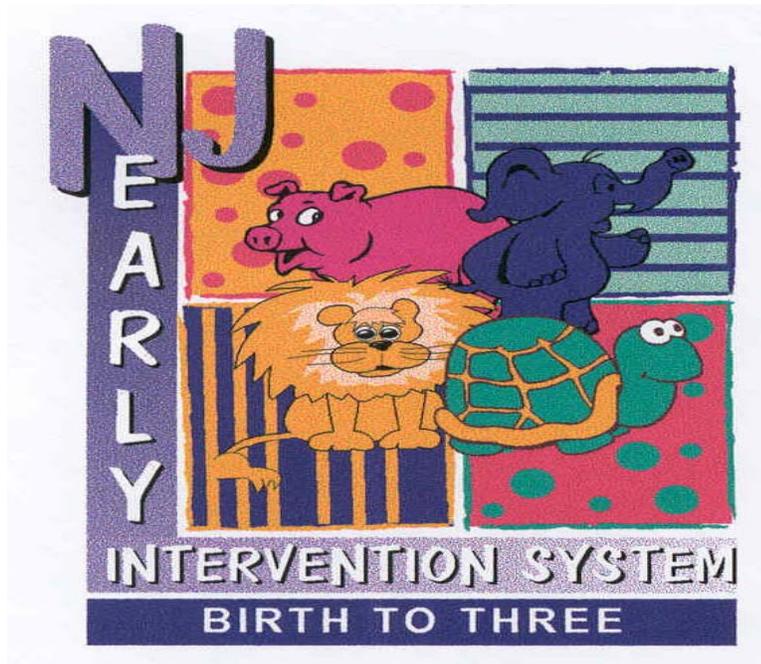


# NEW JERSEY EARLY INTERVENTION SYSTEM

## PART C ANNUAL PERFORMANCE REPORT



**New Jersey Department of Health and Senior Services  
Division of Family Health Services**



**Federal Fiscal Year  
2006-2007**

Submitted February 1, 2008

## Overview of Annual Performance Report Development

In accordance with the Individuals with Disabilities Education Act of 2004, the New Jersey Early Intervention System (NJEIS) submitted a Part C State Performance Plan (SPP) to the U.S. Department of Education Office of Special Education Programs (OSEP) on December 2, 2005. That plan was developed based upon guidance from OSEP and with broad stakeholder involvement and input. The NJEIS Part C State Performance Plan was disseminated to the public through posting to the website (<http://nj.gov/health/fhs/eis/index.shtml>) and the Regional Early Intervention Collaboratives (REICs) website (<http://www.njeis.org>). The SPP was also disseminated electronically to representatives of the Part C Steering Committee, State Interagency Coordinating Council (SICC), state agencies (Department of Education, Department of Human Services, Department of Children and Families, etc.), advocacy organizations, Service Coordination Units and Early Intervention Program provider agencies for distribution throughout the State.

The Part C Steering Committee met on January 9, 2008 to advise and assist in the development of this NJEIS Annual Performance Report (APR). Stakeholders reviewed available data and analyzed the status of the state Part C system, as well as local systems, related to measurable and rigorous targets established in the State Performance Plan. For each of the indicators in the State Performance Plan, the stakeholder group compared current data to baseline data and engaged in discussion about progress and slippage.

Stakeholder members reviewed improvement activities, timelines and resources for each indicator to determine which were completed, to examine the efficacy of each, and to make recommendations about any necessary revisions or additions to the activities, timelines and resources.

Based upon guidance from the Office of Special Education Programs (OSEP), progress data and improvement activities for Indicator 3 were included as a revision to the State Performance Plan for the Part C system in New Jersey:

New Jersey's updated/revised Part C State Performance Plan (SPP) and this Annual Performance Report (APR) will be disseminated to the public through posting to the DHSS-NJEIS webpage (<http://nj.gov/health/fhs/eis/index.shtml>) and the Regional Early Intervention Collaboratives website (<http://www.njeis.org>). The updated/revised Part C SPP and APR will also be disseminated to all of the above individuals electronically for distribution through their dissemination mechanisms (e.g. newsletters, websites, list serves, etc) throughout the State. These documents will be disseminated to representatives of state agencies (Department of Education, Department of Children and Families, Department of Human Services) electronically for distribution throughout the State.

FFY 2005 County Performance Reports and Part C Determinations outlining the performance of each county in relation to state targets and Part C requirements were disseminated and posted at <http://www.state.nj.us/health/fhs/eis/report.shtml>. These reports will be prepared and disseminated annually.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

<p><b>Monitoring Priority: Early Intervention Services In Natural Environments</b></p>
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**Indicator 1:** Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

<p><b>Measurement:</b></p>
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<p>Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.</p>
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<p>Account for untimely receipt of services.</p>
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FFY	Measurable and Rigorous Target
2006 (2006-2007)	100% percent of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.

**Actual Target Data for FFY 2006:**

For FFY 2006, 93.2% (441/473) of infants and toddlers with IFSPs received the early intervention services on their IFSPs in a timely manner. Family reasons are included in the numerator and denominator.

441 children of 473 children received timely provision of services as monitored by the lead agency through the procedures described below.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- NJEIS made significant progress on this indicator moving from 82.3% reported for FFY 2005 to 93.2% for FFY 2006.
- Data were collected for all active child records for the month of April 2007 for 11 (52%) of the 21 counties in New Jersey. The other ten counties were reviewed in FFY 2005 and reported in the February 2007 APR.
- A desk audit of 473 active child records and 649 services were computer-generated from the NJEIS data system for the eleven counties.
- Since the NJEIS database does not capture all variables needed to determine whether a service is timely, an inquiry was conducted on 98 of the 473 children and 103 of their services. The remaining 375 children had documented timely services in the state data system.
- The state office distributed the inquiry to the eleven counties on the 98 children (103 services) where one or more services were identified as exceeding 30 days from the closest IFSP initial or annual to determine reasons for delays, including documentation of family reasons or as a result of a service that was added at a subsequent IFSP team meeting not captured in the database.

- The results of the inquiry identified that for 58 of the 98 children, the delays were child or family related and 8 were timely in relation to the IFSP review through which the service was added to the IFSP.
- These 66 (58+8) children were included in both the numerator and denominator. Family reasons included child illness, child hospitalization, family cancellations and family requests to reschedule.
- Six out of eleven counties were determined to have non-compliance in timely services for 32 children or 6.8% (32/473) non-compliance. Additional inquiry was conducted to determine responsibility for this non-compliance. As a result of this inquiry, 7 findings were issued in FFY 2007 requiring Corrective Action Plans to 2 Service Coordination Units and 5 Early Intervention Programs within the six counties that were identified as non-compliant with NJEIS policies and procedures.
- According to the inquiry, system delays were primarily reported to be the result of limited practitioner availability. 66 services were delayed between 1 to 15 days and 35 services were greater than 15 days delayed. The NJEIS has procedures to report when no provider is available to the Procedural Safeguards Office. The Procedural Safeguards Office sends letters to each family that includes an option to obtain and receive reimbursement for services out of the NJEIS network pending assignment of an NJEIS practitioner.
- Overall 95% (616/649) of the services were timely including 70 services that were determined as delayed due to family reasons.
- Correction of findings will be reported in the February 2009 APR based on the FFY 2007 notification of findings of non-compliance and corrective action plans issued by the lead agency.

**Correction of noncompliance identified in FFY 2005 and reported in the February 2007 APR**

- In response to the OSEP FFY 2005 SPP/APR Response Table, NJEIS ensures that the five counties with identified non-compliance for this indicator were successful in achieving 100% correction of non-compliance within twelve months under a corrective action plan with DHSS-NJEIS.

Improvement Activities	Status
Enhance the SPOE database to record the date of the initial IFSP meetings and all IFSP reviews as a data element. This record would include the date of the meeting and the date of parental consent to any IFSP service.	<p style="text-align: center;"><b>Not Completed</b></p> <p>These activities remain on hold based on a decision by the State Treasury that enhancements are not allowable under the current contract with the Central Management Office (CMO) vendor. RFP preparation has been initiated to re-bid the contract during calendar year 2008. The RFP is being developed to move to an on-line web-based application that will include this and other enhancements.</p>
Enhance the SPOE database to enable NJEIS to link authorizations with a specific IFSP meeting or review. By linking authorizations with these dates, timely services can accurately be measured from the IFSP consent date.	
Enhance the SPOE database to allow the reassignment of an authorization to a different agency or practitioner, while keeping the authorization associated with the IFSP meeting/review that added the service to the child's IFSP.	

Improvement Activities	Status
<p>Enhance the SPOE database to allow an authorization to be created before an agency/practitioner has been assigned to provide a service. This allows NJEIS to track all authorizations for timely delivery of service. Once an agency/practitioner is assigned to the service the authorization can be modified.</p>	<p style="text-align: center;"><b>Not Completed</b></p> <p>This activity remains on hold based on a decision by the State Treasury that enhancements are not allowable under the current contract with the Central Management Office (CMO) vendor. RFP preparation has been initiated to re-bid the contract during calendar year 2008. The RFP is being developed to move to an on-line web-based application that will include this and other enhancements.</p>
<p>At the completion of the enhancement to the SPOE database, monitoring activities on the provision of timely services will be conducted annually including a desk audit, inquiry to obtain additional information from counties, issuance of findings of noncompliance if necessary, implementation of corrective action plans, provision of technical assistance, and assurance of correction of noncompliance in accordance with federal requirements.</p>	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>In the absence of the enhancements to the SPOE database, a desk audit was conducted using the current SPOE database and inquiry was performed on 11 counties for FFY 2006. As a result the monitoring activities needed to rely on using inquiry to obtain information.</p> <p>The remaining 10 counties were monitored during FFY 2005.</p>
<p>Once the SPOE enhancement is complete, analyze data on "untimely" services to determine if patterns exist in type of service, type of discipline, variations in frequency and intensity of service need/provisions, county area, etc. to determine gaps in access and availability of necessary services. Plans to address any identified needs will be developed and implemented.</p>	<p style="text-align: center;"><b>In Progress/Ongoing Activity</b></p> <p>Pending the SPOE enhancement, this activity initially scheduled for fall 2007 was initiated in FFY 2004. In FFY 05, REICs and SCUs tracked children and services timely assignment to EIP provider agencies. This provides timely service data for review by the SCUs to ensure timely assignments; REICs ability to intervene if assignments are delayed; and DHSS to monitor and identify trends in agency performance and service/practitioner gaps.</p>
<p>Continue to facilitate enrollment of new service vendor agencies to increase availability to access to services.</p>	<p style="text-align: center;"><b>In Progress/Ongoing Activity</b></p> <p>The workgroup established in FFY 2005 provided recommendations the NJEIS on ways to improve the enrollment process for new service vendor agencies. These recommendations are being considered by DHSS-NJEIS in the development of new enrollment procedures. New vendors remain on hold and interested agencies are advised to consider subcontracting through an approved EIP agency.</p>

