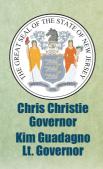
# HCQA Health Care Quality Assessment

# **Cardiac Surgery** in New Jersey



Health Care Quality Assessment Office of Policy and Strategic Planning

October, 2014





Mary E. O'Dowd, MPH Commissioner

Table	of
Gontel	nts

Executive Summary	iii
Introduction	1
How to Use This Report	1
Cardiovascular Health Advisory Panel	2
Heart Disease and Cardiac Surgery in New Jersey	2
Treatment Options	2
Definition of Operative Mortality	2
Performance Data	3
Risk-Adjusted Mortality	3
Performance Reports Lead to Improvement	3
Hospitals	4
Surgeons.	4
Volume Affects Quality	4
Bypass Surgery Volume at New Jersey Hospitals in 2010	4
Hospital Risk-Adjusted Mortality	4
Statewide Bypass Surgery Related Infections	8
Length of Stay by Hospital	9
Individual Surgeon Performance	10
Statewide Trends in Risk-Adjusted Bypass Surgery Mortality Rates:	
Pooled Estimates	18

Figure 1: Number of Isolated Coronary Artery Bypass Graft
Surgeries vs. Other Cardiac Surgeries (2010)
Figure 2: Risk-Adjusted Operative Mortality Rate by Hospital (2010) 7
Figure 3: Risk-Adjusted Operative Mortality and Length of Stay by
Hospital, 2010
Figure 4: Surgeon Risk-Adjusted Operative Mortality Rate (2009-2010) 11
Figure 5: Trends in Statewide Bypass Surgery Mortality Rates
Table 1: Statewide In-hospital Infection Rate and Operative Mortality
Rate by Infection Type, 2010
Table 2: Risk-Adjusted Mortality Rate and Post-Surgery Length of
Stay by Surgeon (2009-2010)
Appendix A: Questions and Answers 19
Appendix B: New Jersey's Cardiovascular Health Advisory
Panel Members and DOH Cardiac Surgery Report Team
Appendix C: Statewide Observed In-hospital and Operative
Mortality Rates
Appendix D: Summary of Methods Used in this Report
References



# **Executive Summary**

his report is for patients and families considering coronary artery bypass graft (CABG) surgery. It summarizes the results of a study of CABG surgery in New Jersey and answers many of the questions you may have about this common procedure.

An important goal of this report is to give you, the patient, and your family information that will help you have more informed discussions with your physicians. Since every patient has different health concerns and risks, we encourage you to discuss the information in this report with your physicians, who can answer your questions and concerns.

Another important goal of this report is to give New Jersey hospitals and surgeons meaningful data they can use in assessing quality of care related to CABG surgery. There is strong evidence, from the handful of states with similar studies, that this kind of information prompts hospitals to examine their process of care in order to improve the overall quality of CABG surgery, prevent infections, and ultimately save lives.

For this study, the Department of Health (Department) collected data on 8,282 patients undergoing open heart surgery at 18 hospitals in 2010. Of these patients, 4,302 had CABG surgery with no other major surgery during the same admission, i.e., *isolated CABG surgery* (or simply referred to as *bypass surgery* in this report).

This study was a collaborative effort with a select committee of experts known as the Cardiovascular Health Advisory Panel (CHAP), which includes physicians who specialize in cardiac surgery, cardiologists and other health care professionals.

# **How to Use This Report**

Hospitals and doctors are not the same in their specialties and expertise. Some are better equipped than others to handle patients with different health conditions. These differences will influence the quality of care you receive and the outcomes of your bypass surgery. Many consumers want a doctor's recommendation on hospitals and surgeons. Frequently, people collect as much information as possible to make informed decisions. This report will provide some of that information.

However, this report is not intended to be used alone. It is designed to provide important information to help you make informed decisions. There are many factors to consider in determining the best hospital for you. Among these are your own personal health risks as well as the experience certain hospitals have treating patients with those risk factors. Before you make your decisions, you should discuss this report with the physician, usually a cardiologist, who refers you for cardiac surgery. The cardiologist's knowledge and expertise will be a valuable guide in making your decision.

# **Key Findings**

The Department analyzed the bypass surgery data using a statistical method to assess hospital and surgeon performance. Before analyzing the data, the Department performed extensive error checks on the entire open heart surgery data, sampled medical records from each hospital for independent medical audit and consulted with the clinical panel of the CHAP. The statistical analysis took into account the patient's health status before surgery as well as demographic factors. This process is commonly known as "risk-adjustment" and allows for fair comparisons among hospitals and surgeons treating diverse patient populations.

Some key findings of the 2010 data analysis are as follows:

### **Statewide Summary**

- 51.9 percent (4,302) of the 8,282 total open heart surgeries performed in New Jersey in 2010 were bypass surgeries.
- Of the 4,302 bypass surgery patients, 84 died while in the hospital or within 30 days after surgery. The statewide observed operative mortality rate for bypass surgery patients in 2010 was 1.95 percent.

- When comparing 2009 and 2010 on a riskadjusted basis, mortality rate increased 40.6 percent.
- A review of the 17 years of pooled data suggests that the risk-adjusted bypass mortality rate in New Jersey has declined 58.6 percent between 1994 (4.52%) and 2010 (1.87%).

### Mortality Rate by Hospital and by Surgeon

- Despite the variations in bypass mortality rates among hospitals and surgeons, the quality of care delivered by most hospitals and surgeons were similar to the statewide performance.
- In 2010, one hospital, St. Michael's Medical Center, had a statistically significantly higher risk-adjusted mortality rate than the statewide rate (4.42% vs. 1.95%).
- No hospital had statistically significantly lower risk-adjusted mortality rate than the statewide rate. Although their rates were not statistically significantly different from the statewide rate, it is nevertheless notable that Englewood Hospital and St. Francis Medical Center had no bypass surgery deaths during 2010.
- In the period 2009-2010, one surgeon, Dr. Richard Highbloom from Cooper Hospital/University Medical Center, had a statistically significantly higher risk-adjusted mortality rate than the statewide rate.
- No surgeon had statistically significant lower risk-adjusted mortality rate than the statewide rate. Although their rates were not statistically significantly different from the statewide rate, it is nevertheless notable that a few surgeons, including some who performed less than 100 bypass surgeries, had no bypass surgery deaths during this two-year period. Among surgeons who performed 100 or more bypass surgeries in the period 2009-2010, Dr. James Dralle of AtlantiCare Regional Medical Center. Dr. Ravindra Karanam from Newark Beth Israel Medical Center, Dr. Joseph Kuchler from Our Lady of Lourdes Medical Center and Dr. Alex Zapolanski from Valley Hospital had no bypass surgery deaths.

### **Pre-surgery Patient Risk Factors**

- Key factors that are associated with a patient's chance of surviving the operation include\*:
  - patient's age and gender;
  - patient's health insurance status (Medicaid, self-pay or indigent);
  - whether the patient was transferred from another hospital;
  - whether the patient had various preoperative risk factors, such as cerebrovascular accident and certain types of cerebrovascular disease;
  - whether the patient had preoperative cardiac status such as congestive heart failure, cardiogenic shock, low ejection fraction, or required resuscitation immediately before the operation.

### **Post-surgery Length of Stay**

- The average length of hospital stay for a typical bypass surgery patient in 2010 was 7.29 days, which was higher than that of 6.90 days in 2009.
- The risk-adjusted length of stay by hospital ranged from 5.09 days at Valley Hospital to 7.39 days at Newark Beth Israel Medical Center.
- There were also differences in length of stay by surgeon. Risk-adjusted average length of stay by individual eligible surgeon in the period 2009-2010 ranged from 4.62 days to 7.53 days.

### **Post-surgery Infections**

- In 2010, 6.16 percent of patients had some type of infection, including pneumonia, following bypass surgery. The overall infection rate decreased by 5.1 percent from 6.49 percent in 2009 to 6.16 percent in 2010 (not risk-adjusted).
- As expected, isolated CABG patients who develop infections after surgery have a much higher mortality rate (5.14 percent vs. 1.05 percent) and a longer hospital stay compared to those who have no infections (15.73 days vs. 6.28 days).
- \* More information on risk factors and methods used in this report is presented in Appendix D.

# Introduction

his report is for patients and families of patients facing the possibility of coronary artery bypass graft (CABG) surgery. It provides mortality rates for the 18 hospitals that performed cardiac surgery in 2010 and the physicians performing this procedure in 2009-2010. As part of the Department's continued effort to provide information to consumers, this report includes information on hospital length of stay and infections following CABG surgeries. The report provides riskadjusted length of hospital stay after CABG surgery by hospital and by eligible surgeon (i.e., surgeon who performed at least 100 isolated CABG operations in one hospital in the years 2009 and 2010 combined). The rates of infections are reported for the state as a whole.

An important goal of the report is to give you, the patient, and your family information that will help you have more informed discussions with your physician. Since every patient has different health concerns and risks, we encourage you to discuss the information in this report with your physician, who can best answer your questions and concerns.

Another important goal of this analysis is to give hospitals data they can use in assessing quality of care related to CABG surgery. There is strong evidence, from other states with similar reports, that this information encourages hospitals to examine their processes of care and make changes that can improve quality of care, prevent infections, and ultimately save lives.

For this report, the Department of Health collected data on 4,302 patients who had CABG surgery with no other major surgery during the same admission (simply referred to as isolated CABG surgery or bypass surgery in this report) in 2010. This is the most recent year for which death certificate data used to calculate mortality up to 30 days after discharge are available. The data have been "risk-adjusted," which means that they were adjusted to take into account the patient's health conditions before surgery. The risk-adjustment process allows for fair comparisons among hospitals and surgeons treating diverse patient populations.

New Jersey's mortality rate for bypass surgery has shown marked decline since public reporting began with 1994 data. Risk-adjusted mortality rate declined 58.6 percent from 4.52 percent to 1.87 percent between 1994 and 2010, which is statistically significant. A difference is called **"statistically significant"** when it is too large to be due to chance or random variation.

The observed mortality rate in 2010 was 1.95 percent, which was higher than the 2009 mortality rate of 1.31 percent. Risk-adjusted mortality rate increased 48.9 percent between 2009 and 2010, which is statistically significant (Appendix D).

