# New Jersey Department of Health Office of Certificate of Need and Healthcare Facility Licensure PO Box 358 Trenton, NJ 08625-0358

### APPLICATION FOR <u>NEW OR AMENDED</u> ACUTE CARE FACILITY LICENSE LICENSURE AND CONSTRUCTION REQUIREMENTS

#### LICENSURE REQUIREMENTS

#### General

PRIOR TO commencement of new or expanded services. To be licensed as an operator of a health care service in New Jersey, all of the applicable licensing requirements for that service must be met. This includes both physical plant and operational requirements. To obtain the licensing standards for the proposed service and/or additional information regarding the licensure process, please call 609-292-6552 or email CNandLicensingRequests@doh.nj.gov

#### **Application Filing**

Forty-five (45) days prior to your planned opening, <u>one original and two copies</u> of a completed license application form, license application fee, biennial inspection fee (if applicable), floor plan (if applicable), and all out-of-state track record reports shall be submitted to the Department of Health, Office of Certificate of Need and Healthcare Facility Licensure, PO Box 358, Trenton, NJ 08625-0358. A schedule of fees for licensure and inspection is attached. The licensing/inspection fee shall be in the form of a certified check or money order made payable to "Treasurer, State of New Jersey."

#### **Track Record Requirements**

Please be advised that in making a determination as to the applicant's capacity to operate a health care facility/service, the Department will consider the applicant's prior operating history, both in New Jersey and in other states. Any evidence of licensure violations representing a serious risk of harm to patients, or any record of criminal convictions representing a risk of harm to the safety or welfare of patients may result in denial of the applicant's application for licensure. All health care facilities owned, operated or managed by the applicant and any principals of the applicant entity which are similar or related to the service which is the subject of the application must be disclosed. For the purposes of this application, similarity or relatedness of any two services is determined by the inclusion of two services together in one of the following categories:

- (1) The acute care category, which includes hospital services such as medical/surgical, pediatric, obstetric, cardiac, psychiatric, and intensive care/critical care; comprehensive rehabilitation; surgical services; magnetic resonance imaging and computerized tomography, lithotripsy; renal dialysis; and birth centers.
- (2) The ambulatory care and other category, which includes primary care, home health care, family planning, drug counseling, abortion, ambulatory surgery, and outpatient rehabilitation.
- (3) The substance abuse treatment category, which includes residential alcohol treatment, residential drug treatment, and outpatient drug treatment.

### LICENSURE AND CONSTRUCTION REQUIREMENTS (Continued)

Track record reports from out-of-state agencies responsible for licensing these health care facilities must be submitted WITH YOUR LICENSE APPLICATION. Out-of-state track record reports are not required for diagnostic health care facilities/services (e.g., magnetic resonance imaging). The license application will be returned if all required out-of-state track record reports are not provided at the time the license application is filed. Each out-of-state track record report must indicate the history of compliance with standards in the state for the 12 months preceding application submission, as well as a description of any non-compliance, penalties imposed, duration of non-compliance and corrective actions taken.

#### **Operational Survey**

Forty-five (45) days prior to your planned opening, contact the Ambulatory/Medicare Inspections Unit (ambulatory care facilities), Certificate of Need and Licensing (hospitals) at (609) 292-6552 or the Division of Addiction Services Inspections Program (residential substance abuse treatment) at (609) 292-0961 to arrange for an operational survey. The licensing standards for the proposed service shall be reviewed for compliance **PRIOR TO** a request for an operational survey. At the time of the operational survey, all written policies and procedures, contracts, plans approved and stamped by the Department of Community Affairs (if applicable), copy of the certificate of occupancy and transfer agreements required by licensure standards must be complete and available to the surveyor.

#### **Functional Review**

The Department highly recommends that prospective applicants contact the Department to schedule a functional review to discuss their proposed project included but not limited to physical plant plans, policies and procedures, licensing protocols and applicable rules and regulations. Please schedule the review in accordance with the county in which the facility is located. It is also highly recommended that this functional review occur prior to the submission of any construction plans to the Department of Community Affairs. The Department highly recommends that prospective applicants submit a detailed narrative and schematic drawings of the proposed project to the Department for functional review.