Improvement Activities	Status
<p>Collaborate with the NJ Department of Education, Office of Special Education Programs (OSEP) Personnel Grant to address activities to enhance practitioner recruitment and retention.</p>	<p style="text-align: center;"><b>In Progress/Ongoing Activity</b></p> <p>NJEIS has been selected by the NJ Department of Education, Office of Special Education Programs and the National Center for Special Education Personnel and Related Service Providers to support the implementation of a modified targeted personnel community-based recruitment campaign in FFY 2007 and 2008. This includes technical assistance for the refinement of the diversity campaign, assistance in the development of context-appropriate public relations materials, and the identification of key community members at the local level to help with the campaign.</p>
<p>Compensatory services are provided to families in instances in which services have not been provided in a timely manner. This is identified through informal and formal family contacts to the Procedural Safeguards Office or the NJEIS Central Management Office.</p>	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>During FFY 2006, fifty-eight (58) families received approximately 864 hours of compensatory services in accordance with NJEIS decisions through informal resolution by the Procedural Safeguards Office. This included 88 hours of developmental intervention, 212 hours of developmental intervention specific to ABA, 251 hours of Speech &amp; Language Therapy, 31 hours of Physical Therapy, and 282 hours of Occupational Therapy. One informal resolution resulted in a sanction to an Early Intervention Program (EIP) provider agency that was required to provide 43.5 hours of compensatory services at their expense.</p>
<p>When no practitioner is available within the state provider network, a family is authorized by NJEIS to utilize a practitioner outside the state network to provide the early intervention service to ensure that services are provided within the state policy for timely services.</p>	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The Procedural Safeguards Office sent letters to each family with no provider available identified that offered the option to obtain and receive reimbursement for services out of the NJEIS network pending assignment of an NJEIS practitioner.</p> <p>In FFY 2006 (July 1, 2006 – June 30, 2007), in accordance with this procedure, thirty-eight families were approved and reimbursed for early intervention services delivered by practitioners outside the NJEIS.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

<b>Monitoring Priority: Early Intervention Services In Natural Environments</b>
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**Indicator 2:** Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children.<sup>1</sup>

(20 U.S.C. 1416(a)(3)(A) and 1442)

<b>Measurement:</b> Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or programs for typically developing children) divided by the (total # of infants and toddlers with IFSPs)] times 100.
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FFY	Measurable and Rigorous Target
<b>2006 (2006-2007)</b>	99.20 percent of infants and toddlers with IFSPs will primarily receive early intervention services in the home or programs for typically developing children.

**Actual Target Data for FFY 2006:**

In FFY 2006, 99.29% (9244/9310) of infants and toddlers with IFSPs primarily received early intervention services in the home or programs for typically developing children, per data reported on Federal Table 2 for December 1, 2006.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- December 1, 2006 data indicates that the target for 2006/2007 was exceeded by .09%. Data reported for this indicator include a small number of children (66) in several counties who received early intervention services in non-natural environments (disability specific programs, clinic settings, residential facilities, and hospital inpatient settings).
- County performance ranged from 96.5% to 100% of children served in natural environments.
- The percentage of children receiving services in community based inclusive settings increased from 5.75% to 5.88%.

Improvement Activities	Status
Using the SPOE database, run and disseminate an annual report ranking the twenty-one counties' performance on this indicator based on December 1 child count.	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>These data are reported in the Annual County Performance Reports and posted on the NJEIS website.</p>

<sup>1</sup> At the time of the release of this package, revised forms for collection of 618 State reported data had not yet been approved. Indicators will be revised as needed to align with language in the 2005-2006 State reported data collections.

Improvement Activities	Status
<p>Collaborate with the Council on Developmental Disabilities (CDD) on their Part C Planning and Implementation Grants to enhance inclusive community resources and supports for families.</p>	<p><b>Completed/Final Report Pending</b></p> <p>A full report from the CDD is pending on the results of this project. At least three EIP provider agencies received mini-grants to facilitate inclusion in community settings.</p> <p>One EIP agency reported that funds were used to support the successful inclusion of 16 children with special needs in the Children's Center childcare program. Joint training was provided to EI and childcare staff specifically in the area of routine based assessment and intervention based upon the work of Robin McWilliams. Funds were also used to support extra time for the EI staff to participate in the classrooms alongside the childcare staff.</p> <p>Statewide REIC Community Safety Training was provided by Gang Prevention Law Enforcement to support staff working in at risk community environments – 100 attendees</p>
<p>Review state and county data from the NCSEAM and NJEIS Regional Family Surveys to identify patterns in providing services in and/or linking families to community supports and services. Develop and implement activities based on survey results.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The NCSEAM survey was implemented for FFY 2006. Survey results will be shared with the SICC SPP/APR Committee by March 2008 for their review and recommendations. Any new strategies or activities adopted as a result of this review will be reported in the February 2009 APR.</p>
<p>Continue to review settings data from the SPOE database to inform progress in provision of service in natural environments.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The SPOE database documented that the percentage of children receiving services in community based inclusive settings increased from 5.75% to 5.88%. The Part C Steering Committee request that NJEIS continue to explore possibilities for collection of data when families and children receive services in settings other than home on an intermittent basis remains under consideration.</p>
<p>Once the new web-based enhancement to the SPOE database is available, the following information will be reviewed to inform CSPD efforts: family information, child and family outcomes, services, supports and settings.</p>	<p><b>Not Completed</b></p> <p>This activity initially scheduled to occur Summer 2007 and annually thereafter is delayed pending the web-based enhancement to the SPOE database.</p>

Improvement Activities	Status
<p>Review the family assessment page of the IFSP, IFSP Instructions and Orientation materials to ensure that they specifically reflect the child and family outcomes developed by the Early Childhood Outcome Center.</p>	<p><b>This activity is scheduled to be completed in 2007-2008</b></p> <p>The Family Assessment Group met quarterly to :</p> <ul style="list-style-type: none"> <li>▪ Develop an outline for the family information (assessment) meeting (FIM) by service coordination prior to the initial IFSP;</li> <li>▪ Organize an information/resource packet for families;</li> <li>▪ Improve family resource links on all REIC websites;</li> <li>▪ Begin work on a new web page for families; and</li> <li>▪ Review family assessment tools and resources: IDA, Beach Center, Jacqui Van Horn worksheet, FACETS, Hilton-Early Head Start family assessment worksheet.</li> </ul>
<p>Enhance collaboration with community based partners to identify existing community supports, develop a plan to make connections and provide professional development. The purpose is to connect families with community supports and services that are not specifically targeted to individuals with disabilities.</p>	<p><b>This activity is scheduled to occur 2007-2008</b></p> <p>REIC Community Collaborations for FFY 2006 included:</p> <ul style="list-style-type: none"> <li>▪ Bergen and Hudson Headstart/EI agreements to work together to promote inclusive childcare – ongoing</li> <li>▪ Wyckoff Y – Family Support Coordinators (FSCs) assisted with planning and advertising of integrated playgroup for infants and toddlers with and without special needs – FSC assisted with planning of integrated on site childcare for families/members of the Y</li> <li>▪ JCC in Tenafly – Transition to Preschool Workshops included in the advertised workshops in their agency brochure – EI facilitated support group every other month for families of children with special needs</li> <li>▪ Bergen County Office for Children – SC and FSC link families to child care through Office for Children</li> <li>▪ Urban League of Hudson – Agency over Unified Child Care Agencies (UCCAs) – FSC provided 2 workshops for staff/directors/families about EI and Inclusion – 48 attendees</li> <li>▪ Hispanic Directors Association (HDA)– Materials given to agencies under the HDA to give to families (Resource Directory, Transition to Preschool Workshop Materials, Developmental</li> </ul>

Improvement Activities	Status
	Milestones – all in Spanish) <ul style="list-style-type: none"> <li>▪ Family Success Centers – meeting in process of being set up with FSC and staff in Kearny</li> <li>▪ Special Quest Training and collaboration with Tri-County and Atlantic County Head Start Teams</li> </ul>
Create links on NJEIS and advocacy organization websites to assist families in accessing services and supports identified on the “other non-required page” of the IFSP.	<p style="text-align: center;"><b>Ongoing Activity 2006-2011</b></p> Website links have been established on websites including: SPAN, ABCD, COSAC, Division of Disability Services (resources Directory), NECTAC, NJ Protection & Advocacy.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

Overview of the Annual Performance Report Development: See overview description on page one

### Monitoring Priority: Early Intervention Services In Natural Environments

**Indicator 3:** Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Measurement:

A. Positive social-emotional skills (including social relationships):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

If a + b + c + d + e does not sum to 100%, explain the difference.

B. Acquisition and use of knowledge and skills (including early language/communication and early literacy):

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
  - e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- If a + b + c + d + e does not sum to 100%, explain the difference.
- C. Use of appropriate behaviors to meet their needs:
- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
  - b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
  - c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
  - d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
  - e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by the (# of infants and toddlers with IFSPs assessed)] times 100.
- If a + b + c + d + e does not sum to 100%, explain the difference.

FFY	Measurable and Rigorous Target
2006 (2006-2007)	Not required

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

As instructed by OSEP, progress data and improvement activities for this indicator were updated in the State Performance Plan (SPP).

The SPP is posted at <http://www.nj.gov/health/fhs/eis/index.shtml>.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

**Monitoring Priority: Early Intervention Services In Natural Environments**

**Indicator 4:** Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

**Measurement:**

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Target	
<b>2006</b> (2006-2007)	90%	Know their rights
	92.5%	Effectively communicate their children's needs
	93%	Help their children develop and learn.

**Actual Target Data for FFY 2006:**

- A. **59.9% (176/294)** reflects the percent of families who reported that early intervention helped them know their rights.
- B. **55.6% (164/294)** reflects the percent of families who reported that early intervention helped them communicate their child's needs.
- C. **70.4% (207/294)** reflects the percent of families who reported that early intervention helped them help their child develop and learn.

The targets, as established under the FFY 2005 survey results, were not achieved and a comparison to FFY 2005 baseline is not possible due to the change in the survey used and the analysis applied. NJEIS was unable to determine whether there was progress or slippage because of the change in its survey and method of analysis. NJEIS implemented the NCSEAM Family Survey and Rasch measurement framework for analysis for this reporting period. As a result, a comparison from the two survey results from 2005 to 2006 was not practical and a decision was made to use the NCSEAM results as the new baseline. The new baseline is the actual target data for FFY 2006. The State did not change its targets for the February 1, 2008 submission but will update the targets with the FFY 2007 submission on February 1, 2009 following review and input from the Part C Steering Committee.

Surveys were returned by 295 families receiving early intervention services. Of these, 294 provided responses to the Impact on Family Scale (IFS). This number is high enough for the estimated statewide percentage on the indicator to be within an adequate confidence interval (approximately +/- 5.5%, with a confidence level of 95%) based on established survey sample guidelines.

The return sample distribution for the state was representative of the race and ethnicity for the population served by the NJEIS. The county return sample distribution for the state did adequately represent the NJEIS population surveyed. To verify that the returned sample was representative of each county, a chi square test was performed. The chi square score was 8.28 which less than the chi square value of 31.4 with a 20 degree of freedom ( $P < 0.05$ ). This means indicates that there is no significant difference between the actual number of returned surveys compared to the expected number based on the NJEIS population.

Data from each of the scales were analyzed through the Rasch measurement framework. For each scale, the analysis produced a measure for each survey respondent. Individual measures can range from 0 to 1,000. For the Impact on Family Scale (IFS), each family's measure reflects the extent to which the family perceives that early intervention has helped them achieve positive family outcomes. The IFS measures of all respondents were averaged to yield a mean measure reflecting overall performance of the NJEIS in regard to the impact of early intervention on family outcomes. The mean measure on the IFS was 622. The standard deviation was 185, and the standard error of the mean was 10.8. The 95% confidence interval for the mean was 601.0 – 643.5. This means that there is a 95% likelihood that the true value of the mean is between these two values.