# **How to Use this Report**

Hospitals and doctors are not the same in their specialties and expertise. Some are better equipped than others to handle patients with different health conditions. These differences will influence the quality of care you receive and the outcomes of your bypass surgery.

Many consumers want a doctor's recommendation on hospitals and surgeons. Frequently, people collect as much information as possible to make informed decisions. This report will provide some of that information.

However, this report is not intended to be used alone. Volumes, mortality rates and length of stay in this report are just some of the important factors to consider in deciding where to have cardiac surgery. There are many factors to consider in determining the best hospital for you. Among these are your own personal health risks as well as the experience certain hospitals have treating patients with those risk factors. Before you make your decisions, you should discuss this report with your physician, usually a cardiologist, who refers you for cardiac surgery. You and your physician together can make the best choice after full consideration of your medical needs.

# **Cardiovascular Health Advisory Panel**

A Cardiovascular Health Advisory Panel (CHAP) was established by the Commissioner of Health by Executive Order (No. 187 (2001) and amended by Executive Directive 207) to provide the Commissioner with expert advice on sound cardiovascular health policy. CHAP provides advice on cardiovascular health promotion, disease prevention, standards of care, emerging technologies and their applications to cardiac services in the State, and review of the State's cardiac data for quality assessment, performance evaluation and research. CHAP's membership includes surgeons, cardiologists, nurses and professional associations and consumer representatives (See Appendix B).

### Heart Disease and Cardiac Surgery in New Jersey

Heart diseases continue to be the leading causes of death of Americans with 597,689 deaths in 2010. Almost every 30 seconds, someone in the United States will suffer a heart attack, and about once every minute, someone will die from one. In New Jersey, heart diseases are the leading causes of death, accounting for 18,730 deaths in 2010. The age-standardized death rate in 2010 was 182.0 per 100,000, which was slightly higher than the national age-standardized rate of 179.1 per 100,000.

#### (http://www.cdc.gov/nchs/data/nvsr/nvsr61/nvsr 61\_04.pdf, page 72).

The most common form of heart disease is coronary artery disease. Coronary artery disease occurs when the coronary arteries, which carry blood to the heart muscle, become clogged or partially blocked by fatty deposits on the artery walls. This can lead to chest pain, or angina, which is a warning sign for a heart attack. A heart attack occurs when a coronary artery is totally blocked.

# **Treatment Options**

Treatment for coronary artery disease will vary for different patients. The choice of treatment depends on the nature and severity of the disease and other factors unique to each patient.

For some patients, lifestyle changes such as quitting smoking, eating a low-fat diet, and getting more exercise may be enough. Some patients require special medications. Others may need medical procedures such as percutaneous coronary intervention (PCI, commonly known as angioplasty) or CABG surgery. Angioplasty reduces obstructions of fatty deposits in coronary arteries and has become an increasingly common treatment method. CABG surgery uses an artery or vein taken from another part of the body to divert blood around the clogged part of a patient's artery or arteries.

This report is about coronary artery bypass graft (CABG or bypass ) surgery outcomes. It describes the performance records of 18 hospitals in New Jersey that offered this type of surgery in 2010 and the surgeons who performed this operation at least 100 times between January 2009 and December 2010 in a hospital.

# **Definition of Operative Mortality**

Beginning with the 2000 report<sup>1</sup>, the Department, after consulting with the CHAP, included in its definition of "operative mortality" deaths up to 30 days post-surgery or deaths occurring during the hospital stay in which the surgery was performed, no matter how many days after the procedure. Deaths occurring within 30 days after surgery, but post-discharge, have been identified by matching patient records in the Department's Open Heart Surgery database against the state's official death records.

I Prior to 2000, the Department defined patient death for this report as in-hospital death before discharge from the hospital after bypass surgery. As a result, patients who died after being discharged home or to post-acute care facilities were not counted for purposes of calculating bypass surgery mortality rates. This caused concerns about "gaming" of outcomes through discharge practices.

# **Health Care Quality Assessment**

Further, in an attempt to continuously improve the quality of data used in assessing bypass surgery mortality, the Department, in consultation with CHAP, reviewed the way operative procedures are coded for the purpose of the cardiac surgery report in New Jersey. The Department issued an operative procedure coding guide to be followed by all hospitals starting with 2005 data. This guideline was designed to avoid differential reporting of operative procedures by hospitals.

Applying the revised definitions of mortality, the Department also recalculated the statewide bypass surgery mortality rates for the prior years, in order to analyze the trend over time. Trend in operative mortality rate estimates from 1994 to 2010 are presented in Figure 5. Appendix D, Table D3 also presents the statewide operative mortality rate estimates for the period 1994-2010.

# **Performance Data**

In an isolated CABG (bypass) surgery, no other major heart procedure is performed at the same time. In 2010, the number of people who died during the hospitalization in which the operation was performed, or after discharge but within 30 days of the surgery, was 84. This represents 1.95 percent of the 4,302 who had bypass surgery in 2010. This rate is referred to as statewide operative mortality rate. This statewide operative mortality rate (1.95 percent) is used as the yard stick in evaluating hospital performance.

# **Risk-Adjusted Mortality**

In evaluating the performance of hospitals and individual surgeons, it would be unfair to make comparisons only on the basis of how many patients died. The mortality risk for patients undergoing bypass surgery varies significantly with how healthy patients are prior to surgery. For instance, an 85-year-old who had cerebrovascular accident and prior carotid surgery would be at higher risk during this surgery than a 50-year-old who had no history of chronic disease. In order to produce fair comparisons, the Department applied a method that estimates **risk-adjusted mortality rates**. Each hospital was required to submit data which contain a risk profile for each patient undergoing bypass surgery. The risk-adjusted mortality rate assigns "extra credit" to hospitals and surgeons with sicker patient populations, in order not to disadvantage them in the performance comparisons.

Key factors that are associated with a patient's chance of surviving the bypass operation include:

- patient's age and gender;
- patient's health insurance status (i.e. Medicaid, self-pay or indigent);
- whether the patient was transferred from another hospital;
- whether the patient had various preoperative risk factors, such as cerebrovascular accident and certain types of cerebrovascular disease;
- whether the patient had preoperative cardiac status such as congestive heart failure, cardiogenic shock, low ejection fraction, or required resuscitation immediately before the operation.

Weights derived from the statistical model were assigned for each key risk factor and **riskadjusted mortality rates** were calculated for each hospital as fair basis for comparison (see Appendix D for more details).

# Performance Reports Lead to Improvement

This performance report is for use not only by you and your doctors, but also by hospitals to improve the quality of their care and their patients' outcomes. On a risk-adjusted basis, the New Jersey statewide risk-adjusted mortality rate for bypass surgery declined 58.6 percent from 4.52 percent in 1994 to 1.87 percent in 2010 (see Appendix D Table D3). Evidence both from New Jersey and other states that have published similar performance reports (i.e. California, Massachusetts, New York and Pennsylvania) suggests that these reports contribute to the decline in mortality rates and improve the overall quality of bypass surgery.

# Hospitals

This report provides risk-adjusted mortality rates for each of the 18 hospitals in New Jersey that were licensed to perform coronary artery bypass graft surgery in 2010. You will see that there are substantive variations among the 18 cardiac surgery hospitals. Through statistical analysis, the Department is able to determine in which cases the variations reflect real differences in performance after accounting for levels of risk among patients.

Nevertheless, these data should not be used as the sole factor in making choices about hospitals, but should be part of the discussion between you and your doctor.

### **Surgeons**

A risk-adjusted mortality rate was also calculated for each of the 36 surgeons who performed at least 100 bypass operations in one hospital in the years 2009 and 2010 combined. Even though two years of data were combined. several surgeons still fell short of the 100 cases the Department considers the minimum needed to calculate reliable risk-adjusted mortality rates. The Department recognizes that the volumes of some surgeons may be low because they had left those facilities during the year. Statistics for these low-volume surgeons are grouped under the hospital where the operations took place, in a category called "All **Others.**" These surgeons are listed by name but with no risk-adjusted mortality rates, since their small numbers do not permit an accurate indication of their performance (Table 2). This report shows the total number of open heart and bypass surgeries these low volume surgeons performed, as well as their number of bypass surgery operative deaths.

# **Volume Affects Quality**

Many studies nationally and in other states have shown that, in general, hospitals and surgeons that perform bypass surgery more frequently have lower patient mortality rates. New Jersey's data also confirm this general trend. However, there are exceptions, and a number of hospitals with low volumes have results that are in line with the statewide rate.

# Bypass Surgery Volume at New Jersey Hospitals in 2010

Bypass surgery is the most common type of cardiac surgery accounting for 51.9 percent in 2010. Figure 1 shows the number of bypass operations performed in 2010 in each of the 18 hospitals. You can see that some hospitals do more of these procedures than others, with bypass volumes ranging from a low of 41 at UMDNJ/University Hospital to a high of 575 at Morristown Memorial Hospital. Bypass surgery volume has been declining in New Jersey starting in 2000 while angioplasty has stabilized at a higher level. Between 2000 and 2010, the number of bypass surgeries in New Jersey has declined by 47.7 percent.

# **Hospital Risk-Adjusted Mortality**

Figure 2 shows the risk-adjusted mortality rate for each New Jersey hospital performing bypass surgery in 2010<sup>2</sup>. The risk-adjusted mortality rate takes into account the patient's risk factors before surgery as well as the actual mortality rate after the surgery, in order to make a fair assessment of hospital performance.

In trying to determine hospital or surgeon performance, it is important to account for the fact that some differences occur simply due to chance or random variation. Statistical tests are performed on the risk-adjusted bypass mortality estimates so that we can be as certain as possible that the differences are due to actual

2 These data may not reflect current performance of a specific hospital, which may have revamped its program since then.

variations in performance. A difference is called "**statistically significant**" when it is too large to be due to chance or random variation.

Each hospital's and each surgeon's mortality rate reflects three components: the quality of their care, the patient's risk factors that affect mortality, and an element of random variation. Readers of this report should be interested only in the first component, the quality of care delivered by hospitals and surgeons. We use a nationally-accepted risk-adjustment method to control for the second component, risk factors of bypass surgery patients seen by hospitals and surgeons. Because the third component, random variation, cannot be observed to be controlled for in the statistical model, we estimate how much higher or lower the riskadjusted mortality rate could have been given the impact of random variation, using a confidence interval given at the 95% level.

