers Council Committee
 American Consulting Engineers Council - Metro Region

EDUCATION

MS, 1976, Cornell University, Civil Engineering
 BS, 1975, Cornell University, Civil Engineering

Edward J. Godorov, PE
Mechanical Engineer



RECORD OF PROFESSIONAL EXPERIENCE

Mr. Godorov is responsible for the design and construction management of the heating, ventilation, air conditioning, plumbing, and fire protection for shopping malls, food markets, hotels and motels, financial centers, corporate centers, industrial complexes, medical and pharmaceutical facilities, public and private educational institutions.

Integrity Monitoring

One World Trade Center, New York, NY - Mr. Godorov has been the Integrity Monitor of the MEP trades since 2008. He has monitored the MEP contractor progress meetings and the MEP installation on a consistent basis as the building grew from below grade through the erection of the tower itself. The \$3.1 BILLION tower itself will have 84 floors of offices and will be the tallest building in North America. Construction is ongoing and scheduled to be completed by year end 2013.

Transportation Facilities

Ardmore Transit Center, Lower Merion Township, Ardmore, PA - Chief Mechanical Engineer for the new \$43 million transportation center, servicing Amtrak and SEPTA train lines and bus station. MEP design involves the new 11,000-SF, 3-story station building, including retail and commercial space, passenger ticket and waiting areas, a 220,000-SF parking garage accommodating 375+ cars, and a new 7,000-SF township public safety building. 2012-2014

Aberdeen MDAASF (Maryland Army Aviation Support Facility), Aberdeen Proving Grounds, MD - Chief Mechanical Engineer for the \$25 million addition and renovations. Renovations consist of a 50,000-SF floor area, along with a 90,000-SF addition, which includes approximately 70,000-SF of new helicopter maintenance and storage hangar with the remaining 20,000 SF consisting of office and supports spaces. This building is being designed for LEED® AP Silver Certification. 2010-2012

US Airways Ground Service Equipment Facility, Philadelphia International Airport, Philadelphia, PA - Chief Mechanical Engineer for the design, construction and commissioning of a new 56,000-SF ground service equipment facility, including a training room, break room, lavatories, paint booths, loading docks, parts storage area, and fluid and waste transfer systems. This building is being designed for LEED® AP Silver Certification. 2011

Glassboro Train Station - Renovation, TranSystems, Inc., Glassboro, NJ - Project Manager/Chief Mechanical Engineer for the \$1 million, 1200-SF renovation of the Historical Train Station into a Community Center, involving all new HVAC, plumbing and electrical design, with emphasis made on maintaining the historical beauty of the building. 2011

Elizabethtown Train Station - Renovation, TranSystems, Inc., Elizabethtown, PA - Chief Mechanical Engineer for a one-story, 2,243-SF historic train station which has been renovated with new mechanical, plumbing and electrical systems, with the capacity to house a future restaurant tenant. Upgrades were designed for the station platforms for lighting, elevator power and air conditioning. HVAC design included split-type air-conditioning systems with indoor air-handling units and outdoor air-cooled condensing units, hot water cast iron boiler, hot water pumps, hot water radiation, hot water unit heaters, hot water duct heating coils, toilet exhaust system, domestic water piping and gas fired hot water storage tank. 2009-2010

Legal Review/Professional Witness

Penn Valley Constructors, Inc. vs. Triumph Brewing Company of Philadelphia, LLC - for Penn Valley Constructors, Inc., Morrisville, PA - Reviewed engineering documents, meeting minutes between the parties and site visit of the facilities. Penn Valley Constructors, Inc. brought legal action against Triumph Brewing Company of Philadelphia, LLC. for lack of final payment for construction performed at 117 Chestnut Street. Mr. Godorov participated in defense by preparing a report answering the plaintiff's allegations.

Solutions for Progress vs. Friday Architects/Planners, Inc. - for Solutions for Progress - Philadelphia, PA - Reviewed engineering documents, meeting minutes between the parties and photographs of the water source heat pump system for the facility. Solutions for Progress brought legal action against Friday Architects/Planners, Inc. that included the MEP design consulting engineer, for alleged inferior design work. Mr. Godorov participated in behalf of the plaintiff by developing plans and specifications for the corrective measures to the installed designed system, and in the mediation conferences between the parties. 2011

Marchington, Inc. vs. Scranton School District vs. John S. Palumbo v. Robert Huylo - for Scanlon, Howley & Doherty, PC - Scranton, Lackawanna County, PA - Reviewed engineering documents and independent engineers report of the steam boiler replacements for the West Scranton High School. This was suit brought against the MEP design engineer, Robert Huylo, P.E., for alleged inferior design work. Mr. Godorov is participated in Mr. Huylo's defense by composing a report that answers all the plaintiff's allegations, and in preparing to be a witness in the court. Professional Services - 2011

The Essex & Sussex Condominium Association vs. Barone Engineering - for Hoagland, Longo, Moran, Dunst & Doukas - Spring Lake, Monmouth County, NJ - Review of engineering documents, independent engineers report and photographs of a water source heat pump system, electrical system and plumbing system of the facility. This was suit brought against the MEP design engineer, Vincent J. Barone, P.E., for alleged inferior design work. Mr. Godorov's participation was in his defense, by composing a report that answered all the plaintiff's allegations and in mediation conference between the parties. Professional Services - 2009

The Milan - for AIG - New York, NY - Review of engineering documents, independent engineers report and photographs of the water source heat pump system piping riser expansion joint failure. In this case, there was pipe expansion joints designed without alignment guides in this system for the 32-story building. Review of the report that was produced by Thornton Tomasetti that described the failure, conclusion and recommendations. Mr. Godorov agreed with this report. Professional Services - 2007

EMPLOYMENT HISTORY

Urban Engineers, Inc., Philadelphia, PA (2004 – present)
Marvin Waxman Consulting Engineers, Inc., Philadelphia, PA (1987 – 2004)
Self-employed, Philadelphia, PA (1983 –1987)
Bennett Levin & Associates, Philadelphia, PA (1967 – 1983)

REGISTRATIONS/MEMBERSHIPS

Professional Engineer NJ, MD, IL, and PA
American Society of Heating, Refrigeration & Air Conditioning Engineers (ASHRAE)
American Society of Plumbing Engineers (ASPE)
National Society of Professional Engineers (NSPE)
Delaware Valley Green Building Council (DVGBC)

EDUCATION

BAE, 1967, Pennsylvania State University, Architectural Engineering
BS, 1963, Pennsylvania State University, Mechanical Engineering

RECORD OF PROFESSIONAL EXPERIENCE

Mr. Connor's experience as a Construction Safety and Risk Reduction Manager encompass a strong commitment to excellence in areas of construction safety and security on heavy civil, rail, bridge, tunnel, high rise, and process engineering projects.

