B-9



In the Matter of Sandra Knox, Division of State Police, Department of Law and Public Safety

FINAL ADMINISTRATIVE ACTION
OF THE
CIVIL SERVICE COMMISSION

CSC Docket No. 2014-41

Classification Appeal

ISSUED: NOV 2 1 2014 (CAG)

Sandra Knox appeals the attached decision of the Division of Classification and Personnel Management (CPM) that her position is properly classified as Fingerprint Processing Technician 2. Appellant seeks an Assistant Supervisor, Fingerprint Technical Unit, classification in this proceeding.

The record in the present matter establishes that Knox's permanent title is Fingerprint Processing Technician 2. The appellant completed a Position Classification Questionnaire and CPM conducted a field audit of her position on May 3, 2013, and found that her assigned duties and responsibilities include: working independently to oversee the operations of an Automated Fingerprint Identification System (AFIS) latent remote site; inputting and verifying the accuracy of identified latent fingerprints utilizing the Forensic Archive Retrieval System (FARS); conducting searches of AFIS and Unsolved Latent (UL) databases; communicating with law enforcement personnel in order to retrieve evidence and provide research results; and occasionally supervising one subunit employee. Based upon a review and analysis of the duties and responsibilities assigned to the appellant, CPM determined that the appropriate classification of her position is Fingerprint Processing Technician 2.

On appeal, the appellant argues that she was selected by her supervisors to take over the duties of the assistant supervisor prior to her retirement in December 2010 based on her qualifications, many years of latent identification experience, knowledge of all operational procedures, and to provide a promotional opportunity for her, and she assumed all duties immediately upon the retirement of the former Assistant Supervisor. She also argues that, although CPM's determination indicated that she does not supervise or coordinate the workflow of the subunits,

her duties require her to oversee a latent subunit independently with the responsibility of making supervisory decisions without the guidance of a higher level title on site. She asserts that, while these duties are not exactly the same as duties performed by an Assistant Supervisor assigned at headquarters, the duties are comparable and require the same abilities and knowledge outlined in the job specification for Assistant Supervisor. She also asserts that, although she does not oversee Fingerprint Technician 1s and 2s, she is in charge of the entire operation for the southern region of the State and argues that her duties are equivalent to supervising the workflow at the main headquarters site. In addition, she argues that, although CPM's determination indicated that she does not assume the duties of the unit supervisor, the duties of the unit supervisor have changed since the last time the job specifications were revised and most of the duties are now escalated to a higher level position and not performed by any of the Assistant Supervisors. Further, she argues that her supervision encompasses an entire operation and region of law enforcement officers which warrants a higher level position. Therefore, she argues that she is qualified for an appointment to the title of Assistant Supervisor, Fingerprint Technical Unit. Additionally, she states that she should not be denied a higher level position because she works at a remote location and does not supervise/delegate work to co-workers, and the definition of the Assistant Supervisor position may not reflect the unique duties of a remote site position.

Appellant submits a letter of support from Terri Kramer-McKinney, Supervisor, Automated Fingerprint Identification Unit (AFIU), who states that the position at Troop A-Buena, CSI Headquarters South, was authorized as Assistant Supervisor, Fingerprint Technical Unit, in 2001 as a result of a title reclassification at headquarters and the latent remote sites. She also states that all Assistant Supervisor positions within the AFIU report directly to the unit supervisor and coordinate the workflow for one area of operation (subunit). She asserts that, while the duties of each may be somewhat unique, the supervisory capacity is equivalent, and the duties have not changed and have already been established as the duties of an assistant supervisor in AFIU. She also asserts that this position requires the knowledge and abilities of an assistant supervisor since they make judgment calls and operational decisions without the benefit of an on-site supervisor to advise. She also states that all of the Assistant Supervisors with latent operation background have retired and they are unable to reassign those duties to current Assistant Supervisors. In addition, she states that this position has always been regarded as an opportunity for career advancement for personnel at headquarters.

Ms. Kramer-McKinney supplements the record and further explains the operation of the Biometric Identification Unit (BIU), formerly the AFIU. She refers to the job specification for Assistant Supervisor, Fingerprint Technical Unit, and states that it should indicate "subunit(s)" which would describe the duties of all Assistant Supervisors in the past and current operations. She explains that there

was previously a rotation of assignments at the main site at headquarters but, due to reduced staffing, Assistant Supervisors are assigned to a single subunit where they are experts in one area of operation.