While OSEP requires that the state's performance be reported as the "percent" of families who report that early intervention services helped them achieve specific outcomes deriving a percent from a continuous distribution requires application of a standard, or cut-score. The NJEIS elected to apply the Part C standards recommended by a nationally representative stakeholder group convened by the National Center for Special Education Accountability Monitoring (NCSEAM). The recommended standards established based on item content expressed in the scale were as follows:

- For Indicator 4A, know their rights, a measure of 539. The percent of families who reported that early intervention services helped them *know their rights* (Indicator 4A) was 59.9%. The 95% confidence interval for the true population percentage is 54.2% – 65.4%. This means that there is a 95% likelihood that the true value of the state percentage for Indicator 4A is between these two values.
- For Indicator 4B, effectively communicate their children's needs, a measure of 556. The percent of families who reported that early intervention services helped them *help them effectively communicate their child's needs* (Indicator 4B) was (55.6%). The 95% confidence interval for the true population percentage is 49.9% - 61.2%.
- For Indicator 4C, help their children develop and learn, a measure of 516. The percent of families who reported that early intervention services helped them *help their child develop and learn* (Indicator 4c) was 70.4%. The 95% confidence interval for the true population percentage is 64.9% - 75.3%.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- Responses were received from all twenty-one counties in New Jersey.
- Survey responses were received from 295 families, representing a 15% return rate (295/1981). This return rate was lower than proposed but resulted in achieving a 95% confidence interval. Piedra reported that the average return rate for Part C NCSEAM surveys is 15-18%.
- The targets were not achieved and a comparison to FFY 2005 baseline is not possible due to the change in the survey used and the analysis applied.
- Survey results will be shared with the SICC SPP/APR Committee by March 2008 for their review and recommendations. Any new strategies or activities adopted as a result of this review will be reported in the February 2009 APR.
- Activities were completed to implement the NCSEAM survey for FFY 2006.

- The following business rules were applied in the selection of families to receive the family survey. Children must have been in the system for at least 9 months from referral; and Children that had an active IFSP or exited early intervention 3 months or less from the population selection date.

The analysis of NJEIS data using the above business rules identified a total population size of 5,386 families as documented by the table below.

CountyName	Race					Grand Total
	African American/ Not Hispanic	American Indian/ Alaskan Native	Asian/ Pacific Islander	Hispanic	White/ Not Hispanic	
ATLANTIC	20		5	31	85	141
BERGEN	17		44	95	412	568
BURLINGTON	28	1	4	17	203	253
CAMDEN	58		6	48	206	318
Cape May	1			3	31	35
CUMBERLAND	15			45	23	83
ESSEX	129	1	10	74	184	398
GLOUCESTER	15	1	4	6	207	233
HUDSON	20		17	87	54	178
HUNTERDON	1		1	3	45	50
MERCER	32	2	13	40	95	182
MIDDLESEX	32		68	77	243	420
MONMOUTH	18		14	38	385	455
MORRIS	10		21	43	262	336
OCEAN	17		2	34	532	585
PASSAIC	34		18	134	185	371
SALEM	2			4	29	35
SOMERSET	21		34	31	193	279
SUSSEX	4		3	8	70	85
UNION	39	1	5	83	195	323
WARREN	4			3	51	58
Grand Total	517	6	269	904	3690	5386

### Sampling Plan

NJEIS conducted a two year analysis of historic NJEIS family survey data to identify a potential return rate in an effort to prevent a high margin of error. The average return rate for 2006 surveys was 28% and 19% for 2005. Historically, Hispanic and African American families have lower return rates than other race groups. This difference was documented in the analysis of the 2005-2006 family survey return rates. Therefore, the NJEIS over sampled these two race groups. NJEIS population varies widely for each county. A minimum and maximum sample size was set to ensure that the sample size from small and densely populated counties was appropriately represented.

NJEIS not only wanted to examine the results from the overall population, but also wanted to understand the differences between key demographic subgroups within the population. In order to be certain to obtain a sample that is representative of the NJEIS population and based on analysis results from previous family surveys, NJEIS implemented the use of a county stratified random sampling without replacement, unequal allocation (Hispanic and Black race group will be pulled at higher percentages than other race groups). The detailed plan follows:

**Step 1: Target number of survey returns per county.**

The sampling plan is a county stratified random sample without replacement, unequal allocation. The sampling rate is 10% with a minimal county stratum size of 20 and a maximum county stratum size of 75. This would be a sample size of 635. The margin of error (MOE) per county varied from 12% to 21%. The margin of error for 12 out the 21 counties is about 15%.

**Step 2: Calculate outgoing sample.**

To compensate for a projected lower response rate from African American and Hispanic race groups, an additional sample was drawn in each of the county stratum. With a 35% expected return rate, the actual number of family surveys mailed was 1,981 for the NJEIS population of 5,386 as documented by the table below.

**Step 3: Analysis Weights**

Both stratification and differential response cause samples to deviate from representativeness and therefore weights were adjusted for both. As part of the analysis, a weight inverse was implemented to the:

3. Sampling fraction (including all differentials in target n and field sampling rate); and
4. Response rate.

CountyName	expected returns			W/A/AI	sample out			total
	N	s.f.	MOE		f.s.r.	B/H	f.s.r.	
ATLANTIC	20	14%	20%	36	41%	31	61%	67
BERGEN	57	10%	12%	131	29%	48	43%	179
BURLINGTON	25	10%	19%	59	28%	19	42%	78
CAMDEN	32	10%	16%	61	29%	46	43%	107
CAPE MAY	20	57%	14%	31	100%	4	100%	35
CUMBERLAND	20	24%	19%	16	69%	60	100%	76
ESSEX	40	10%	15%	56	29%	87	43%	143
GLOUCESTER	23	10%	19%	60	28%	9	42%	69
HUDSON	20	11%	21%	23	32%	52	48%	74
HUNTERDON	20	40%	17%	46	100%	4	100%	50
MERCER	20	11%	21%	35	31%	34	47%	68
MIDDLESEX	42	10%	14%	89	29%	47	43%	136
MONMOUTH	46	10%	14%	115	29%	24	43%	140
MORRIS	34	10%	16%	82	29%	23	43%	105
OCEAN	59	10%	12%	154	29%	22	43%	176
PASSAIC	37	10%	15%	58	28%	72	43%	130
SALEM	20	57%	14%	29	100%	6	100%	35
SOMERSET	28	10%	18%	65	29%	22	43%	87
SUSSEX	20	24%	19%	49	67%	12	100%	61
UNION	32	10%	16%	57	28%	52	42%	109
WARREN	20	34%	18%	50	99%	7	100%	57
Grand Total	635	12%	3.7%	1301	33%	681	48%	1981

**Promotion of the Survey and Follow-Up**

Families mailed the completed survey directly to an outside agency to analyze the survey results. A unique child identification number was documented on each survey to provide demographic information. The outside agency conducting the analysis only provided a listing of the child identification numbers of families responding to the survey back to the NJEIS. This enabled the NJEIS to conduct follow-up activities to obtain a representative sample. At no time did the outside agency share information with NJEIS on how any individual family responded.

To ensure NJEIS received the representative sample, the following was implemented:

1. Families who had not identified English as their primary language were identified through the demographic data and the NJEIS provided:
  - a. the family with a translated version of the survey (if available); or
  - b. offered to conduct a phone survey with the family.
2. Determined which families did not respond within a set time period and followed-up by placing phone calls to families.
3. Returned mail and phone contacts with families resulted in a second survey mailed to a confirmed address.

Improvement Activities	Status
<p>Calculate the outgoing sample by county and race/ethnicity including as part of the analysis, apply a weight inverse to the sampling fraction (including all differentials in target number and field sampling rate); and response rate.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The outgoing sample plan is described above. For FFY 2006, 1981 families were mailed surveys.</p>
<p>Develop a letter to accompany the Family Survey co-signed by the Part C Coordinator and SPAN Co-Director.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>A letter was developed, co-signed, and translated into Spanish.</p>
<p>Contract with a vendor to (1) print and distribute the NCSEAM Survey; (2) For completed surveys conduct scanning and data analysis.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The NJEIS contracted with Piedra Data services to coordinate the preparation, mailing, return and analysis of the survey.</p>
<p>Contracted Vendor prints and mails to families the surveys including a unique child identification number that can be used to aggregate demographic data on responders and enable the NJEIS to ensure that a representative sample was achieved.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>For FFY 2006, 1981 families were mailed surveys. The survey was coded with a unique identifier that allowed the NJEIS to aggregate demographic data on responders and conduct follow-up with non-responders. The REIC Family Support Coordinators contacted families mailed surveys to encourage them to complete and return the survey. Messages were left if the family was unavailable.</p>
<p>Families mail the completed survey directly to the Contracted Vendor for survey processing (opening, scanning, data verification).</p> <p>Families who have not identified English as their primary language can be identified through the demographic data and the NJEIS will: Provide the family with a translated version of the survey (if available), or:</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Unscannable photocopies of Iowa's Spanish Survey were inserted along with the scannable English survey for the 84 families identified as needing the survey in Spanish. Spanish surveys returned were hand transferred to scannable forms</p>

Improvement Activities	Status
<ul style="list-style-type: none"> <li>Phone survey the family utilizing SPAN (PTI) families to assist with the completion of the survey.</li> </ul>	for processing.
<p>Follow-up efforts: Contracted Vendor provides a listing of the child identification numbers of families responding to the survey back to the NJEIS for follow-up as needed to ensure a good return. At no time will the Contracted Vendor share information with NJEIS on how any individual family responded.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>A significant number of surveys were returned to DHSS-NJEIS as undeliverable. Staff followed up on all returned mail and when possible resent the survey to a corrected address.</p>
<p>Determine which families did not respond within a set time period and follow-up by mailing a reminder post-card and/or phone call.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>DHSS-NJEIS staff conducted follow-up with families that had not responded to the survey including 92 families where the survey was returned to DHSS undelivered. 70 families had disconnected, out of service, wrong telephone numbers or no voice mail. 37 families requested or were re-sent a second survey and 57 families were left voice messages encouraging them to complete and return the survey.</p>
<p>Database creation, data definition file, Rasch analysis and State-level report containing figures reportable for February 2008 APR.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Piedra Data Services created the database, data definition file and Rasch analysis. Piedra contracted with Batya Elbaum, Ph.D. and Randall D. Penfield, Ph.D. to prepare the state report.</p>
<p>Provide targeted technical assistance as needed in counties with low response rates or disproportionate responses from subgroups of the total population served in NJEIS.</p>	<p><b>In Process/Ongoing Annual Activity</b></p> <p>Survey results will be shared with the SICC SPP/APR Committee by March 2008 for their review and recommendations. Any new strategies or activities adopted as a result of this review will be reported in the February 2009 APR.</p>
<p>Review family outcome survey results and revise procedural safeguards training as needed to address concerns identified.</p>	<p><b>In Process/Ongoing Activity</b></p> <p>Survey results will be shared with the SICC SPP/APR Committee by March 2008 for their review and recommendations. Any new strategies or activities adopted as a result of this review will be reported in the February</p>

Improvement Activities	Status
	2009 APR.
Provide information and guidance to Service Coordination Units and EIP agencies on identifying strategies that are supportive of families participating in early intervention services and designed to build upon family strengths and capacity to exercise their rights, effectively communicate their children's needs and help their child learn and develop.	<p style="text-align: center;"><b>In Process/Ongoing Activity</b></p> Survey results will be shared with the SICC SPP/APR Committee by March 2008 for their review and recommendations. Any new strategies or activities adopted as a result of this review will be reported in the February 2009 APR.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2007:**

Survey results will be shared with the SICC SPP/APR Committee by March 2008 for their review and recommendations. Any new strategies or activities adopted as a result of this review will be reported in the February 2009 APR.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

### Monitoring Priority: Effective General Supervision Part C / Child Find

**Indicator 5:** Percent of infants and toddlers birth to 1 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Measurement:

- A. Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = [(# of infants and toddlers birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to National data.

