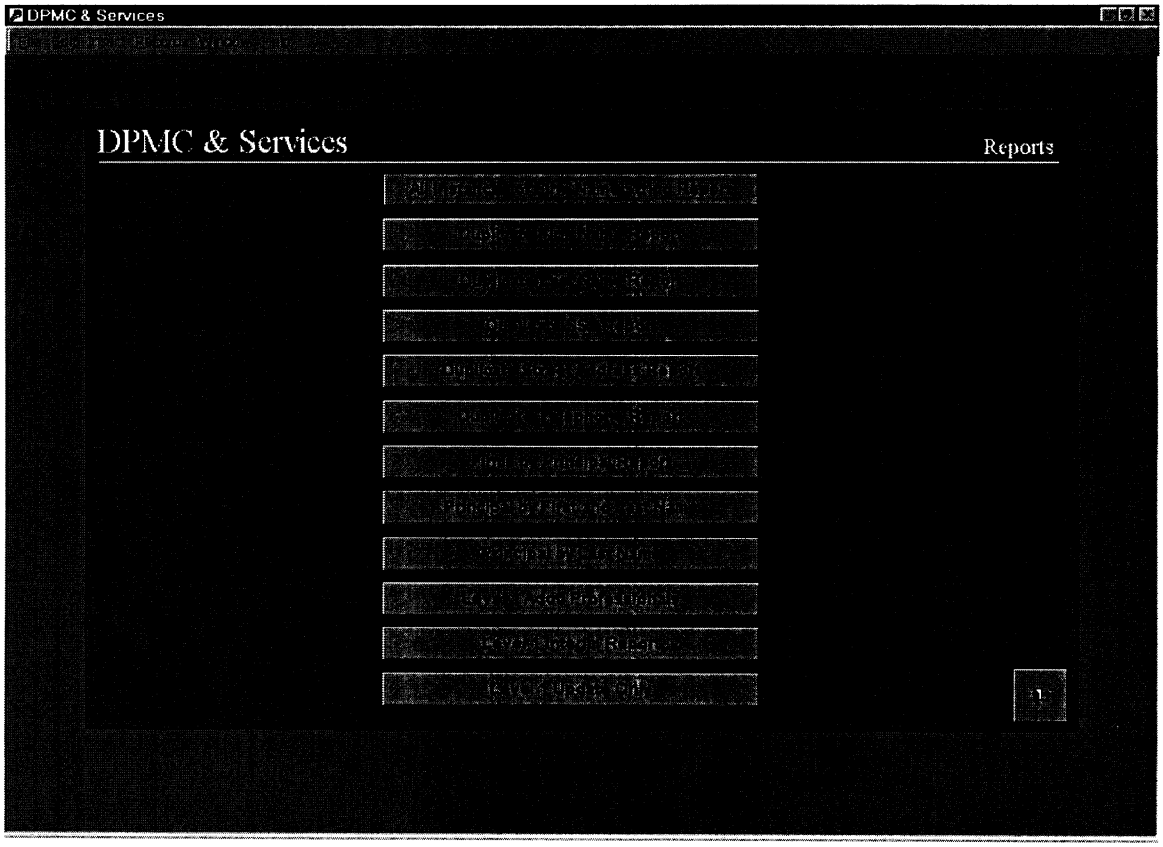
Appendix A: Current Reports Used

Management Reports:

1. Open Case Summaries
2. Case Report All Updates
3. Cases by Source: (anonymous, AG referral, self-generated, complaint, etc)
4. Run Parameter Report
5. Case Assignments by Staff: listing of cases sorted by staff member, includes date case opened, date case assigned to that staff member, case number and source of case (anonymous, AG referral, self-generated, complaint, etc)
6. Case Assignments by Case #
7. Case Report 90 day Updates

Other Reports Used:



Microsoft Access - [Find Firm Name String Report]

100%

All Instances of Firm Name String Report

Firm Name

Federal ID Number

Names of Associated Firms (if any)	*Federal ID Number (if avail.)*

Microsoft Access - [Duplicate Firm Name Report]

Fit

Ready

Microsoft Access - [Duplicate SS# Report]

Fit

Social Security Num.	Last Name	First Name	Firm Name
	COHEN	MICHAEL P	PAULUS SOKOLOWSKI & SARTOR ENGINEERING
	COHEN	MICHAEL P	PAULUS SOKOLOWSKI AND SARTOR LLC
	GARRITY	KENNETH J	EDWARDS & KELCEY ARCHITECTURAL & DESIGN SERIC
	GARRITY	KENNETH J	EDWARDS AND KELCEY INC
	KOVACS	JOHN R	KAJIMA CONSTRUCTION SERVICES INC
	KOVACS	JOHN R	KAJIMA CONSTRUCTION SERVICES
	EPIFANO	ROBERT	EPIC INC
	EPIFANO	ROBERT A	EPIC MANAGEMENT INC
	BURKE	WALTER W	PAULUS SOKOLOWSKI AND SARTOR LLC
	BURKE	WALTER W	PAULUS SOKOLOWSKI & SARTOR ENGINEERING
	GUTIERREZ	JUAN	NORTHEAST CONSTRUCTION INC
	GUTIERREZ	JUAN	REMSCO CONSTRUCTION INC
	EINHORN	STEVEN L	EINHORN YAFFEE PRESCOTT ARCHITECTURE & ENGINE
	EINHORN	STEVEN L	SPILLIS CANDELA & PARTNERS INC
	MICKLUS	PETER O	SOR CONSULTING ENGINEERS INC
	MICKLUS	PETER O	SOR TESTING LABORATORIES INC
	DONOVAN	JOSEPH H	VOLLMER ASSOCIATES LLP
	DONOVAN	JOSEPH H	GRUZEN SAMTON ARCHITECTS PLANNERS & INTERIOR
	HARATUNIAN	MICHAEL	STV INC
	HARATUNIAN	MICHAEL	STV ARCHITECTS INC

1

Microsoft Access - [Duplicate Street Address Report]

Fit

Street Address	City	Firm Name	Federal ID Num.
104 BAYARD STREET	NEW BRUNSWICK	NJ K-12 ARCHITECTS LLC	
	NEW BRUNSWICK	VENEZIA AND ASSOCIATES LLC	
1107 HARAL PLACE	CHERRY HILL	MRW MECHANCIAL INC	
	CHERRY HILL	MRW MECHANICAL CONTRACTORS IN	
111 MAGEE AVENUE	LAVALLETTE	AST DEVELOPMENT CORP	
	LAVALLETTE	AST DEVELOPMENT CORP	
11-19 AMITY STREET	PATERSON	KARIO CONSTRUCTION CORP	
	PATERSON	KARIO CONSTRUCTION CORP	
116 FAIRFIELD ROAD	FAIRFIELD	CONSTRUCTION TECHNOLOGY CORP	
	FAIRFIELD	CONTROLLED CONSTRUCTION INC	
1224 HAMILTON AVENUE	TRENTON	CONTAMINATION CONTROL ENGINEE	
	TRENTON	ENVIRONMENTAL CONNECTION INC	
131 HARRISON AVENUE	ROSELAND	DAVID ANTHONY CONSTRUCTION CO I	
	ROSELAND	JM CONSTRUCTION CO INC	
136 ELEVENTH STREET	PISCATAWAY	EPIC INC	
	PISCATAWAY	EPIC MANAGEMENT INC	
139 CHESTNUT STREET	NUTLEY	CSR CONSTRUCTION CORP	
	NUTLEY	MAJOR MECHANICAL SERVICE INC	
1910 PRINCETON AVE	LAWRENCEVILLE	SCOZZARI INC	
	LAWRENCEVILLE	VJ SCOZZARI & SONS INC	
2 NORTH STREET	WALDWICK	JC DIPIAZZA INC	
	WALDWICK	NORTH JERSEY MECHANICAL CONTRA	
200 WAMPLER DRIVE	SPRING CITY	L. LIBERATO STEEL FABRICATING CO I	
	SPRING CITY	L. LIBERATO STEEL FABRICATING CO I	

Page: 1

Ready

NUM

Microsoft Access - [Dup phone report]

Telephone Num.	Firm Name	Street Address	City	Federal ID Num.
	ASTRAL CONSTRUCTION & DEVELOPMENT CORP	16 TYSON PL	BERGENFIELD	
	ASTRAL CONSTRUCTION & DEVELOPMENT CORP.	16 TYSON PLACE	BERGENFIELD	
	G&T MECHANICAL CONTRACTORS INC	18 ASH ST	JERSEY CITY	
	LOUIS GARGIULO COMPANY INC	18 ASH STREET	JERSEY CITY	
	BERGEN ENGINEERING CO	375 MURRAY HILL PARKWAY	EAST RUTHERFORD	
	BERGEN ENGINEERING CO	375 MURRY HILL PARKWAY	EAST RUTHERFORD	
	KACEY ELECTRICAL CONTR INC	127 PEARL STREET	BRIDGEWATER	
	VALLEY EXCAVATING CORP	16 VILLAGE WAY	VERNON	
	AJAY ELECTRICAL CORP INC	1-A BLUERIDGE AVE	GREENBROOK	
	GENERAL GLASS & ALUMINUM INC	862 NEWARK AVE	JERSEY CITY	
	MAX ADAMO CONSTRUCTION INC	936 SLOCUM AVENUE	RIDGEFIELD	
	JACK D HALE INC	PO BOX 1436	CHERRY HILL	
	SYSTEM ONE ALARM SERVICE INC	PO BOX 467	FRANKLIN LAKES	
	K-LAINE WELDING CO INC	1603 UNION VALLEY RD	WEST MILFORD	
	ANYTHING ELECTRIC COMPANY	21 JOHN LELO AVENUE	MILFORD	
	MAR NEIL CONSTRUCTION INC	408 RIDGE ROAD	LYNDHURST	
	GAGLIOTI CONTRACTING INC	460 N. 4TH STREET	NEWARK	
	PRIMARY ELECTRIC INC	68 WALLINGTON AVENUE	WALLINGTON	
	TRI-FORM CONSTRUCTION INC	84 WEBB ST	EDISON	
	POWER PLUS ELEC. CO INC	14 ALBA PLACE	PARSIPPANY	
	PALMA INC	14 SALTER PLACE	BLOOMFIELD	
	TORO LANDSCAPING SERVICE INC	41 HILLSIDE AVE	LIVINGSTON	
	MICHAEL GIAQUINTO HEAT A/C CO	571 NEW YORK AVE	LYNDHURST	
	PROCOMM SYSTEMS INC	PO BOX 163	HOPE	
	KAJIMA CONSTRUCTION SERVICES	396 WEST PASSAIC STREET	ROCHELLE PARK	
	RICHARD EIDEN ASSOCIATES INC	10 SQUIRRELWOOD ROAD	WEST PATERSON	
	I-P-S ELECTRICAL CONTRACTORS	177 HARDING PLACE	MAYWOOD	
	THE ARKORD COMPANY INC	206 FIRST AVE	BELMAR	
	RUGREEN ELECTRIC CONTRACTORS INC	5-16 SUMMIT AVE	FAIR LAWN,	

