

DEPARTMENT OF HUMAN SERVICES
UIRMS INCIDENT CATEGORY LIST
FACILITY

Type Code	Category	Incident Category List	Reporting Level	HSP Notification
AB110	ABUSE	Physical - to SR / No injury	B	
AB112	ABUSE	Physical - to SR / Minor injury	B	X
AB114	ABUSE	Physical - to SR / Moderate injury	A	X
AB116	ABUSE	Physical - to SR / Major injury	A+	X
AB310	ABUSE	Verbal / Psychological / Mistreatment	B	
AB410	ABUSE	Sexual - to SR / sexual contact / other	A	X
AB420	ABUSE	Sexual - to SR / penetration / genital contact / oral sex	A+	X
AS111	ASSAULT	Physical - SR to SR / No Injury	C	
AS112	ASSAULT	Physical - SR to SR / Minor Injury	C	
AS114	ASSAULT	Physical - SR to SR / Moderate Injury	B	X
AS116	ASSAULT	Physical - SR to SR / Major Injury	A	X
AS121	ASSAULT	Physical - SR to Staff / No Injury	C	
AS122	ASSAULT	Physical - SR to Staff / Minor Injury	C	
AS124	ASSAULT	Physical - SR to Staff / Moderate Injury	B	X
AS126	ASSAULT	Physical - SR to Staff / Major Injury	A	X
AS131	ASSAULT	Physical - SR to Other / No Injury	C	
AS132	ASSAULT	Physical - SR to Other / Minor Injury	C	X
AS134	ASSAULT	Physical - SR to Other / Moderate Injury	B	X
AS136	ASSAULT	Physical - SR to Other / Major Injury	A	X
AS221	ASSAULT	Physical - Staff to Staff / No Injury	C	
AS222	ASSAULT	Physical - Staff to Staff / Minor Injury	C	X
AS224	ASSAULT	Physical - Staff to Staff / Moderate Injury	B	X
AS226	ASSAULT	Physical - Staff to Staff / Major Injury	A	X
AS231	ASSAULT	Physical - Staff to Other / No Injury	C	
AS232	ASSAULT	Physical - Staff to Other / Minor Injury	C	
AS234	ASSAULT	Physical - Staff to Other / Moderate Injury	B	X
AS236	ASSAULT	Physical - Staff to Other / Major Injury	A	X
AS311	ASSAULT	Physical - Other to SR / No Injury	C	X
AS312	ASSAULT	Physical - Other to SR / Minor Injury	C	X

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AS314	ASSAULT	Physical - Other to SR / Moderate Injury	B	X
AS316	ASSAULT	Physical - Other to SR / Major Injury	A	X
AS321	ASSAULT	Physical - Other to Staff / No Injury	C	
AS322	ASSAULT	Physical - Other to Staff / Minor Injury	C	
AS324	ASSAULT	Physical - Other to Staff / Moderate Injury	B	X
AS326	ASSAULT	Physical - Other to Staff / Major Injury	A	X
AS331	ASSAULT	Physical - Other to Other / No Injury	C	
AS332	ASSAULT	Physical - Other to Other / Minor Injury	C	
AS334	ASSAULT	Physical - Other to Other / Moderate Injury	B	X
AS336	ASSAULT	Physical - Other to Other / Major Injury	A	X
CN110	CONTRABAND	Alcohol - SR - Possession / Use	B	
CN120	CONTRABAND	Drugs - SR - Possession / Use	B	X
CN210	CONTRABAND	Alcohol - Staff - Possession / Use	A	X
CN220	CONTRABAND	Drugs - Staff - Possession / Use	A	X
CN310	CONTRABAND	Weapons - SR - Staff / Possession / Use	A	X
CN320	CONTRABAND	Threat to life / safety - determined by Facility	C	
CN321	CONTRABAND	Threat to life / safety - determined by Law Enforcement	C	X
CR110	CRIMINAL ACTIVITY	Disorderly Person - SR	B	X
CR120	CRIMINAL ACTIVITY	Indictable Offense - SR	A	X
CR210	CRIMINAL ACTIVITY	Disorderly Person - Staff	B	X
CR220	CRIMINAL ACTIVITY	Indictable Offense - Staff	A	X
CR310	CRIMINAL ACTIVITY	Disorderly Person - Other	B	X
CR320	CRIMINAL ACTIVITY	Indictable Offense - Other	A	X
CR410	CRIMINAL ACTIVITY	Identity theft - Employee victim / perpetrator	A	X
CR420	CRIMINAL ACTIVITY	Identity theft - SR victim / perpetrator	A	X
DL010	DANIELLE'S LAW	Life threatening emergency - Medical / 911 called	B	
DL011	DANIELLE'S LAW	Life threatening emergency - Medical / 911 not called	A	
DL020	DANIELLE'S LAW	Life threatening emergency - Behavioral / 911called	B	
DL021	DANIELLE'S LAW	Life threatening emergency - Behavioral / 911 not called	A	