FFY	Measurable and Rigorous Target
2006 (2006-2007)	0.62 percent of infants and toddlers birth to 1 will have IFSPs

#### Actual Target Data for FFY 2006:

- A. For FFY 2006 (2006-2007), New Jersey served 0.63% (676/107869) of infants, birth to one, with IFSPs, compared to states with similar moderate eligibility (as of January 23, 2008 at [www.rfccnetwork.org](http://www.rfccnetwork.org)) whose average was 0.96% (9888/1034244).
- B. For FFY 2006 (2006-2007), New Jersey served 0.63% (676/107869) of infants, birth to one, with IFSPs, compared to the national (USA, DC and Puerto Rico) average of 1.04% (43353/4180082).

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:

- Data used for comparison to the states with similar eligibility and the national average is from 2006 posted by OSEP at [www.rfccnetwork.org](http://www.rfccnetwork.org) as of December 2007.
- New Jersey exceeded the target by 0.01% for this indicator as set by stakeholders for this reporting period.
- As of December 1, 2006, 0.63% of all infants less than 12 months of age were enrolled in NJEIS. 66.7% (14/21) of the twenty-one NJEIS counties met or exceeded the target of 0.62%.
- The number of children birth to one year on December 1, 2006 increased 5.63% (child count increased by 36 children).
- The total number of referrals of children birth to age one year received from July 1, 2006 through June 30, 2007 increased 8.33% (13932 to 15092) than the number received from July 1, 2005 through June 30, 2006.

- The number of referrals from July 1, 2006 through June 30, 2007 examined by age and outcome identified that 3.16% (3504/110853) of live births were referred to NJEIS. The ineligibility rate for children referred birth to age one is 29%. The correction for prematurity in determining eligibility may be contributing to the high ineligibility rate.

Age	Referrals	Ineligible
0 – 1	3,504	29%
1 – 2	6,009	22%
2 – 3	5,579	25%
<b>Total</b>	15,092	3,735

Improvement Activities	Status
Run and rank county performance on percentage of children birth to one served based on the December 1 count compared to county census data.	<b>Completed for 2006/Ongoing Annual Activity</b> County data ranged from a low of 0.23% to 1.43%. Seven of twenty-one counties were below the 0.63% statewide average. The data is included in the Annual County Performance Reports.
Select counties with low performance and prepare available data on these counties including referral sources, birth registry data, and diagnosed conditions.	<b>Completed for 2006/Ongoing Annual Activity</b> County data are prepared and shared with the REICs and SICC committees assigned to assist with this indicator.
REICs work with identified counties to analyze data, locate community resources and develop targeted child find and public awareness plans to increase the percentage of children birth to one in the identified county.	<b>Completed for 2006/Ongoing Annual Activity</b> Targeted child find plans were developed and implemented by the four REICs. Outreach and child find events included: <ul style="list-style-type: none"> <li>▪ 9 DYFS District Office presentations and discussion meetings – 395 attendees</li> <li>▪ 3 DYFS- Foster Parent training presentations – 68 foster parents and 6 DYFS staff</li> <li>▪ 1 DYFS – Foster and Adoptive Staff for resource parent trainers – 15 attendees</li> <li>▪ 1 presentation to Border Baby staff – 25 attendees.</li> <li>▪ 1 presentation to NICU families and staff at inner city hospital on Early Intervention – Collaboration with Parent to Parent – 25 attendees (parents and staff).</li> <li>▪ 1 presentation to new adoptive families – 18 attendees</li> <li>▪ REIC FSCs working collaboratively with all the agencies participating on the Hispanic Directors Association of New Jersey in their</li> </ul>

Improvement Activities	Status
	<p><i>Special Need/Educational Outreach Program</i> to disseminate information about EI services in NJ and how to contact NJEIS if a concern about child development is received through the bi-lingual toll-free information line (1-866-PRO-KIDS)</p> <ul style="list-style-type: none"> <li>▪ The NREIC child find focus was in Hudson County. A single point of entry flyer was developed in Spanish for Hudson County and sent to 11 hospitals in Hudson County</li> <li>▪ The NREIC FSC hosted a train-the-trainer on Welcome to EI and Transition to Preschool for the Northern Director of the Special Needs/Education Outreach Program for ASPIRA staff workers in Essex, Hudson and Passaic Counties. Materials were given in both English and Spanish</li> <li>▪ A South Asian student attending Rutgers University with a special interest in working with children with special needs interned with the NREIC and translated a flyer and mailed it along with regional outreach packet to 8 South Asian organizations in the region and through the state to inform families of EI services.</li> <li>▪ On-site outreach to four Federally Qualified Health Centers in Hudson County and 1 in Passaic county</li> <li>▪ Participated in community health fair in Union City, Hudson County – 1500 attended</li> <li>▪ Presentation and meeting with Casa Prac – 8 attendees</li> <li>▪ Presentation and meeting with Rural Opportunities – 12 attendees</li> <li>▪ Quarterly Meetings with Tri-County, Atlantic County Head Start programs and other community partners.</li> </ul>
<p>Continue ongoing meetings between NJEIS and the Division of Youth and Family Services (DYFS) to develop policy and procedures to ensure appropriate referral of children under Child Abuse Prevention and Treatment Act (CAPTA) and/or potentially eligible children.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The Department of Health and Senior Services and Department of Children and Families developed a Memorandum of Understanding to work in partnership to further enhance the necessary identification of children, birth to age three, who need to be referred to NJEIS. This agreement includes increasing coordination to facilitate referrals; sharing of updated information and cross-system training of staff.</p>

Improvement Activities	Status
<p>NJEIS and DYFS facilitation of regional and local collaboration with Child Welfare Planning Councils.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>REICs conducted outreach to DYFS offices through mailings and presentations to district offices and DYFS nurses.</p> <ul style="list-style-type: none"> <li>▪ 9 DYFS District Office presentations and discussion meetings – 395 attendees</li> <li>▪ 3 DYFS- Foster Parent training presentations – 68 foster parents and 6 DYFS staff</li> <li>▪ 1 DYFS – Foster and Adoptive Staff for resource parent trainers – 15 attendees</li> <li>▪ 1 presentation to Border Baby staff – 25 attendees.</li> <li>▪ 1 presentation to new adoptive families – 18 attendees</li> </ul>
<p>Collaborate with SPANs NICU Project to provide information to families about early intervention.</p>	<p><b>Completed</b></p> <p>SPAN completed training of NICU support parents who will provide information and support to families in the NICU. Forms were developed to allow the support families to get the names of families in the NICU and to meet with the doctor in charge of the NICU to plan implementation of family information and support services.</p>
<p>Collaborate with the Family Support Committee of the SICC on activities to increase early identification and referral to NJEIS.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The DHSS matched electronic birth records with the NJEIS database to identify low birth weight babies and other diagnoses. Data were shared and discussed with the SICC committee to advise recommendations for increasing the birth to one population for improving performance on this indicator. The SICC passed a motion recommending that the lead agency remove the corrected age from the eligibility criteria. The recommendation is under consideration as a part of the NJEIS rule making process.</p>
<p>Complete two Physician Trainings through the State Improvement Grant to encourage early identification and referral of children to NJEIS.</p>	<p><b>Completed</b></p> <p>Two seminars were conducted during November 2005. A total of 20 participants attended and were offered an independent study. This group included 12 pediatricians, 4 family physicians, 1 nurse, 1 pediatric allergist, 1 neonatologist, and 1 pediatric medical office manager.</p>

Improvement Activities	Status
<p>Explore opportunities to collaborate with a NJ Immigration Project (NJIPN) that is reaching out to, collecting information on, and conducting outreach to immigrant families and health services.</p>	<p style="text-align: center;"><b>Ongoing</b></p> <p>SPAN and NJIPN had submitted a joint proposal to a funder to conduct workshops for immigrant families on health access issues, including resources such as early intervention. Unfortunately the grant was not funded. However, SPAN did facilitate a presentation at the NJ Immigration Policy Network's annual conference - and will do so again during FFY 2007. The presentation includes information on health access for immigrants, and resources such as early intervention.</p> <p>SPAN and NJIPN have been funded by the Schumann Fund for NJ to do outreach and training of immigrant communities around education rights and advocacy and will include information on early intervention in the outreach and training. This project focuses on Spanish-speaking, Chinese-speaking, and one other language that is not yet finalized.</p>
<p>Plan presentations at grand rounds and business meetings of hospitals statewide.</p>	<p style="text-align: center;"><b>Completed for 2006</b></p> <p>A presentation was developed with Pediatric Council on Research and Education (PCORE) to serve as a model for presenting to children's hospitals around the state.</p>
<p>Conduct a session at St. Joseph's Hospital in Paterson, an inner city community with typically underserved populations. Links are being forged with the Pediatric Council on Research and Education (PCORE), the charitable foundation of the American Academy of Pediatrics/NJ Chapter (AAP/NJ).</p>	<p style="text-align: center;"><b>Completed for 2006</b></p> <p>A session was conducted at St. Joseph's Hospital in Paterson on June 12, 2007, for attending pediatricians and pediatric residents. The panel included a neurodevelopmental pediatrician on staff at the hospital who also serves on the state ICC; the program administrator from the hospital early intervention program; a parent who has completed a research study on parental responses to early intervention referrals; and the regional NJDOE preschool special education consultant. The Executive Director of PCORE moderated the panel and both the EI CSPD and 619 Coordinators were present to network with hospital staff. A total of 96 participants attended and rated the presentation highly.</p>

Improvement Activities	Status
<p>Develop training that will be implemented at physician's offices for office staff that meet the needs of patients and families. This will include the exploration of a link with the ongoing EPIC Children's Futures project, in which PCORE participates, addressing the developmental and psychosocial needs of children 0-3 years of age in the city of Trenton.</p>	<p><b>This activity is scheduled to occur 2007-2008</b></p> <p>PCORE is leading a statewide initiative to increase the use of developmental screening in pediatrician's offices that leads to increased referrals to early intervention and other resources for families of young children with developmental delays. This project is part of the national ABCD Academy,</p> <p>Based on the collaboration at St. Joseph's Hospital, a pilot project was begun with the neurodevelopmental pediatrician and community stakeholders to implement office training.</p>
<p>Explore collaboration with ongoing home visiting training projects through Prevent Child Abuse and NJ Academy for Home Visitation Training regarding screening and potential referrals to NJEIS.</p>	<p><b>Completed for 2006/Ongoing</b></p> <p>The Department of Health and Senior Services and Department of Children and Families developed a Memorandum of Understanding to work in partnership to further enhance the necessary identification of children, birth to age three, who need to be referred to NJEIS. This agreement includes increasing coordination to facilitate referrals; sharing of updated information and cross-system training of staff.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 6:** Percent of infants and toddlers birth to 3 with IFSPs compared to:

- A. Other States with similar eligibility definitions; and
- B. National data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

- A. Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to the same percent calculated for other States with similar (narrow, moderate or broad) eligibility definitions.
- B. Percent = [(# of infants and toddlers birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to National data.