Microsoft Access - [Firms by Principal Report]

Close Report

FIRMS BY PRINCIPAL Social Security Number:

EIN #	Firm Name	Street Address	City	ST

3/26/03 Page 1 of 1

Page: 1

Ready NUM

Microsoft Access - [Level 1 Interim Report : Report]

Fit

03/26/2003

LEVEL I INTERIM CHECKLIST

Page 1 of 1

Company Name:
Address
Telephone

EIN#:

DPVC Expiration Date:

DOL Public Works Contractor Registration

Reg Date Initials Date

ASSOCIATED FIRMS (IF ANY) AND COMPANY PRINCIPALS:

Name	Corporate Officer	Date of Birth	Ver Yes Nos

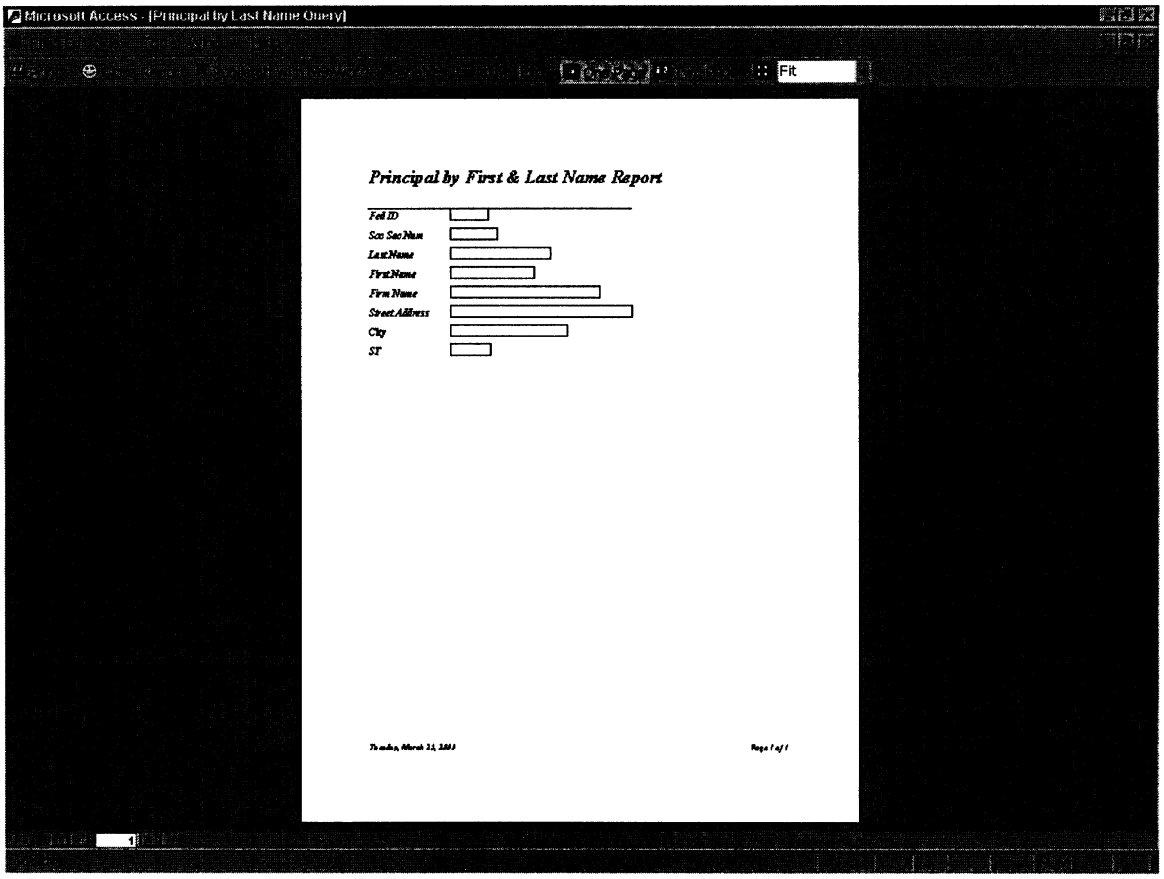
Derogatory Information Available? (Attach supporting documentation where appropriate.)

	Yes	No		Yes	No
NYCSCA Disqualified Firms List	☐	☐	OSHA Inspections	☐	☐
SCI Rosfcom List	☐	☐	Bankruptcies	☐	☐
CCC Prohibited Vendors List	☐	☐	Civil Litigation, Judgments, and Liens	☐	☐
CCC Restricted Employment List	☐	☐	News	☐	☐
DOL Public Contract Penalties Due	☐	☐	State Criminal History Inquiry	☐	☐
DOL Wage and Hour Investigations	☐	☐	Duplicate firm name in database	☐	☐
Licensed Asbestos Contractor	☐	☐	Duplicate firm address in database	☐	☐
DOL Prevailing Wage Debarment List	☐	☐	Duplicate phone number in database	☐	☐
DCA Licensee Directory	☐	☐	Duplicate principal last name in database	☐	☐
PA Prevailing Wage Act Debarments	☐	☐	OIG Firms by Principal	☐	☐
NJ Consolidated Debarment List	☐	☐	Tax	☐	☐
Federal GSA Excluded Parties List	☐	☐			

*** ENCLOSE COPIES OF DEROGATORY INFORMATION FOR LEVEL II REVIEW ***

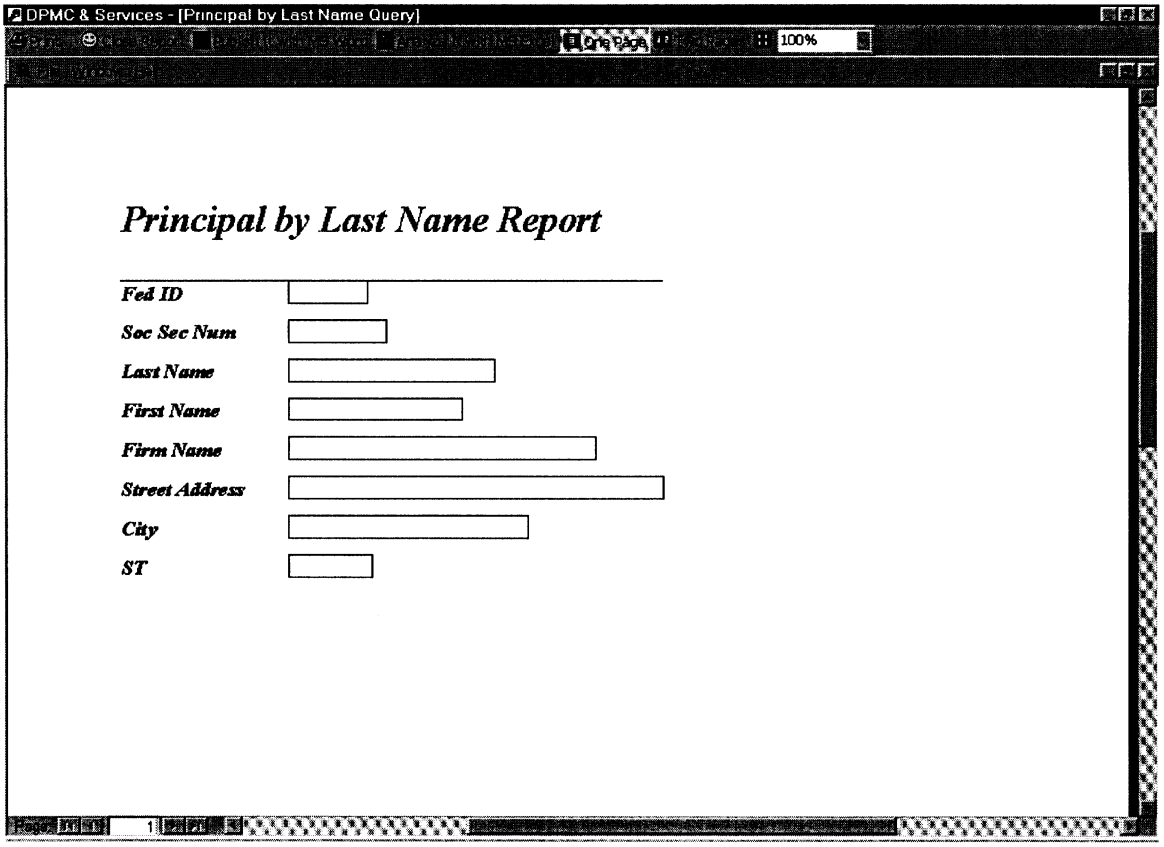
Initials	Date	Fire	Initials	Date	Fire	Initials	Date	Fire	Initials	Date	Fire

1



Name
Last
First
Middle initial
Prefix
Suffix

Address
Two lines for street if needed
Ability to store multiple addresses



Name
Last
First
Middle initial
Prefix
Suffix
Alias, AKA

Address
Two lines for street if needed
Ability to store multiple addresses

DPMC & Services - [Level 1 Interim Report : Report]

01/24/2003 **LEVEL I INTERIM CHECKLIST** Page 1 of 1

Company Name:
Address
Telephone

EIN #:
DPMC Expiration Date:

DOL Public Works Contractor Registration

Hrg Date Initials Date

ASSOCIATED FIRMS (IF ANY) AND COMPANY PRINCIPALS

Name	Corporate Officer	Date of Birth	Soc Sec Name

Derogatory Information Available? (Attach supporting documentation where appropriate.)