In Figure 2, the dark line in the middle of each hospital's bar represents its estimated riskadjusted mortality rate. When estimating rates using data, however, we cannot be sure if this number is the actual rate for the facility and not due to chance. We can only be relatively sure that the true rate falls somewhere within the bar. In analyzing data, we use what is called a "95 percent confidence interval," and the bar represents the lower and upper limits of this confidence interval. We are 95 percent confident that the hospital's actual risk-adjusted mortality rate falls within the range shown by the bar. Another way of saying it is that the bar represents the statistical margin of error for the estimation of that rate.

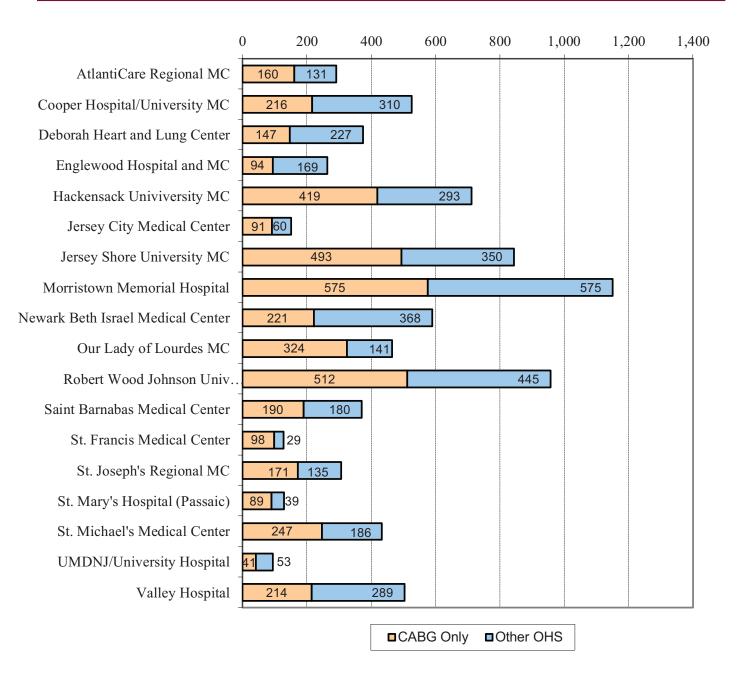
The vertical line on Figure 2 represents New Jersey's statewide bypass surgery operative mortality rate per 100 cases for 2010, i.e. 1.95. Each hospital's performance is displayed graphically in relation to this statewide rate.

Figure 2 indicates 17 hospitals have bars that cross the statewide mortality rate line (1.95 percent). That means that their risk-adjusted mortality rates were not statistically different from the statewide rate. St. Michael's Medical Center has its bar completely to the right of the statewide rate indicting that this hospital had a statistically higher risk-adjusted mortality rate than the statewide rate.

When using this report, it is important to remember that the charts are designed to show whether a hospital's or surgeon's risk-adjusted mortality rate is significantly above or below the statewide rate, or whether a rate is statistically the same as the statewide rate. Thus, it is more important to view the bars in relation to the statewide mortality rate line than it is to examine the individual calculated rates on the bars. The chart should not be used to make hospital-to-hospital or surgeon-to-surgeon comparisons, only to compare hospitals and surgeons to the statewide rate.

In examining the charts, you will see that some bars are shorter than others. The bar is shorter for hospitals or surgeons performing more surgeries, and longer for those with lower volumes. This reflects the fact that larger numbers -- in this case, more surgeries -increase the precision of a statistic.

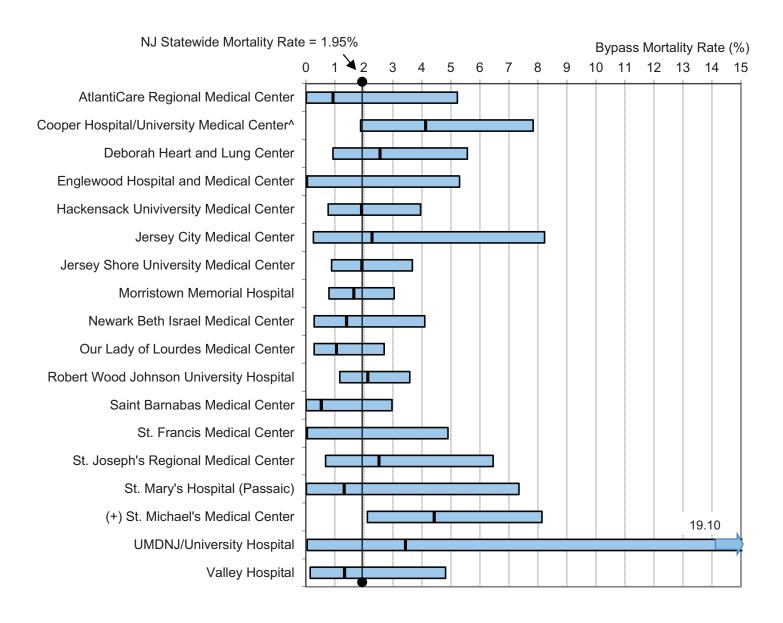




Source: New Jersey Department of Health

# **Health Care Quality Assessment**

Figure 2 Risk-Adjusted Operative Mortality Rate\* by Hospital (2010)



- \* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- \* = Facility Refused to sign-off on its data.

# Statewide Bypass Surgery Related Infections

The Department has included information on bypass surgery in-hospital infections as an additional tool to monitor hospital performance. The statewide infection rates are provided as one more factor to be considered by policy makers and others involved in quality of care monitoring.

Infections reported in the Open Heart Surgery database included sternal-deep infection (involving muscle, bone and/or mediastinum requiring operative intervention), thoracotomy, leg infections, septicemia (presence of bacteria in the blood stream) and urinary tract infections (UTI). The table also includes postoperative pneumonia. For comparison purposes, statewide infection rates, the corresponding mortality rates and the average length of stay are presented in Table 1 to provide perspective to the statewide rates.

Table 1 shows that 2.95 percent of patients who underwent bypass surgery had pneumonia, while 2.65 percent of patients had UTI and slightly more than one percent (1.05%) had septicemia. Statewide, 6.16 percent of bypass patients had some form of infection (including pneumonia) reported.

Observed bypass surgery mortality for those who had infections (9.06%) was more than six times as high as those who did not (1.49%). In addition, patients who developed post-surgery infections stayed in the hospital more than two and half times as long (16.99 days) as those who had no infections (6.65 days).

Septicemia had the highest mortality rate of 31.11 percent among all the infections reported, followed by pneumonia (11.81%), sternal deep (5.00%) and UTI (4.39%).

Statewide, overall infection rate after bypass surgery declined 5.09 percent from 6.49 percent in 2009 to 6.16 percent in 2010 (not risk-adjusted). The decline in infection rate occurred to leg infection (0.67% to 0.44%) and UTI (3.18% to 2.65%). However, the infection rate increased for pneumonia (2.60% to 2.95%), septicemia (0.91% to 1.05%), thoracotomy (0.00% to 0.05%) and sternaldeep (0.40% to 0.46%) (for more on Cardiac Surgery in New Jersey 2009, see www.state.nj.us/health/ healthcarequality/ documents/cardconsumer09.pdf).

### **Table 1**

### Statewide In-hospital Infection Rate and Operative Mortality Rate by Infection Type, 2010

	Number of Cases	Infection Rate (%)	Operative Number	Mortality* Rate (%) (Observed)	Average Length of Stay (in Days)
Cases with Infections	265	6.16	24	9.06	16.99
Sternal-Deep	20	0.46	1	5.00	26.90
Thoracotomy	2	0.05	0	0.00	27.00
Leg	19	0.44	0	0.00	15.58
Septicemia	45	1.05	14	31.11	27.24
UTI	114	2.65	5	4.39	15.42
Pneumonia	127	2.95	15	11.81	20.83
Cases without Infections	4,037		60	1.49	6.65
Total CABG cases	4,302		84	1.95	7.29

### SOURCE: New Jersey Department of Health

<sup>k</sup> Operative Mortality includes:

(1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

# Length of Stay by Hospital

The Department has included information on post-surgery length of stay as an additional tool to monitor hospital and surgeon performance on bypass surgery. The statewide post-surgery length of stay is 7.29 days.

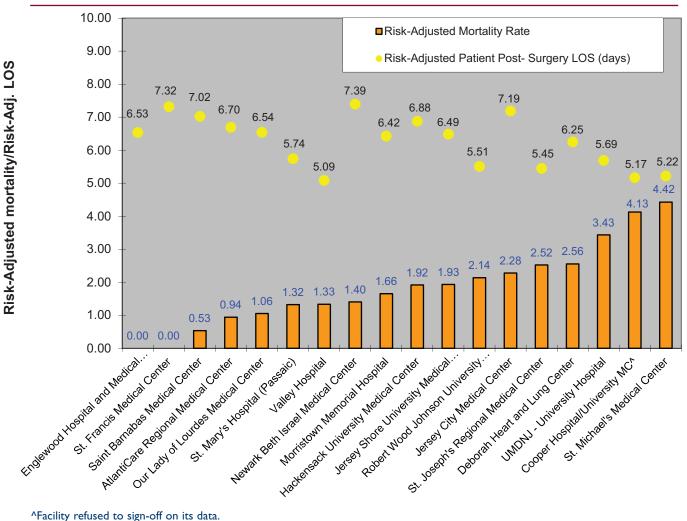
The risk-adjustment model excludes inhospital deaths, very low lengths of stay (low outliers) and very long lengths of stay (high outliers) while fitting the regression model to reduce outlier effects on the model.

The risk-adjusted lengths of stay by hospital are displayed in Figure 3 and

compared against their respective risk-adjusted mortality rates.

Figure 3 shows that there is a marked variation in risk-adjusted length of stay by hospital. The risk-adjusted length of stay by hospital ranged from a low of 5.09 days at Valley Hospital to a high of 7.39 days at Newark Beth Israel Medical Center. Hospitals with high risk-adjusted mortality rates seemed to have shorter lengths of stay (See Figure 3). Further studies are needed to better understand the relationship between length of stay and mortality.

Length of stay data for individual surgeons is presented later in this report.



