

Firm Name: Thacher Associates
 Engagement: DEP Rebuild by Design Program
 For Quarter Ending: 12/31/2016

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	NJ Department of Environmental Protection ("DEP") as a subrecipient per a Memorandum of Understanding between the NJ Department of Community Affairs ("DCA") and DEP	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	HUD	
3.	State Funding (if applicable)	N/A	
4.	Award Type	CDBG-DR	
5.	Award Amount	\$380 million	
6.	Contract/Program Person/Title	Dennis Reinknecht, RBD Program Manager, Bureau of Flood Resilience	
7.	Brief Description, Purpose and Rationale of Project/Program	This program consists of two separate New Jersey projects that have been approved by the federal government as part of its Rebuild by Design competition to improve physical, ecological and economic resilience in regions affected by Superstorm Sandy. HUD is providing CDBG-DR funding for the feasibility, design and construction of these two projects. The Meadowlands project has been allocated \$150 million and the Hudson River project has been allocated \$230 million.	
8.	Contract/Program Location	Hudson River Region and Meadowlands Region	
9.	Amount Expended to Date	Hudson River: \$7,670,442 ; Meadowlands: \$2,220,838	
10.	Amount Provided to other State or Local Entities	N/A	
11.	Completion Status of Contract or Program	This program is in its early stages.	
12.	Expected Contract End Date/Time Period	The AECOM contract for the Feasibility Study/EIS for the Meadowlands project is currently scheduled to be completed in 2017. The Construction Management Firm for both contracts, Louis Berger/Hill JV, has a contract that currently expires in September 2017. The Dewberry contract for the Feasibility Study/EIS for the Hudson River project is currently scheduled to be completed by the end of FY2017. Design and construction projects will follow as appropriate.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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14. Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	In connection with its review of NJDEP's financial, procurement, and administrative processes for the contracts, Thacher Associates conducted interviews of six NJ DEP staff members (Frank Schwarz, Nicole Soto, Kim McEvoy, Clay Sherman, Robert Marcolina and Alexis Taylor). TA continued to analyze project policies and procedures including the PGP and Crosscut Manual. TA also attended CDBG contractor training sessions in Trenton and participated in periodic conference calls with NJ DEP personnel.	
15. Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	In addition to TA's initial request for documents required for analysis of NJDEP's financial, administrative, and procurement processes, the NJDEP has since been placing in our project folder additional documents it believes relevant to our scope of services. TA has supplemented those documents with additional requests as needed, all of which have been complied with. Thacher Associates has reviewed those documents to assess project controls and to test compliance therewith.	
16. Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher Associates' activities included attendance at a project training session conducted by ICF regarding CDBG procurement requirements to ensure the adequacy and correctness of the information conveyed. Thacher Associates also initiated a review of contract awards to Dewberry, Louis Berger/Hill JV and AECOM.	
17. Have payment requisitions in connection with the contract/program been reviewed? Please describe	Yes. Thacher Associates has been reviewing monthly invoices submitted by the CMF.	
18. Description of quarterly activity to prevent and detect waste, fraud and abuse.	During the quarter, Thacher Associates continued to identify the risks facing the project and the policies, procedures and controls in place to guard against them. Thacher Associates also continued reviews of CMF invoices.	

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19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	N/A	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Melissa Liebermann - 2.75 Kevin Mullins - 54.5 Edison Montalvo - 55 Joshua Wiesenfeld - .75 Justin Rattino - .25 Chris Ward - 22.5 Barry DeFoe - 1.25 William Rogers - 219.5 Joseph DeLuca - 1.5 Erin Longbothum - 1.0 Conrad Anderson - 144.0 Sherry Chang - 22.5	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

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Name of Integrity Monitor:	Thacher Associates
Name of Report Preparer:	William Rogers
Signature:	
Date:	April 3, 2017