	Yes	No		Yes	No
NYCSCA Disqualified Firms List	☐	☐	OSHA Inspections	☐	☐
SCI Roofscore List	☐	☐	Bankruptcies	☐	☐
CCC Prohibited Vendors List	☐	☐	Civil Litigation, Judgments, and Liens	☐	☐
CCC Restricted Employment List	☐	☐	News	☐	☐
DOL Public Contract Penalties Due	☐	☐	State Criminal History Inquiry	☐	☐
DOL Wage and Hour Investigations	☐	☐	Duplicate firm name in database	☐	☐
Licensed Asbestos Contractor	☐	☐	Duplicate firm address in database	☐	☐
DOL Prevailing Wage Determinant List	☐	☐	Duplicate phone number in database	☐	☐
DCA Licensee Directory	☐	☐	Duplicate principal last name in database	☐	☐
PA Prevailing Wage Act Determinants	☐	☐	OIG Firms by Principal	☐	☐
NJ Consolidated Determinant List	☐	☐	Tax	☐	☐
Federal GSA Excluded Parties List	☐	☐			

*** ENCLOSE COPIES OF DEROGATORY INFORMATION FOR LEVEL II REVIEW ***

Initials Date Fra Initials Date Fra Initials Date Fra Initials Date Fra

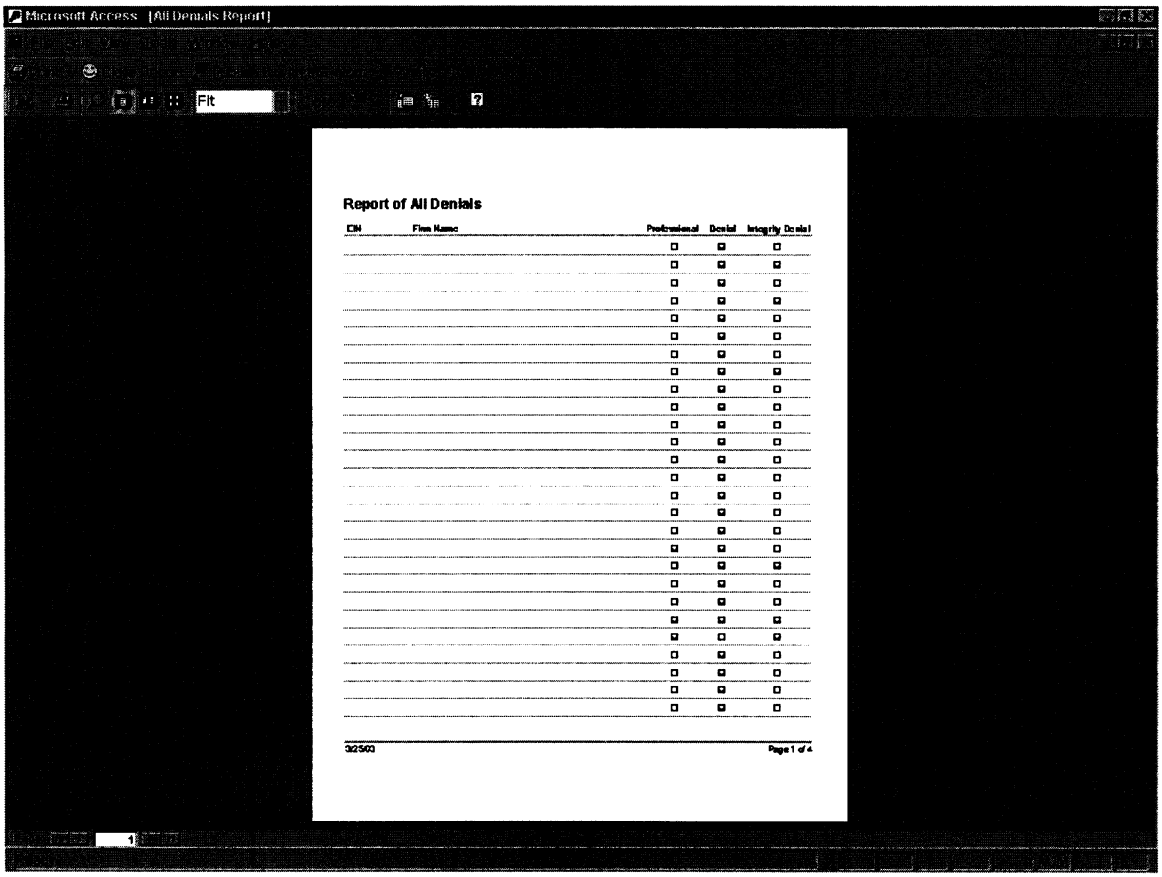
Microsoft Access - [Alerts Report]

Alerts Report

Administrative Alert:	58
OSHA Alert:	184
Contractor Non-Registration Alert:	49
Civil Alert:	70
Wage and Hour Alert:	48
Criminal Alert:	12
Failure to Respond Alert:	23
Public Entity Litigation Alert:	43

Page: 1

Ready NUM



Report of All Denials

EIN	Firm Name	Professional	Denial	Integrity Denial
		☐	☑	☐
		☐	☑	☑
		☐	☑	☐
		☐	☑	☑
		☐	☑	☐
		☐	☑	☐
		☐	☑	☐
		☐	☑	☑
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		☐	☑	☐
		☐	☑	☐
		☑	☑	☑
		☑	☐	☑
		☐	☑	☐
		☐	☑	☐
		☐	☑	☐
		☐	☑	☐

3/2/5/03 Page 1 of 4

This report indicates whether the firm was a Professional or Contractor Firm and whether the Denial was and Integrity Denial. Denial box not needed since the report is "All Denials".

Microsoft Access - [Statistics Report]

Associated Firms Total Through January 23, 2003

Total Associated Firms:	1,030
Nondisclosure Count:	0
Adm Count:	0
OSHA Count:	0
Cont Reg Count:	0
Civil Count:	0
Wage and Hour Count:	0
Criminal Count:	0
Failure To Respond Count:	0
PEL Count:	0

Add to this list:

Poor Finances
Bankrupt
Disbarment
Poor evaluation on Performance

Microsoft Access - [Statistics Report]

Associated Firms Between 7/1/02 and 12/31/02

Total Associated Firms:	156
Nondisclosure Count:	0
Adm Count:	0
OSHA Count:	0
Cont Reg Count:	0
Civil Count:	0
Wage and Hour Count:	0
Criminal Count:	0
Failure To Respond Count:	0
PEL Count:	0

Add to this list:

Poor Finances
Bankrupt
Disbarment
Poor evaluation on Performance

Microsoft Access - [Case Summary Report]

Fit

Case Summary Report

EIN
Firm Name:
Professional? ☐

Receipt Date
Suspite? ☐
OIG Update Date
Renewal? ☐
Reribed? ☐
Reribed Date
Assigned to MSI Date
MSI Assignee
Level I Complaint Date
Investigator Assignee
Accountant Assignee
Date to Legal
Attorney Assignee

Adm	OSHA	Cont Req	Civil	Wages and Hour	Criminal	Failure Respond	PEL
☐	☐	☐	☐	☐	☐	☐	☐

EDA Alert	DPMC Alert
☐	☐

Letter Forwarded Date
Non-Disclosure Sent

Level II	Level III
☐	☐

Denial	Majority Denial
☐	☐

Watch List
EDA Action
Applicant Challenge ☐
Challenge Result

3/25/03 Page 1 of 1

Microsoft Access - [Under Investigation Report]

Fit

Under Investigation Report

Investigator	Receipt Date	EIN	Firm Name

3/26/03 Page 1 of 1

Page: 1

Ready NUM

Microsoft Access - [PreQualification Expiration by Name]

Fit

PreQualification Expiration by Name

Firm Name	*EIN*	*Letter Forwarded Date*
1st Western Corp		[illegible]
3 Generations Plumbing & Heating Inc		[illegible]
3DI International		[illegible]
3R Painting		[illegible]
A Hamshaw Inc		[illegible]
A Marchione Brothers Inc		[illegible]
A Marchione Electric Inc		[illegible]
A Marchione Electric Inc		[illegible]
A Marchione Construction Inc		[illegible]
A Pflugh Inc		[illegible]
A Sims & Son Inc		[illegible]
A Stampler Inc		[illegible]
AEA Industrial Piping Inc		[illegible]
AEA Painting & Carbonizing Co		[illegible]
AEC Plumbing & Heating Inc		[illegible]
AEJ Construction		[illegible]
AEJ Consulting Engineering Services PC		[illegible]
AEM Scientific Solutions Inc		[illegible]
AES Restoration Corp		[illegible]
AES Welding Inc		[illegible]
A-1 Advanced Voice & Data Solutions		[illegible]
AA Dashoff Inc		[illegible]
AAAA Chemical Glass Co Inc		[illegible]
Aaron Excavating Service Inc		[illegible]
AAF Communications Systems Corp		[illegible]
Abate Tech Inc		[illegible]
Abax Inc		[illegible]
Abax Inc		[illegible]

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1

Microsoft Access - [Cases with Accountant Report]

Fit

Cases with an Accountant Assigned

EIN	Firm Name	Date Case Received	Level I Completed	Investigator
Boney				
	Wells Construction Corp	2/15/01	5/23/01	DiAnuzio
	Eastern Analytical Services, Inc	5/13/02	6/10/02	Herrington
	Telemos Construction Corp	6/14/01	6/15/01	Quirk
	MCCG	6/6/02	9/24/02	Halfpenny
	ARS Construction Inc	1/11/02	1/11/02	Herrington
	Ecoplan PA Architects Planners Inc	5/28/02	6/28/02	Butich
	Keith E Conroy Engineers Inc	8/6/02	8/28/02	Halfpenny
	Paragon Construction Associates Inc	5/31/02	7/10/02	Drummond
	Darsen Construction Co Inc	1/29/01	3/7/01	Brescia
	Icon Construction Corp	3/14/01	7/11/01	Quirk
	Cutting Edge Construction LLC	3/12/02	3/14/02	Herrington
	Consolidated Construction Manageme	8/6/02	9/25/02	Clark
Patella				
	DP Restoration Inc	7/10/01	10/25/01	Trivelli
	Stevens Environmental Services Inc	3/2/01	6/28/01	Drummond
Weidman				
	MRM Consulting Inc	7/2/02	7/22/02	
	Meyer Strong & Jones Engineers	5/28/02	9/12/02	Trivelli
	York Hunter Services	2/27/01	3/6/01	Quirk
	Tamt-Gibbons Inc	11/27/00	2/8/01	Drummond
	Worth Construction Co Inc	12/5/00	7/1/01	Quirk
	Active Conditioning Corp/Keyspan	7/10/01	10/12/01	Herrington
	Jotan Inc	2/14/01	5/25/01	Herrington
	DA Nolt Inc	12/27/00	2/2/01	Brescia
	Roof Diagnostics Inc	5/2/01	5/7/01	Drummond