Performed construction safety monitoring on behalf of the PANYNJ at the WTC Construction Site (PATH Transportation Hub and National September 11th Memorial Museum projects), the NYS Metropolitan Transportation Authority Bridges and Tunnels division (seven major NYC bridges and two high volume NYC tunnels) , and the NYC Department of Environmental Protection BEDC division. He exercises independent judgment and renders sound, pragmatic technical decisions in the areas of Construction Safety, Fire/Life Safety, Transportation Safety, and Occupational Health and Safety. He is a fire and life safety expert as well as an experienced fire investigator. He has utilized these skills on major construction projects. Additionally, he has a proven track record of reduced litigation due to decreased accidents/injuries.

At the Urban Engineers corporate level, provides internal safety compliance training and risk assessments and solutions on Urban Engineers municipal construction management projects in multiple states. Externally, provides safety reviews on FTA funded mega-projects (East Side Access and Second Ave Subway projects in NYC) to ensure grantee safety and security requirements are being met throughout the life cycle of the project, from project bid document reviews to safety and security progress reviews. Reviews and comments on FTA required Safety and Security Management Plans (SSMP's) and other FTA required deliverables from the grantee. Knowledge of NFPA codes (101, 130), NYS codes, NYC codes for rail stations; variance procedures and requests, etc.

Integrity Monitoring

One World Trade Center, New York, NY - Safety Engineer for the Fortress Monitoring Group, a joint venture including Urban Engineers, which was appointed by and reports to the Inspector General of the Port Authority of New York & New Jersey to conduct Integrity Monitoring of the construction at 1 World Trade Center. Reviews and authenticates license, training & certificates; reviews HASPS for conformance with PA NY&NJ requirements. Monitors safety personnel for non-performance and selective enforcement.

Safety Integrity Monitor at the WTC site with success in contractor contractual and regulatory compliance on the following projects: 1) WTC Transportation Hub (\$2B dollar project), 2) WTC National September 11th Memorial Museum (\$500 million project), and Tower #1 (\$2.1 billion project). Attended project specific and site wide safety meetings, progress meetings, OIG conference calls. Interfaced with PANYNJ project management, consultant project management, contractor project management, superintendents, foremen, shop stewards, and trade workers. Interviewed pertinent individuals to ascertain status of contractual and regulatory requirements, documents, and permits. Conducted site visits to compare field conditions with contractual requirements. Observed and reported findings.

SAFETY MONITORING

Independent Safety Monitor on all MTA/TBTA projects (seven major NYC bridges and two, high volume, NYC tunnels). This contract came about as a result of recommendations from OSHA and MTA risk management after several fatalities and a major fire occurred on MTA/TBTA capital improvement projects. Observed and reported findings. Interfaced with MTA facility engineers, general contractor project managers, superintendents, business agents, foremen, shop stewards, trade workers.

Safety, Health, and Environmental Manager for construction management firm on a \$180 million DEP capital improvement project under a federal monitor ship. Presented at regularly scheduled meetings with

NYS DEC, NYC DEP, FDNY, and federal monitor consultants compliance with permit required confined space and hot work permit protocols. Resolution of spills reporting to NYS. Monitored contractor compliance with SPEDES protocols, Clean Air Act, and Clean Water Act. Conducted accident investigations, root cause analysis and corrective measures and recommendations. Critique, developed and revised health and safety plans, provided OSHA training, Conducted construction safety/ loss control audits, enforced compliance of OSHA 1926, NYS DOL, & NYC DOB/DEP/FDNY construction safety requirements. Communicated the genesis of and requirements for the effective use of related plans, procedures, and guidelines of federal, state and local Requirements and codes.

Successfully audited NYC high rise, interior renovation project including fire safety initiatives and compliance, crane operations and DOB permitting, policies, and procedures. Oversight of implementation of 29 CFR OSHA 1926 related requirements. Developed program objectives identified corrective action strategies & provide periodic status reports to management; worked closely with other staff to integrate safety program implementation. Knowledge of Federal RCRA, EPCRA, compliance laws: site remediation, hazardous waste handling and disposal, etc.

SAFETY TRAINER: OSHA authorized 10 and 30 hour construction outreach safety trainer.
Lead instructor for the PANYNJ Path Railroad on Track Safety for PATH workers and private contractors.
OSHA compliance training.

Fire Marshall, Bureau of Fire Investigation, Fire Department of New York (FDNY), New York, NY -
Conducted plan reviews for both renovation and new high-rise construction to ensure fire safety (FDNY and NFPA codes) including Local Law 26. Developed and implemented risk reduction programs. Regular inspection of construction sites in NYC. Investigator on over 100 fire and arson investigations including homicides and fire insurance claims in excess of \$100 million; Served as the lead investigator on a large construction fire, which led to changes in the NYC Fire and Building Codes. Interfaced with numerous of law enforcement agencies, insurance companies, victims, and victim's families. Managed the arrest process from start to finish as the arresting investigator. Testified as an expert witness in civil and criminal court proceedings. Submitted accurate reports in a timely fashion under tight deadlines. Conducted interviews, surveillance, and computer searches. Served subpoenas. Followed NFPA/NYS/NYC standards for origin and cause investigations and NYS CPL for criminal investigations. Conducted and monitored emergency evacuation drills and hazardous spill containment. Performed accident prevention and investigations.

EMPLOYMENT HISTORY

Urban Engineers of NY, New York, NY (2010 – present)
Total Safety Consulting (2007 – 2010)
Fire Department of New York, New York, NY (1996 – 2004)
Metropolitan Transportation Authority – NYC Transit (1987 – 1996)

REGISTRATIONS / MEMBERSHIPS / TRAINING

NYC DOB Approved Scaffold Safety Training	NYFD - Basic Fire Investigation NY
40 Hour HAZWOPER	Hazardous Waste Operations and Emergency Response NY
30 Hour OSHA Construction Safety & Health	OTI 510 Occupational Safety & Health Standards
40 Hour Site Safety Manager Certificate NY	10 Hour OSHA Construction Safety and Health
NYC DEP Hot Work Permit Certification	Department of Environmental Protection Hotwork PAI NY
FDNY Qualified Haz Mat Responder	Member of the American Society of Safety Engineers - ASSE Metro (NYC) Chapter
Permit Required Confined Space Certification	National Safety Council - Safety Ambassador
NY State Certified Fire Investigator - Level I and II	
Fire Safety/Prevention Expert	
OSHA 500 Outreach Trainer	
Respiratory Protection and Fit Testing NJ	

EDUCATION

BS, 2003, St. Francis College, Special Studies

JOHN J. CHECCHIO

Senior Consultant

Mr. Checchio is a Civil Engineer/Environmental Scientist with over 25 years of experience managing Civil and environmental engineering projects, which include environmental data review, regulatory analysis, Phase I/II investigations, groundwater investigations and remediation, environmental assessments, feasibility studies, preparation of bid specifications, and underground storage tank (UST) management for industrial and residential projects. Mr. Checchio's experience in the environmental field includes the analysis of cost effective solutions for wastewater treatment, soil and groundwater contamination investigations and remediation, remedial investigation/feasibility studies, and closures of industrial sites containing various hazardous materials including petroleum hydrocarbons, volatile organics, and polychlorinated biphenyls (PCBs). Mr. Checchio has also been the Project Director for several industrial building decontaminations and projects involving the design and implementation of soil washing, thermal desorption, vacuum extraction, and bio-remediation technologies. Examples are provided below.

