

Addx - Bayshore Regional Sewerage Authority

State of New Jersey
 Department of Treasury
 Integrity Monitoring Reporting Model
 For Quarter Ending 12/31/2014 (3 months ending prior to date of report)

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	Bayshore Regional Sewage Authority (BRSA)	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Emergency Management Authority (FEMA)	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Public Assistance - Category G	
5.	Award Amount	N/A	On December 17, 2014 BRSA issued a solicitation for the Niro Incinerator Repairs and Mitigation Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed.
6.	Contract/Program Person/Title	Bayshore Regional Sewage Authority (BRSA), Union Beach,NJ	Robert Fischer, Executive Director; George Rolon, Project Manager, New Jersey Environmental Infrastructure Trust (NJEIT)
7.	Brief Description, Purpose and Rationale of Project/Program	Due to high winds, heavy rain, subsequent flooding and storm surge from SuperStorm Sandy, the BRSA sustained major damage to two incinerators; the Dorr Oliver as well as the Niro incinerator, in addition to its adjacent equipment. The Project Worksheet (PW) relates to the demolition and reconstruction of these two incinerators and adjacent equipment.	
8.	Contract/Program Location	BRSA, Union Beach, NJ	
9.	Amount Expended to Date		\$0 On December 17, 2014 BRSA issued a solicitation for the Niro Incinerator Repairs and Mitigation (attached). Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed. No contract was awarded during this quarter.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program		0% On December 17, 2014 BRSA issued a solicitation for the Niro Incinerator Repairs and Mitigation (attached). Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed. No contract was awarded during this quarter.
12.	Expected Contract End Date/Time Period	9/1/2016	Solicitation of construction work was delayed for over 6 months due to the under realization of the complexity of the project. As a result, the BRSA did not issue a solicitation for the Niro Incinerator Repairs and Mitigation until December 17, 2014. Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed.
B. Monitoring Activities			

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No.	Recipient Data Elements	Response	Comments
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	As this project has not been awarded or solicited yet, the NJEIT was in the process of conducting a Project Worksheet comparison review to the Plans & Specifications (P&S), and due to the complexity of the projects/correlating worksheets, it has taken longer than expected. As such, there was a delay in the project for approximately six (6) months.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Addx Corporation was brought into this engagement on May 2, 2014 and had an initial kickoff meeting on May 19, 2014. In addition, Addx Corporation has previously reviewed the policies and procedures in place for BRSA. However, solicitation of the construction contract has been delayed since June 2014. Bayshore's engineering / draft contract documents have remained under review by the New Jersey Environmental Infrastructure Trust (NJEIT) and BRSA and due to the complexities of the project, this has caused delays in the solicitation. As a result, the BRSA did not issue a solicitation for the Niro Incinerator Repairs and Mitigation until December 17, 2014. Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Previously obtained electronic copy of the BRSA "Purchasing and Payment of Invoice Policy, Readopted February 2014"; and review conducted. NJEIT has been extremely cooperative as part of this review and provided Addx examiners with a USERID and password to enter into their system and review all documents in their system pertaining to this project.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Reviewed the NJEIT internal documents pertaining to the project. No irregularities were noted.	Although, BRSA has previously received Federal funds and has an established purchasing and invoice payment process, the anticipated construction contracts are going to be large and multifaceted. They will therefore require BRSA to exercise vigilance in accepting deliverables, paying invoices, and authorizing progress payments. Additionally, because both FEMA and Trust funds will be used, BRSA will have to be hypersensitive to the criticality of segregating funds and documentation.
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	N/A - None to date	Solicitation of construction work was delayed for over 6 months due to the under realization of the complexity of the project. As a result, the BRSA did not issue a solicitation for the Niro Incinerator Repairs and Mitigation until December 17, 2014. Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed.

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No.	Recipient Data Elements	Response	Comments
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	N/A - Contract not awarded to date	Solicitation for construction contracts were released on December 17, 2014.
19.	Provide details of any integrity issues/findings	None to date	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	N/A	Solicitation of construction work was delayed for over 6 months due to the under realization of the complexity of the project. As a result, the BRSA did not issue a solicitation for the Niro Incinerator Repairs and Mitigation until December 17, 2014. Bids were due to the BRSA by 2 PM on January 20, 2015 at which time they were unsealed.
21.	Provide details on any other items of note that have occurred in the past quarter	None	Bidders meeting scheduled for 1/5/2015
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	Addx has been monitoring the procurement process, to include providing due diligence and a review of the solicitation documents. No irregularities were noted. Further, Addx representatives formulated a plan to attend the bidders meeting scheduled for January 5, 2015 as a means to observe and note all contractors in attendance.
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Addx Corporation 55 hours ; \$6,635.95, to include \$168.21 of ODC's through 12/31/2014	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None	

Name of Integrity Monitor: Timothy Herlihy
 Name of Report Preparer: Harry Armstrong
 Signature: *Wm. Harry Armstrong* (Electronic)
 Date: March 31, 2015