

From: [Gambale, Patricia](#)
To: [Administration Integrity OversightMonitor](#)
Subject: #EQ2013-007-P3 for the Borough of Belmar Boardwalk Reconstruction
Date: Friday, January 24, 2014 4:45:56 PM
Attachments: [guidepostlogo](#)
[vcard_button](#)
[website_button](#)
[linkedingp](#)
[twittergp](#)
[Q2013-007-P3 Belmar Proposal.pdf](#)

Dear Mr. Ridolfino:

Attached is the proposal for your engagement query #EQ2013-007-P3 for the Borough of Belmar Boardwalk Reconstruction. In addition, the cost quote is also attached as a separate file and included within the Appendix of the proposal.

Please feel free to contact me regarding any questions or if you need additional information.

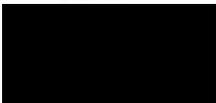
Thank you for the opportunity to propose.

Best regards,

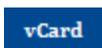
Patricia Gambale
Director of Marketing



GUIDEPOST SOLUTIONS LLC



PGambale@guidepostsolutions.com



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www.solutionpoint-intl.com

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**State of New Jersey Department of the Treasury
Borough of Belmar
Belmar Boardwalk**

Presented To | Dave Ridolfino
Associate Deputy State Treasurer
State of New Jersey
Department of the Treasury
Trenton, New Jersey
integrityoversightmonitor@treas.state.nj.us

Presented By |  **GUIDEPOST SOLUTIONS LLC**

Thomas M. McShane
President, Monitoring and Investigations
415 Madison Avenue
New York, New York 10017

tmcshane@guidepostsolutions.com

Issue Date | January 24, 2014



January 24, 2014

415 Madison Avenue
11th Floor
New York, NY 10017

Dave Ridolfino
Associate Deputy State Treasurer
Department of the Treasury
33 West State Street
Trenton, New Jersey 08625


www.guidepostsolutions.com

Dear Mr. Ridolfino:

Thank you for the opportunity to provide the following proposal in response to your request for an integrity oversight and anti-fraud monitor for the Borough of Belmar (Borough) Boardwalk Construction contract. Based on the requirements outlined in the engagement query and our understanding of your needs, the Department of the Treasury is seeking specialists:

- With extensive forensic accounting and specialty accounting experience who possess an in depth background providing integrity oversight monitoring services for FEMA Public Assistance Programs and state and local government procurement processes;
- With hands-on experience reviewing policies and procedures conducted for contract management, determining if appropriate compliance systems and controls were analyzed;
- Who will verify that all federal recovery opportunities have been pursued;
- Who will conduct appropriate reviews to ensure that the construction deliverables of the contract were delivered; and
- Who will be proactive in reporting findings to the State Contract Manager or the Borough.

Our experienced team of attorneys, forensic accountants, certified fraud examiners and licensed investigators has been engaged by numerous governmental and quasi-governmental entities, including the Port Authority of New York and New Jersey, the Department of Investigation of the City of New York, the New York State Attorney General, and the Dormitory Authority of the State of New York for integrity monitoring work. We already have a comprehensive understanding of the specific procurement processes required by state and local government agencies. Additionally, we have been providing integrity oversight, construction monitoring and anti-fraud monitoring services for these entities for several years. We are very familiar with the intricacies associated with reviewing policies and procedures, providing testing and monitoring and the necessity to make recommendations and to report all findings.

A SolutionPoint International, Inc. Company



GUIDEPOST SOLUTIONS LLC

Thank you again for this opportunity. If you have any questions or need additional information, please contact me at tmcshane@guidepostsolutions.com or [REDACTED].

Very truly yours,

Thomas A. McShane

President

Monitoring and Investigations

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A. DETAILED PROPOSAL: SCOPE OF WORK

The following tasks will be performed in accordance with the Engagement Query:

a) Kick-off Meeting

The monitoring assignment will begin with a kick-off meeting with the Guidepost Solutions engagement team and representatives from the Department of Treasury. The agenda will include a formal introduction of the engagement team members, an outline of the tasks and expected deliverables required under this assignment and a review of the timeline and budget. Guidepost will provide minutes of the meeting to the State Contract Manager within ten days of the meeting.

b & c) Review and Evaluate the Borough's Financial and Administrative Functions and Verify Payment Disbursements

We will review and evaluate the Borough's financial and administrative procedures and processes employed on the Borough's Boardwalk Contract to ensure that they adhered to all grant/assistance program guidelines, procurement rules and reporting requirements to minimize the risk of de-obligation and to verify that the contract was procured in accordance with all federal state and local laws, regulations and ordinances. We will take into specific consideration the issues identified in the risk assessment conducted by Ernst & Young regarding the policies and procedures the Borough had in place to prevent fraud. We will conduct an initial analysis to determine precisely which federal, state and local laws, regulations and ordinances were applicable to the project. We will verify that the contract was procured and executed in accordance with these laws and ordinances.

Our review and assessment will include a focus on the adequacy of the Borough's policies and procedures to detect and deter a corruption of the project through schemes that our experience has taught us are commonplace in circumstances such as these, including schemes designed to direct funds, services or contracts to favored but unworthy individuals or entities or to facilitate duplicate payments to single entities.