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DT110	DEATH	Expected - Medical Condition / Disability / Illness	A	X
DT210	DEATH	Unexpected - Sudden (i.e. choking / medical incident)	A+	X
DT310	DEATH	Unexpected - Suicide	A+	X
DT320	DEATH	Unexpected - Accidental	A+	X
DT330	DEATH	Unexpected - Homicide	A+	X
DT410	DEATH	Unexpected - Undetermined manner	A+	X
EE100	ERROR CODE	Facility incident entered in error	C	
EL100	ELOPEMENT	Criminal status (i.e. KROL / IST / NGRI / Detainer / Sex offender) - located on campus	C	
EL110	ELOPEMENT	Criminal status (i.e. KROL / IST / NGRI / Detainer / Sex offender) - located off campus	A+	X
EX110	EXPLOITATION	Improper use of SR by staff	A	X
EX120	EXPLOITATION	Improper use of SR's funds by staff	B	X
IN112	INJURY	Accidental - SR / Minor	C	
IN114	INJURY	Accidental - SR / Moderate	B	
IN116	INJURY	Accidental - SR / Major	A	
IN121	INJURY	Fall - seizure related - SR / No injury	C	
IN122	INJURY	Fall - seizure related - SR / Minor	C	
IN124	INJURY	Fall - seizure related - SR / Moderate	B	
IN126	INJURY	Fall - seizure related - SR / Major	A	
IN131	INJURY	Fall - Unsteady gait - SR / No injury	C	
IN132	INJURY	Fall - Unsteady gait - SR / Minor	C	
IN134	INJURY	Fall - Unsteady gait - SR / Moderate	B	
IN136	INJURY	Fall - Unsteady gait - SR / Major	A	
IN212	INJURY	Self-injurious behavior - SR / Minor	C	
IN214	INJURY	Self-injurious behavior - SR / Moderate	B	
IN216	INJURY	Self-injurious behavior - SR / Major	A	
IN221	INJURY	PICA - Ingestion / No injury	C	
IN222	INJURY	PICA - Ingestion / Minor	C	
IN224	INJURY	PICA - Ingestion / Moderate	B	
IN226	INJURY	PICA - Ingestion / Major	A	

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IN312	INJURY	Unknown Origin - SR / Minor	C	
IN314	INJURY	Unknown Origin - SR / Moderate	B	
IN316	INJURY	Unknown Origin - SR / Major	A	
IN422	INJURY	Work related - Staff / Minor	C	
IN424	INJURY	Work related - Staff / Moderate	B	
IN426	INJURY	Work related - Staff / Major	A	
IN432	INJURY	Non-Work related (on campus) - Staff / Minor	C	
IN434	INJURY	Non-Work related (on campus) - Staff / Moderate	B	
IN436	INJURY	Non-Work related (on campus) - Staff / Major	A	
IN442	INJURY	Other - Any injury level	B	
IN512	INJURY	Restraint Related / Minor	C	
IN514	INJURY	Restraint Related / Moderate	B	
IN516	INJURY	Restraint Related / Major	A	
MD110	MEDICAL	Disease / Illness - single incident reportable to DHSS	B	
MD120	MEDICAL	Disease / Illness - communicable with operational impact	A	
MD200	MEDICAL	Medication / Treatment Error - procedural / staff performance issues	C	
MD210	MEDICAL	Medication / Treatment Error - potentially serious effect	C	
MD220	MEDICAL	Medication / Treatment Error - serious effect	A	
MD310	MEDICAL	Choking / swallowing - No Injury	C	
MD312	MEDICAL	Choking / swallowing - Minor Injury	C	
MD314	MEDICAL	Choking / swallowing - Moderate Injury	B	
MD316	MEDICAL	Choking / swallowing - Major Injury	A	
MD410	MEDICAL	Missing Controlled Drugs	B	X
MD510	MEDICAL	Admission Outside Hospital (bowel obstruction, dehydration, aspiration pneumonia & Emergency Surgery)	C	
MD610	MEDICAL	Decubitus	C	
NE211	NEGLECT	No Injury	B	
NE212	NEGLECT	Minor Injury	B	
NE214	NEGLECT	Moderate Injury	B	X
NE216	NEGLECT	Major Injury	A	X