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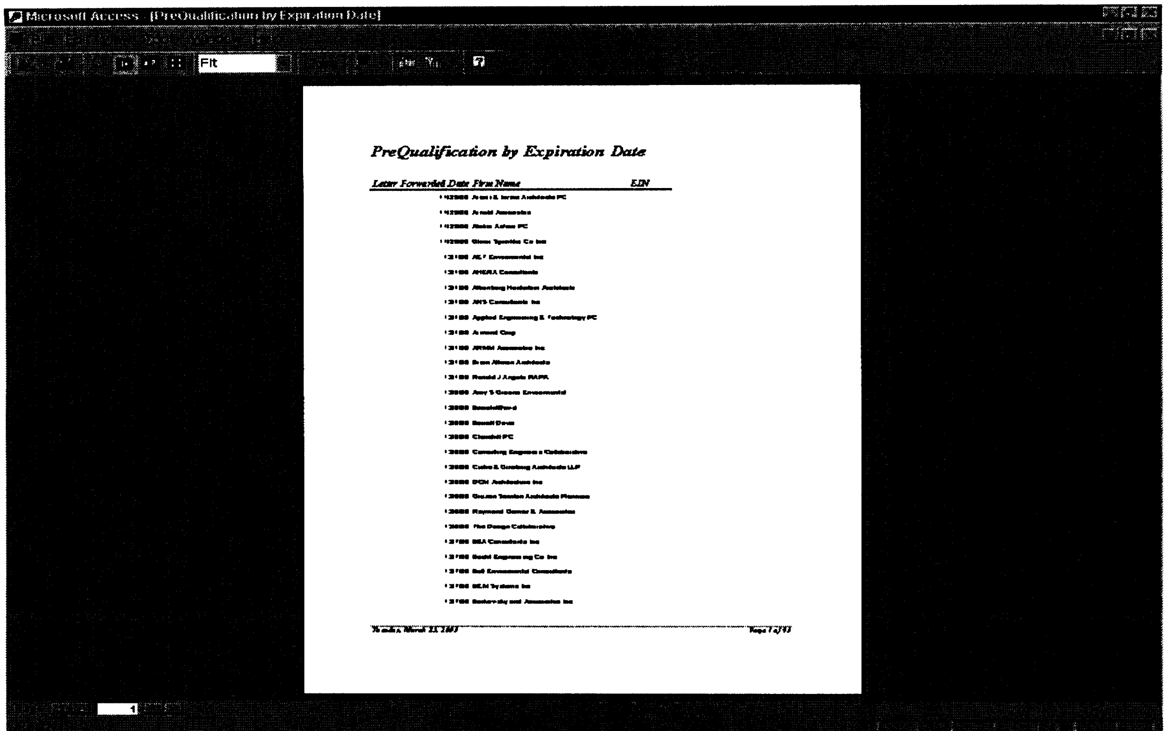
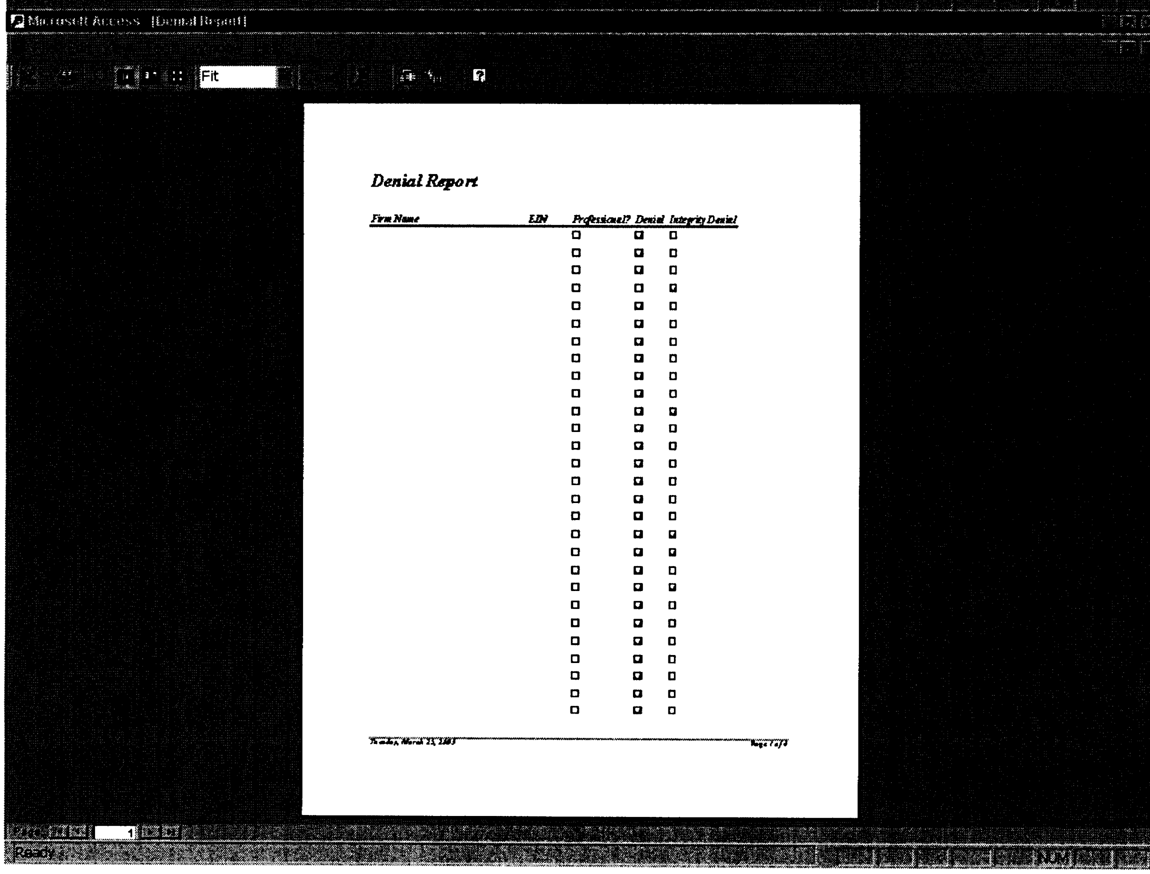
Page 1

Ready NUM


Microsoft Access - [PreQualification by Expiration Date]
Fit
PreQualification by Expiration Date
Letter Forwarded Date Firm Name EIN


Microsoft Access - [Denial Report]
Fit
Denial Report
Firm Name EIN Professional? Denial Integrity Denial
Ready

Microsoft Access - [EDA Alerts with Firm Name Report]

Fit

EDA Alerts with Firm Name Report

Professional?	EDA Alert	Letter Sent	OSEA	Cont Reg	Civil	Wage and Hour	Criminal	Fail to Respond	FEL
☐	☑	[illegible]	☑	☐	☐	☐	☐	☐	☐
☑	☑		☐	☐	☐	☐	☐	☐	☐
☑	☑	[illegible]	☑	☐	☑	☐	☐	☐	☐
☐	☑	[illegible]	☑	☐	☐	☐	☐	☐	☐
☐	☑	[illegible]	☑	☐	☐	☐	☐	☐	☐
☐	☑	[illegible]	☐	☑	☐	☐	☐	☐	☐
☑	☑	[illegible]	☐	☐	☐	☐	☐	☐	☐
☐	☑	[illegible]	☐	☑	☐	☐	☐	☐	☐
☐	☑	[illegible]	☐	☐	☐	☐	☐	☐	☐
☐	☑	[illegible]	☐	☐	☐	☐	☐	☐	☐
☐	☑	[illegible]	☐	☑	☐	☐	☐	☐	☐
☑	☑	[illegible]	☐	☐	☐	☐	☐	☐	☐
☐	☑	[illegible]	☐	☐	☐	☐	☐	☐	☑
☑	☑		☐	☐	☐	☐	☐	☐	☐

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1

Microsoft Access - [Integrity Denial and No EDA Action Report]

Fit

Report of OIG Integrity Denial and No Action by EDA

EIN	Professional?	Firm Name
	☐	
	☐	
	☐	
	☐	
	☐	

Tuesday, March 26, 2003

Page 1

Ready NUM

Microsoft Access - [Julie's EDA Alerts and Reasons Report]

Fit

Julie's EDA Alerts and Reasons Report

EIN	Letter Date	EDA Alert	Adm	OSHA	Cont Reg	CMI	Wage and Hr	Criminal	Fail to Respond	PEL	Level II	Level III	Denial	Integrity Denial
		Firm Name										Professional?		Supplier?

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Microsoft Access - [Open Level 2 Report]

Fit

Close

Open Level 2 Cases

EIN	Firm Name	Professional	Date to Legal

3/25/03

Page

Ready

NUM

Microsoft Access - [Open Level 3 Report]

Fit

Open Level 3 Cases

EIN	Firm Name	Professional	Date to Legal
		☐	
		☐	
		☐	
		☐	
		☑	

3/25/02

1

Microsoft Access - [Recent Updates Report]

Fit

Recent Updates Report

Firm Name	*Update_date*	*EIN*	*Professional?*
A Advertising Macric Inc	*2/13/02*		
			☐
			☐
A&S Restoration Corp	*2/26/02*		
			☐
Agnew/Lovera Architects	*4/12/02*		
			☑
			☑
Albemberg Hickstein Architects	*4/11/02*		
			☑
			☑
Albert Gorlatti Construction	*2/7/02*		
			☐
Allied Fire & Safety Equipment Co Inc	*3/14/02*		
			☐
Allspace International Corp	*3/20/02*		
			☐
Alper Enterprises Inc	*2/26/02*		
			☐
			☐
Amman & Whitney Consulting Engineers PC	*3/8/02*		
			☑
Anderson Riley Co	*2/13/02*		
			☐
AP Boyd Inc	*5/20/02*		
			☐
Arlup Construction Inc	*3/8/02*		
			☐
A-Tech Concrete Co Inc	*1/13/03*		
			☐
			☐

Tuesday, March 25, 2003 *Page 1 of 11*

1

Ready

NUM

Microsoft Access - [Statistics Report]

OIG School Prequalification Statistics
Between 7/1/02 and 12/31/02

Total Cases:	393	OSHA Count:	16
Watch List Total:	2	Cont Reg Count:	3
Nondisclosure Count:	0	Civil Count:	3
Professional Count:	136	Wage and Hour Count:	2
Supplier Count:	0	Criminal Count:	0
Level 1 Count:	334	Failure To Respond Count:	0
Recommendation to Legal:	268	PEL Count:	13
EDA Alert Count:	27	EDA Notifications:	236
DPMC Alert Count:	1	Level 2 Count:	178
Adm Count:	5	Level 3 Count:	2
		Integrity Denials:	0
		Total Denials:	0

Page: 1

Microsoft Access - [Statistics Report]

OIG School Prequalification Statistics
Total Through 1/23/03

Total Cases:	2,653	OSHA Count:	184
Watch List Total:	36	Cont Reg Count:	48
Nondisclosure Count:	91	Civil Count:	70
Professional Count:	767	Wage and Hour Count:	48
Supplier Count:	5	Criminal Count:	12
Level 1 Count:	2,855	Failure To Respond Count:	23
Recommendation to Legal:	2,700	PEL Count:	43
EDA Alert Count:	415	EDA Notifications:	2,636
DPMC Alert Count:	21	Level 2 Count:	1,481
Adm Count:	58	Level 3 Count:	50
		Integrity Denials:	17
		Total Denials:	82

Page: 1

Ready NUM

Change Watch List Report to HOFFA Report

Microsoft Access - [Watch List Report]

Watch List Report

Firm Name	*EIN*	*Watch List*
Aerodyne-Redmond Environmental Inc	[redacted]	
Ascend Construction Management Inc	[redacted]	
Best Roofing of NJ Inc	[redacted]	

Page 1

Appendix B: OGI Minimum Required Data Elements

Many of the data fields will be available in one or more templates for input and search. However, once the data is entered in one template it will populate across any appropriate tables or search templates.