Environmental Integrity Monitoring

Professional Integrity Monitoring Services – Environmental Risks - World Trade Center Site (WTC)

Mr. Checchio manages the project led by Guidepost Solutions LLC (Guidepost), retained by the Port Authority of New York and New Jersey (the Authority) to provide the environmental aspects of Professional Integrity Monitoring Services for the Vehicle Security Center, Parking Facility and Roadway Projects at the WTC site in New York City, New York. Mr. Checchio's primary role managing this project is to identify potential environmental risks associated with the project.

Brownfield and Portfields Redevelopment

City of Newark Portfields Study, Newark, NJ: Brinkerhoff Environmental Services, Inc. conducted environmental work as part of a Project Team for the Newark Portfields Study requested by The Port Authority of New York & New Jersey. The study was performed to evaluate the redevelopment constraints on three (3) mixed-used properties within the Port Area being considered for warehouse and manufacturing purposes. The properties evaluated were large tracts varying in size from 25 to 50 acres that were formerly used for chemical processing, municipal landfills, industrial processing, and drum storage and disposal. Brinkerhoff Environmental Services, Inc.'s portion of the team effort was to conduct environmental assessments of the property for regulatory compliance, including environmental assessments, wetlands, and land use.

East 2nd Street, Gavett Place, and Disco Aluminum Redevelopment Projects, City of Plainfield, NJ: The City of Plainfield's Planning Division awarded Brinkerhoff Environmental Services, Inc. three (3) contracts to conduct Phase I Environmental Site Assessments (ESAs) at multiple properties within the City's Redevelopment Zone that the City considered underutilized. The sites were in close proximity to each other on the outskirts of the Downtown area and were comprised of vacant lots, lots with vacant buildings, a parking lot, and an auto repair facility. The properties were on East 2nd Street, North Avenue, South Avenue, and East 6th Street. Mr. Checchio acted as Senior Project Manager for these projects; two (2) were funded by United States Environmental Protection Agency (USEPA) grant monies and the other by the New Jersey Department of Environmental Protection's (NJDEP's) Hazardous Discharge Site Remediation Fund (HDSRF). After the Phase I ESAs were completed by Brinkerhoff Environmental Services, Inc., Mr. Checchio also oversaw the performance of a Phase II Site Investigation at one site and the preparation of a Preliminary Assessment Report at another.

Environmental Due Diligence and Regulatory Compliance

Multiple Phase I and Phase II Assessments in New Jersey, New York and Massachusetts: Mr. Checchio served as Senior Project Manager for multiple Phase I ESAs performed for the then North Fork Bank and conducted as stated above. Several of the assessments were performed each month for a period of four (4) years. Many of the Phase I ESAs concluded that RECs were present at the sites, thus resulting in the need for Phase II Site Investigations which were also performed by Brinkerhoff Environmental Services, Inc. environmental scientists, under Mr. Checchio's direction, according to the NJDEP's *Technical Requirements for Site Remediation*, N.J.A.C. 7:26E.

Baseline Ecological Evaluations (BEE), Multiple Locations for ARCADIS: Mr. Checchio supervised a 30 site portfolio in New Jersey for ARCADIS in the preparation of BEE. Mr. Checchio oversaw the findings of BEE as per N.J.A.C. 7:26E-3.11 for multiple industrial facilities in New Jersey. The findings of the BEE are used to assess the nature/extent of potential constituents of ecological concern in the site media and determine the need

for further sampling. He supervised the necessary research, site inspections, and document reviews necessary for the completion of Environmental Impact Studies (EISs) per municipal requirements and Executive Order No. 215. These documents are prepared to identify potentially adverse environmental impacts of proposed projects and provide recommendations to reduce or eliminate that impact before the approval of such project. Consideration of project design, suitability and maintenance are incorporated in the impact analysis to ensure environmental compatibility and protection of natural resources.

Merck, Sharp and Dohme: Since 2008, Mr. Checchio managed multiple projects at their Rahway, New Jersey facility. Mr. Checchio oversaw implementation of the Facility Closure Plan (FCP) for Resource Conservation and Recovery Act (RCRA) and non-RCRA features which included aboveground storage tanks (ASTs), AST containment, hazardous waste transfer lines, and boilers.

Transportation

NJ Turnpike Authority (NJTA), Interchange 6 to 9 Widening: Brinkerhoff Environmental Services, Inc. was retained by Louis Berger from July 2007 through March 2008 as a team member on the NJTA Interchange 6 to 9 Widening Program. Mr. Checchio acted as Senior Project Manager for the performance of 26 Phase I ESAs for residential and commercial properties within the Right-of-Way acquisitions in Middlesex, Mercer, and Burlington County. Under his management, Brinkerhoff Environmental Services, Inc. personnel conducted background research of federal, state and local databases, then conducted field inspections to identify recognized environmental conditions as defined by ASTM. In addition to the ESAs, Mr. Checchio's team performed asbestos and lead-based paint (LBP) surveys of the structures per regulatory standards to determine pre-demolition abatement conditions. Challenges of the project involved coordination of access and completing the required reports within a compressed time schedule.

Environmental Investigation and Remediation

Volvo North America (Volvo)/Corrective Action Plan, Supplemental Site Assessment, and Liquid-Phase Petroleum Recovery, Volvo North America Facility, Western Maryland: Mr. Checchio was retained by Volvo to conduct a pre-acquisition environmental assessment of a 1,500,000 square foot truck manufacturing plant located on a 280 acre site in western Maryland. The results of Brinkerhoff Environmental Services, Inc.'s assessment were utilized by Volvo to negotiate the acquisition of the site and establish monetary reserves for management and further investigation and/or remediation of several environmental issues identified at the site. The Corrective Action Plan was approved by the MDE and an automated skimmer system was installed at the Site in October 2009. Since that time, Brinkerhoff Environmental Services, Inc. has been conducting system operations and maintenance (O&M), and the system has been successfully recovering LPH.