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OP210	OPERATIONAL	Discharged SR / incident occurring within 6 months	A+	
OP310	OPERATIONAL	Environmental incidents - spills / illegal dumping	A	X
OP400	OPERATIONAL	Emergency Evacuation drills indicating a problem	C	X
OP405	OPERATIONAL	Fire - False alarms	C	X
OP410	OPERATIONAL	Fire / Minor - extinguished by staff	B	X
OP420	OPERATIONAL	Fire / Moderate - extinguished with outside assistance	A	X
OP430	OPERATIONAL	Fire / Major- resulting in damage and / or injuries	A+	X
OP500	OPERATIONAL	Unannounced / Unexpected site visit - non DHS entity	B	
OP510	OPERATIONAL	Operational Breakdown - utility outage or loss of use of equipment which may cause a threat to life or safety and / or impact on facility operations	A	X
OP520	OPERATIONAL	Mass Disturbances (i.e. riot) - involving 5 or more	A+	X
OP530	OPERATIONAL	Public Safety Issue - posing immediate threat to life / safety (i.e. natural disaster, hostage taking, terroristic threats)	A+	X
OP540	OPERATIONAL	Unexpected Staff Shortage - threat to life safety / operation	A	
OP550	OPERATIONAL	Unexpected Staff Shortage - job action / strike	A+	X
OP590	OPERATIONAL	Media Interest - when no other incident category applies	A+	
OP710	OPERATIONAL	Accidental destruction / damage of property - under \$500	C	
OP715	OPERATIONAL	Accidental destruction / damage of property- \$500 or more	B	X
OP720	OPERATIONAL	Willful destruction / damage of property - under \$500	C	
OP725	OPERATIONAL	Willful destruction / damage of property - \$500 or more	B	X
OP730	OPERATIONAL	Theft / Loss of State, SR, Staff or Other's property - under \$500	C	X
OP735	OPERATIONAL	Theft / Loss of State, SR, Staff or Other's property - \$500 or more	B	X
OP911	OPERATIONAL	False 911 calls	C	X
RE110	RESTRAINT USE	Facility - Restraint implemented on a SR without an order or approved behavior plan or in violation of an approved plan	C	
RG110	RIGHTS VIOLATION	Alleged act not challenged	B	
RG210	RIGHTS VIOLATION	Alleged act challenged by legal or advocacy group	A	
SA100	SEXUAL ASSAULT	No SR involvement	A	X
SA200	SEXUAL ASSAULT	SR Involvement	A+	X

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SU111	SUICIDE ATTEMPT	No Injury- Excluding verbal threats	C	
SU112	SUICIDE ATTEMPT	Minor Injury	C	
SU114	SUICIDE ATTEMPT	Moderate Injury	B	X
SU116	SUICIDE ATTEMPT	Major Injury	A+	X
SX110	SEXUAL CONTACT	SR to SR - nonconsensual	B	X
SX120	SEXUAL CONTACT	SR to Staff or Other - nonconsensual	B	X
SX310	SEXUAL CONTACT	Other to SR - nonconsensual	A	X
SX400	SEXUAL CONDUCT	Inappropriate sexual conduct - No physical contact - in the presence of another person (i.e. masturbation, exposing one's self to another)	B	X
WK100	WALKAWAY	No Criminal status - located on campus	C	
WK105	WALKAWAY	No Criminal status - located off campus	B	X
WK110	WALKAWAY	No Criminal status - cannot be located	B	X
WK310	WALKAWAY	No Criminal status - at risk (18 or younger & 60+)	A	X
WK320	WALKAWAY	No Criminal status - at risk (disability / medical)	A	X
WK410	WALKAWAY	No Criminal status - at risk (danger to self or others)	A+	X