### **Figure 3** Risk-Adjusted Operative Mortality and Length of Stay by Hospital, 2010

# **Individual Surgeon Performance**

Figure 4 and Table 2 show the risk-adjusted mortality rate for each of the 36 surgeons who performed at least 100 bypass surgery operations in one hospital in New Jersey in the years 2009 and 2010 combined<sup>3</sup>. In addition, Table 2 shows the risk-adjusted length of stay for each surgeon.

Table 2 lists surgeons by name under the hospital in which they practiced. At the end of each list of named surgeons, some hospitals have an "All Others" category. "All Others" includes all surgeons who performed too few procedures in that hospital for an individual risk-adjusted mortality rate to be calculated. The "All Others" category is displayed in Table 2 only when it includes at least two or more surgeons and 25 or more total bypass patients. Similarly, Figure 4 displays a bar for a surgeon only if 100 or more bypass surgeries were performed by the surgeon in one hospital in the years 2009 and 2010 combined. For a group of surgeons (i.e. All Others) a bar is shown when the group includes at least two or more surgeons and 25 or more total patients. It is important to note that some surgeons may no longer be practicing cardiac surgery in the facilities where they are listed.

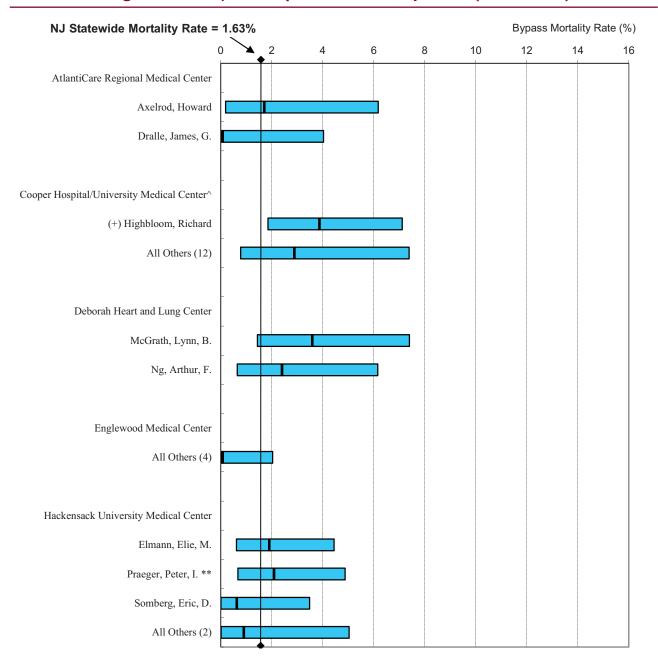
Once again, the vertical line in Figure 4 represents the statewide operative mortality rate for 2009-2010 combined. Note that, when two years' data are combined, the statewide operative mortality rate was 1.63 percent. If a surgeon has a bar completely to the left of the statewide line, i.e. 1.63, it means that the surgeon's mortality rate was statistically significantly lower than the statewide rate. In 2009-2010, there was no surgeon whose bar was completely to the left of the statewide line. As is the case for some in this report, it is possible for

a surgeon to have no patient deaths and still have his/her bar cross the statewide line. Though not intuitive, this happens because the bar is the result of an upper and lower bound which includes standard errors of the estimated mortality rate. Although their rates were not statistically significantly different from the statewide rate, it is nevertheless notable that a few surgeons, including some who performed less than 100 bypass surgeries, had no bypass surgery deaths during this two-year period. Among surgeons who performed 100 or more bypass surgeries in the period 2009-2010, Dr. James Dralle from AtlantiCare Regional Medical Center, Dr. Ravindra Karanam from Newark Beth Israel Medical Center, Dr. Joseph Kuchler from Our Lady of Lourdes Medical Center and Dr. Alex Zapolanski from Valley Hospital had no bypass surgery deaths.

If a surgeon has a bar completely to the right of the statewide mortality rate line, it means that the surgeon's mortality rate was statistically significantly higher than the statewide rate for this two-year period. In 2009-2010, one surgeon, Dr. Richard Highbloom from Cooper Hospital/University Medical Center, had statistically significantly higher risk-adjusted mortality rate than the statewide rate.

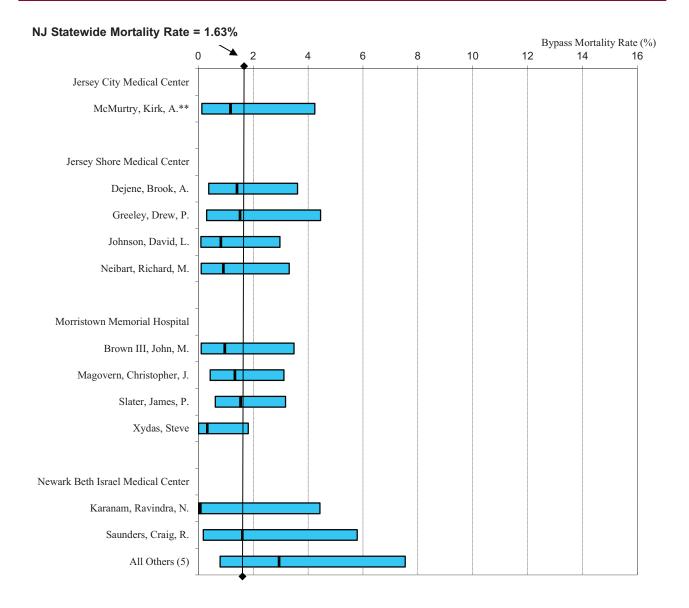
In addition to risk-adjusted mortality for surgeons, Table 2 also shows risk-adjusted patient length of stay for each surgeon who performed at least 100 bypass surgeries in the 2009-2010 reporting period. There is marked variation in length of stay among eligible surgeons where the shortest length of stay was 4.62 days and the longest was 7.53 days. The reasons behind the wide variation in mean lengths of stay are not clear and need further study.

<sup>3</sup> These data may not reflect the current performance of a specific surgeon, who may have improved his/her performance since then. Also, some surgeons listed in the cardiac surgery centers may have already left the facility since the data were reported.



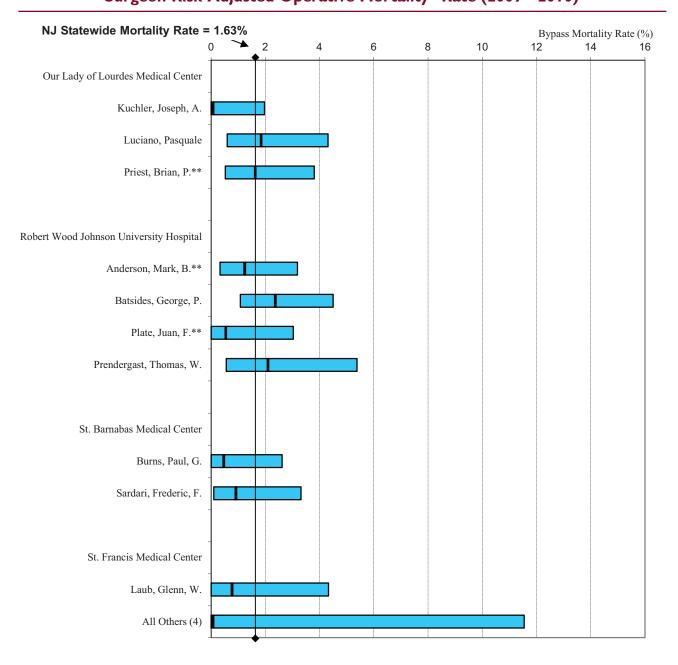
**Figure 4** Surgeon Risk-Adjusted Operative Mortality\* Rate (2009 - 2010)

- \* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
   (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- ^ = Facility refused to sign-off on its data.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- \*\* = Surgeon not currently performing CABG surgery in this hospital.



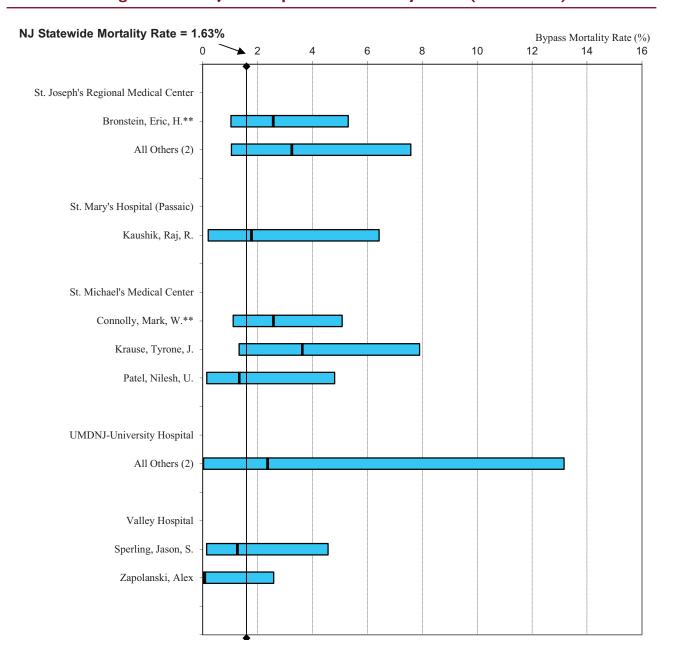
### **Figure 4 (continued)** Surgeon Risk-Adjusted Operative Mortality\* Rate (2009 - 2010)

- \* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
   (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- ^ = Facility refused to sign-off on its data.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- \*\* = Surgeon not currently performing CABG surgery in this hospital.



### Figure 4 (continued) Surgeon Risk-Adjusted Operative Mortality\* Rate (2009 - 2010)

- \* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
   (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- ^ = Facility refused to sign-off on its data.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- \*\* = Surgeon not currently performing CABG surgery in this hospital.



### **Figure 4 (continued)** Surgeon Risk-Adjusted Operative Mortality\* Rate (2009 - 2010)

- \* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
   (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- \* = Facility refused to sign-off on its data.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- \*\* = Surgeon not currently performing CABG surgery in this hospital.