EMPLOYMENT HISTORY

2004-2013	Senior Consulting Engineer Brinkerhoff Environmental Services, Inc., Manasquan, NJ
1998-2004	Project Director/Senior Consulting Engineer Tetra Tech/MFG, Inc., Edison, NJ
1986-1998	Associate/Project Manager/Managing Principal-In-Charge Dames & Moore, Cranford, NJ
1978-1986	Assistant Project Manager/Project Engineer E.T. Killam Associates, Millburn, NJ

EDUCATION

M.S., Environmental Science, New Jersey Institute of Technology, 1991
B.S., Civil Engineering, New Jersey Institute of Technology, 1981
A.A.S., Civil/Environmental Engineering – Union County Technical Institute, 1978

REGISTRATIONS AND PROFESSIONAL CERTIFICATIONS

American Society of Civil Engineers
Hazardous Materials Control Research Institute
Water Pollution Control Federation
NJDEP Licensed Subsurface Evaluator, No. 0010198

ROBERT C. STEWART



March 2013

EMPLOYMENT

- Current: Investigative consultant d/b/a Stewart Consulting LLC. The firm provides a complete range of traditional investigative services, employing an interdisciplinary approach in case configuration and utilizing a nationwide network of retired federal and state investigators. The firm specializes in complex investigations and in remediation and internal integrity monitoring projects.
- 1994-95 Maryland State Police, Bureau of Drug Enforcement: Developed a special Fourth Amendment Training Project to address the issue of racial profiling.
- 1971-94 Retired, U.S. Department of Justice: Supervisory Assistant U.S. Attorney, Chief of the Strike Force Division, U.S. Attorney's Office, District of New Jersey (1990-94); Chief of the Newark Strike Force (1978-89), Chief of Buffalo Strike Force (1973-78); and Special Attorney, Buffalo Strike Force (1971-73).
- 1968-71 State's Attorney's Office for Baltimore City: Chief of the Organized Crime Unit (1971), Chief of the Investigation Section (1969-70), and Trial Assistant (1968).
- 1966-68 Mental Health Information Officer, Supreme Court of New York, Appellate Division, First Judicial Department; first legal compliance officer assigned to the Bellevue Hospital Psychiatric Prison Ward in Manhattan.
- 1961-63 Teaching Assistant, Department of History, University of Maryland, College Park, Maryland.

EDUCATION AND BAR ADMISSIONS

- 1966 J.D. (with Honors), George Washington University Law School, Washington, DC.
- 1961 B.A., University of Maryland, College Park, Maryland.
- Admitted to the practice of law in Maryland (1966), the District of Columbia (1989), and before the U.S. District Court for the District of Maryland (1990).

PUBLICATIONS AND TESTIMONY

- 2011 - 12 Qualified as an expert in organized crime and labor racketeering on the waterfront before Administrative Law Judge Patrick McGinley in separate debarment proceedings against a Hiring Agent and a Deep Sea Longshoreman both of whom were removed from the industry because of prohibited association with respectively a leader and a ranking associate of the Genovese Crime Family. These were the first such proceedings in the 58-year history of the Waterfront Commission.
- 2010 Testified before the Waterfront Commission of New York Harbor about the history and dynamics of labor racketeering on the piers in New Jersey.
- 2006 “Reflections on Labor Racketeering and Interdisciplinary Enforcement,” Trends in Organized Crime, Rutgers University, New Brunswick, New Jersey.
- 1985 Testified before the President’s Commission on Organized Crime about the Local 560 (I.B.T) Civil RICO remediation project and the Provenzano Crime Group.
- 1980 Identification and Investigation of Organized Criminal Activity, National College of District Attorneys, University of Houston Law School, Houston, Texas.
- 1978 Testified before the Senate Permanent Subcommittee on Investigations regarding Organized Crime in Western New York and New Jersey.

PROFESSIONAL

- 1994-Pres. • Engaged from March 2010 until March 2013 by the “Independent Consultant” to evaluate and report upon the compliance of a major corporate conglomerate with its obligations under an Assurance of Discontinuance with the New York State Attorney General’s Office.
- Engaged during 2006-10 by a financial institution in New Jersey as the “Independent Investigator” to provide background reports on all licensing applications made to the New York State Department of Banking.
- Appointed in January 2003 as “Deputy Administrator” for Local 1588 of the International Longshoremen’s Association, AFL-CIO, pursuant to an order of the United States District Court for the Southern District of New York (Judge Martin, succeeded by Judge Hellerstein) in a civil RICO remedial action to end decades of organized crime controlled labor racketeering. The initial phase of that assignment was completed successfully as of May 1, 2007 with the installation of a newly elected Executive Board whose members were free of organized crime

influence; but the monitorship has been extended thereafter (into April 2014) upon a showing of good cause to believe that further oversight was necessary to combat the continuing threat from organized crime.

- Appointed in September 2002 by the Business Integrity Commission of New York City as the monitor for a medical waste disposal company in the Bronx, whose former owners had a history of organized crime involvement. The new owners (from out of state) demonstrated a commitment to operate the company legitimately and the monitorship ended without undue delay or expense.
- Appointed in March 2000 to the Advisory Board of Project RISE, which was an internal integrity remediation project initiated by the International Brotherhood of Teamsters to “remove the remaining vestiges of organized crime and to prevent any future attempt ... to infiltrate or influence the Union, its officers and members.” That effort ended unsuccessfully four years later when the International President refused to support certain remedial initiatives of the independent outside project director.
- Appointed in January 2000 as “Oversight Person” for Production Workers Local 148 in Jersey City pursuant to an order of the United States District Court in New Jersey (Judge Greenaway) to investigate and report upon the fitness of the controlling official to hold union office. The subsequent report resulted in the indictment and conviction of that official and his predecessor on federal charges involving decades of labor corruption.
- Participation as an “American Law Expert” in the Rule of Law Program sponsored by USAID to assist in the revision of the respective criminal codes of the former Soviet Republics. Specific assignments included attendance at the Consultation of the Newly Independent States (11/95) and the session for the Georgian Delegation (2/97), both conducted at the Institute for East European Law, University of Leiden in The Netherlands; participation at the successive drafting conferences with the Council of Europe delegation in Yerevan, Armenia (6/97 and 6/98) and collaboration with retired Chief Justice Robert Utter of the Washington Supreme Court in providing a critical analysis of the proposed criminal code for Georgia (mid-1999 and spring 2000).
- Lecturer on Law, New York University Law School (Labor Racketeering Seminar, Fall 2002). Lectures on Organized Crime and Labor Racketeering for the National College of District Attorneys (11/99 and 11/96), the FBI Academy (5/00 and 9/97), the U.S. Attorney's Offices in New Mexico (5/95) and Arizona (2/96), and the Criminal Intelligence Service Canada (11/94).
- Special In-Service Training Program on Fourth Amendment Law (concentrating upon the issue of racial profiling) provided to the Baltimore County (MD) Police Department (1996-98) and originally prepared during 1994-95 as a special consultant to the Maryland State Police.

- Charter member of the International Association of Independent Private Sector Inspectors General (08/1998) and, for eight years prior thereto, participated on the working group from which the Association developed. Attended various IPSIG related presentations including, particularly, a Conference on the Waste industry, Achieving a Crime Free Market, New York University, June 1997; a Program on Construction Fraud, Port Authority of NY & NJ (OIG) and the Federal Construction Fraud Task Force, June 2002; and Policing, Regulating and Prosecuting Corruption, NYU Spring Symposium, March 2011.