We will verify that payments were disbursed consistent with applicable directives and that there were no duplication of benefits, process and payment errors, waste, fraud, abuse, malfeasance or mismanagement of funds.

We will report our findings to the Borough and the State Contract Manager.

d) Review and Evaluate Construction Deliverables

In matters involving construction, our engineers and other technical specialists will support the work of the forensic auditors with technical guidance to ensure construction plans, documentation and permits complied with all federal, state and local laws, regulations and ordinances and all construction deliverables were provided within acceptable timeframes. This will include assessing the procurement process where scope of work and engineer estimates were a focus; the payment application process where project scheduling and material specifications and costs were a focus; and the change order process where the accuracy of supporting documentation and consistency with engineering estimates were a focus. The primary focus of our efforts will be on verifying that all construction contract deliverables were provided properly and in accordance with the contract.

We will report our findings to the Borough and the State Contract Manager.

e) Ensure Maximum Federal Recovery Opportunities

We will focus our attention on ensuring that maximum federal recovery opportunities have been pursued. We will also perform targeted reviews to ensure that only disaster-related costs were claimed, that work performed was reasonable for the task required and that proper FEMA documentation was made, kept and filed.

A focus will be on whether or not appropriate records were kept to support the expenditure of funds consistent with the project scope and intent; whether proper procedures were in place and followed to ensure that all project funds were devoted exclusively to disaster-related recovery and upgrade work; whether proper procedures were in place and followed to ensure that the work performed was reasonable and necessary to accomplish the goals and to achieve the results that were developed by the Borough and for which funding was provided by the federal government; whether proper procedures were in place to ensure that all project-related contracts were awarded pursuant to applicable competitive bidding procedures, including state and local contracting requirements; and whether proper procedures were in place and followed to ensure that only those entities entitled to receive funds for the project received such funds.

We will report our findings to the Borough and the State Contract Manager.

f) Provide Ongoing Quality Assurance/Quality Control Review

Based on the assessments and reviews described above we will provide ongoing quality assurance/quality control reviews for the duration of this engagement including ongoing guidance and problem resolution to support account reconciliations and other issues related to payment processing and reporting. This will also include, for future reference, the assessment of processes and controls in place to ensure compliance with rules, regulations and laws relating



to procurement, environmental matters, contracting, payments and finance and construction project.

We will report on the accuracy and reliability of records created and kept with respect to project activity. FEMA requirements will be a primary focus of the reviews.

Finding will be reported on an ongoing basis to the Borough and the State Contract Manager.



B. THE CONTRACT SCHEDULE

The following performance milestones and associated deliverables information are based on the assumption that the project will run approximately six months and may be extended for all or part of two (2) one-year periods.

Task	Deliverables	Due Date
Task A	Kick-off meeting and minutes	Meeting to be scheduled upon receipt of the letter of engagement; the minutes will be provided within 10 days of the meeting date.
Task B & C	Review, evaluate and report findings of the Borough's financial and administrative functions. Verify payment disbursements.	40 days after receipt of the letter of engagement.
Task D	Review, evaluate and report findings regarding construction deliverables.	Completed within 40 business days after receipt of letter of engagement.
Task E	A report detailing whether or not maximum federal recovery opportunities were pursued.	Completed within 40 business days after receipt of letter of engagement.
Task F	Ongoing quality assurance/control reviews	Ongoing throughout the engagement

C. PERSON-HOURS CHART (SEE APPENDIX A)

D. TRAVEL AND DIRECT COSTS QUOTE (SEE APPENDIX A)

E. FEMA EXPERIENCE

1. New York City Rapid Repairs Program: Integrity Monitor of Conti of New York, LLC *December 2012 through April 2013 (with some continuing follow-up)*

In the aftermath of Hurricane Sandy in October 2012, New York City implemented a program to provide basic repairs to restore heat, hot water and electricity to victims of the storm, at no cost to the homeowners. In November 2012, Conti of New York, LLC (Conti) was contracted (contract sum not to exceed \$70 million) by the City of New York to provide repairs to residential properties located in Staten Island. Guidepost was a member of the Integrity Monitor team overseeing Conti's work and reporting to the New York City Department of Investigation (DOI). This work included on-site integrity monitoring; process analysis; program, contract and records compliance; and liaison with City agencies. On a daily basis we observed site construction activities and gathered field intelligence and data. This included conducting headcounts, identifying subcontractors and workers, tracking installations and identifying issues relating to integrity, scope, quality, safety and code. We discussed project issues daily with the contractor, the construction manager, City officials and DOI personnel. In addition, for integrity purposes, we reviewed key project documents such as field tickets, foreman and construction manager daily field reports, pay quantity tracking sheets, work orders and sign-in sheets. A major focus of the assignment was labor law compliance, particularly with regard to the number of hours worked and the rates being paid to the workers.