### Table 2

### Risk-Adjusted Operative Mortality\* Rate and Post-Surgery Length of Stay by Surgeon (2009 - 2010)

Hospital and Surgeon	Total Open Heart Procedures	Number of Isolated CABG Operations	Patient Operative Deaths*	Observed Patient Mortality(%)	Expected Patient Mortality(%)	Risk-Adjusted Patient Mortality (%)		Confidence	Risk-Adjusted Post-Surgery Length of Stay
AtlantiCare Regional Medical Ce	enter								
Axelrod, Howard	286	171	2	1.17	1.11	1.71		(0.19, 6.17)	6.39
Dralle, James, G.	265	139	0	0.00	1.09	0.00		(0.00, 3.95)	6.70
Cooper Hospital/University Medi	cal Center^								
Highbloom, Richard	370	316	10	3.16	1.33	3.87	HI	(1.85, 7.12)	4.96
All Others (13)	679	134	4	2.99	1.68	2.88		(0.78, 7.38)	5.79
Axelrad, Alexander**	1	0	0					· · · ·	
Bowen, Frank	316	79	2						
Burns, Richard, K.**	1	0	0						
Chovanes, John	1	0	0						
Deangelo, Frank, J.**	5	0	0						
El-Habre, Wassim**	1	0	0						
Fusco, Cynthia**	2	0	0						
Hagendorf, Benjamin**	7	0	0						
Joseph, D'Andrea, K.**	6	0	0						
Rosenbloom, Michael	329	55	2						
Ross, Steven, E.	2	0	0						
Tsiotsias, George**	8	0	0						
Deborah Heart and Lung Center									
McGrath, Lynn, B.	540	209	7	3.35	1.52	3.59		(1.44, 7.40)	6.41
Ng, Arthur, F.	211	102	4	3.92	2.65	2.40		(0.65, 6.16)	5.88
Englewood Hospital & Medical (	Center								
All Others (4)	547	187	0	0.00	1.63	0.00		(0.00, 1.96)	6.66
Arnofsky, Adam	55	32	0						
Ergin, Arisan, M.**	10	0	0						
Klein, James, J.	227	88	0						
McCullough, Jock, N.**	255	67	0						
Hackensack University Medical (	Center								
Elmann, Elie, M.	452	281	5	1.78	1.52	1.90		(0.61, 4.45)	7.10
Praeger, Peter, I.**	307	219	5	2.28	1.78	2.09		(0.67, 4.88)	6.69
Somberg, Eric, D.	287	205	1	0.49	1.27	0.63		(0.01, 3.48)	7.53
All Others (2)	329	131	1	0.76	1.37	0.90		(0.01, 5.03)	6.03
Asgarian, Kourosh**	101	52	1						
Lee, Leonard**	228	79	0						

\* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

\*\* = Surgeon not currently performing CABG surgery in this hospital.

HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.

^ = Facility refused to sign-off on its data.

### Table 2 (continued)

### Risk-Adjusted Operative Mortality\* Rate and Post-Surgery Length of Stay by Surgeon (2009 - 2010)

	<b>T</b> . 10	Number of			<b>D</b>		0.50(	<b>D</b> .1 4 1 4 1
	Total Open	Isolated	Patient	Observed	1	Risk-Adjusted		Risk-Adjusted
Here it all and Groupers	Heart	CABG Operations	Operative	Patient	Patient	Patient	Confidence	Post-Surgery
Hospital and Surgeon	Procedures	Operations	Deaths*	Mortality(%)	Mortality(%)	Mortality (%)	Interval	Length of Stay
Jersey City Medical Center								
McMurtry, Kirk, A.**	219	142	2	1.41	1.95	1.18	(0.13, 4.24)	7.00
All Others (1)	58	41	1					
Hanhan, Ziad, G.**	58	41	1					
Jersey Shore University Medical	Center							
Dejene, Brook, A.	433	273	4	1.47	1.68	1.41	(0.38, 3.62)	6.32
Greeley, Drew, P.	428	257	3	1.17	1.24	1.52	(0.31, 4.45)	6.30
Johnson, David, L.	437	223	2	0.90	1.77	0.82	(0.09, 2.97)	6.24
Neibart, Richard, M.	415	256	2	0.78	1.38	0.92	(0.10, 3.31)	6.05
Morristown Memorial Hospital								
Brown III, John, M.	753	232	2	0.86	1.45	0.97	(0.11, 3.49)	5.88
Magovern, Christopher, J.	581	349	5	1.43	1.74	1.34	(0.43, 3.12)	6.33
Slater, James, P.	566	382	7	1.83	1.93	1.54	(0.62, 3.18)	6.16
Xydas, Steve	369	224	1	0.45	2.22	0.33	(0.00, 1.82)	6.37
Newark Beth Israel Medical Cen	ıter							
Karanam, Ravindra, N.	280	137	0	0.00	1.00	0.00	(0.00, 4.36)	7.29
Saunders, Craig, R.	489	151	2	1.32	1.34	1.60	(0.18, 5.79)	7.21
All Others (5)	398	109	4	3.67	2.02	2.95	(0.79, 7.54)	7.24
Burns, Paul, G.	3	0	0					
Camacho, Margarita	212	32	2					
McBride, Lawrence**	95	40	2					
Sardari, Frederic, F.	4	2	0					
Simsir, Sinan**	84	35	0					
Our Lady of Lourdes Medical Co	enter							
Kuchler, Joseph, A.	295	163	0	0.00	1.93	0.00	(0.00, 1.90)	6.58
Luciano, Pasquale	278	219	5	2.28	2.01	1.85	(0.60, 4.32)	6.42
Priest, Brian, P.**	368	270	5	1.85	1.84	1.63	(0.53, 3.81)	6.50
All Others (1)	12	11						
Metcalf, Randy, K.**	12	11						
Robert Wood Johnson Universit	y Hospital							
Anderson, Mark, B.**	685	292	4	1.37	1.79	1.25	(0.34, 3.19)	5.17
Batsides, George, P.	428	254	9	3.54	2.43	2.37	(1.08, 4.50)	6.08
Plate, Juan, F.**	272	177	1	0.56	1.68	0.55	(0.01, 3.04)	5.69
Prendergast, Thomas, W.	272	188	4	2.13	1.64	2.10	(0.57, 5.39)	6.00
All Others (1)	207	76	4					
Scholz, Peter, M.	207	76	4					

\* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

\*\* = Surgeon not currently performing CABG surgery in this hospital.

HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.

^ = Facility refused to sign-off on its data.

### Table 2 (continued)

### Risk-Adjusted Operative Mortality\* Rate and Post-Surgery Length of Stay by Surgeon (2009 - 2010)

Hospital and Surgeon	Total Open Heart Procedures	Number of Isolated CABG Operations	Patient Operative Deaths*	Observed Patient Mortality(%)	Expected Patient Mortality(%)	Risk-Adjusted Patient Mortality (%)	95% Confidence Interval	Risk-Adjusted Post-Surgery Length of Stay
St Barnabas Medical Center								
Burns, Paul, G.	394	188	1	0.53	1.83	0.47	(0.01, 2.62)	6.95
Sardari, Frederic, F.	399	236	2	0.85	1.50	0.92	(0.01, 2.02) (0.10, 3.32)	6.98
All Others (4)	27	6	0	0.05	1.50	0.92	(0.10, 5.52)	0.90
Camacho, Margarita	2	1	0					
Karanam, Ravindra, N.	5	2	0					
McBride, Lawrence**	1	0	0					
Saunders, Craig, R.	19	3	0					
St Francis Medical Center								
Laub, Glenn, W.**	258	199	1	0.50	1.05	0.78	(0.01, 4.33)	7.08
All Others (4)	46	38	0	0.00	1.37	0.00	(0.00, 11.47)	5.85
Costic, Joseph**	17	14	0					
Deshpande, Anil	16	14	0					
Nixon, Todd	6	5	0					
Shariff, Haji	7	5	0					
St Joseph's Regional Medical Cen	nter							
Bronstein, Eric, H.**	479	275	7	2.55	1.61	2.57	(1.03, 5.30)	4.62
All Others (2)	171	101	5	4.95	2.48	3.25	(1.05, 7.58)	6.06
Cornwell, Lorraine**	36	17	2					
Wohler, Alexander	135	84	3					
St. Mary's Hospital (Passaic)								
Kaushik, Raj, R.	231	155	2	1.29	1.18	1.78	(0.20, 6.43)	5.93
All Others (1)	10	9	0					
Shakir, Huzaifa, A.	10	9	0					
St Michael's Medical Center								
Connolly, Mark, W.**	548	295	8	2.71	1.71	2.58	(1.11, 5.08)	5.29
Krause, Tyrone, J.	176	107	6	5.61	2.51	3.63	(1.33, 7.90)	5.57
Patel, Nilesh, U.	213	162	2	1.23	1.51	1.33	(0.15, 4.81)	4.88
UMDNJ University Hospital								
All Others (2)	194	85	1	1.18	0.81	2.37	(0.03, 13.16)	5.81
Lovoulos, Constantinos	96	36	1					
Sambol, Justin, T.	98	49	0					
Valley Hospital								
Sperling, Jason, S.	415	204	2	0.98	1.26	1.26	(0.14, 4.57)	5.22
Zapolanski, Alex	528	209	0	0.00	1.14	0.00	(0.00, 2.51)	5.10
All Others (1)	34	10	0					
Brizzio, Mariano	34	10	0					
State Total (2009 - 2010)	16,639	8,799	143	1.63	1.63	1.63		6.11

\* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

\*\* = Surgeon not currently performing CABG surgery in this hospital.

HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.

^ = Facility refused to sign-off on its data.

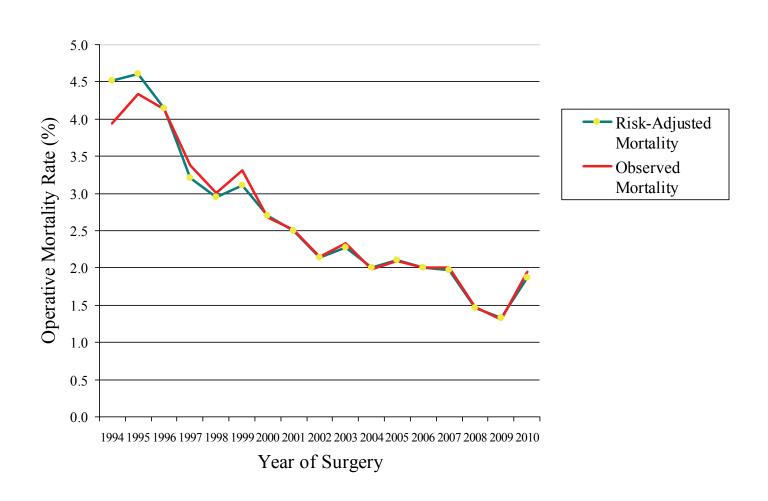
# Statewide Trends in Risk-adjusted Bypass Surgery Mortality Rates: Pooled Estimates

Figure 5 presents the statewide risk-adjusted mortality rates for years 1994 to 2010 derived by pooling data from all years.