FFY	Measurable and Rigorous Target
<b>2006</b> (2006-2007)	2.31 percent of infants and toddlers birth to 3 will have IFSPs

**Actual Target Data for FFY 2006:**

- A. For FFY 2006 (2006-2007), New Jersey served 2.8% (9310/332937) of infants and toddlers, birth to three, with IFSPs, compared to states with similar moderate eligibility (as of January 23, 2008 at [www.rrfcnetwork.org](http://www.rrfcnetwork.org)) whose average was 3.01% (93845/3112707).
- B. For FFY 2006 (2006-2007), New Jersey served 2.8% (9310/332937) of infants and toddlers, birth to three, with IFSPs, compared to the national (USA, DC and Puerto Rico) average of 2.43% (304110/12491402).

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2005:**

- Data used for comparison to the states with similar eligibility and the national average is from 2006 as posted by OSEP at [www.rrfcnetwork.org](http://www.rrfcnetwork.org) as of December 2007.
- New Jersey exceeded the target for this indicator as set by stakeholders for this reporting period.
- The total number of children enrolled in NJEIS on December 1, 2006 (9,310) increased 5.62% from December 1, 2005 (8,815).
- The total number of referrals birth to three years received July 1, 2006 through June 30, 2007 was 8.32% higher (15,092) than the number received July 1, 2005 through June 30, 2006 (13,932). As of December 1, 2006, New Jersey served 2.8% of infants and toddlers, birth to three, with IFSPs. 76% (16/21) of NJEIS counties met or exceeded the target of 2.31%.

Improvement Activities	Status
Run and rank county performance on percentage of children birth to three served based on the December one count compared to county census data.	<p align="center"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Completed ranking based on December 1, 2006 child count compared to 2006 census data.</p>
Select counties with low performance and prepare available data on these counties, including referral sources, birth registry data, and diagnosed conditions.	<p align="center"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>County data were prepared and shared with the REICs and SICC committees assigned to assist with this indicator.</p>
REICs work with identified counties to analyze data, locate community resources and develop targeted child find and public awareness plans to increase the percentage of children birth to three in the identified county.	<p align="center"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The data were analyzed to direct regional/county child find efforts for the year.</p> <ul style="list-style-type: none"> <li>▪ Presentation at South Asian statewide conference to health care professionals – 300 attendees.</li> <li>▪ 9 Division of Youth and Family Services (DYFS) District Office presentations and discussion meetings - 395 attendees</li> <li>▪ 1 DYFS- Foster Parent training presentations – 60 foster parents and 4 DYFS staff</li> <li>▪ 1 DYFS – Foster and Adoptive Staff for resource parent trainers – 15 attendees</li> <li>▪ 31 outreach to child care including – 131 mailings to child care centers (including 100 childcare centers in Newark)</li> <li>▪ Presentations to 46 child care agencies – 50 attendees via partnership with county based resource and referral agencies, 45 attendees at childcare centers in Newark</li> <li>▪ 2 presentations to the Hispanic Directors Association- 45 attendees</li> <li>▪ 1 presentation to Early Head Start – Head Start – 15 attendees</li> <li>▪ Increase in referrals of Spanish-speaking families after Hispanic/ Latino events</li> <li>▪ Family Link REIC targeted Newark and Spanish speaking families</li> <li>▪ Development and monthly mailing of PSA announcement posted on Comcast Cable Community Listings in both Spanish and English</li> <li>▪ Bimonthly mailings with follow up phone calls and emails to NICU's including: Newark Beth Israel, UMDNJ, MMH, Trinitas, Clara Maas, St. Barnabas</li> <li>▪ Disseminated EI materials to over 20</li> </ul>

Improvement Activities	Status
	libraries in the northeast region (NREIC), 11 were in Hudson County <ul style="list-style-type: none"> <li>▪ Conducted a workshop for Passaic County Public Health staff on EIS</li> </ul>
Continue ongoing meetings between NJEIS and the Division of Youth and Family Services (DYFS) to develop policy and procedures to ensure appropriate referral of children under Child Abuse Prevention and Treatment Act (CAPTA) and/or potentially eligible children.	<p style="text-align: center;"><b>Ongoing Annual Activity</b></p> A memorandum of agreement with the Department of Children and Families was completed to address CAPTA training and eligibility of DYFS children.
NJEIS and DYFS facilitation of regional and local collaboration with Child Welfare Planning Councils.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual</b></p> Child Welfare Planning Councils no longer exist in NJ. However, the NJ Department of Children and Families has funded over 30 community-based Family Success Centers whose purpose is to provide wrap-around primary prevention, family support, and family strengthening services and supports to families at risk. SPAN is a partner in the Partnership for Family Success Training and Technical Assistance Center, which has been awarded the contract by NJ DCF to provide training and TA to all the Family Success Centers. SPAN and EI are intending to collaborate with the centers to inform the staff about early intervention and how to help families access EI services.  REICs conducted outreach to DYFS offices through mailings and presentations to district offices and DYFS nurses.  The Department of Health and Senior Services and Department of Children and Families developed a Memorandum of Understanding to work in partnership to further enhance the necessary identification of children, birth to age three, who need to be referred to NJEIS. This agreement includes increasing coordination to facilitate referrals; sharing of updated information and cross-system training of staff.
Collaborate with the Family Support Committee of the SICC on activities to increase referral to NJEIS.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> The DHSS matched electronic birth records with the NJEIS database to identify low birth weight babies and other diagnoses. Data were shared and discussed with the SICC committee to advise recommendations for increasing the birth to one population for

Improvement Activities	Status
	improving performance on this indicator. The SICC passed a motion recommending that the lead agency remove the corrected age from the eligibility criteria. The recommendation is under consideration as a part of the NJEIS rule making process.
Complete two physician trainings through the State Improvement Grant to encourage referral of children to NJEIS.	<p style="text-align: center;"><b>Completed</b></p> <p>Two seminars were conducted during November 2005. A total of 20 participants attended and were offered an independent study. This group included 12 pediatricians, 4 family physicians, 1 nurse, 1 pediatric allergist, 1 neonatologist, and 1 pediatric medical office manager.</p>
Expand use of bilingual service coordinator associates (SCAs-paraprofessionals) to facilitate communication with families who are non-English-speaking.	<p style="text-align: center;"><b>Ongoing Activity</b></p> <p>DHSS-NJEIS facilitated opportunities through contracts for Service Coordination Units to employ service coordinator associates (SCA) with an emphasis of locating individuals from the community.</p> <p>Bilingual service coordinators and service coordinator associates were employed as follows:</p> <ul style="list-style-type: none"> <li>▪ 1 in Sussex, 2 in Essex speak Spanish.</li> <li>▪ 2 in Morris speak Spanish</li> <li>▪ 2 in Hudson speak Spanish</li> <li>▪ 1 in Bergen speaks Korean</li> </ul>
NJEIS Autism Project Specialist follows national research and incorporates the information into NJEIS recommended practice for the early identification of children on the autism spectrum.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The DHSS-NJEIS continues to employ a full time Autism Project Specialist that advises and serves as a resource to the NJEIS, Department of Health and Senior Services and Governor's Office.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

**Monitoring Priority: Effective General Supervision Part C / Child Find**

**Indicator 7:** Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed)] times 100.

Account for untimely evaluations.

FFY	Measurable and Rigorous Target
<b>2006</b> (2006-2007)	100% of eligible infants and toddlers with IFSPs will have an evaluation and assessment and an initial IFSP meeting conducted within Part C's 45-day timeline.

**Actual Target Data for FFY 2006:**

For FFY 2006, 95.8% (819/855) of eligible infants and toddlers with IFSPs received an evaluation and assessment and had an initial IFSP meeting conducted within Part C's 45-day timeline. Family reasons were included in both the numerator and denominator.

819 children of 855 children had an IFSP meeting within 45 days of referral as monitored by the lead agency through the procedures described below.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- NJEIS made significant progress on this indicator moving from 86.2% reported for FFY 2005 to 95.8% for FFY 2006.
- Data reported for this indicator are taken from the NJEIS data system and reflects actual days from the date of referral to the date of the initial IFSP meeting for every eligible child who received an IFSP.
- Data were reported for all twenty-one counties.
- Of 855 children whose initial IFSPs were developed during the January 2007 monitoring; 819 of the IFSPs were in compliance with 45 calendar days including the 134 initial IFSP meetings that were delayed because of family reasons. 36 IFSP meetings were delayed for systems reasons. No delays resulted from untimely evaluation.

- Included in both the numerator and denominator used to calculate this percentage are the 15.6% (134/855) of the children whose initial IFSP meetings were not completed within 45 days due to family reasons.
- The family-initiated reasons were included in the calculations and documented in service coordinator notes and NJEIS data system. Family reasons include family moving, child illness or hospitalization, family response time, failure to attend scheduled appointments, and family requested delays.
- Three out of twenty-one counties were determined to have non-compliance and contribute to the 4.2% (36/855) non-compliance.
- Three counties were issued findings of non-compliance in and issued corrective action plans. All CAPs include evidence of change and monthly progress reporting. All three corrective action plans will be completed as soon as possible but no later twelve months from the identification of the finding.

**Correction of noncompliance identified in FFY 2005 and reported in the February 2007 APR**

- Based on FFY' 05 monitoring data and findings Corrective Action Plans were issued to five counties. Three CAPs were successfully completed, correction verified and the CAPs closed within twelve months of the identification of the finding.
- The other two counties did not correct within one year and were sanctioned. Sanctions included the designation of the county agencies as at-risk grantees and the county agencies were required to submit a status report and plan to NJEIS documenting the actions they had taken and will take to identify issues and develop solutions to ensure compliance with timely initial IFSP meetings.
- Both counties identified staff recruitment and retention as the root cause to the non-compliance. One county has met compliance and the other county continues to report monthly.

Improvement Activities	Status
Track progress of individual referrals through SPOE data and as necessary address potential delays.	<p align="center"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>NJEIS state office and REICs monitor referral data through the state database and, as needed, address potential delays.</p>
Complete a competitive Request for Proposal to increase the number of Targeted Evaluation Teams (TETs) and ensure back-up TETs in each county.	<p align="center"><b>Completed</b></p> <p>An RFP was developed and piloted in two counties where a change in the TET was needed. The RFP is available to be issued based on priority counties in need of back-up TETs when a need for back-up TETs is identified.</p> <p>As a result of a CAP requirement, an interagency agreement was developed between a county SCU and a TET to address procedures to ensure timely completion of evaluations and scheduling of initial IFSP meetings. This agreement is being reviewed to establish statewide procedures.</p>

Improvement Activities	Status
Conduct monitoring activities on the 45 day requirement annually including a desk audit, conduct inquiry to obtain additional information from counties, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> The desk audit on the 45 day requirement was conducted based on January 2007 IFSPs. Corrective Action Plans were issued and are demonstrating improvement.