#### **CONSTRUCTION REQUIREMENTS**

The Department of Community Affairs' Division of Codes and Standards launched ePlans, a web-based electronic plan and document workflow solution that automates the plan submission, review, and approval process through the use of digital files. ePlans allows all stages of the review process to be transmitted electronically via the internet, thus eliminating paper-based building and code review process and reducing the amount of time between plan submission and final approval.

The plans may be submitted to <a href="http://www.state.nj.us/dca/divisions/codes/offices/ePlans.html">http://www.state.nj.us/dca/divisions/codes/offices/ePlans.html</a>. You may not proceed with any construction or renovations until you have received final construction plans approval. Upon completion of construction and/or renovations, written notification, and a copy of the certificate of occupancy must be submitted to the Department of Community Affairs.

If new construction and/or renovations are not required, a floor plan of the facility must be submitted with your license application. This plan shall indicate the dimensions and use of each room, door swing direction, corridor widths, exit locations, and locations of all toilets and sinks. You must also note whether the bathrooms and premises are handicapped accessible, in accordance with the latest ADA requirements. You must also submit documentation that the existing unit complies with applicable fire signaling systems and egress requirements and note locations of pull stations, emergency fixtures, and fire extinguisher locations on the plan.

#### **ISSUANCE OF LICENSE**

A license will be issued by the Office of Certificate of Need and Healthcare Facility Licensure upon receipt of a letter of approval from the Department of Community Affairs for construction or renovation, compliance with all regulatory requirements based on the operational survey, copy of the certificate of occupancy and receipt and approval of the application for licensure. You MAY NOT proceed with initiation of new or expanded services until you have received occupancy approval from the Office of Certificate of Need and Healthcare Facility Licensure.

## New Jersey Department of Health Office of Certificate of Need and Healthcare Facility Licensure PO Box 358 Trenton, NJ 08625-0358

#### APPLICATION FOR <u>NEW OR AMENDED</u> ACUTE CARE FACILITY LICENSE

**→** 

IMPORTANT: Complete and forward an original and two (2) copies to the above address. Please retain a copy for your records.

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FOR STATE USE ONLY						
Team Facility ID No.	☐Approval  Date Received	□Denial	Amount Received  License Application Fee \$ _ Biennial Inspection Fee \$ _ TOTAL \$ _		\$	
Reviewer Signature				Date		
Type of Application  New Facility -		_	endment d/Service Addition d/Service Reduction			
CN #						
Official Name of Facility *			Operating Entity/Oper	rator *		
Site Address County			Street Address			
City	State	Zip Code	City		State	Zip Code
Telephone Number	Official Facility Em	nail	Telephone Number			
Name of Facility Administrator/Director/CEO			Name of Management Company, If Applicable (Submit copy of management agreement.)			
Title			Address			
Name of Contact Person			City		State	Zip Code
Telephone Number			Telephone Number			
Name of Emergency Contact Per	rson		Name of Managemen	t Company C	Contact Person	
Emergency Telephone Number			Title			
Email Address of Sender						

<sup>\*</sup> The official name of facility and operating entity will appear on the license. Please provide complete and accurate information. Please complete the application as to the name, address and telephone number for both the facility and operator even when the information is the same. As used in this application, "operator" or "operating entity" refers to the person or entity which is the holder of the facility license (i.e., licensee) and which has the ultimate responsibility for the provision of health care services.