Figure 5 also presents the trend in statewide observed bypass operative mortality rates for years 1994-2010. The observed operative mortality rate estimates exhibit a declining trend that is very similar to the risk-adjusted mortality estimates. (Sources: Appendix C; Appendix D, Table D3). When compared with 1994, the risk-adjusted operative mortality rate for bypass surgery in 2010 dropped by 58.6 percent.

When a linear regression line is fitted to the pooled annual estimates, bypass mortality rate has been declining, in absolute terms, at the rate of 0.18 percentage points per year (See Appendix D, Figure D1).

**Figure 5** Trends in Statewide Bypass Surgery Mortality Rates



# **Appendix A**

# **Questions and Answers**

hese are answers to some commonly asked questions that may be of interest to you as you read this report.

### **Q: Should I go only to the hospitals with below-average risk-adjusted mortality rates?**

A: Not necessarily. There are many factors to consider in determining the best hospital for you. Among these are your own personal risk factors and the experience certain hospitals have treating patients with those risk factors. Before making up your mind, you should discuss this report with the physician, usually a cardiologist, who refers you for cardiac surgery. The cardiologist's knowledge and expertise will be a valuable guide in making your decision. You should also keep in mind that the data in this guide is from 2010 and that a hospital's performance may have changed since then.

# **Q: Should I avoid any surgeon whose volume is low in this report?**

**A:** No, not necessarily. First, there are lower volume surgeons with good patient outcomes. Second, there may be a good explanation for why a surgeon had a low volume that is unrelated to his/her experience. For example, the surgeon may have recently moved from another state, where he/she performed a high volume of these procedures. It is best to discuss your concerns with your referring doctor.

# Q: Should I refuse to go to a hospital or a surgeon for heart surgery if that hospital or surgeon has a worse than average mortality record?

**A:** Important decisions in areas such as cardiac surgery should be made after considering all available information. The statistics in this report are a starting point for discussions with your doctor. But they do not tell the complete story. That is why it is critical to bring your concerns and questions to your doctor.

# **Q:** Is it better to go to a hospital with a high volume of cases?

**A:** National studies have demonstrated that, in general, hospitals with higher volumes have better results. However, some hospitals with high volumes have relatively high mortality rates, while others with low volumes have lower mortality rates.

# **Notes on Data:**

The data used in this study were reported by hospitals according to criteria established by the Department, with assistance from the clinical experts. Additionally, the Department has made a good faith effort to ensure that the data elements and definitions are consistent with those issued by the Society for Thoracic Surgeons (STS). The data were audited by an independent reviewer under contract to the Department.

Throughout the process of developing this report, the Department has taken steps to make sure that all hospitals were informed about data reporting and auditing requirements, as well as the statistical methods being used to risk-adjust the reported mortality data.

The Department considers it a vital function of hospitals to be able to collect and report complete, accurate medical information on patients. This function is critical not only to the success of the cardiac surgery report, but to the hospitals' own ongoing efforts to improve the quality of care for all patients. The Department and hospitals will continue working to improve data collection procedures so that this report contains the best possible information.

# **Appendix B**

### New Jersey's Cardiovascular Health Advisory Panel (CHAP) Members

### **Charles Dennis, MD, MBA, FACC**

**Chairperson of the CHAP** Medical Director, Cardiac Catheterization Laboratory Virtua Memorial Hospital Mt. Holly, New Jersey

### Mary T. Abed, MD, FACC

Chief, Division of Cardiology Liberty Health System Jersey City, New Jersey

### Marc Cohen, MD, FACC

Newark Beth Israel Medical Center Newark, New Jersey

**Pat Delaney, RN** The Valley Hospital Ridgewood, New Jersey

#### Barry C. Esrig, MD, FACS, FACC, FCCP

New York Presbyterian Hospital New York, New York

### **Robert T. Faillace, MD, FACP, FACC**

Director of Cardiovascular Quality and Innovation Geisinger Healthcare System Danville, Pennsylvania

### Austin Kutscher, Jr., MD, FACC

Cardiovascular Associates Flemington, New Jersey

### **Glenn Laub, MD**

Chairman, Department of Cardiothoracic Surgery Drexel University College of Medicine Hahnemann University Hospital Philadelphia, Pennsylvania

#### Howard Levite, MD, MBA

Medical Director, Heart Institute AtlantiCare Regional Medical Center Pomona, New Jersey

### Richard M. Niebart, M.D.

Jersey Shore University Medical Center Mid-Atlantic Surgical Associates Neptune, New Jersey

### Grant V. S. Parr, MD, FACS, FACC, FCCP

Physician-in-Chief, Gagnon Cardiovascular Institute Atlantic Health System Morristown, New Jersey

### Joseph E. Parrillo, MD

Professor of Medicine UMDNJ Hackensack University Medical Center Hackensack, New Jersey

**Pamela A. Swiernik, RN** New Jersey State Nurses Association Ewing, New Jersey

**William Tansey, III, MD, FACC, FACP, FAHA** Summit Medical Group, PA Short Hills, New Jersey

#### Mahesh K. Tekriwal, MD

Stafford Medical, P.A. Manahawkin, New Jersey

**Rita Watson, MD, FACC, FSCAI** Monmouth Cardiology Associates Long Branch, New Jersey

### Mark Zucker, MD, JD

Director, Cardiothoracic Transplantation Program Newark Beth Israel Medical Center Newark, New Jersey

### Department of Health Cardiac Surgery Report Team

**Emmanuel Noggoh**, Director Health Care Quality Assessment

Jianping Huang, PhD Abate Mammo, PhD Priya Bhatia, MS Paul SanFilippo Debra Virgilio, MPH, RN

Juana Jackson

# **Appendix C**

# **Statewide Observed In-hospital and Operative Mortality Rates:**

Newsel	Morta	lity Rate
Year of Operation	In-hospital	Operative Mortality *
1994-1995	3.75	4.14
1996-1997	3.37	3.75
1998	2.60	3.01
1999	2.89	3.31
2000	2.22	2.68
2001	2.01	2.51
2002	1.80	2.15
2003	1.91	2.33
2004	1.54	1.98
2005	1.83	2.10
2006	1.73	2.00
2007	1.66	2.00
2008	1.19	1.47
2009	1.00	1.31
2010	1.58	1.95

\* Operative mortality includes the following:

- all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
- deaths occurring after discharge from hospital, but within 30 days of the procedures.

# Appendix D Summary of Methods Used in this Report

### Background

Five states, including New Jersey, have issued reports on isolated Coronary Artery Bypass Graft (CABG or bypass) surgery outcomes for hospitals and surgeons. New York first published a bypass surgery report in 1990 presenting 1989 data, with the latest report released in August 2012 using 2008-2010 data. New York State also publishes a performance report on angioplasty programs and physicians. Starting with its 1990 data, Pennsylvania has published several cardiac surgery reports, with its latest report released in May 2011 using 2009-2010 data. California has also published several cardiac surgery reports, with the most recent released in April 2013 using 2009-2010 data. Massachusetts published its first report on bypass surgery in October 2004 using 2002 data and released its latest report on a fiscal year basis (October 1, 2010 to September 30, 2011) in February 2013. In 1997, New Jersey began reporting on patient mortality for bypass surgery hospitals and surgeons, using 1994 and 1995 data combined.

The experience from these states is that disclosures have contributed to hospital quality improvement initiatives and significant reductions in bypass surgery mortality rate.

### Factors That Affect a Patient's Risk of Bypass Surgery Mortality

The observed patient bypass surgery mortality rate for a hospital or surgeon is estimated as the number of bypass surgery patients who died in the hospital during or after surgery, or patients who died after discharge but within 30 days post-surgery, divided by the total number of patients who underwent the bypass surgery.

Unfortunately, this observed patient mortality rate is not a complete measure of the

quality of care provided by a hospital or a surgeon, because it does not account for how sick the patients were before surgery. If one hospital had considerably sicker patients than another hospital, it would be expected that its observed mortality rate would be somewhat higher. So it would not be fair to evaluate surgeons and hospitals performing bypass surgery solely on the basis of the percentage of their patients that died. For instance, an 80 yearold patient who has renal failure and severe lung disease is at a higher risk of dying, when undergoing this surgery, than a 50 year-old patient with no history of chronic disease.

To perform an even-handed analysis of the quality of surgical care provided by surgeons and hospitals performing bypass surgery, the Department adjusts the patient mortality rates for each surgeon and each hospital by the presurgery risk factors of each patient. This method gives hospitals and surgeons who operate on less healthy patients "extra credit." Such hospitals and surgeons are not at a disadvantage when the outcome of the surgical care they provide is presented next to that of other hospitals and surgeons. Additionally, as stated earlier, extremely high risk patients, where the probability of death is very high, may, with the concurrence of the expert clinical panel, be excluded from the calculation.

The risk adjustment method is a statistical approach that uses results of a logistic regression analysis to assess the average risk of a bypass surgery for a patient. Key elements of the health histories of patients who have undergone bypass surgery in the same period, as well as their socio-demographic characteristics, are taken into account to estimate the expected outcome of a bypass surgery.

### **Assessing Patient Risk Factors**

A logistic regression model which included all the before-surgery health and demographic factors was fitted to the data for the period covered by this report to identify those risk factors that were important in predicting whether a patient would die after a bypass surgery. The general form of a logistic regression model for estimating the "logit" of the probability of dying (p), denoted by Yi, is presented as follows:

$$Y_{i} = \sum_{k}^{K} \beta_{k} X_{ki} + \varepsilon_{i}, Where X_{0i} = 1;$$
  
$$Y_{i} = \log_{e} \left( \frac{p_{i}}{1 - p_{i}} \right) = \text{ the "logit" of } p_{i}$$

- i = 1,2,...,n; k = 0,1,2,...,K,  $\beta_k$ = Logistic regression coefficient for risk factor X<sub>k</sub>.
- K = Number of risk factors in the model,

n = Number of patients,

 $\varepsilon_i$  = Random error term i.