Individuals (including company principals):

Name
DOB
Criminal record indicator
Civil judgment indicator
Debarment date and length
Professional license(s) held
Watch list indicator
Address(s)
Phone Number(s)
SSN
Company Affiliation(s) and Job Titles
Key Person indicator
Aliases, aka
Relevant Dates
Status of Application
Record of Findings
Concerns (bankruptcy, criminal record, civil judgment, disbarment)

Business Entities

Category (Professional/Contractor/Supplier/Combinations of)
Name and Names previously used/doing business as
EIN
Duns #
MBE/WBE/SBE
Criminal record indicator
Civil judgment indicator
Debarment date and length
Watch list indicator
Address (es)
Phone Number(s)
Notaries Used
Licensing information
Type of Work Performed
Issues Previously Encountered
Other Contracts involved with
Awards
Prior Bid Activity

Relevant Dates (applied, approved, denied)
Principals (tied back to Individuals table)
Contact person (tied back to Individuals table)
Project Managers (tied back to Individuals table)
Status of Application
Record of Findings
Denial of Participation (reasons)

Applications Submitted

Applicant name(s)
Date received
Date completed
Date of previous application(s)
Investigator Name(s)
Attorney Name(s)
Status of Investigation
Investigation Outcome (recommendation, EDA action, legal challenge, result, disbarment date and length)
Identified Aliases/Nicknames
Concerns (OSHA, Wage & Hour)
New/Renewal Indicator

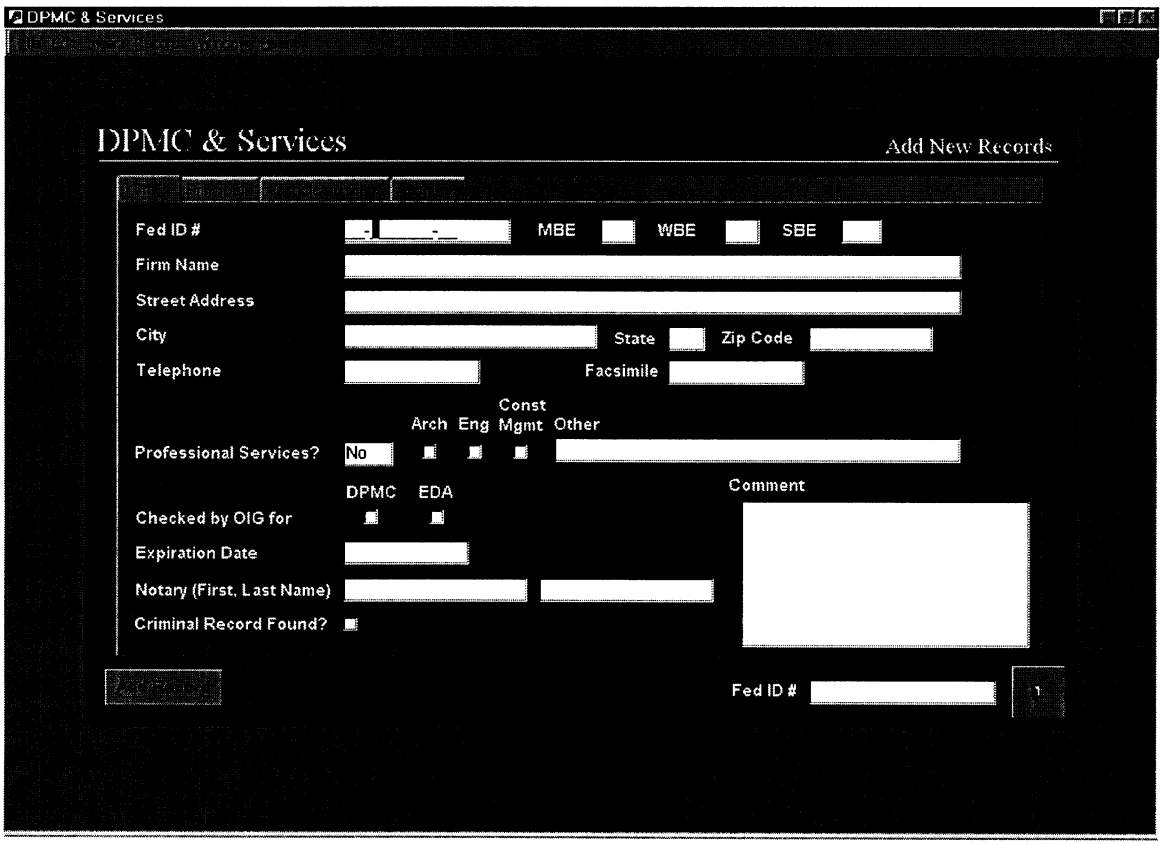
Contracts

School Facilities Project Number
Contract Number
EDA Project Manager
Project(s) Covered
OGI Case Number
Bidders (tied to Entities table)
Contractor(s) Involved (tied to Entities table)
Subcontractors (tied to Entities table)
Individuals (tied to Individuals table)
Project Management Firm (tied to Entities table)
School District
Municipality
County, if relevant
Type of work to be performed
Problem Indicators (schedule, cost, UCC, Contract compliance, Wage)
Final Performance Evaluation

Matters

Case/Matter Name
OGI Case Number
Individuals and their relationship to the matter (defendant, victim, witness, attorney, complainant)
Date Opened
Date Closed
Case Type
Outcome
Record of Findings
Status
Evidence Indicator and voucher numbers
Received from
Referred to
Staff Assigned
Recommendations Made (summary, date, to whom)
Resulting Action
Pointer to Document Containing Recommendation
Follow-up

Current Screens: Needed Data Fields



DPMC & Services – Firm Table

Business Category – Pull down menu w/ multiple choice possible
MBE
WBE
SBE
NONE
ALL

Professional Service Specialty - Pull down menu w/ multiple choice possible
Architect
Engineer
Landscape Architect
Planner

Surveyor
Construction Management
HVAC
Asbestos
Other

Contractor Specialty - Pull down menu w/ multiple choice possible
General Contractor
Electrician
HVAC
Plumbers
Steel & Ornamental Ironwork
Other

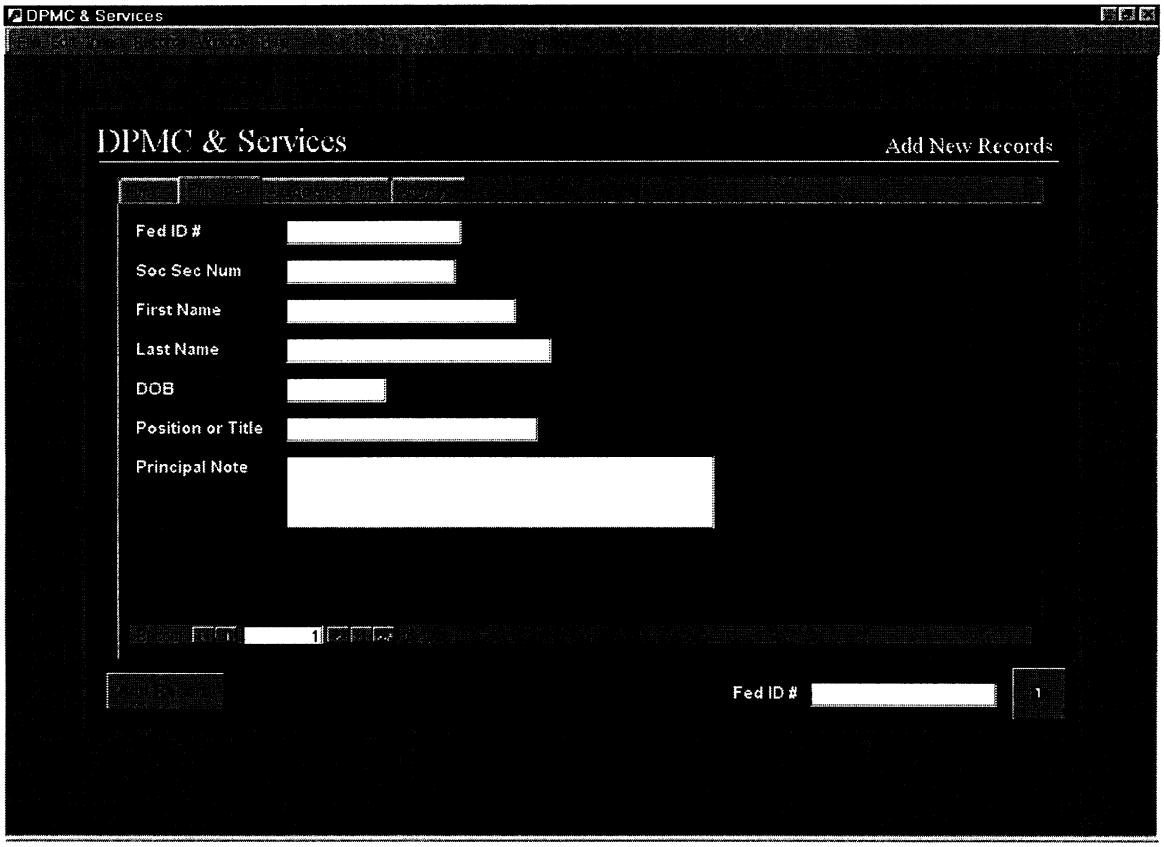
Service Category - Pull down menu
Professional
Contractor
Both

Requested By - Pull down menu w/ multiple choice possible
DPMC
EDA
OGI
Other

DPMC expiration date
SCC expiration date
OGI Letter of Approval date

Concerns - Pull down menu w/ multiple choice possible
Bankruptcy
Civil/Judgment
Debarment/suspension
OSHA
Criminal
Wage and hour
Poor final performance evaluation
Other

Address History Tab – store/save multiple addresses
Two lines for street if needed