Name of Facility					
Type of Facility (Check one)	SECTION I - INPATIENT FACILITIES				
	Psychiatric Hospital	□ Pesidential	Substance Abuse Tr	eatment Facility	
	Special Hospital		mmunity Transitiona		
	<u> </u>			Triomo raomy	
Beds and Services	New Facility Proposed Capacity/ Services	Current Licensed Capacity/ Services	Total Change (+) or (-)	Revised Capacity/ Services	
Medical/Surgical Beds					
OB/GYN Beds					
Pediatric Beds					
Adult ICU/CCU Beds					
Pediatric ICU Beds					
Psychiatric - Adult Acute					
- Adult Closed Acute					
- Adult Intermediate/Specialized					
- Child/Adolescent Acute					
- Child/Adolescent Intermediate					
Alcohol Detoxification Beds (Hospital Based)					
Comprehensive Rehabilitation Beds					
Burn Unit					
TOTAL BEDS					
Neonatal Bassinets - Intensive					
- Intermediate					
Operating Rooms - Inpatient (Excl. Cardiac)					
- Same Day Surgery					
- Mixed-Use					
- Cardiac Surgery-Adult					
- Cardiac Surgery-Pediatric					
Cystoscopy Rooms					
Cardiac Catheterization Labs - Adult					
- Pediatric					
- Low Risk					
Transplantation Services - Bone Marrow					
- Heart					
- Kidney					
- Liver - Lung					
- Lung - Pancreas					
Renal Services - Acute Hemodialysis					
- Chronic Hemodialysis Stations					
- Chronic Peritoneal					
- CAPD/Home Training					
Linear Accelerator					
Cobalt Units					
Magnetic Resonance Imaging Unit - Open					
- Closed					
- Fixed					
- Mobile					
Computerized Axial Tomography - Fixed					
- Mobile					
Pediatric Community Transitional Home (PCTH) Beds					
Sleep Lab(s)					
Other (specify):					

Name of Facility				
SECTION I - II	NPATIENT FACILIT	TES, CONTINUED		
Beds and Services	New Facility Proposed Capacity/ Services	Current Licensed Capacity/ Services	Total Change (+) or (-)	Revised Capacity/ Services
Lithotripter - Fixed				
- Mobile				
- Transportable				
Positron Emission Tomography - Fixed				
- Portable				
- CT Unit				
Hyperbaric Chamber				
Gamma Knife				
Designations - CPC-Basic				
- CPC-Intermediate				
- CPC-Intensive				
- Regional Perinatal Center				
- Children's Hospital				
- Level I Trauma				
- Level II Trauma				
Hospital-Based Off-Site Ambulatory Care Facility * Residential Substance Abuse Treatment Beds				
- Extended Care Adult				
- Extended Care Adult Female				
- Extended Care Adult Male				
- Extended Care Juvenile				
- Extended Care Juvenile Female				
- Extended Care Juvenile Male				
- Halfway House Adult				
- Halfway House Adult Female				
- Halfway House Adult Male				
- Halfway House Juvenile				
- Halfway House Juvenile Female				
- Halfway House Juvenile Male				
- Long Term Adult				
- Long-Term Adult Female				
- Long-Term Adult Male				
- Long-Term Juvenile				
- Long-Term Juvenile Female				
- Long-Term Juvenile Male				
- Short-Term Adult				
- Short-Term Adult Female				
- Short-Term Adult Male				
- Short-Term Juvenile				
- Short-Term Juvenile Female				
- Short-Term Juvenile Male				
- Non-Hosp. Based Detox. Adult				
- Non-Hosp. Based Detox. Adult Female				
- Non-Hosp. Based Detox. Adult Male				
- Non-Hosp. Based Detox. Juvenile				
- Non-Hosp. Based Detox. Juvenile Female			+	
- Non-Hosp. Based Detox. Juvenile Male			+	
_ong Term Care Beds ** Sub-Acute Beds **				
Sub-Acute Beds *** Adult Day Health Care Slots **				

In addition to the application to amend the hospital's license, a separate license application, with applicable fee, must be submitted for each ambulatory care facility, as well as documentation of compliance with N.J.A.C. 8:43G-2.11. For record keeping purposes only, license is issued by Long Term Care Licensing Program.