1994

Upon Retirement from the U.S. Attorney's Office, received special recognition for leadership in the Federal Organized Crime Program from: the Director, Federal Bureau of Investigation; the Organized Crime and Racketeering Section, Criminal Division, U.S. Department of Justice; the Newark FBI Division; the Criminal Investigation Division, Internal Revenue Service; the U.S. Customs Service in Newark; the Office of Labor Racketeering, Office of Inspector General, Department of Labor; the Organized Crime and Racketeering Bureau, New Jersey Division of Criminal Justice; the Prosecutor for Union County (NJ); and the New York-New Jersey Waterfront Commission. The agency recognitions were based upon various project type investigations and prosecutions – including:

- The imprisonment of the entire leadership of the New Jersey Faction of the Lucchese LCN Crime Family on racketeering charges involving some dozen gangland homicides, multiple extortions, and federal jury tampering which had resulted in acquittals of over twenty organized crime figures in a 1988 Federal RICO mega-trial. (1988-94)
- First application of the RICO statute to a \$400+ million bootleg motor fuel excise tax fraud conspiracy involving a coalition of then newly emerging Russian organized crime elements, operating in concert with elements of the Genovese and Gambino LCN Families – wherein the organization had successfully insulated itself from conventional tax enforcement action by utilizing a highly complex “daisy chain” of paper transactions to thwart prosecution. (1993)
- First use of the federal wiretap statute and first application of the “or otherwise” amendment to the (Lindbergh) federal kidnapping statute against a serial killer who victimized Hispanic females in New York and New Jersey and then fled to New Mexico after his third known murder – all of which resulted in apprehension and successful state prosecutions utilizing the federally obtained evidence. (1991)
- Second application in the country of the then newly enacted federal death penalty statute against Bilol Pretlow whose “E’Port Posse” converted the Lincoln Housing Projects in Elizabeth (NJ) into a fortified drug emporium and was responsible for the chain saw murder of one gang

member who had defected and the brutal “execution” of an innocent 15-year-old girl who had inadvertently discovered the gang’s stash. (1990)

- Successful use of the then newly refined quality case concept to develop innovative RICO prosecutions (usually historical with one or more gangland murders) against the leadership of the once powerful Genovese LCN Crime Family in New Jersey – including the factions headed by such notable figures as “Richie-The-Boot” Boirardo (1979), Anthony “Tony Pro” Provenzano (1979-84), “Bobby” Manna (1989), “Streaky” Gatto (1989), and “Sally Dogs” Lombardi (1992).

1990 Special Recognition from the Office of Labor Racketeering, Office of the Inspector General, U.S. Department of Labor for leadership in the Labor Racketeering Program – particularly with respect to the civil RICO remedial programs with Teamsters Local 560 (successfully completed in 1999) and “Bartenders” (HEREIU) Local 54 (successfully completed in 1993). The latter had been under the domination of the Bruno-Scarfo LCN Family of Atlantic City-Philadelphia for decades, and that project was the springboard for a comprehensive remedial action to remove organized crime influence from Hotel Workers International (successfully completed in 1998).

1987 Attorney General's Award for Distinguished Service presented to the prosecution team (then headed by later FBI Director Louis J. Freeh) in recognition of the successful 30-month multi-district investigation and 17-month mega-trial prosecution of 19 members and associates of the Sicilian Mafia Heroin Consortium, popularly known as the “Pizza Connection Case” (United States v. Casamento, 887 F.2d 1141 [2d Cir. 1989], *cert. denied* 493 U.S. 1081 [1990]); and related recognition from the New York City offices of the FBI, DEA and U.S. Customs Service for innovation in what thereafter served as a model for multi-agency, international, drug trafficking and money laundering RICO investigations and multi-defendant prosecutions.

Distinguished Faculty Award from the National College of District Attorneys, University of Houston Law School, Houston, Texas for sustained participation in the national organized crime training program for prosecutor that began in 1973.

1985 Special Commendation Award from the Criminal Division, U.S. Department of Justice, for the conceptualization and successful litigation of the first civil RICO remedial action of its kind against a “captive labor organization,” Teamsters Local 560 – which had been under the domination of the Provenzano Crime Group of the Genovese LCN Crime Family since 1960. This novel civil RICO action resulted from the lengthy investigation into the disappearance of former Teamster Union President James R. Hoffa (July 25, 1975). The remedial project was successfully concluded in February 1999, after a trusteeship which lasted almost 15 years and involved protracted collateral litigation – which, in turn, established important new principals of law for the protection of union members against

organized crime. See the Local 560 Civil RICO cases at 550 F. Supp. 511 (D.N.J. 1982); 581 F. Supp. 279 (D.N.J. 1984), aff'd. 780 F.2d 267 (3d Cir. 1985), cert. denied 476 U.S. 1141 (1986); 851 F.2d 621 (3d Cir. 1988); 694 F. Supp. 1158 (D.N.J. 1988), aff'd. 865 F.2d 255 (3d Cir.), cert. denied 109 S.Ct. 1345 (1989); 736 F. Supp. 601 (D.N.J. 1990), and 754 F. Supp. 395 (D.N.J. 1991), aff'd. 974 F.2d 315 (3d Cir. 1992).

1978

Upon transfer from the Buffalo (NY) Federal Strike Force: Recognition for leadership in the Joint Organized Crime Program on the Niagara Frontier from the Erie County (NY) District Attorney, the Buffalo FBI Division, the Royal Canadian Mounted Police, and the Ontario Provincial Police. This recognition resulted from success in several innovative, multi-agency project type investigations and prosecutions – including the following:

- The first LEAA funded undercover “sting” operation involving FBI participation with the Erie County District Attorney's Office, the New York State Police, the Buffalo Police Department Homicide Squad, the Federal Strike Force and U.S. Attorney's Office. This resulted in the recovery of a long-missing Rembrandt masterpiece (The Rabbi) and the prosecution of some 250 offenders, based upon electronic surveillance evidence. Among those prosecuted was the majority leader of the Erie County Legislature for misconduct in office, an Assistant District Attorney for official corruption, a Buffalo Police officer for conspiracy to commit a “murder-for-hire” and scores of professional burglars and fences. (1977-78)
- First joint (FBI, New York State Special Prosecutor for Nursing Home Fraud, U.S. Attorney's Office and Buffalo Strike Force) investigation and prosecution involving organized crime infiltration of the nursing home industry. (1976)
- Coordination of the non-Detroit aspects of the federal investigation into the disappearance of former Teamster Union President James R. Hoffa – which became a multi-jurisdictional, multi-dimensional project involving grand jury investigations in the Northern and Southern Districts of New York, the District of New Jersey, and the Eastern District of Pennsylvania and marked the beginning of a new strategy against organized crime at the federal level. (1975-78)

1970-71

State's Attorney's Office for Baltimore City: Conducted a special investigation into allegations of improper practices in the Traffic Court in Baltimore City. This resulted in several prosecutions for systematic corruption in the disposition of parking tickets. Eventually, two judges were removed for official misconduct, and the legislature enacted a comprehensive court reform system to prevent the systemic corruption identified.