The statistically significant risk factors for this report ( $X_k$ ) identified by the stepwise logistic regression analysis method are presented in Table D1. Table D1 also includes estimates of coefficients for the statistically significant risk factors, an indication of the level of statistical significance (p-values), and odds ratios. The list of risk factors includes only those that were statistically significant in predicting bypass surgery mortality with p-values of 0.05 or smaller.

The odds ratios are derived from the coefficients, and are used to compare the relative importance of the risk factors in predicting mortality from bypass surgery. For each of the risk factors identified in Table D1, the odds ratio represents how likely a patient is to die when compared to a patient who is in the reference group. So, for example, Table D1 shows that a patient who had cerebrovascular disease that required prior carotid surgery is more than three and half times (odds ratio = 3.68) as likely to die during or after bypass surgery compared to a patient who did not have prior carotid surgery. This is based on the assumption that both patients have the same set of other risk factors presented in the table.

Similarly, the odds of dying during or after bypass surgery for a patient who is in cardiogenic shock at the time of surgery is four times as likely (odds ratio= 4.06) compared with the odds of a patient who is not in cardiogenic shock at the time of surgery.

### **Estimation of Risk-adjusted Mortality Rates**

The risk factors presented in Table D1 were used in the fitted logistic regression model to predict the probability of death from bypass surgery for each patient. The sum of predicted probabilities of dying for patients operated on in each hospital divided by the number of patients operated on in that hospital provides the predicted (or expected) death rate associated with the hospital. A similar analysis for a surgeon results in the expected death rate associated with that surgeon. Terms such as "expected" and "predicted" are used interchangeably in this report to signify that the estimates are derived from predicted probabilities after accounting for risk factors.

The predicted probability of dying for patient i (  $\hat{p}_i$ ) is given as follows:

$$\hat{p}_{i} = \frac{e^{(\hat{y}_{i})}}{1 + e^{(\hat{y}_{i})}}, \text{ Where } i = 1, 2, 3, ..., n \text{ ; and}$$
$$\hat{Y}_{i} = \hat{\beta}_{0} + \hat{\beta}_{i} X_{ii} + \hat{\beta}_{2} X_{2i} + \hat{\beta}_{3} X_{3i} + ... + \hat{\beta}_{k} X_{ki}$$

To assess the performance of each hospital or surgeon, we compared the observed patient mortality with the expected or predicted patient mortality, based on the risk factors existing for the hospital's or surgeon's patients. First, the observed patient mortality is divided by the expected mortality. If the resulting ratio is larger than one, the hospital or surgeon has a higher patient mortality than expected on the basis of their patient mix. If the ratio is smaller than one, the hospital or surgeon has a lower mortality than expected, based on their patient mix. The ratio is then multiplied by the statewide patient mortality rate to produce the risk-adjusted patient mortality rate for the hospital or the surgeon.

The risk-adjusted mortality rate represents the best estimate the fitted model provides using the statistically significant health risk factors. The risk-adjusted patient mortality rate represents what a hospital's or surgeon's patient mortality rate would have been if they had a mix of patients identical to the statewide mix. Thus, the riskadjusted patient mortality has, to the extent possible, ironed out differences among hospitals and surgeons in patient mortality arising from the severity of illness of their patients.

The statistical methods described above are tested to determine if they are sufficiently accurate in predicting the risk of death for all patients – for those who are severely ill prior to undergoing bypass surgery as well as those who are relatively healthy. In the analysis of data for this report, the

tests confirmed that the model is reasonably accurate in predicting how patients of different risk levels will fare when undergoing bypass surgery. The area under the Receiver Operating Characteristic (ROC) curve, denoted by C-statistic in Table D1, was used to evaluate model performance. The C-statistic may be interpreted as the degree to which the risk factors in the model predicted the probability of death for bypass surgery patients. Specifically, the C-statistic measures the tendency of the predicted mortality for patients in the sample that died to be higher than that for patients who were discharged alive and were also alive 30 days after bypass surgery. The 2010 model C-statistic is 83.9 percent and is fairly high, suggesting that the model has strong predictive power.

	Proportion	Logistic Regression Results				
Patient Risk Factors Identified	of patients (%)	Coefficient	P-Value	Odds Ratio		
Demographic factors						
Age		0.0765	<.0001	1.080		
Female	26.08	0.5268	0.0262	1.694		
Medicaid, Self-pay or Indigent	10.11	1.0879	0.0046	2.968		
Transferred from Another Hospital	31.22	0.6757	0.0043	1.965		
Health factors						
CVA	7.55	0.6747	0.0360	1.963		
CVD - Non-Invasive>75%	2.37	0.9687	0.0440	2.635		
CVD - Prior Carotid Surgery	3.11	1.3015	0.0004	3.675		
Factors related to functioning of the heart						
Cardiogenic Shock	2.58	1.4017	0.0006	4.062		
Congestive Heart Failure	19.06	0.7218	0.0026	2.058		
Ejection Fraction 30 - 39%	27.48	0.6100	0.0105	1.840		
Resuscitation	0.23	3.1615	0.0006	23.606		
Intercept	-10.6729					
C-Statistic	0.839					
Number of CABGs (N)	4,302					

# Table D1Risk Factors Identified for Isolated CABG Surgery Operative Mortality\* (2010)

### SOURCE: New Jersey Department of Health

\* Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

# Risk-adjusted Patient Mortality Rate Estimates

This section presents the results of our analysis including:

- comparisons of risk-adjusted patient mortality rates for hospitals to the statewide rate in 2010;
- (2) comparisons of the statewide riskadjusted patient mortality rate for each year in 1994-2010 to the rate for the whole period.

The risk-adjusted mortality rate estimates are presented in percentage points. The results also include the lowest and the highest riskadjusted mortality rate estimates one would expect, using a 95 percent confidence level\*.

\* 95% confidence limits are calculated as follows:

$$LCL = \frac{D\left(1 - \frac{1}{9D} - \frac{1.96}{3\sqrt{D}}\right)^{3}}{E}S$$
$$UCL = \frac{(D+1)\left(1 - \frac{1}{9(D+1)} + \frac{1.96}{3\sqrt{(D+1)}}\right)^{3}}{E}S$$

Where D = Observed mortality, and E = Predicted or Expected mortality, S = Statewide rate.

(Source: Liddell, F. D. K., Simple Exact Analysis of the Standardised Mortality Ratio. Journal of Epidemiology and Community Health, 1984, 38, 85-88.)

### Patient Bypass Surgery Mortality Rate by Hospital Compared with the Statewide Rate in 2010

The risk-adjusted patient mortality estimates from bypass surgery for each hospital in 2010 are presented in Table D2. The results compare each hospital's risk-adjusted patient mortality rate with the statewide mortality rate.

After adjusting for how sick the patients were before surgery at each hospital, we present the estimates of risk-adjusted patient mortality rate for each hospital in the sixth column of Table D2.

If a hospital's 95 percent confidence interval contains the statewide rate, it means that the difference between the hospital's risk-adjusted mortality rate and the statewide rate was not statistically significant. If the whole of a hospital's 95 percent confidence interval clearly falls to the left of the statewide rate, it means that the hospital's risk-adjusted patient mortality rate was statistically significantly lower than the statewide rate. If the whole of the 95 percent confidence interval falls to the right of the statewide rate, it means that the hospital's risk-adjusted mortality rate was statistically significantly higher than the statewide rate.

The observed operative mortality rate statewide in 2010 for bypass patients was 1.95 percent, based on 84 deaths out of 4,302 bypass operations performed. Table D2 presents the bypass volume, observed mortality rate, expected mortality rate, risk-adjusted mortality rate and its confidence interval, as well as riskadjusted length of stay following bypass surgery for each of the 18 hospitals.

In 2010, 17 hospitals had risk-adjusted mortality rate that were similar to the statewide rate. One hospital, St. Michael's Medical Center, had statistically higher risk-adjusted mortality rate than the statewide rate.

### Table D2

### Patient Operative Mortality\* and Length of Stay After Isolated CABG Surgery by Hospital (2010)

Hospital	Number of Isolated CABG Operations	Patient Operative Deaths*	Observed Patient Mortality (%)	Patient Mortality	k-Adjusted Patient ortality (%)	95% Confidence Interval	Risk-Adjusted Patient Post- Surgery LOS (days)
AtlantiCare Regional Medical Center	160	1	0.63	1.30	0.94	(0.01, 5.22)	6.70
Cooper Hospital/University Medical Center^	216	9	4.17	1.97	4.13	(1.88, 7.84)	5.17
Deborah Heart and Lung Center	147	6	4.08	3.12	2.56	(0.93, 5.56)	6.25
Englewood Hospital and Medical Center	94	0	0.00	1.44	0.00	(0.00, 5.28)	6.53
Hackensack University Medical Center	419	7	1.67	1.70	1.92	(0.77, 3.96)	6.88
Jersey City Medical Center	91	2	2.20	1.88	2.28	(0.26, 8.23)	7.19
Jersey Shore University Medical Center	493	9	1.83	1.84	1.93	(0.88, 3.67)	6.49
Morristown Memorial Hospital	575	10	1.74	2.05	1.66	(0.79, 3.04)	6.42
Newark Beth Israel Medical Center	221	3	1.36	1.89	1.40	(0.28, 4.10)	7.39
Our Lady of Lourdes Medical Center	324	4	1.23	2.28	1.06	(0.28, 2.70)	6.54
Robert Wood Johnson University Hospital	512	14	2.73	2.50	2.14	(1.17, 3.58)	5.51
Saint Barnabas Medical Center	190	1	0.53	1.93	0.53	(0.01, 2.96)	7.02
St. Francis Medical Center	98	0	0.00	1.50	0.00	(0.00, 4.87)	7.32
St. Joseph's Regional Medical Center	171	4	2.34	1.81	2.52	(0.68, 6.46)	5.45
St. Mary's Hospital (Passaic)	89	1	1.12	1.66	1.32	(0.02, 7.35)	5.74
St. Michael's Medical Center	247	10	4.05	1.79	4.42 HI	(2.12, 8.14)	5.22
UMDNJ - University Hospital	41	1	2.44	1.39	3.43	(0.04, 19.10)	5.69
Valley Hospital	214	2	0.93	1.37	1.33	(0.15, 4.81)	5.09
Statewide	4,302	84	1.95	1.95	1.95		6.20

- \* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.
- ^ = Facility refused to sign-off on its data.