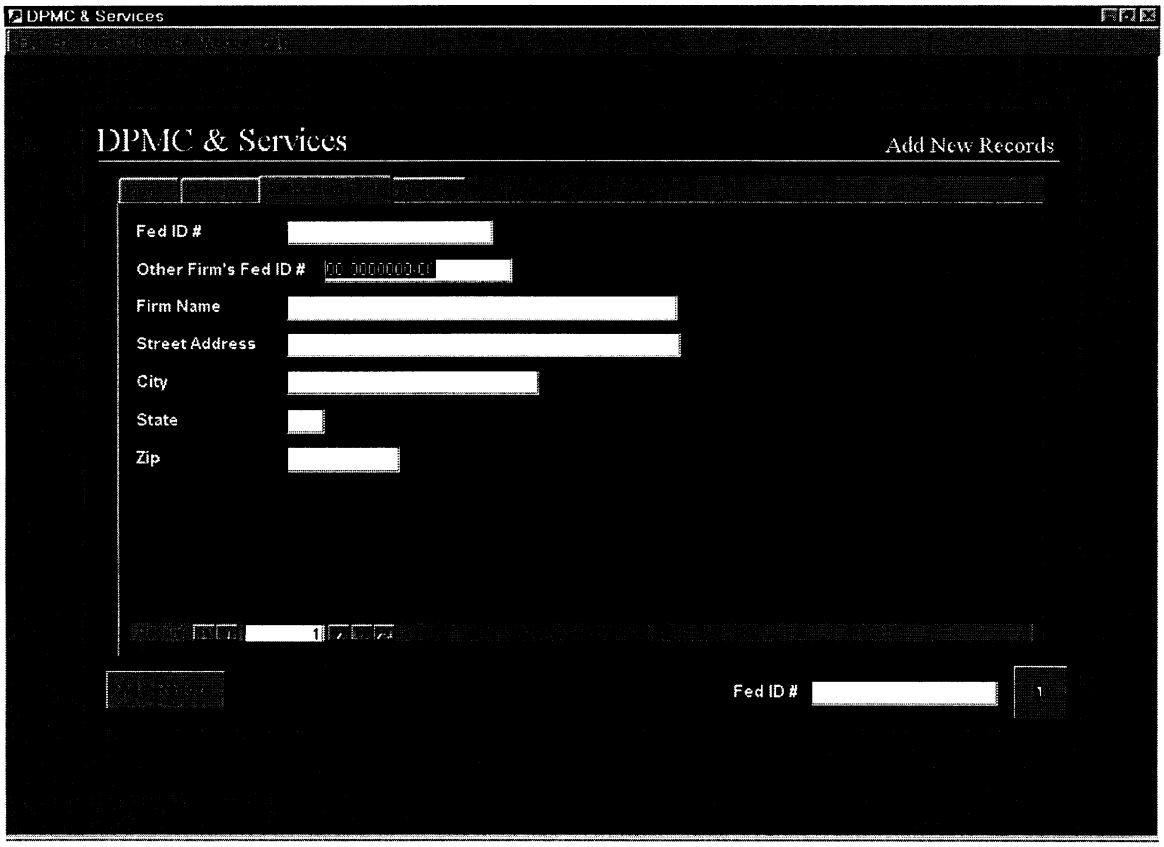


DPMC & Services – Principal Table

Name
Last
First
Middle Initial
Prefix
Suffix
Aliases

Address
Two lines for street if needed
City
State
Zip

Concerns - Pull down menu w/ multiple choice possible
Bankruptcy
Civil/Judgment
Debarment/suspension
Criminal
Wage and hour
Poor final performance evaluation
Other



DPMC & Services – Associated Firm Table (parent/child relationship)

Address
Two lines for street if needed

How associated - Pull down menu w/ multiple choice possible
Principal
Parent Company
Subsidiary
Doing Business As
Also Known As
Formerly Known As

Concerns - Pull down menu w/ multiple choice possible
Bankruptcy
Civil/Judgment
Debarment/suspension
OSHA
Criminal
Wage and hour
Poor final performance evaluation
Other

DPMC & Services

DPMC & Services

Add New Records

Fed ID #

First Name

Last Name

Street Address

City

State

Zip

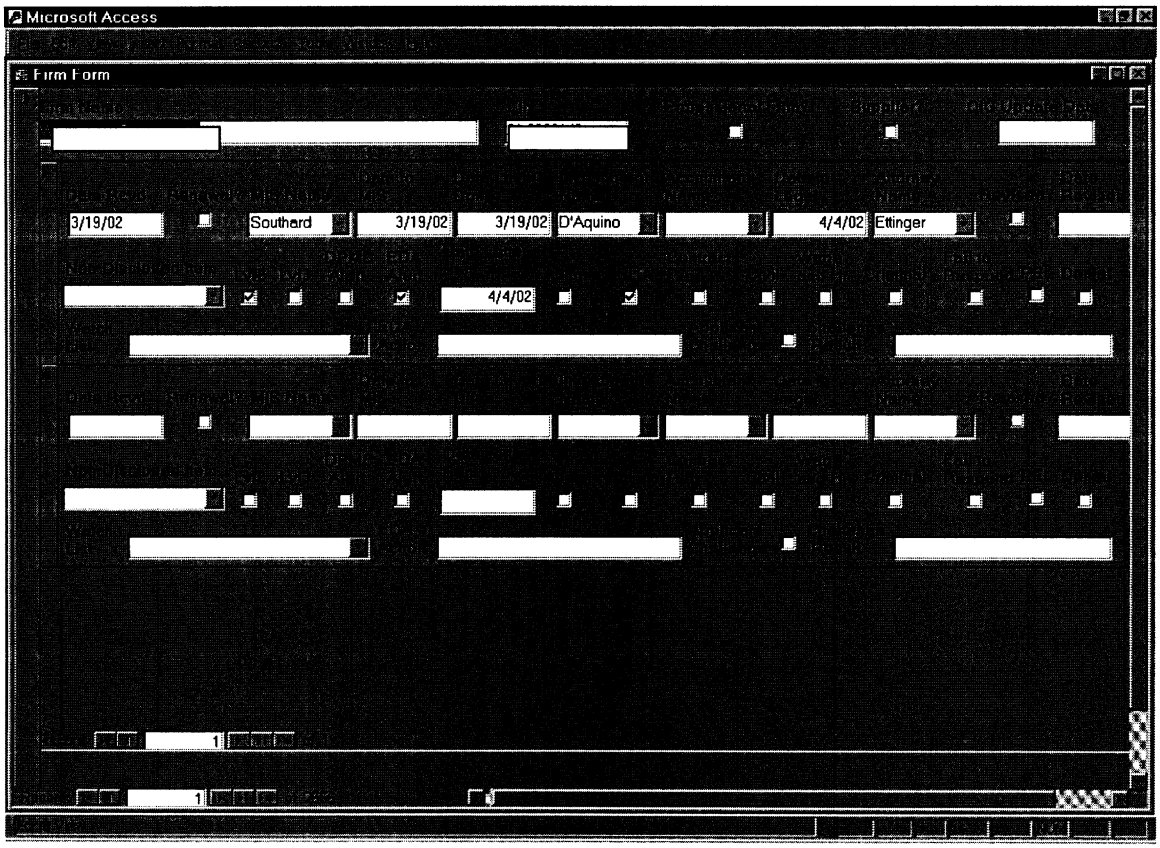
Law Firm

Telephone

1

Fed ID #

1



Microsoft Access – Firm Form

MIS Name – Pull down menu

Investigator Name - Pull down menu

Accountant Name - Pull down menu

Attorney Name - Pull down menu

Non-Disclosed Item - Pull down menu w/ multiple choice possible
Civil
Criminal
Criminal w/evidence
Unspecified

Change Watch List to **<u>HOFFA</u> (hold out for further attention)**- Pull down menu w/ multiple choice possible
Affiliated w/disqualified ind/entities
Affiliated w/entities in related fields
Affiliated w/ind/entities on Hoffa list
Affiliated w/organized crime
Bidding irregularities

Criminal convictions
Disciplinary history
Financial condition
Labor – failure to pay benefits
Labor – organized labor actions
Labor – OSHA
Labor – prevailing wage
Performance problems
Proprietary specifications

Level 2 - date sensitive
Level 3 - date sensitive
DPMC Alert - date sensitive
EDA Alert - date sensitive
Administrative - date sensitive
OSHA - date sensitive
Contract Reg - date sensitive
Civil - date sensitive
Wage and hour - date sensitive
Criminal - date sensitive
Fail to respond - date sensitive
Public Entity Litigation - date sensitive
Denial - date sensitive
Integrity denial - date sensitive
Applicant Challenge - date sensitive

Appendix C: Sun Server Specifications

Sun Ultra Enterprise 450 Server
4 – 296 MHz CPUs
20 – 4.2 GB
I GB Memory
5 Internal and 1 External SCSI Controllers
DLT tape storage

Sun A1000 Storage Array upgrade for servers:

108 GB (6 x 18 GB 10,000 RPM disks)
6 Internal 9.1 GB 10,000 RPM UltraSCS disk drives
StorEdge A1000 64 MB add-on Cache Memory

Appendix D: Network Diagrams

Introduction to the State of NJ three tier network architecture

The State of NJ has implemented a three-tier server side network architecture to provide state-of-the-art security design to the State's core Garden State Network resources.

While the physical implementation is actually much more complex than the attached diagram, conceptually you can view the architecture as three firewalls protecting our core network from the Internet world.

Coordination and integration with the myNJ Portal as the 'one face of government' and leveraging its ability to authenticate and authorize targeted user communities users across many diverse applications is encouraged.

According to our security policy, an Internet user can only communicate with servers on the Public tier, and typically only via HTTP or HTTPS. A Public tier server can only communicate with a Secure tier server, and only a Secure tier server can communicate with core network. A server or workstation can communicate with any device on a higher layer, and the response can come back to only that originating device.