In response, CPM states that Knox is not considered to be first assistant to the program supervisor since she does not assume the supervisory duties of the Supervisor in her absence. In addition, although she is responsible for coordinating the work flow of a subunit, she is not responsible for supervising multiple subunits, as is indicated in the job specification.

It is noted that the job specification for the title of Fingerprint Processing Technician 2, was revised effective December 14, 2013.

CONCLUSION

The definition section of the job specification for Assistant Supervisor, Fingerprint Technical Unit, states:

Acts as first assistant to the Supervisor, Fingerprint Technical Unit, State Bureau of Identification, Records and Identification Section, Division of State Police, Department of Law and Public Safety; supervises and coordinates activities of subunits engaged in the operations of a multi-work station Automated Fingerprint Identification System (AFIS); does other related work.

The definition section of the job specification for Fingerprint Processing Technician 2 states:

Under the general direction of the Assistant Supervisor and/or the Supervisor, Fingerprint Technical Unit, State Bureau of Identification, Records and Identification Section, Division of State Police, assists in the supervision of and coordinates the efforts of Fingerprint Processing Technicians 1 and 2 in the classification, filing and searching of fingerprints utilizing the Henry System with extensions in concert with an Automated Fingerprint Identification System (AFIS) of fingerprint identification and classification; does related work as required; does other related work.

A thorough review of the entire record fails to establish that the appellant has presented a sufficient basis to warrant an Assistant Supervisor, Fingerprint Technical Unit, classification of her position. It is noted that this review is based on the duties performed at the time of the audit and the job specification on record at that time. It is also noted that the duties the appellant presents on appeal are essentially those reviewed by CPM and reviewed again on appeal. An incumbent serving in the title of an Assistant Supervisor, Fingerprint Technical Unit, performs

such duties as, among other things, acting as first assistant to the Supervisor in supervising and coordinating the workload assigned to a subunit or subunits involved in operating an AFIS for processing, classifying and filing of fingerprints in possession of the Division of State Police. The appellant's position does not act as the first assistant to the Supervisor and does not coordinate activities of multiple subunits required for an Assistant Supervisor, Fingerprint Technical Unit classification.

In response to the appellant's argument that she assumed the duties of an individual in the Assistant Supervisor title who retired, a classification appeal cannot be based solely on a comparison to the duties of another position, especially if that position is misclassified. While the appellant's supervisor stated that the position at issue in the appellant's unit was authorized an Assistant Supervisor classification back in 2001, it cannot be ignored that she also explained that due to reduced staffing, Assistant Supervisors are now assigned to a single subunit where they are experts in one area of operation. Further, the fact that some of an employee's assigned duties may compare favorably with some examples of work found in a given job specification is not determinative for classification purposes since, by nature, examples of work are utilized for illustrative purposes only. Additionally, it is not uncommon for an employee to perform some duties which are above or below the level of work which is ordinarily performed. Moreover, the duties identified through the audit and presented by the appellant on appeal are within the job specification of Fingerprint Processing Technician 2. Accordingly, the appellant has failed to present a sufficient basis to warrant an Assistant Supervisor, Fingerprint Technical Unit, classification and her position is properly classified as Fingerprint Processing Technician 2.

ORDER

Therefore, it is ordered that this appeal be denied.

This is the final administrative determination in this matter. Any further review should be pursued in a judicial forum.

DECISION RENDERED BY THE CIVIL SERVICE COMMISSION ON THE 19TH DAY OF NOVEMBER 2014

Robert M. Czech

Chairperson

Civil Service Commission

Inquiries and Correspondence Henry Maurer
Director
Division of Appeals
and Regulatory Affairs
Civil Service Commission
Written Record Appeals Unit
P.O. Box 312
Trenton, New Jersey 08625-0312

Attachment

c: Sandra Knox Colleen Gadsby Kenneth Connolly Joseph Gambino

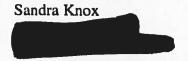


Chris Christie Governor Kim Guadagno Lt. Governor

STATE OF NEW JERSEY CIVIL SERVICE COMMISSION Classification and Personnel Management P. O. Box 313 Trenton, New Jersey 08625-0313

Robert M. Czech Chair/Chief Executive Officer

May 30, 2013



Re: Classification Appeal – Fingerprint Processing Technician 2, EID # Log # 11120098

Dear Ms. Knox:

This is to inform you of our determination concerning the classification appeal referenced above. The determination is based upon a thorough review and analysis of the Position Classification Questionnaire, organization chart, and information obtained during the audit conducted on May 3, 2013.