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

**Monitoring Priority: Effective General Supervision Part C / Effective Transition**

**Indicator 8:** Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to LEA, if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:**

- A. Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.
- B. Percent = [(# of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of children exiting Part C and potentially eligible for Part B where the transition conference occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.

FFY	Measurable and Rigorous Target
<b>2006</b> (2006-2007)	A. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: IFSPs with transition steps and services.
	B. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including notification to LEA, if child is potentially eligible for Part B.
	C. 100% of all children exiting Part C will receive timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: a transition conference, if the child is potentially eligible for Part B.

**Actual Target Data for FFY 2006:**

Indicator 8A

For FFY 2006, 96.5% (223/231) of all children exiting Part C received timely transition planning to support their transition to preschool and other appropriate community services by their third birthday including IFSPs with transition steps and services.

223 children of 231 children IFSPs contained transition steps and services as monitored by the lead agency through the procedures described below.

Indicator 8B

For FFY 2006, 98.4% (183/186) of all children exiting Part C and potentially eligible for Part B received timely transition planning to support their transition to preschool and other appropriate community services by their third birthday including notification to the local education agency (LEA).

183 children of 186 children exiting Part C and had notification to the LEA as monitored by the lead agency through the procedures described below.

Indicator 8C

For FFY 2006, 95.2% (377/396) of all children exiting Part C received timely transition planning to support their transition to preschool and other appropriate community services by their third birthday including a transition conference, if child potentially eligible for Part B. Family reasons were included in both the numerator and denominator.

377 children of 396 children had a timely Transition Planning Conference as monitored by the lead agency through the procedures described below.

121 families did not provide approval to conduct the transition conference and were not included in the numerator or denominator.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- Data were reported for all twenty-one counties.
- Data reported for 8A and 8B was collected through the annual self-assessment process. The DHSS-NJEIS requires that local agencies institute self-improvement plans based on self-identified findings for these indicators and ensures that the local agencies demonstrate 100% compliance by January through the improvement plan. Agencies that do not self correct and demonstrate 100% compliance by January are issued corrective action plans by DHSS-NJEIS for correction within twelve months of the initial self-identified findings submitted for September 1, 2007.
- 8C was obtained through a desk audit and inquiry using the NJEIS data system.

Indicator 8A

- NJEIS made progress on this indicator moving from 95.2% reported for FFY 2005 to 96.5% for FFY 2006.
- Self-assessment data were reported for twenty-one counties during the FFY 2006.
- The 96.5% calculation is based on 223/231 records in compliance.
- By September 2007 five counties self-identified non-compliance and implemented improvement plans. The counties were required to report monthly to the REICs and correct non-compliance by January 1, 2008. As of January 2008, four counties documented compliance. The remaining county was issued a corrective action plan by DHSS-NJEIS for correction no later than September 1, 2008. DHSS-NJEIS will monitor progress through monthly reporting.

**Correction of noncompliance identified in FFY 2005 and reported in the February 2007 APR**

- In response to the OSEP FFY 2005 SPP/APR Response Table, NJEIS ensures that the seven counties that self-identified non-compliance for this indicator were successful in achieving 100% correction of the non-compliance by January 2007 as verified through monthly reports to the REIC.

Indicator 8B:

- Self-assessment data were reported for twenty-one counties during FFY 2006.
- The 98.4% calculation is based on 183/186 records in compliance.

- A slight 0.5% (98.9%-98.4%) slippage was documented from FFY 2005 to FFY 2006.
- By September 2007 two counties self-identified non-compliance and implemented improvement plans. The counties were required to report monthly to the REICs and correct non-compliance by January 1, 2008. As of January 2008, one county documented 100% compliance. The remaining county was issued a corrective action plan by DHSS-NJEIS for correction no later than September 1, 2008. DHSS-NJEIS will monitor progress through monthly reporting.

**Correction of noncompliance identified in FFY 2005 and reported in the February 2007 APR**

- In response to the OSEP FFY 2005 SPP/APR Response Table, NJEIS ensures that the two counties that self-identified non-compliance for this indicator were successful in achieving 100% correction of the non-compliance within twelve months (by September 2007) as verified through monthly reports to the REIC.

Indicator 8C:

NJEIS made progress on this indicator moving from 94.2% reported for FFY 2005 to 95.2% for FFY 2006. For FFY 2005, 94.2% (408/433) of all children exiting Part C received timely transition planning to support their transition to preschool and other appropriate community services by their third birthday including a transition conference, if child potentially eligible for Part B when excluding from the numerator and denominator the 179 families did not provide approval to conduct the transition conference from family reasons..

- Data were reported for all twenty-one counties.
- A data desk audit was conducted on 517 children that turned 3 in April 2007 and 95.2% (377/396) of those children had TPCs in compliance with Part C requirements. 200 children, 15.3% (79/200) received delayed TPCs due to family reasons and 23.4% (121/200) families declined a TPC meeting. The 79 children are included in both the numerator and the denominator used to calculate the percentage in compliance.
- The 3.7% newly identified noncompliance (19/517) was the result of system delays.
- Three counties were issued corrective action plans.
- The CAPs for two counties were successfully completed, correction verified and the CAPs were closed by November 2007. The remaining county is making progress and has achieved 92% compliance as of December 2007 and is expected to achieve 100% compliance with twelve months of the identified finding.

**Correction of noncompliance identified in FFY 2005 and reported in the February 2007 APR**

- In response to the OSEP FFY 2005 SPP/APR Response Table, NJEIS ensures that the four counties with identified non-compliance for this indicator were successful in achieving 100% correction of the non-compliance within twelve months under a corrective action plan with DHSS-NJEIS.

Improvement Activities	Status
Conduct monitoring activities on the transition planning conference requirements and exiting data annually including a desk audit, conduct inquiry to obtain additional information from counties, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance and assure correction of noncompliance in accordance with federal requirements.	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The desk audit on the TPC requirement was conducted based on April 2007 children exiting the NJEIS at age three. Corrective Action Plans were issued for 100% compliance as soon as possible but no later than one year.</p>
Revise the self assessment tool to collect LEA	<b>Completed</b>

Improvement Activities	Status
notification information.	The self-assessment tool was revised and disseminated for FFY 2006 data collection and reporting.
Track transition activities through monthly self-assessment record reviews and as necessary address issues for improvement.	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Contracted agencies conducted monthly self-assessment record reviews and, as necessary, initiated improvement plans. The annual self-assessment results were reported to the REICs in September 2007. On November 5, 2007, NJEIS clarified the number of transition record reviews required under the self assessment. Effective with the record reviews conducted for Jan-June 2008, at least 12 records must be on children who are in or have gone through transition.</p>
Conduct review of self-assessment data and any county developed improvement plans annually, conduct inquiry to obtain additional information from counties, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Agencies requiring correction from 2006 self-assessment related to transition activities were required to submit monthly self-assessment findings to REICs for up to the first six months (January 2008) as a sanction. REICs are tracking improvement and will advise the State office if additional sanctions are needed by February 1, 2008.</p>
Enhance SPOE to allow REICs and Service Coordination Units to run reports to track status of transition planning conferences.	<p><b>Not Completed</b></p> <p>These activities remain on hold based on a decision by the State Treasury that enhancements are not allowable under the current contract with the Central Management Office (CMO) vendor. RFP preparation has been initiated to re-bid the contract during calendar year 2008. The RFP is being developed to move to an on-line web-based application that will include this and other enhancements.</p>
When the enhancement to SPOE is completed, track transition planning conference data through SPOE data report and as necessary address potential issues in meeting the requirements.	
Create and implement a Transition Planning Page for the IFSP form and process.	<p><b>Completed</b></p> <p>A revised Transition Planning page was developed and disseminated in January 2006 for implementation effective February 2006.</p>
Continue availability of workshops for families at the regional collaborative offices and transition trainings conducted in collaboration between Parts B & C.	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Part B/C collaborative workshops, "Turning</p>

Improvement Activities	Status
	<p>Three-Transition from Early Intervention Services”, were conducted for FFY 2006. The average attendance per workshop is 50-60 people.</p> <ul style="list-style-type: none"> <li>▪ 15 transition to preschool presentations to families – 213 attendees</li> <li>▪ 2 presentations to school personnel and EI personnel – 80 attendees</li> <li>▪ Transition to Preschool Training refreshers for EIP and SCHS staff – 23 attendees (southern)</li> <li>▪ Meeting with County Child Study Team Supervisors – ongoing communication and collaboration – developed a grid with LEA specific identifying information per LEA</li> <li>▪ 2 B/C trainings with 40 Participants each (Morris and Essex)</li> </ul>
<p>Plan for and conduct a statewide training on Transition requirements.</p>	<p style="text-align: center;"><b>Not Completed for 2006</b></p> <p>Delays in final C regulations delayed this activity. The revised timeline for this activity is FFY 2008.</p>
<p>Revise and disseminate the Transition Booklet for families.</p>	<p style="text-align: center;"><b>In progress</b></p> <p>Delays in final Part B and C regulations delayed this activity. Revisions have been made and the booklet is being finalized for release in FFY 2007.</p>
<p>Discuss with NJDOE-OSEP the opportunity for collaboration between the Part C and Part B stakeholders regarding transition activities and issues.</p>	<p style="text-align: center;"><b>Completed for 2006/Ongoing Activity</b></p> <p>NJEIS and NJDOE-OSEP continued a longstanding collaboration regarding transition activities and issues. This group of regional Parts B and C trainers is led by the co-chairs - EI CSPD and 619 Coordinator. Relationships and plans are now so solidified that state meetings are only necessary annually. Regional representatives handle issues as they arise, plan trainings, and conduct county meetings. Ongoing local meetings and networking between EI and special education staff have decreased the number of issues and especially those that needed to rise to the state monitoring or procedural safeguards complaint level.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

### Monitoring Priority: Effective General Supervision Part C / General Supervision

**Indicator 9:** General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Measurement:

Percent of noncompliance corrected within one year of identification:

- A. # of findings of noncompliance.
- B. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

FFY	Measurable and Rigorous Target
2006 (2006-2007)	100% of findings will be corrected as soon as possible but in no case later than one year of identification.

#### Actual Target Data for FFY 2006:

91.3% ((21/23) \* 100%) of findings of non-compliance were corrected in a timely manner.

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:

- 21 out of 23 findings were corrected within one year of identification.
- Two counties did not correct the non-compliance within one year of the finding in indicator 7. The corrective actions were to be completed by August 2007 and both counties were demonstrating acceptable progress in accordance with the targets.
- The two counties that did not correct within one year were sanctioned. Sanctions included the designation of the county agencies as at-risk grantees and the county agencies were required to submit a status report and plan to NJEIS documenting the actions they had taken and will take to identify issues and develop solutions to ensure compliance with timely initial IFSP meetings.
- Both counties identified staff recruitment and retention as the root cause to the non-compliance.
- One county has met 100% compliance within 17 months of the finding and the other county continues to report monthly.