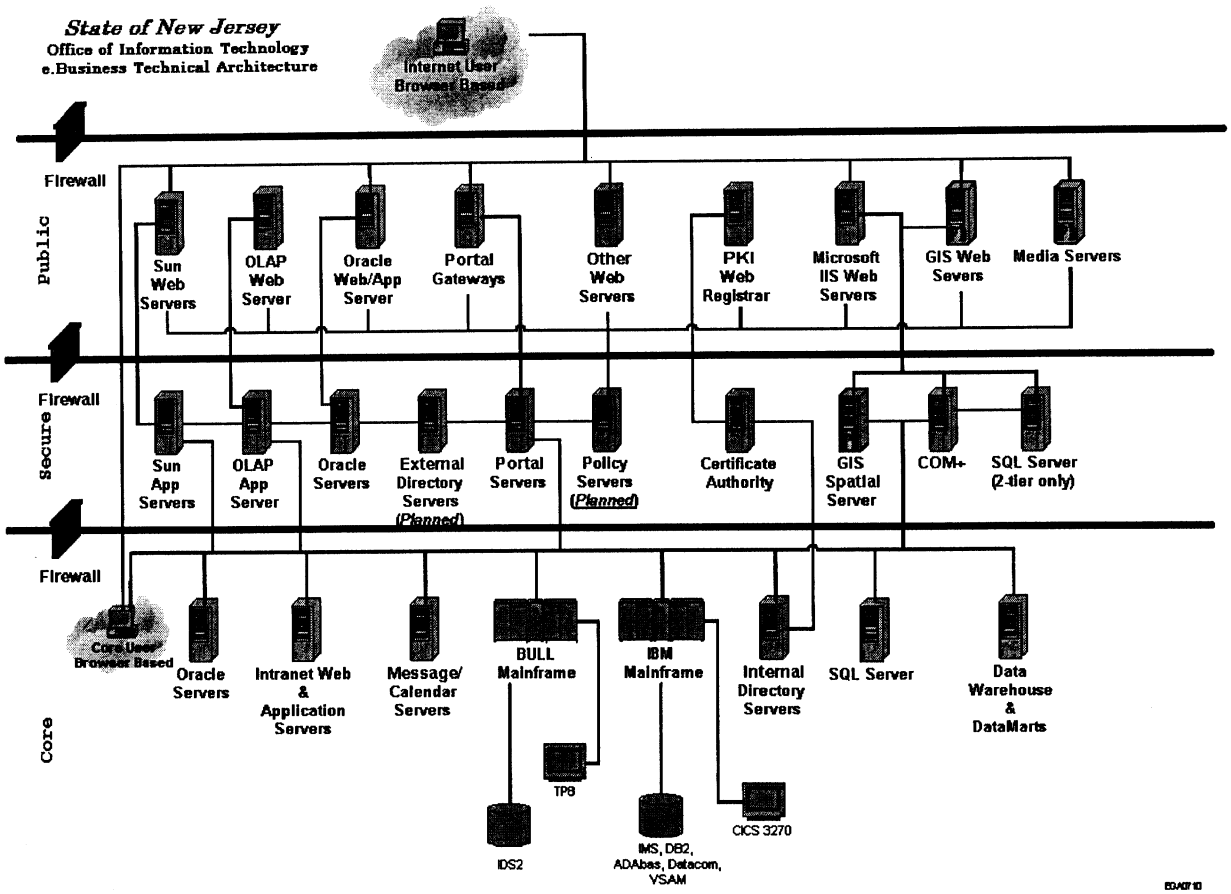
Therefore, in communicating downward in the model from the Internet, at each tier there must be a process, which takes a request and hands it down to the next layer. Typically, this model fits well with distributed application design, where tier 1 handles presentation, tier 2 handles business logic, and tier 3 houses the data. (Web servers, Application servers, Data servers.)

Two tier applications are accommodated in this model by placing the data on the second tier, as was the universal practice up until a few years ago. One tier applications (highly discouraged) are accommodated by placing all components on the first tier.

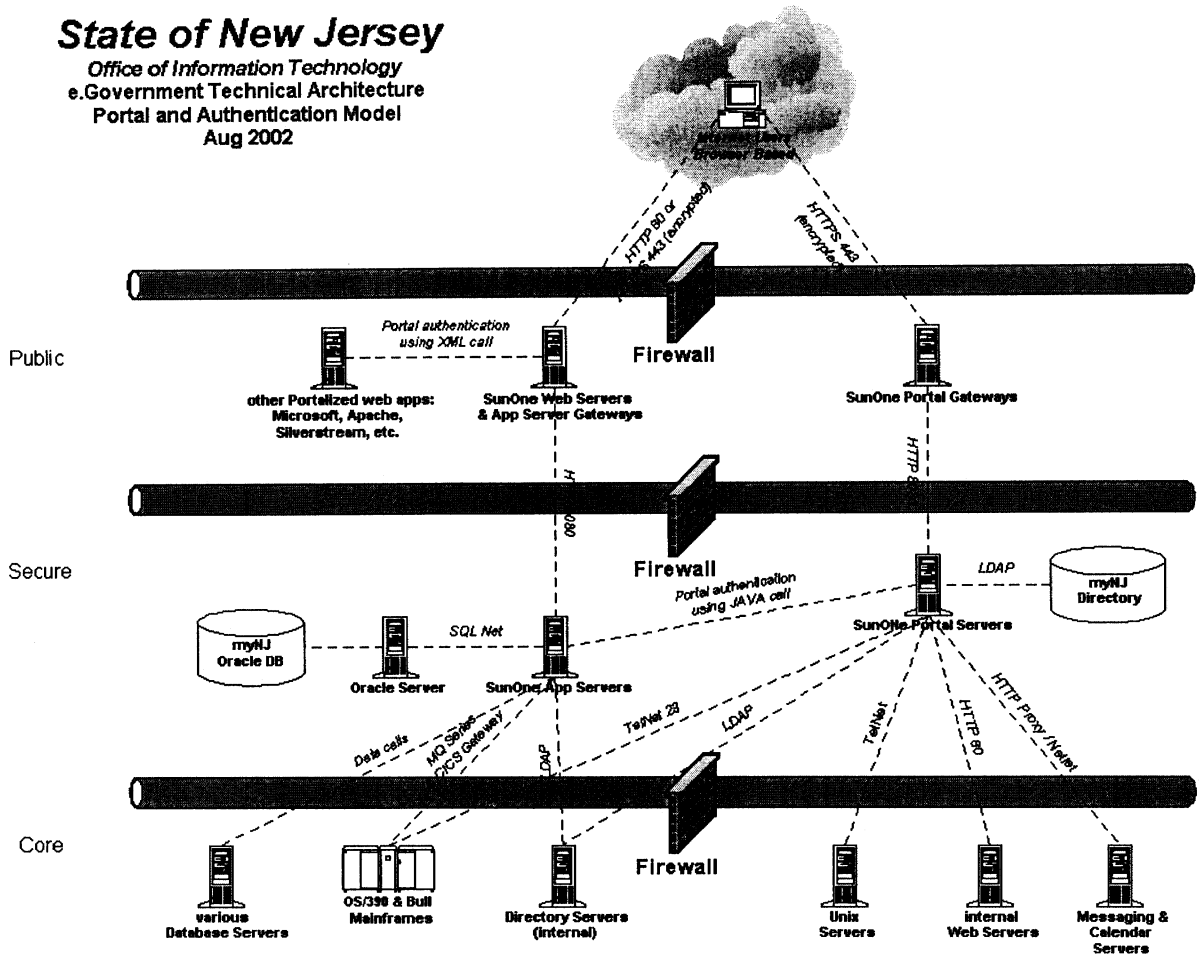
Tunneling, simple pass-through proxy, 'double tier hops', and other techniques that do not apply policy or process to an inward bound communication at each tier, are not allowed. To do so would compromise the integrity of all remaining applications that do follow the security policy.

Additional State of New Jersey Network Standards are included in the "State of New Jersey Shared IT Architecture" document available at http://www.state.nj.us/it/it_architecture.pdf
The following URL will take you directly to the State of New Jersey "IT Policies and Standards" page which also be adhered to for this procurement: http://www.state.nj.us/it/policies.html

Appendix D: Network Diagrams

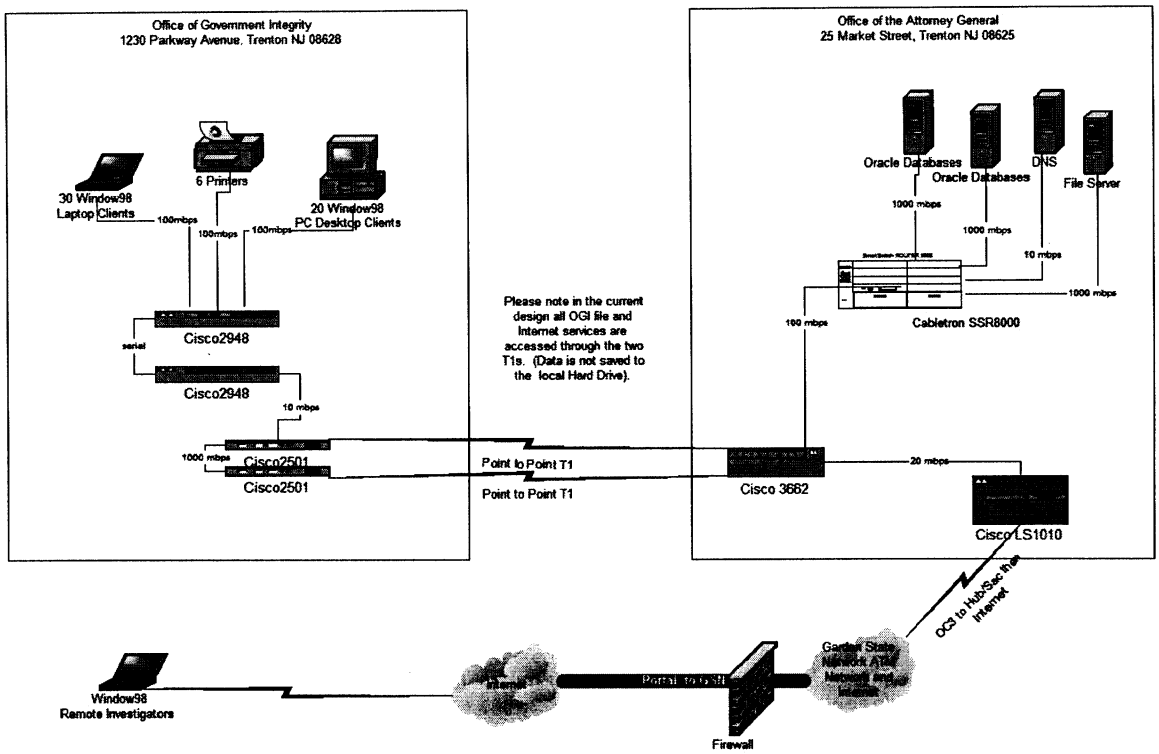


Appendix D: Network Diagrams



Appendix D: Network Diagrams

Office of Government Integrity Network



Hardware / Network Information

Appendix E: EDA/SCC System Fields

Currently OGI staff must manually look up Contract Awards and Proposals from the following web sites:

www.njscc.com/projectpackageunderreview

www.njscc.com/awards

This manual review is necessary because there is no automated feed between the EDA/SCC system and OGI. A weekly transmission from EDA/SCC is desired as part of the new OGI Information Management System. All that is required is for OGI to be notified of new proposals and awards. Needed fields are as follows:

Design Service Awards:
Contract Number
District
Firm
Original CCE (Construction Cost Estimate)
Fee

Design Service Proposals Under Review:
Contract Number
District
General Description
Original CCE
RFP Issue Date
Proposal Due Date