1967-70

Specially appointed by then State's Attorney, later Court of Special Appeals Judge, Charles E. Moylan, Jr. to provide drafting and technical assistance to the Maryland General Assembly for purposes of reforming the drug laws, which were then a patchwork of inconsistent, ineffective and often unfair provisions engrafted upon the antiquated Harrison Narcotics Act. The result was comprehensive legislation based upon the federal controlled substances act that was among the first in the country and served as a model for other states. This assignment resulted from success in developing a novel enforcement program against the major drug traffickers in Baltimore City – several key aspects of which included the following:

- First utilization in that jurisdiction of an investigative grand jury to conduct proactive investigations targeting the major heroin distribution groups in Baltimore City and to develop evidence on a systematic basis from multiple sources in order to support historical-type conspiracy prosecutions. The first of these charged the “King of Syrup Houses” with common law conspiracy to violate the law against distribution of opiates. The defendant maintained a network of slum dwellings from which his operatives sold small bottles of codeine-based cough syrup at five times the drugstore price. He purchased the syrup legally from a pharmaceutical wholesale firm in Washington, DC and transported it legally into Maryland because neither federal nor state legislation at that time specifically prevented the non-medical distribution of codeine-based cough syrup.
- First use of a “running affidavit” wherein dovetailing information from multiple confidential informants was marshaled over a period of months and reinforced with corroborative evidence from various sources – all of which was held to be sufficient to overcome the legal problem created by the then ultra-restrictive two-prong “underlying circumstances test” of Spinelli v. United States and to provide legally sufficient probable cause for the issuance of search and seizure warrants against major heroin distribution groups. Wescott v. State, 11 Md. App. 305 (1971); Kane v. State, 12 Md.App. 466 (1971); and Holland v. State, 13 Md.App. 635 (1971).

1967

Mental Health Information Service, New York City: Preparation of a comprehensive study for the Presiding Justice of the Appellate Division for the First Judicial Department (New York and Bronx Counties) on the actual practices with respect to the examination and disposition of criminal detainees who were transferred to the Bellevue Hospital Psychiatric Prison Ward for evaluation of mental competency to stand trial. The report resulted in the implementation of a series of remedial measures to correct serious abuses in the system and formal assignment to the Prison Ward which had not theretofore been subject to such oversight.

MARY PISULA STEWART

March 2013

EMPLOYMENT:

- Current: Managing member of Stewart Consulting LLC -- a duly licensed private investigations firm which utilizes an interdisciplinary approach in case configuration and management and specializes in complex investigations and project type engagements. The firm's resources include specialists in forensic accounting, computer security and content issues, electronic surveillance counter-measures and document analysis. Complex investigative retainers have included the following: (1) several dozen assignments from multiple religious orders and archdioceses of the Catholic Church in various jurisdictions around the country to conduct independent investigations into allegations of sexual or financial misconduct; (2) developing the critical evidence in a successful "Project Innocence" initiative which exonerated a wrongly identified and subsequently "framed" suspect who spent nearly two decades in prison for a rape-murder committed by others; (3) multiple assignments to federal and state death penalty defense teams around the country including successive teams in the 42-defendant Aryan Brotherhood federal death penalty project, as to which no case resulted in the imposition of a death sentence; and (4) some half dozen federal political corruption prosecutions, most involving charges of graft in regard to construction projects.
- 1996 -2000 L.F. Stephens, Inc., Mountainside, NJ -- general investigative experience on a wide range of conventional assignments.
- 1984 -1996 Adjunct Professor of English, Kean University of New Jersey, Union, NJ -- specialized in business writing and participated in the development of the advance level course on collaborative writing in the workplace.
- 1978 -1979 Union County Prosecutor's Office, Elizabeth, NJ -- investigator assigned to the Major Crimes (homicide/sex crimes) Unit and Intelligence Unit; specially assigned to conduct a lecture series for area corporations and the U.S. Army at Fort Dix on techniques by which to avoid victimization from sexual predators.
- 1975 -1978 Intelligence Research Specialist, Organized Crime & Racketeering Section, United States Department of Justice -- assigned to the Buffalo (NY) Strike Force; duties included maintaining liaison with the federal agencies active in the organized crime program in both the Western and Northern Districts of New York and with the respective intelligence units of the New York State Police, the Royal Canadian Mounted Police, the Ontario Provincial Police and Buffalo Police Department; participated in the coordinating unit for the nationwide investigation into the disappearance of former Teamsters Union President James R. Hoffa.

7/74 - 5/75 Federal Bureau of Investigation, Washington, DC -- assigned to the Intelligence Unit while awaiting unfreezing of Special Agents Class.

EDUCATION:

June 1981 Kean College of New Jersey, Union, NJ -- Masters Degree with distinction in the M.A.L.S. program

9/72 - 5/73 Mount St. Mary's College, Emmitsburg, MD -- B.A. in English

Mid - 1971 University of Madrid, Spain -- Spanish Language Program

9/69 - 5/71 Rosemont College, Rosemont, PA -- A.A. in Spanish

SPECIALIZED TRAINING AND EXPERIENCE:

Mar. 2011 Authorized Case Strategy Session -- Denver, CO (Stone Case).

June 2011 Presentation on death penalty case investigation to the staff of Judge Joseph A. Greenaway, Jr., United States Court of Appeals for the Third Circuit.

Nov. 2010 Authorized Case Strategy Session -- Houston, TX (Sablan Case).

June 2010 Attended Federal Habeas Corpus Training Seminar -- Cleveland, OH.

July 2009 Authorized Case Strategy Session -- Indianapolis, IN (Federal Cases).

Mar. 2009 Authorized Case Strategy Session -- New Orleans, LA (Patrick Case).

Nov. 2008 Federal Death Penalty Strategy Session -- Phoenix, AZ.

Dec. 2006 Federal Capital Defense Strategy Session Investigation and Mitigation Training -- San Antonio, TX.

Since 2005 Special assignments from different orders and archdiocese involving over two dozen cases of alleged sexual misconduct or financial irregularity requiring independent investigation in different parts of the country, working closely with various Provincials and other Church authorities.

March 2004 National Legal Aid & Defender Association: Life in the Balance: Defending Death Penalty Cases and Mitigation Investigation Training, Memphis, TN.

1983 - 95 Adjunct Professor of English at Kean University, Union, New Jersey.

1978 - 79 Qualified as an expert in the investigation of sex crimes before the Superior Court in Union County, New Jersey; and instructor on the investigation of sex crimes for in-service training courses at the Union County Police Academy.

Completed the police training program at the Morris County (NJ) Police Academy. Thereafter, completed various sex crimes and homicide in-service training courses conducted by the New Jersey State Police at the Training Center in Sea Girt, NJ.