**INDICATOR C-9 WORKSHEET**

<b>Indicator</b>	<b>General Supervision System Components</b>	<b># of Programs Monitored in FFY 2005</b>	<b>a. # of Findings of noncompliance identified in FFY 2005 (7/1/05-6/30/06)</b>	<b>b. # Findings from a. for which correction was verified no later than one year from identification</b>
1. Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	10	5	5
	<b>Dispute Resolution</b> (Complaints, due process hearings)	0	0	0
2. Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	21	0	0
	<b>Dispute Resolution</b> (Complaints, due process hearings)	0	0	0
1. Percent of infants and toddlers with IFSPs who demonstrate improved outcomes	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	0	0	0
	<b>Dispute Resolution</b> (Complaints, due process hearings)	0	0	0

Indicator	General Supervision System Components	# of Programs Monitored in FFY 2005	a. # of Findings of noncompliance identified in FFY 2005 (7/1/05-6/30/06)	b. # Findings from a. for which correction was verified no later than one year from identification
4. Percent of families participating in Part C who report that early intervention services have helped the family	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	0	0	0
	<b>Dispute Resolution</b> (Complaints, hearings)	0	0	0
5. Percent of infants and toddlers birth to 1 with IFSPs  6. Percent of infants and toddlers birth to 3 with IFSPs	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	21	0	0
	<b>Dispute Resolution</b> (Complaints, hearings)	0	0	0
7. Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	21	5	3
	<b>Dispute Resolution</b> (Complaints, hearings)	0	0	0

Indicator	General Supervision System Components	# of Programs Monitored in FFY 2005	a. # of Findings of noncompliance identified in FFY 2005 (7/1/05-6/30/06)	b. # Findings from a. for which correction was verified no later than one year from identification
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: A. IFSPs with transition steps and services;	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	20	7	7
	<b>Dispute Resolution</b> (Complaints, hearings)	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: B. Notification to LEA, if child potentially eligible for Part B	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	20	2	2
	<b>Dispute Resolution</b> (Complaints, hearings)	0	0	0
8. Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including: C. Transition conference, if child potentially eligible for Part B.	<b>Monitoring:</b> (Self-Assessment/ Local APR, Data Review/Desk Audit/ On-Site Visit, etc.)	21	4	4
	<b>Dispute Resolution</b> (Complaints, hearings)	0	0	0
<b>Sum the numbers down Column a and Column b</b>			23	21
Percent of noncompliance corrected within one year of identification = (column b sum divided by column a sum) times 100 ((21/23 * 100) = 91.3%)				

**Correction of noncompliance identified in the February 2007 APR that was not corrected within one year.**

- As reported in the FFY 2006 APR, one county where the finding of non-compliance was not corrected within one year. The corrective action was to be completed by September 2006 and the county was demonstrating acceptable progress in accordance with the targets when a significantly unusual increase in referrals resulted in slippage. As a response, DHSS-NJEIS mandated intense technical assistance and on-site support by the REIC. DHSS-NJEIS required additional reporting from the county. As a result of these sanctions, correction of the non-compliance was achieved in December 2006.

**Improvement Activities/Timelines/Resources:**

<b>Improvement Activities</b>	<b>Status</b>
<p>Conduct Annual Desk Audits with SPOE data to identify potential non-compliance, conduct inquiry to obtain additional information as needed, issue findings of noncompliance if necessary, implementation of corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Desk audits and Inquiries were conducted in FFY 2006 that identified non-compliance and initiation of corrective action plans. In addition, corrective action plans issued during FFY 2005 were tracked to ensure completion within required timelines.</p>
<p>Conduct Incident Report inquiry with provider agencies to determine if individual child/family issues raised with the Procedural Safeguards Office are indicative of a systemic problem and, if yes, cite a finding of noncompliance, implement a corrective action plan, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>During FFY 2006, fifty-eight (58) families received approximately 864 hours of compensatory services in accordance with NJEIS decisions through informal resolution by the Procedural Safeguards Office. This included 88 hours of developmental intervention, 212 hours of developmental intervention specific to ABA, 251 hours of Speech &amp; Language Therapy, 31 hours of Physical Therapy, and 282 hours of Occupational Therapy. One informal resolution resulted in a sanction to an Early Intervention Program (EIP) provider agency that was required to provide 43.5 hours of compensatory services at their expense.</p>
<p>Identify potential non-compliance issues through annual self-assessment data analysis, conduct inquiry to obtain additional information as needed, issue findings of noncompliance if necessary, implement corrective action plans, provide technical assistance, and assure correction of noncompliance in accordance with federal requirements.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Self-assessment identified five counties that required correction. The counties were required to submit monthly self-assessment improvement plan reports related to transition activities (8A &amp; 8B) to the REICs through December 2007 to track correction. Four counties corrected under their improvement plans with the REICs and one county that did not correct under their improvement plan was issued a CAP for</p>

Improvement Activities	Status
	both 8A & 8b from the DHSS-NJEIS.
Conduct on-site focused monitoring visits based on incident reports, procedural safeguards complaints, self-assessment data and concerns identified through on-going review of system point of entry (SPOE) database.	<p align="center"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>NJEIS state staff conducted two onsite focused monitoring visits.</p>
Identify areas for additional professional development using data from Procedural Safeguards Office reports and implement professional development activities as needed to ensure compliance.	<p align="center"><b>Ongoing Annual Activity</b></p> <p>Technical assistance was provided by the lead agency at a statewide meeting and regional provider meetings. The meetings clarified and provided technical assistance on the (1) assignment of EIP agencies to provide timely direct services and (2) family cost participation policies and procedures. Information included policy and procedures on the identification/implementation of no provider available policies and procedures.</p>
Review information from procedural safeguards workshops to identify area on which clarification of law, regulations, policies and procedures are needed to ensure compliance. Issue and disseminate clarifications as needed.	<p align="center"><b>Ongoing Annual Activity</b></p> <p>There were no specific clarifications necessary during this year.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

<b>Monitoring Priority: Effective General Supervision Part C / General Supervision</b>
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**Indicator 10:** Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

<b>Measurement:</b> Percent = [(1.1(b) + 1.1(c)) divided by 1.1] times 100.
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FFY	Measurable and Rigorous Target
<b>2006</b> (2006-2007)	100% of signed written complaints with reports issued will be resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

**Actual Target Data for FFY 2006:**

In FFY 2006, 100% of signed written complaints with reports issued were resolved within 60-day timeline.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- During FFY 2006, one signed written complaint was fully investigated and resolved with a written report issued within the 60-day timeline. Therefore, full compliance is noted.

Improvement Activities	Status
Maintain a procedural safeguards database to track requests for alternative dispute resolution.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>A procedural safeguards database continues to be maintained and enhanced as needed.</p>
Evaluate and revise the procedural safeguards training format and materials following completion of federal regulations resulting from IDEA reauthorization.	<p style="text-align: center;"><b>Completed</b></p> <p>The training remains under the responsibility of the REIC Training &amp; Technical Assistance Coordinators. It is anticipated that additional modifications in the training will be needed when the final Federal Part C Regulations are published.</p>

Improvement Activities	Status
<p>Conduct periodic procedural safeguards trainings in each region for practitioners and families.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Thirteen procedural safeguards trainings were conducted and attended by 404 practitioners/administrators. The trainings are offered regionally on an as needed or rotating basis to ensure access statewide.</p> <p>NJEIS is working in partnership with SPAN and Edvantage Media to develop a video on early intervention that focuses on family rights during FFY 2007.</p>
<p>Link the REIC data regarding informal complaints by families resolved at regional level with the state procedural safeguards database.</p>	<p><b>This activity was scheduled to begin Summer 2007</b></p> <p>Initial Phase was begun and entails the lead agency's review of data generated at the REIC level.</p> <p>In FFY 2007, the REICs will begin using the state developed procedural safeguards database format. The REIC data will be submitted to the Procedural Safeguards Office at least two times a year.</p> <p>The lead agency will continue to explore the feasibility of a database that can link the REIC data with the lead agency database.</p>
<p>Explore the feasibility of developing online training and other innovative learning opportunities for families and practitioners.</p>	<p><b>This activity was scheduled to begin Summer 2007</b></p> <p>The REIC Training and Technical Assistance Coordinators have begun to modify training materials for use on-line.</p>
<p>Revise Family Rights Handbook.</p>	<p><b>Completed</b></p> <p>The Family Rights Handbook was revised, posted on the website, distributed and is being utilized in NJEIS as of February 2007.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

### Monitoring Priority: Effective General Supervision Part C / General Supervision

**Indicator 11:** Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** Percent = [(3.2(a) + 3.2(b)) divided by 3.2] times 100.

FFY	Measurable and Rigorous Target
2006 (2006-2007)	100% of fully adjudicated due process hearing requests will be fully adjudicated within the applicable timeline.

#### Actual Target Data for FFY 2006:

In FFY 2006, 100% of hearing requests were resolved within the applicable timeline.

#### Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:

- During FFY 2006, three requests for hearings were received. The three requests were resolved without a hearing. Therefore, full compliance is noted.

Improvement Activities	Status
Maintain a procedural safeguards database to track requests for dispute resolution.	<b>Completed for 2006/Ongoing Annual Activity</b>
Link the REIC data regarding informal complaints by families resolved at regional level with the state procedural safeguards database.	<p><b>This activity was scheduled for Summer 2007</b></p> <p>Initial Phase was begun and entails the leads agency's review of data generated at the REIC level.</p> <p>In FFY 2007, the REICs will begin using the state developed procedural safeguards database format. The REIC data will be submitted to the Procedural Safeguards Office at least two times a year.</p> <p>The lead agency will continue to explore the feasibility of a database that can link the REIC data with the lead agency database.</p>

Improvement Activities	Status
Evaluate and revise the procedural safeguards training format and materials following completion of federal regulations resulting from IDEA reauthorization.	<p style="text-align: center;"><b>Completed</b></p> <p>The training remains under the responsibility of the REIC Training &amp; Technical Assistance Coordinators. It is anticipated that additional modifications in the training will be needed when the final Federal Part C Regulations are published.</p>
Conduct bi-annual or more frequently as needed, training for Hearing Officers.	<p style="text-align: center;"><b>Completed and Ongoing</b></p> <p>Training will be conducted for Hearing Officers pending final NJEIS rules. In addition, training will be conducted upon publication of final Part C regulations to advise hearing officers on necessary revision to NJEIS policies and procedures.</p>
Conduct periodic procedural safeguards trainings in each region for practitioners and families.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Thirteen procedural safeguards trainings were conducted and attended by 404 practitioners/administrators. The trainings are offered regionally on an as needed or rotating basis to ensure access statewide.</p> <p>NJEIS is working in partnership with SPAN and Edvantage Media to develop a video on early intervention that focuses on family rights during FFY 2007.</p>
Continue recruitment of hearing officers to ensure adequate coverage for hearings requested.	<p style="text-align: center;"><b>Ongoing Activity</b></p> <p>This is an ongoing activity as needed. In FFY 2006 there were adequate numbers of hearing officers available and no additional recruitment was necessary.</p>
Revise Family Rights Handbook.	<p style="text-align: center;"><b>Completed</b></p> <p>The Family Rights Handbook was revised, posted on the website, distributed and is being utilized in NJEIS as of February 2007.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

**Part C State Annual Performance Report (APR) for FFY 2006-2007**

**Monitoring Priority: Effective General Supervision Part C / General Supervision**

**Indicator 12:** Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

**Measurement:** Percent = (3.1(a) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
	<b>Not applicable for New Jersey Part C system because Part B due process procedures have not been adopted by NJEIS.</b>

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

<b>Monitoring Priority: Effective General Supervision Part C / General Supervision</b>
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**Indicator 13:** Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

<b>Measurement:</b> Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.
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FFY	Measurable and Rigorous Target
<b>2006</b> (2006-2007)	NJEIS had less than ten mediation requests; therefore no targets have been set for this indicator.