Name of Facility					
SECTION II - AMBULATORY CARE FACILITY					
Services Provided	New Facility Proposed Capacity/ Services	Current Licensed Capacity/ Services	Total Change (+) or (-)	Revised Capacity/ Services	
Ambulatory Surgery Operating Rooms	00000	00000			
Birth Center					
Community Based Primary Care					
Community Based Primary Care Satellite					
Comprehensive Outpatient Rehabilitation					
Computerized Axial Tomography - Fixed					
- Mobile					
Drug Abuse Treatment (Outpatient)					
Drug Abuse Treatment (Methadone Maintenance)					
Lithotripter - Fixed					
- Mobile *					
- Transportable					
Family Planning					
Family Planning Satellite					
Home Health Agency **					
Home Health Agency Branch Office **					
Hospice					
Hospice Branch Office					
Hyperbaric Chamber					
Magnetic Resonance Imaging - Open					
- Closed					
- Mobile * - Portable					
Renal - Chronic Hemodialysis Stations					
- Chronic Peritoneal					
- CAPD/Home Training Linear Accelerator					
Positron Emission Tomography - Fixed					
- Portable					
- CT Unit (Comb.)					
Sleep Lab(s)					
Other Services (specify):					
Other Services (specify).					
* Identify name of manufacturer, serial number, and all locations served by mobile MRI/Lithotripter/PET Scanner.					
** Identify Home Health Agency service area:					
SECTION III - OPERATING ENTITY					
Type of Operating Entity  Sole Proprietorship General Partnership Gillimited Liability Company Gorporation - For Profit * General Partnership Government Agency (Attach list of the names and addresses of board of directors/trustees) * NOTE: If the corporate entity is a wholly-owned subsidiary, please identify the parent corporation:					
Name and Title of Individual or Current Registered Agent Upon Whom Orders May be Served (Must be NJ Resident)					
Residence Address	City		State	Zip Code	

Name	of Facility				
SECTION III - OPERATING ENTITY, CONTINUED					
	PRINCIPALS IN OPERATING ENTITY  Attach a list of the names and addresses of partners/stockholders and identify 100% of the ownership, except that for publicly held corporations, identify each principal who has a 10% or greater interest in the corporation.  Applicants for transfer of ownership shall provide information for the PROPOSED operator.				
1.	Have any of the principals of the operating entity ever applied, directly or indirectly, for health care facility approval in New Jersey, or any other state, which was denied or revoked?  Yes No If Yes, indicate whom and give details (attach additional sheets if necessary):				
2.	Do any of the principals of the operating entity have an ownership, operational or management interest in any other licensed health care facility in New Jersey, or any other state?  Yes No If Yes, explain the nature of the interest and give the name, address of each facility and license number:				
3.	Have any principals of the operating entity ever been found guilty of a criminal or administrative charge of resident/patient fraud, abuse and/or neglect? Have any of these ever been indicted for the same charge?  Yes No If Yes, explain in detail (attach additional sheets if necessary):				
4.	Have any principals of the operating entity ever been indicted for or convicted of a felony crime?  Yes No If Yes, explain in detail (attach additional sheets if necessary):				

Name of Facility				
AFFILIATED HEALTH CARE FACILITIES  Identify the name, address and Medicare Provider Number of all health care facilities, both in New Jersey and in any other state, which are owned, operated or managed by the applicant, any principals or any corporate entity related to the applicant (e.g. parent or subsidiary) which is similar or related to the service which is the subject of the application. If licensed out-of-state facilities are listed, submit track record reports for the preceding 12 months from the respective state agencies responsible for licensing those facilities. Attach additional sheets as necessary.				
N	lame and Address of Facility			Medicare Provider Number
	CERTIF	ICATION		
l.		of full a	ige, hereby (	certify that I am employed with
, <u> </u>	in the capacity of		.90,0.00) (	and am duly
authorized to make the representations contained within this application for licensure on behalf of the applicant and to bind the applicant thereto; that the facility has been and will be operated in accordance with all applicable laws, rules and regulations, both state and federal; and that all information supplied in this application, including any and all attachments, are true, accurate and correct to the best of my knowledge. I am aware that if any of the information contained in this application, including any and all attachments, are willfully false or misleading, I and the applicant may be subject to civil and/or criminal penalties in accordance with applicable laws and/or other licensure enforcement activity, including, but not limited to facility loss of license in accordance with N.J.A.C. 8:43E.				
Name of Operator or Authorized Representative  Mr.  Ms.				
Signature	Email Address		Date	
FOR TRANSFER OF OWNERSHIP				
Name of Proposed Operator or Aut  Mr.  Ms.	horized Representative	Title		
Signature	Email Address		Date	
Name of Current Operator or Autho ☐Mr. ☐Ms.		Title		
Signature	Email Address		Date	