### Annual Risk-adjusted Mortality Compared to the Combined 1994-2010 Risk-adjusted Mortality

Table D3 presents the results of an analysis to identify the trend in the statewide mortality rate of patients who underwent bypass surgery using a statistical model based on the pooled data collected over the period 1994-2010. For each of the years, the table presents the observed patient mortality rate, the expected patient mortality rate, and the statewide risk-adjusted patient mortality rate estimate. Note that the numbers differ from those shown in reports produced before, due to the revised definition of mortality and the use of pooled data for the analysis. The table further exhibits whether the risk-adjusted mortality rate for the year is statistically different from the pooled mortality rate for the 1994-2010 period.

Table D3 also shows that between 2009 and 2010, the number of bypass surgeries performed

in New Jersey declined from 4,497 to 4,302 or by 4.3 percent. Over the same time period, the number of deaths increased from 59 to 84 or by 42.4 percent. On risk-adjusted basis, the mortality rate increased 40.6 percent between 2009 and 2010, which was statistically significant. Nevertheless, since 1994 risk-adjusted mortality rate has declined 58.6 percent, which was statistically significant.

The trend in operative bypass mortality rate between 1994 and 2010 was estimated by fitting a regression line of pooled annual risk-adjusted bypass mortality rates to procedure year (Figure D1). According to the fitted regression line, operative mortality from bypass surgery has been declining, in absolute terms, at the rate of 0.18 percentage points per year between 1994 and 2010 ( $\mathbb{R}^2 = 0.86$ ).

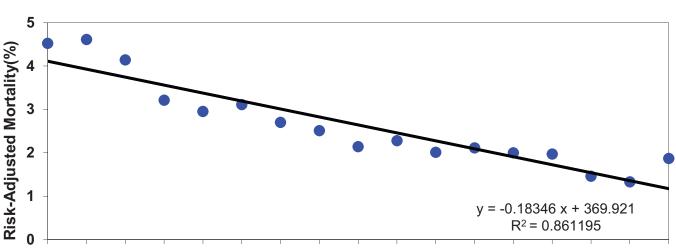
### Table D3

Annual Risk-Adjusted Patient Operative Mortality Rate\* Derived from the Pooled Data for the Period 1994-2010

Year	Number of Isolated CABG Operations	Operative Patient Mortality*	Observed Patient Mortality Rate (%)	Predicted Patient Mortality Rate (%)	Risk- Adjusted Patient Mortality Rate (%)		Yearly Change in Risk- Adjusted Mortality Rate (%)	Percent Change from 1994 Risk- Adjusted Mortality Rate (%)
1994	6,957	274	3.94	2.41	4.52	HI		
1995	7,553	327	4.33	2.60	4.61	HI	0.09	2.0
1996	8,262	341	4.13	2.76	4.14	HI	-0.47	-8.4
1997	8,286	280	3.38	2.91	3.21	HI	-0.93	-29.0
1998	8,377	252	3.01	2.82	2.95	SA	-0.26	-34.7
1999	8,108	268	3.31	2.94	3.11	SA	0.16	-31.2
2000	8,220	220	2.68	2.75	2.70	SA	-0.41	-40.3
2001	8,045	202	2.51	2.77	2.51	SA	-0.19	-44.5
2002	7,391	159	2.15	2.78	2.14	LO	-0.37	-52.7
2003	6,817	159	2.33	2.83	2.28	LO	0.14	-49.6
2004	6,177	122	1.98	2.72	2.01	LO	-0.27	-55.5
2005	5,576	117	2.10	2.75	2.11	LO	0.10	-53.3
2006	5,211	104	2.00	2.76	2.00	LO	-0.11	-55.8
2007	4,943	99	2.00	2.81	1.97	LO	-0.03	-56.4
2008	4,620	68	1.47	2.79	1.46	LO	-0.51	-67.7
2009	4,497	59	1.31	2.73	1.33	LO	-0.13	-70.6
2010	4,302	84	1.95	2.89	1.87	LO	0.54	-58.6
1994-2010	113,342	3,135	2.77	2.77	2.77			

- \* Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- LO The risk-adjusted patient mortality is significantly lower than the mortality for the 1994-2009 combined when evaluated with a 95 percent confidence interval.
- **SA** The risk-adjusted patient mortality is same as the mortality for the 1994-2009 combined when evaluated with a 95 percent confidence interval.
- HI The risk-adjusted patient mortality is significantly higher than the mortality for the 1994-2009 combined when evaluated with a 95 percent confidence interval.

Figure D1 Trend in Risk-Adjusted Operative Mortality\* Rate (1994-2010)



1994 1995 1996 1997 1998 1999 2000 2001 2002 2003 2004 2005 2006 2007 2008 2009 2010

#### SOURCE: New Jersey Department of Health

\* Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

### **Risk Factors for Post-surgery Length of Stay**

In an attempt to predict a patient's postoperative length of stay, we fitted a generalized linear regression model on the log transformation of length of stay. The model was developed using demographic factors, health factors, factors related to functioning of the heart and prior cardiac intervention as predictors. Patients who died during the bypass surgery hospitalization were excluded from analysis as were patients who stayed fewer than two days in hospital and those who stayed over 30 days. Table D4 presents the final model used to estimate risk-adjusted length of stay by hospital and includes only those predictors found to be statistically significant at five percent or lower levels. Consistent with findings in Pennsylvania, the predictive power of the model is low (only 16.9 percent). Such low predictive power is usually common when one fits a regression model using individual level data as large as these.

Please note that the coefficients provided in Table D4 are in log form and interpretation of the values should take that into consideration.

	-	neralized Linear Regre		
Patient Risk Factors Identified	of Patients(%)	Coefficient	P-Value	
Demographic factors				
Ages 65 to 69	16.73	0.0751	<.0001	
Ages 70 to 74	14.53	0.1316	<.0001	
Ages 75 to 79	13.24	0.1295	<.0001	
Ages 80 to 84	7.98	0.2053	<.0001	
Ages 85 and over	2.96	0.2486	<.0001	
African American	7.22	0.0623	0.0067	
<i>Health factors</i>				
Cerebrovascular Disease - CVA	6.21	0.0762	0.0018	
Diabetes - Insulin	14.15	0.0697	<.0001	
Lung Disease - Moderate to Severe	8.68	0.0933	<.0001	
Obesity	12.79	0.1055	<.0001	
Peripheral Vascular Disease	15.49	0.0661	<.0001	
Renal Failure without Dialysis	4.06	0.1272	<.0001	
Renal Failure with Dialysis	2.75	0.1733	<.0001	
Factors related to functioning of the heart				
Angina - Unstable	39.15	0.0295	0.0303	
Arrhythmia	11.40	0.1082	<.0001	
Cardiogenic Shock	2.18	0.1712	0.0002	
Congestive Heart Failure	18.19	0.0852	<.0001	
Ejection Fraction 1 - 29%	6.05	0.1205	<.0001	
Ejection Fraction 30 - 49%	27.15	0.0561	<.0001	
Myocardial Infarction <24 Hours	3.25	0.1089	0.0049	
Myocardial Infarction 1 - 7 Days	19.89	0.0386	0.0212	
Myocardial Infarction 8 - 21 Days	5.19	0.0756	0.0062	
Number of Diseased Vessels - Two	18.55	0.1015	0.0019	
Number of Diseased Vessels - Three	77.51	0.1250	<.0001	
Preoperative IABP	6.93	0.0692	0.0169	
Previous CV interventions				
PCI <= 6 Hours	0.79	0.1617	0.0186	
Previous Surgery	2.34	0.1236	0.0015	
Intercept	1.4853			
R-Square	16.91			
Number of CABGs (N)*	4,184			

# Table D4

### Risk Factors Identified for Isolated CABG Surgery Length of Stay (2010)

### SOURCE: New Jersey Department of Health

\* Excluded are patients who died during hospitalization where CABG was performed; patients with postsurgical LOS > 30 days; and patients with post-surgical LOS < 2 days.

# References

The Massachusetts Department of Public Health, <u>Adult Coronary Artery Bypass Graft Surgery in</u> <u>the Commonwealth of Massachusetts, Fiscal Year 2011 Report (October 1, 2010 – September</u> <u>30, 2011). Massachusetts Data Analysis Center, Department of Health Care Policy, Harvard</u> <u>Medical School, February 2013</u> <u>http://www.massdac.org/wp-content/uploads/CABG-FY2011.pdf</u>

New Jersey Department of Health, <u>Cardiac Surgery in New Jersey</u>, Health Care Quality Assessment, Office of the Commissioner, June 2012 <u>www.state.nj.us/health/healthcarequality/documents/cardconsumer09.pdf</u>

New York State Department of Health, Adult Cardiac Surgery in New York State: 2008-2010, August 2012. <u>http://www.health.ny.gov/statistics/diseases/cardiovascular/heart\_disease/docs/2008-2010\_adult\_cardiac\_surgery.pdf</u>

- California Office of Statewide Health Planning and Development, the California Report on Coronary Artery Bypass Graft Surgery: 2009-2010 Hospital and Surgeon Data. Sacramento, CA, April 2013. http://www.oshpd.ca.gov/HID/Products/Clinical data/CABG/2010/CABG2010.pdf
- Pennsylvania Health Care Cost Containment Council, <u>Cardiac Surgery in Pennsylvania 2008-2009</u>: <u>Information about hospitals and cardiothoracic surgeons</u>. May 2011. <u>http://www.phc4.org/reports/cabg/09/docs/cabg2009report.pdf</u>

Limited copies are available by writing to the New Jersey Department of Health, Office of Health Care Quality Assessment, P.O. Box 360, Trenton, NJ 08625; or by phone at (800) 418-1397; or fax at (609) 984-7735; or email to hcqa@doh.state.nj.us. The report is also posted on our website at :

www.nj.gov/health/healthcarequality/cardiacsurgery.shtml.