Appendix F: Property and Construction Management Data Fields

DPMC - Consultant Prequalification - Consultant Update

File Edit Consultant Evaluation Manuals Reports Main Menu Subsys

System ID 0001215 Fed / State ID

Consultant Name

Expiration 10/31/1998 Fast Start (Y/N) N MBE WBE

Manuals Sent: (Y/N) N Number Date

Mailing Address

Street/PO Box

City

Zip 08525 - 1813

State NJ

Business Address

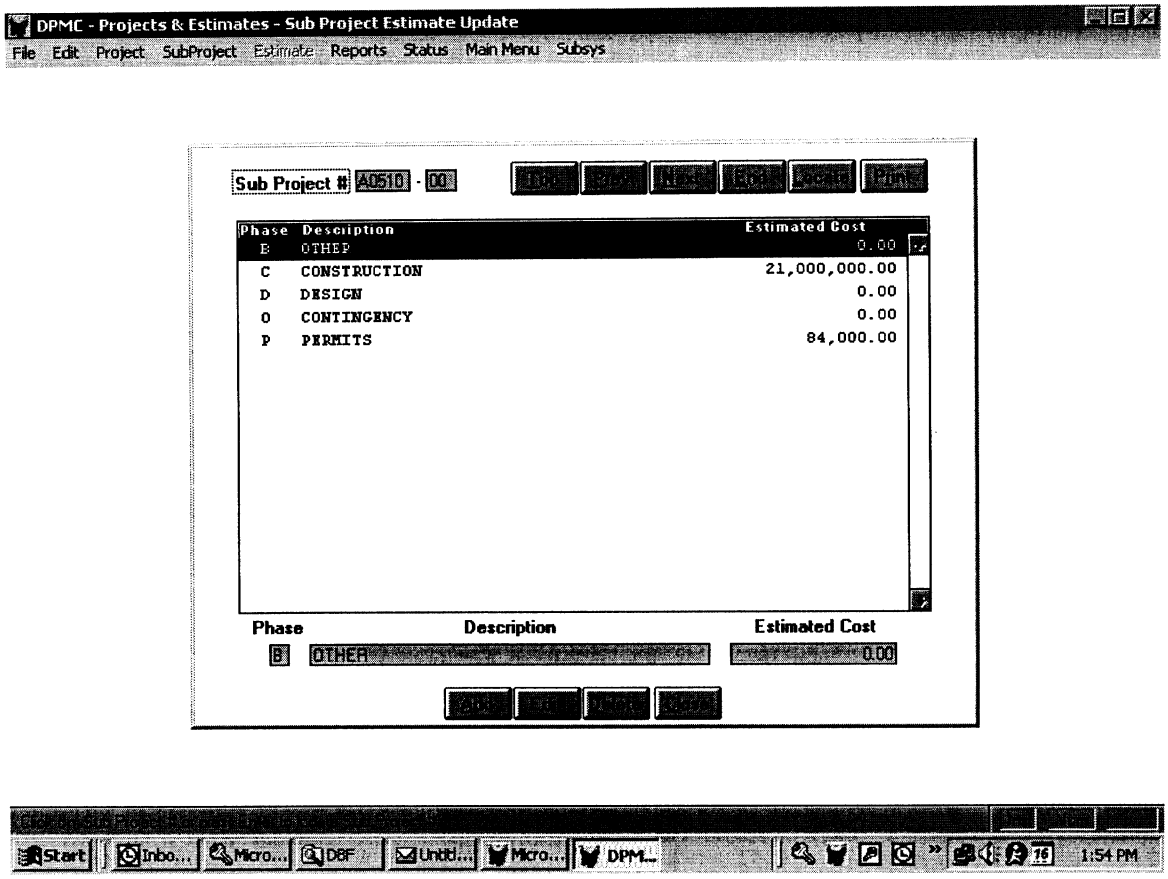
State

County MERCER

Phone Fax Contact First Name Last Name

Start Inbox ... Micros... DBF Untitle... DPMC... 2:01 PM

Appendix F: Property and Construction Management Data Fields



Appendix F: Property and Construction Management Data Fields

DPMC - Consultant Prequalification - Evaluation Update

File Edit Consultant Evaluation Manuals Reports Main Menu Subsys

System ID 0001218 Project # Fed/State ID

Consultant

Project EM GENERATOR DIST SYS

Phase Close-Out

Item	Rating PM	CA	PR
Project Admin.	10	9	0
Staffing	10	9	0
Schedule	10	9	0
Cooperation	10	10	0
Responsiveness	10	9	0
Design Quality	10	10	0
Quality Control	10	10	0
Technical Quality	10	10	0
Code Conformity	10	9	0
Cost Control	10	0	0

Location

BAYSIDE STATE PRISON

	First Name	Last Name	Date	Rating
Proj. Manager	CRAIG	YANTA	03/11/1998	100.00
Client Agency	ROGER	WILSON	03/11/1998	93.33
Plan Review				0.00
Data Entry	SHARON	STAFFORD	03/11/1998	
Consultant				
			Phase Rating	98.67

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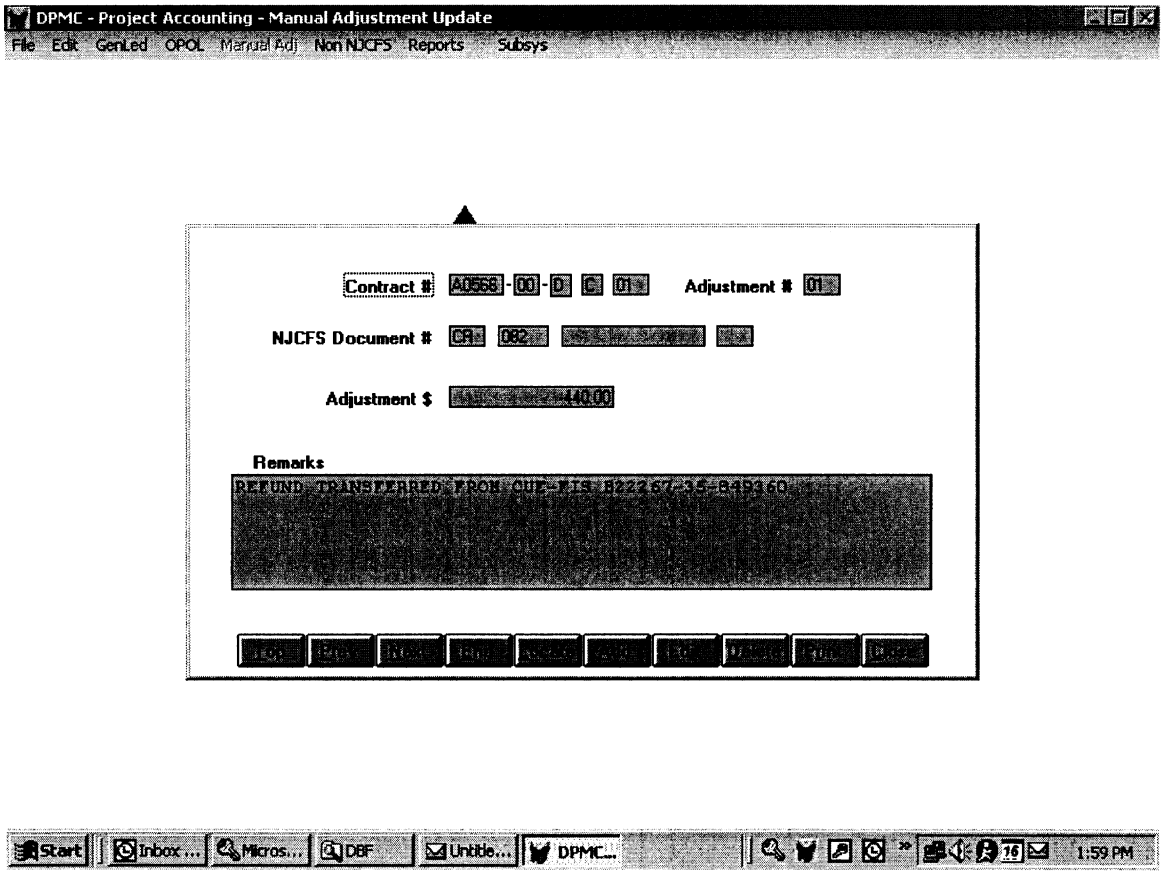
Appendix F: Property and Construction Management Data Fields



Appendix F: Property and Construction Management Data Fields

DPMC - Project Accounting - Open Purchase Order Update

File Edit GenLed OPOL Manual Adj Non NJCFS Reports Subsys

Project [redacted] (Project is blank on NJCFS)

Transaction No DO 082 20657006289 01 Accepted 03/25/1999 Amount [redacted] $79,556.03

Balance [redacted] $0.00

Vendor No 222832708 [redacted]

Document Desc. OP01 [redacted] Line Desc. PER OMB LTR 3/18 [redacted]

Contract # [redacted] - 0 P 01

Locate Blank Contract Numbers for Open Orders (DO's)

Start Inbox ... Micros... DBF Untitle... DPMC... 1:57 PM

Appendix F: Property and Construction Management Data Fields

DPMC - Project Accounting - General Ledger Update

File Edit GenLed OPOL Manual Adj Non NJCFS Reports Subsys

Project

Transaction No AD 000 00000345 01 Accepted 07/16/1993 Amount $79,215.89

Vendor Name M & R MECHANICAL CONTRACT Vendor No 221829231

Document Desc. VCHRS PAY Line Desc. M069900 #13

Contract # - -

Locate Blank Contract Numbers for DO and AV+RD Transactions

Start Inbo... Micr... DBF Untit... Micr... DP... 1:56 PM

Appendix F: Property and Construction Management Data Fields

DPMC - Projects & Estimates - Project Update

File Edit Project SubProject Estimate Reports Status Main Menu Subsys

Project # A0510

Title DESIGN OF LABOR BLDG. RENOV.

Location DEPARTMENT OF LABOR

Managing Agency 999 BUILDING AUTHORITY

County 11 MERCER

Facility 9080 DEPARTMENT OF LABOR

Start | Inbox - ... | Microso... | DBF | Untitle... | Microso... | DPMC ... | 1:52 PM

Appendix F: Property and Construction Management Data Fields

DPMC - Projects & Estimates - Sub Project Update

File Edit Project SubProject Estimate Reports Status Main Menu Subsys

Sub Project # A0510 - 00

Title DESIGN OF LABOR BLDG RENOVATIONS

Location DEPARTMENT OF LABOR

Description

Group Leader 012

Contract Administrator 092

Construction Monitor 092

Status Code 7H

Initiated 01/01/1998

Closed 01/01/1998

Census (Y/N) N

Fee Type

Start | Inbo... | Micro... | DBF | Untitl... | Micro... | DPM... | 1:53 PM