COURT APPOINTMENTS AND NOTABLE INVESTIGATIVE ASSIGNMENTS:

Appointments from United States District Courts in the District of New Jersey, the Middle District of Pennsylvania, the Central District of California (Los Angeles), the Eastern District of California, the Southern and Eastern Districts of New York and the Middle District of Florida and from state courts including the Superior Court in New Jersey (multiple jurisdictions), the Supreme Court of New York in Manhattan, and the District Court of West Feliciana Parish, Louisiana.

United States vs. Wayne Bridgewater and United States vs. David M. Sahakian -- involved separate appointments to cases which were part of the Aryan Brotherhood, federal death penalty RICO project that failed to achieve a death sentence against any of the 42 defendants prosecuted.

United States vs. Joseph Sablan -- a death penalty case against a lifelong inmate charged with the murder of a corrections officer at USP Atwater (CA).

State of New Jersey vs. Larry Peterson -- a Project Innocence initiative which freed an innocent man who was wrongly convicted of a brutal sexual homicide and spent 18 years behind bars because of deliberate police misconduct in fabricating a jailhouse admission.

State of Louisiana vs. Robert Carley -- involved appointment to the mitigation team of Cynthia Short, Esq. of Kansas City (MO) that succeeded in avoiding the death penalty for one of the Angola Five charged with the felony murder of a guard during a failed escape attempt from one of the Nation's most notorious prisons.

State of New Jersey vs. Molly Wei -- involved investigation into the suicide of Rutgers University freshman, Tyler Clementi for which Ms. Wei faced potential hate crime charges. Ultimately, Ms. Wei was granted pre-trial intervention, and her successful completion of the program will result in all charges being dropped.

PRICE SCHEDULE

RFP 14-X-23110

AUDITING AND OTHER RELATED SERVICES FOR DISASTER RECOVERY (HURRICANE SANDY)

Refer to RFP Section 3.0 (Scope of Work) for task requirements and deliverables, Section 4.4 (Organizational Support and Experience), and Section 6.7.2 (Bidder's Price Schedule) for additional information regarding this Price Schedule. Failure to submit all information required will result in the proposal being considered non-responsive.

Bidder's Name: Guidepost Solutions LLC

POOL 1: PROGRAM AND PROCESS MANAGEMENT AUDITING

LINE #	STAFF CLASSIFICATIONS	YEAR 1 HOURLY RATE	YEAR 2 HOURLY RATE	YEAR 3 HOURLY RATE
1	Partner/Principal/Director	\$	\$	\$
2	Program Manager	\$	\$	\$
3	Project Manager	\$	\$	\$
4	Subject Matter Expert	\$	\$	\$
5	Supervisory/Senior Consultant	\$	\$	\$
6	Consultant	\$	\$	\$
7	Associate/Staff	\$	\$	\$
8	Administrative Support Staff	\$	\$	\$

Line #	Pass Through Price Lines *	Year 1	Year 2	Year 3
9	Other Direct Costs	N/A	N/A	N/A
10	Travel Expenses and Reimbursements	N/A	N/A	N/A

- The State makes no guarantee of volume of work effort.
- * The Pass Through Price Lines shall be used to reimburse for Travel and Other Direct Costs only. No mark-up will be provided for Price Lines 9 and 10.

Bidder's Name: Guidepost Solutions LLC

POOL 2: FINANCIAL AUDITING AND GRANT MANAGEMENT

LINE #	STAFF CLASSIFICATIONS	YEAR 1 HOURLY RATE	YEAR 2 HOURLY RATE	YEAR 3 HOURLY RATE
11	Partner/Principal/Director	\$	\$	\$
12	Program Manager	\$	\$	\$
13	Project Manager	\$	\$	\$
14	Subject Matter Expert	\$	\$	\$
15	Supervisory/Senior Consultant	\$	\$	\$
16	Consultant	\$	\$	\$
19	Associate/Staff	\$	\$	\$
18	Administrative Support Staff	\$	\$	\$

Line #	Pass Through Price Lines *	Year 1	Year 2	Year 3
19	Other Direct Costs	N/A	N/A	N/A
20	Travel Expenses and Reimbursements	N/A	N/A	N/A

- The State makes no guarantee of volume of work effort.
- * The Pass Through Price Lines shall be used to reimburse for Travel and Other Direct Costs only. No mark-up will be provided for Price Lines 9 and 10.


Bidder's Name: Guidepost Solutions LLC

POOL 3: INTEGRITY MONITORING/ANTI-FRAUD

LINE #	STAFF CLASSIFICATIONS	YEAR 1 HOURLY RATE	YEAR 2 HOURLY RATE	YEAR 3 HOURLY RATE
21	Partner/Principal/Director	\$ 325.00	\$ 335.00	\$ 345.00
22	Program Manager	\$ 195.00	\$ 200.00	\$ 205.00
23	Project Manager	\$ 250.00	\$ 260.00	\$ 270.00
24	Subject Matter Expert	\$ 285.00	\$ 295.00	\$ 305.00
25	Supervisory/Senior Consultant	\$ 165.00	\$ 170.00	\$ 175.00
26	Consultant	\$ 165.00	\$ 170.00	\$ 175.00
27	Associate/Staff	\$ 75.00	\$ 80.00	\$ 85.00
28	Administrative Support Staff	\$ N/A	\$ N/A	\$ N/A

Line #	Pass Through Price Lines *	Year 1	Year 2	Year 3
29	Other Direct Costs	N/A	N/A	N/A
30	Travel Expenses and Reimbursements	N/A	N/A	N/A

- The State makes no guarantee of volume of work effort.
- * The Pass Through Price Lines shall be used to reimburse for Travel and Other Direct Costs only. No mark-up will be provided for Price Lines 9 and 10.

SIGNATORY PAGE	STATE OF NEW JERSEY REQUEST FOR PROPOSAL (RFP)	RFP/Solicitation Number: 14-X-23110
	FOR: AUDITING AND OTHER RELATED SERVICES FOR DISASTER RECOVERY (HURRICANE SANDY)	Term Contract #: T2939 Requesting Agency: PROCUREMENT BUREAU Requisition #: 1041262
	ESTIMATED AMOUNT: \$ 0.00 CONTRACT EFFECTIVE DATE: July 01, 2013 CONTRACT EXPIRATION DATE: June 30, 2016 COOPERATIVE PURCHASING: NO SET ASIDE: SMALL BUSINESS SUBCONTRACTING	TO ASK QUESTIONS CONCERNING THE CONTENTS OF THIS RFP: Please go to the Advertised Solicitation Current Bid Opportunities Web Page and click on the Quicklink button labeled Q&A. http://www.state.nj.us/treasury/purchase/bid/summary/14x23110.shtml

PURSUANT TO N.J. STATUTES, REGULATIONS AND EXECUTIVE ORDERS, PROPOSALS WHICH FAIL TO CONFORM WITH THE FOLLOWING REQUIREMENTS WILL BE SUBJECT TO REJECTION:

- 1) PROPOSALS MUST BE RECEIVED AT OR BEFORE THE PUBLIC OPENING TIME OF 2:00 PM EASTERN TIME ON May 31, 2013 AT THE FOLLOWING ADDRESS (NOTE: TELEPHONE, EMAIL, TELEFACSIMILE OR TELEGRAPH PROPOSALS WILL NOT BE ACCEPTED):
 DEPARTMENT OF THE TREASURY
 PROCUREMENT BUREAU, PO BOX 230
 33 WEST STATE STREET - 9TH FLOOR
 TRENTON, NEW JERSEY 08625-0230
- 2) THE BIDDER MUST SIGN THE PROPOSAL.
- 3) THE PROPOSAL MUST INCLUDE ALL PRICE INFORMATION. PROPOSAL PRICES SHALL INCLUDE DELIVERY OF ALL ITEMS, F.O.B. DESTINATION OR AS OTHERWISE PROVIDED. PRICE QUOTES MUST BE FIRM THROUGH ISSUANCE OF CONTRACT.
- 4) ALL PROPOSAL PRICES MUST BE TYPED OR WRITTEN IN INK.
- 5) ALL CORRECTIONS, WHITE-OUTS, ERASURES, RESTRIKING OF TYPE, OR OTHER FORMS OF ALTERATION, OR THE APPEARANCE OF ALTERATION, TO UNIT AND/OR TOTAL PRICES MUST BE INITIALED IN INK BY THE BIDDER.
- 6) THE BIDDER MUST COMPLETE AND SUBMIT ALL FORMS, CERTIFICATIONS, REGISTRATIONS AND OTHER DOCUMENTS AS REQUIRED IN THE RFP. SEE THE ADVERTISED SOLICITATION, CURRENT BID OPPORTUNITIES WEBPAGE
<http://www.state.nj.us/treasury/purchase/bid/summary/14x23110.shtml>
- 7) THE BIDDER MUST ATTEND THE MANDATORY PRE-PROPOSAL CONFERENCE(S) AND SITE VISIT(S) AT THE FOLLOWING DATE(S) AND TIME(S):
- 8) FOR SET ASIDE CONTRACTS ONLY, A BIDDER MUST BE REGISTERED WITH THE N.J. DIVISION OF REVENUE AS A SMALL BUSINESS BY THE DATE OF PROPOSAL OPENING. (SEE N.J.A.C. 17:13-3.1 & 13.3.2).


ADDITIONAL REQUIREMENTS

- 9) BY SIGNING AND SUBMITTING THIS PROPOSAL, THE BIDDER CERTIFIES AND CONFIRMS THAT NEITHER THE BIDDER, ITS REPRESENTATIVES, AGENTS OR LOBBYISTS HAVE INITIATED ANY INAPPROPRIATE CONTACT WITH ANY EXECUTIVE BRANCH EMPLOYEE DURING THE PROCUREMENT TO ATTEMPT TO AFFECT THE BIDDING PROCESS AND SHALL NOT DO SO AFTER SUBMISSION OF THE PROPOSAL.
- 10) PERFORMANCE SECURITY: \$ _____ N/A or _____ N/A %
- 11) PAYMENT RETENTION N/A %
- 12) BY SIGNING AND SUBMITTING THIS PROPOSAL, THE BIDDER CONSENTS TO RECEIPT OF ANY AND ALL DOCUMENTS RELATED TO THIS RFP AND THE RESULTING CONTRACT BY ELECTRONIC MEDIUM OR FACSIMILE.

TO BE COMPLETED BY BIDDER

- 13) FIRM NAME: Guidepost Solutions LLC CITY: New York
 ADDRESS 1: 415 Madison Avenue STATE: New York
 ADDRESS 2: 11th Floor ZIP: 10017
- 14) THE BIDDER MUST SUBMIT WITH THE PROPOSAL BID SECURITY IN THE AMOUNT OF \$ _____ N/A OR _____ N/A %
 CHECK THE TYPE OF BID SECURITY SUPPLIED:
 ANNUAL BID BOND ON FILE BID BOND ATTACHED NONE
 CERTIFIED OR CASHIERS CHECK ATTACHED LETTER OF CREDIT ATTACHED
- 15) DELIVERY CAN BE MADE _____ DAYS OR _____ WEEKS AFTER RECEIPT OF ORDER. 16) REQUESTED DELIVERY: 30 DAYS AFTER RECEIPT OF ORDER
- 17) CASH DISCOUNT TERMS (SEE RFP) _____%, _____ DAYS: NET _____ DAYS.
- 18) BIDDER PHONE NO: (212) 817-6709 EXT: _____
- 19) BIDDER FAX NO: (212) 817-6728 EXT: _____
- 20) BIDDER EMAIL ADDRESS: tmcshane@guidepostsolutions.com
- 21) FEDERAL EMPLOYER IDENTIFICATION NUMBER: XXXXXXXXXX

SIGNATURE OF THE BIDDER ATTESTS THAT THE BIDDER HAS READ, UNDERSTANDS, AND AGREES TO ALL TERMS, CONDITIONS, AND SPECIFICATIONS SET FORTH IN THE REQUEST FOR PROPOSAL, INCLUDING ALL ADDENDA, FURTHERMORE, SIGNATURE BY THE BIDDER SIGNIFIES THAT THE REQUEST FOR PROPOSAL AND THE RESPONSIVE PROPOSAL CONSTITUTES A CONTRACT IMMEDIATELY UPON NOTICE OF ACCEPTANCE OF THE PROPOSAL BY THE STATE OF NEW JERSEY FOR ANY OR ALL OF THE ITEMS BID, AND FOR THE LENGTH OF TIME INDICATED IN THE REQUEST FOR PROPOSAL. FAILURE TO ACCEPT THE CONTRACT WITHIN THE TIME PERIOD INDICATED IN THE REQUEST FOR PROPOSAL, OR FAILURE TO HOLD PRICES OR TO MEET ANY OTHER TERMS AND CONDITIONS AS DEFINED IN EITHER THE REQUEST FOR PROPOSAL OR THE PROPOSAL DURING THE TERM OF THE CONTRACT, SHALL CONSTITUTE A BREACH AND MAY RESULT IN SUSPENSION OR DEBARMENT FROM FURTHER STATE BIDDING. A DEFAULTING CONTRACTOR MAY ALSO BE LIABLE, AT THE OPTION OF THE STATE, FOR THE DIFFERENCE BETWEEN THE CONTRACT PRICE AND THE PRICE BID BY AN ALTERNATE VENDOR OF THE GOODS OR SERVICES IN ADDITION TO OTHER REMEDIES AVAILABLE.

22) ORIGINAL SIGNATURE OF BIDDER 	23) DATE <u>5/30/13</u>
24) PRINT/TYPED NAME <u>Thomas McShane</u>	25) TITLE <u>President, Investigations & Monitoring</u>