Issue:

You are serving permanently (RAP) in the title, Fingerprint Processing Technician 2 (17, A16, 45011) and contend you are performing duties and responsibilities commensurate with the title, Assistant Supervisor, Fingerprint Technical Unit (19, R18, 45014).

Organization:

Your position is currently assigned to the Identification & Information Technology Section, Division of State Police, Department of Law and Public Safety. Your supervisor is Terri Kramer-McKinney, Supervisor, Fingerprint Technical Unit (21, S20, 45015). You are responsible for the supervision of one subordinate personnel.

Findings of Fact:

You currently perform the following assigned duties and responsibilities:

- Work independently to oversee the operations of an Automated Fingerprint Identification System (AFIS) latent remote site.
- Input and verify the accuracy of identified latent fingerprints utilizing the Forensic Archive Retrieval System (FARS).
- Conduct searches of AFIS and Unsolved Latent (UL) databases.

- Communicate with law enforcement personnel in order to retrieve evidence and provide research results.
- Occasionally supervise one subordinate employee.

Review and Analysis:

Currently, your position is classified in the title, Fingerprint Processing Technician 2 (17, A16, 45011). The definition section of this title states:

"Under the general direction of the Assistant Supervisor and/or the Supervisor, Fingerprint Technical Unit, State Bureau of Identification, Records and Identification Section, Division of State Police, assists in the supervision of and coordinates the efforts of Fingerprint Processing Technicians 1 and 2in the classification, filing and searching of fingerprints utilizing the Henry System with extensions in concert with an Automated Fingerprint Identification System (AFIS) of fingerprint identification and classification; does other related work."

An incumbent properly classified in this title operates an Automated Fingerprint Identification System (AFIS) database to identify fingerprint matches. She/He communicates and prepares reports of findings to law enforcement personnel. She/He may provide assignments and guidance to Fingerprint Processing Technicians 1 and 2, assisting in their supervision.

You assist in the supervision of one employee on an occasional basis and prepare his performance evaluation. He receives work assignments from the Supervisor, Fingerprint Identification Unit, and is primarily supervised by Sharon Melsky, Fingerprint Processing Technician 2, in the headquarters location where both are housed.

You contend your position should be reclassified to the title, Assistant Supervisor, Fingerprint Technical Unit (19, R18, 45014). The definition section of this title states:

"Acts as first assistant to the Supervisor, Fingerprint Technical Unit, State Bureau of Identification, Records and Identification Section, Division of State Police, Department of Law and Public Safety; supervises and coordinates activities of subunits engaged in the operations of a multi-work station Automated Fingerprint Identification System (AFIS); does other related work."

The class specification for the title Assistant Supervisor, Fingerprint Technical Unit describes an incumbent who functions as first assistant to the program supervisor in the coordination of activities of more than one subunit.

The primary function of your position is the independent overview and operation of an AFIS remote site. You supervise one subunit consisting of one individual whose work you

occasionally spot check but do not assign. You do not supervise or coordinate the workflow of the subunits and do not assume the supervisory duties of the Supervisor, Fingerprint Technical Unit, in her absence. Therefore, you are not considered to be first assistant to the program supervisor. The review of information obtained during the classification process revealed that you are performing duties consistent with the title, Fingerprint Processing Technician 2.

Determination:

In accordance with the Civil Service Commission's standards, your position does not meet the criteria established for the title Assistant Supervisor, Fingerprint Technical Unit (19, R18, 45014). By copy of this letter, the appointing authority is advised your position is presently properly classified in the title Fingerprint Processing Technician 2 (17, A16, 45011). Any supervisory duties currently assigned to your position must be removed, in accordance with the A bargaining unit, and a Position Classification Questionaire completed and submitted as documentation of their removal.

According to the New Jersey Administrative Code 4A:3-3.9, you may appeal this determination within twenty (20) days of receipt of this notice. This appeal should be addressed to Written Record Appeals Unit, Division of Appeals and Regulatory Affairs, P.O. Box 312, Trenton, New Jersey 08625-0312. Please note that the submission of an appeal must include written documentation and/or argument substantiating the portions of the determination being disputed and the basis for appeal.

Sincerely,

Nora Koch, Assistant Director State and Local Operations

NK/CL/SR

C:

Mirella Bednar Sandy Roche File