**Actual Target Data for FFY 2006:**

For FFY 2006 (2006-2007), New Jersey's Part C system received three requests for mediation. Two requests resulted in a mediation agreement. One requested mediation was not held as the request was withdrawn.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

- As fewer than ten requests for mediation were received during this period, targets are not required to be established.

Improvement Activities	Status
Maintain a procedural safeguards database to track requests for dispute resolution.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The database continues to be used to track informal and formal requests for assistance and/or dispute resolution. The data is used by the monitoring team and Procedural Safeguards Office to identify potential issues and trends within counties, regions or statewide. Data analysis is conducted when preparing for data verification visits, focused monitoring visits, incident investigations, and corrective actions.</p>
Link the REIC data regarding informal complaints by families resolved at regional level with the state procedural safeguards database.	<p style="text-align: center;"><b>This activity was scheduled for Summer 2007</b></p> <p>Initial Phase was begun and entails the leads agency's review of data generated at the REIC level.</p> <p>In FFY 2007, the REICs will begin using the state developed procedural safeguards</p>

Improvement Activities	Status
	<p>database format. The REIC data will be submitted to the Procedural Safeguards Office at least two times a year.</p> <p>The lead agency will continue to explore the feasibility of a database that can link the REIC data with the lead agency database.</p>
<p>Evaluate and revise the procedural safeguards training format and materials following completion of federal regulations resulting from IDEA reauthorization.</p>	<p><b>Completed</b></p> <p>The training remains under the responsibility of the REIC Training &amp; Technical Assistance Coordinators. It is anticipated that additional modifications in the training will be needed when the final Federal Part C Regulations are published.</p>
<p>Conduct bi-annual or more frequently as needed, training for Mediators.</p>	<p><b>Completed and Ongoing</b></p> <p>Training will be conducted for mediators pending final NJEIS rules. In addition, training will be conducted upon publication of final Part C regulations to advise mediators on necessary revision to NJEIS policies and procedures.</p>
<p>Conduct periodic procedural safeguards trainings in each region for practitioners and families.</p>	<p><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>Thirteen procedural safeguards trainings were conducted and attended by 404 practitioners/administrators. The trainings are offered regionally on an as needed or rotating basis to ensure access statewide.</p>
<p>Conduct recruitment of mediators to ensure adequate coverage for hearings requested.</p>	<p><b>Ongoing Activity</b></p> <p>This is an ongoing activity as needed. In FFY 2006 there were adequate numbers of mediators available and no additional recruitment was necessary.</p>
<p>Revise Family Rights Handbook.</p>	<p><b>Completed</b></p> <p>The Family Rights Handbook was revised, posted on the website, distributed and is being utilized in NJEIS as of February 2007.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

## Part C State Annual Performance Report (APR) for FFY 2006-2007

**Overview of the Annual Performance Report Development:** See overview description on page one

<b>Monitoring Priority: Effective General Supervision Part C / General Supervision</b>
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**Indicator 14:** State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

<b>Measurement:</b> State reported data, including 618 data, State performance plan, and annual performance reports, are:
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- |  |
|--|
| <ul style="list-style-type: none"> <li>A. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and</li> <li>B. Accurate (describe mechanisms for ensuring error free, consistent, valid and reliable data and evidence that these standards are met).</li> </ul> |
|--|

FFY	Measurable and Rigorous Target
2006 (2006-2007)	<ul style="list-style-type: none"> <li>▪ 100% of state reported data including 618, SPP and APR will be timely.</li> <li>▪ 100% of state reported data including 618, SPP and APR will be accurate.</li> </ul>

**Actual Target Data for FFY 2006:**

14a. **100%** of state reported data including 618, SPP and APR are timely.

14b. **100%** of state reported data including 618, SPP and APR are accurate.

<b>SPP/APR Data - Indicator 14</b>				
APR Indicator	Valid and Reliable	Correct Calculation	Followed Instructions	Total
1	1	1	1	3
2	1	1	1	3
3	N/A	N/A	N/A	0
4	N/A	N/A	N/A	0
5	1	1	1	3
6	1	1	1	3
7	1	1	1	3
8a	1	1	1	3
8b	1	1	1	3
8c	1	1	1	3
9	1	1	1	3

10	1	1	1	3
11	1	1	1	3
12	N/A	N/A	N/A	0
13	1	1	1	3
			<b>Subtotal</b>	36
<b>APR Score Calculation</b>			<b>Timely Submission Points</b> - If the FFY2006 APR was submitted on-time, place the number 5 in the cell on the right.	5
			<b>Grand Total</b> - (Sum of subtotal and Timely Submission Points) =	41

618 Data - Indicator 14					
Table	Timely	Complete Data	Passed Edit Check	Responded to Data Note Requests	Total
Table 1 - Child Count Due Date: 2/1/07	1	1	1	1	4
Table 2 - Program Settings Due Date: 2/1/07	1	1	1	1	4
Table 3 - Exiting Due Date: 11/1/07	1	1	1	1	4
Table 4 - Dispute Resolution Due Date: 11/1/07	1	1	1	1	4
				<b>Subtotal</b>	16
<b>618 Score Calculation</b>			<b>Grand Total</b> (Subtotal X 3) =		48

Indicator #14 Calculation	
A. APR Grand Total	41
B. 618 Grand Total	48
C. APR Grand Total (A) + 618 Grand Total (B) =	89
Total NA or N/A in APR	9
Total NA or N/A in 618	0
<b>Base</b>	<b>89</b>
D. Subtotal (C divided by Base*) =	1.000
E. Indicator Score (Subtotal D x 100) =	100.0
*Note any cell marked as N/A will decrease the denominator by 1 for APR and 3 for 618	

In FFY2006 (2006-2007) 100% of New Jersey's APR data were timely and accurately reported. The child specific electronic database, standardized state paperwork, and REIC responsibility for data entry assist in ensuring the integrity of data reported.

In FFY2006 (2006-2007) 100% of New Jersey's 618 data were timely and accurately reported. The child specific electronic database, standardized state paperwork, and REIC responsibility for data entry assist in ensuring the integrity of data reported.

**Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2006:**

NJEIS has implemented a number of measures to ensure data reflects compliance with requirements to report both timely and accurate data. These include:

- The NJEIS database has features for identifying "funky data" (error), including restricted drop-down lists for data fields that minimize data errors due to typographical error or submission of spurious information.
- Data must be entered into critical fields in the NJEIS database in order to allow data entry to progress.
- A data quality tracking matrix is implemented to watch for and correct "funky data" including identification of inaccurate or missing information and duplicate entries.
- REICs and SCUs have the capacity within the system to generate reports and review for errors manually.
- Ongoing on-site and remote consultation and technical assistance is provided to ensure data integrity.
- REIC responsibility for data entry and follow-up assist in ensuring the integrity of data reported.
- Providing child count reports to the counties at least quarterly. These child count reports include a list of children in intake, between referral and initial IFSP, and children with an active IFSP. The reports bring to the service coordinator's attention the current status of child records as recorded in the data system and provide an opportunity to update records as appropriate.

NJEIS has developed and distributes reports that monitor a number of business rules to help identify child records that may need to be updated or closed. These reports include:

- Aged out Active - Children who have reached their third birthday without an exit date or exiting reason recorded in the SPOE child record
- Intake greater then 90 Day - Records that have been open for more then 90 days without an Initial IFSP being recorded
- Active No IFSP - Children who have not been closed and have no active IFSP recorded in the SPOE child record
- No Authorizations - Children with an active IFSP but no authorizations for services for 60 days
- No Direct Services - Children with an active IFSP and authorization however, no services received in the last 60 days

NJEIS provides instructions and technical assistance to the counties to help them identify the corrections required. In order to ensure that the changes identified are ultimately updated in the SPOE database, the counties provide a report to NJEIS on the corrections required. NJEIS then monitors the data system to ensure that the changes are made so that generated reports are accurate.

NJEIS requires that the county coordinator for each Service Coordination Unit review the "Child with Active IFSP" report, provide final correction and sign off on an attestation that the information submitted is accurate and reflects the final December 1 count.

The combination of the child count reports and possible closed reports assist in ensuring accurate data by:

Identifying specific records that require review,

- Holding counties responsible for the accuracy of the database,
- Greatly improving the accountability of the early intervention system,
- Verifying that the database contains accurate data, and
- Ensuring that reports generated from the database are reliable.

Improvement Activities	Status
Maintain and enhance the electronic management information business rules to eliminate data entry errors through automated checks and balances.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The DHSS-NJEIS state staff completed several minor updates to the NJEIS database to automate checks and balances. Additional enhancements are pending the successful re-bid of the Central Management Office contract in FFY 2007.</p>
Conduct periodic data runs of SPOE database to identify and as needed correct missing and/or questionable data.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The NJEIS state staff developed a data matrix that is used to audit and identify missing or questionable data (funky data).</p>
Maintain a data entry check list and conduct follow-up of missing data or potential data errors.	<p style="text-align: center;"><b>Completed for 2006/Ongoing Annual Activity</b></p> <p>The REICs implement a state developed checklist to identify missing or potential data errors when entering data from paper to the electronic data system. The REICs issue "OOPS tickets" to agencies to follow-up on corrections.</p>
Continue enhancements of SPOE Database as described throughout the SPP.	<p style="text-align: center;"><b>Not Completed</b></p> <p>This activity was placed on hold after receiving notice from the State Treasury that the enhancements were not allowable under the current contract with the Central Management Office (CMO) vendor. An RFP will be prepared to re-bid the contract during calendar year 2008 to move to an on-line web-based application that will include this and other enhancements.</p>

**Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2006:**

No revisions to targets, improvement activities, timelines or resources were recommended or identified by the NJEIS Part C Steering Committee or the State Lead Agency for FFY 2007.

TABLE 4  
 REPORT OF DISPUTE RESOLUTION UNDER PART C, OF THE  
 INDIVIDUALS WITH DISABILITIES EDUCATION ACT  
 2006-07

STATE: NEW JERSEY

SECTION A: WRITTEN, SIGNED COMPLAINTS	
(1) Written, signed complaints total	1
(1.1) Complaints with reports issued	1
(a) Reports with findings	1
(b) Reports within timelines	1
(c) Reports with extended timelines	0
(1.2) Complaints withdrawn or dismissed	0
(1.3) Complaints pending	0
(a) Complaint pending a due process hearing	0

SECTION B: MEDIATION REQUESTS	
(2) Mediation requests total	3
(2.1) Mediations	2
(a) Mediations related to due process	2
(i) Mediation agreements	2
(b) Mediations not related to due process	0
(i) Mediation agreements	0
(2.2) Mediations not held (including pending)	1

SECTION C: HEARING REQUESTS	
(3) Hearing requests total	3
(3.1) Resolution sessions	0
(a) Settlement agreements	0
(3.2) Hearings (fully adjudicated)	0
(a) Decisions within timeline	0
(b) Decisions within extended timeline	0
(3.3) Resolved without a hearing	3