2. World Trade Center: Post Sandy Recovery and Repair *November 2012 to the Present*

Our monitorship team has been providing construction monitoring services at several locations at the World Trade Center rebuilding site. After Hurricane Sandy struck, the duties of the World Trade Center monitors expanded on an emergency basis to include increased monitoring of the dewatering process, clean up and restoration of the construction site. We worked with the Port Authority Office of the Inspector General to develop enhanced monitoring procedures designed to prevent the kinds of fraud, waste and abuse that typically plague emergency recovery and restoration efforts.

We oversaw those procedures in their implementation and operation, including:



- Conducting real time, on-site spot checks of activities and head counts of workers and equipment;
- Reviewing the accuracy and integrity of contractor invoices for labor, equipment and materials;
- Confirming the accuracy and integrity of the supporting documentation for invoices, including time and materials tickets;
- Maintaining detailed equipment logs, including photographs and serial numbers, and identifying all damaged equipment;
- Monitoring the disposal of damaged equipment, including hazardous and contaminated materials; and
- Monitoring the collection, safeguarding and disposal of salvageable materials and equipment.

In addition, our oversight ensured that the documentation submitted by contractors engaged by the Port Authority was supportive of work performed as part of the recovery efforts as distinguished from contract work and was otherwise sufficiently detailed to support FEMA applications for reimbursement.

F. DISASTER RECOVERY EXPERIENCE

The following are examples of active engagements:

**1. World Trade Center Vehicular Security Center Project, Eastside Tour Bus Parking Facility and Roadway Project, Streets, Utilities and Infrastructure Program
December 2009 through December 2013**

A major component of the redevelopment of the World Trade Center is the Vehicular Security Center, the Bus Parking Facility and the Streets Program (collectively, “The Project”). The \$850 million project began in 2009 and is projected for completion in 2013. Guidepost’s role as the Project Integrity Monitor is to oversee, from an integrity perspective, all facets of the project including procurement, contract management, fiscal oversight, records compliance, and on-site construction monitoring. On a daily basis we observe site construction activities and gather field intelligence. This includes conducting headcounts and identifying subcontractors and deliveries to site. In addition, we review manifests, superintendents’ daily reports and sign-in logs. A major focus of the assignment is labor billing practices. We regularly perform labor audits of contractors to confirm payment of prevailing wages, the accuracy of overtime hours and payment of appropriate benefits.

**2. New York City Rapid Repairs Program: Integrity Monitor of Conti of New York, LLC
December 2012 through April 2013 (with some continuing follow-up)**

For a description of this project, refer to section *FEMA Experience*, item number 1, above. The contract sum for this project was not to exceed \$70 million. Guidepost’s efforts on the project resulted in savings of approximately \$3 million for the City. While field work has been completed, issues continue to be raised by the City that requires input from the Integrity Monitor.

G. RELEVANT EXPERIENCE

Lincoln Tunnel Access Program *June 2013-2015 (Option Years 2016-2020)*

The New Jersey Department of Transportation, with financial support from the Port Authority of New York and New Jersey, is constructing a new Wittpenn Bridge and repairing and repaving several miles of the Pulaski Skyway. These are major roadways for traffic entering New York City. The project is scheduled to last for eight years at a total cost of more than one billion dollars. Guidepost was awarded the contract to serve as the Integrity Monitor for the entire set of projects, which, because of the sequencing of its various portions, requires us to monitor up to five major construction projects simultaneously, each with its own contractor, work site, Resident Engineer and unique issues. We are performing the activities as described above for the Vehicular Security Center.

Bayonne Bridge Navigational Clearance Project *August 2013-2018 (One Additional Option Year)*

In 2013, the Port Authority of New York and New Jersey commenced a \$743.3 million project to raise the roadway of the Bayonne Bridge to increase navigational clearance and provide access for larger ships to the Port of Newark. This construction site extends for more than three miles, crosses a river and extends into two states. Work is taking place not only on the bridge but at various locations on land at both ends of the bridge as new approaches and piers are constructed. Guidepost was hired as the Integrity Monitor to oversee, from an integrity perspective, all facets of the project including procurement, contract management, fiscal oversight, records compliance, and on-site construction monitoring. Our work commenced in August 2013 and includes activities substantially similar to those described above for the Vehicular Security Center.

Mega Contracting / New York City Department of Housing Preservation and Development **Affordable and Accessible Housing known as Barrier Free Living** *May 2013 through April 2015*

In our role as labor compliance and construction quality monitor, we monitor labor compliance during the construction of an affordable and accessible housing complex located at 637 East 138th Street/616 East 139th Street, Bronx, New York. The project includes financing provided by the New York City Department of Housing Preservation and Development, to which we deliver periodic reports. Value of the construction contract is \$28.4 million. Services rendered during the monitoring assignment focus on the following areas:

- **Labor** – A primary focus of the assignment is to observe general site construction activities and to gather information from workers on a weekly to bi-weekly basis through unannounced site visits. This includes conducting headcounts, identifying subcontractors and observing general construction progress. In addition, we conduct brief interviews with workers regarding issues relating to wage rates, personal identification information, complaints and grievances. Audits are performed in conjunction with interviews and reviews of labor related documents such as sign-in logs obtained during site visits.
- **Forensic Auditing** – Contractors are required to submit certified payrolls and to accurately report hours worked, including overtime hours. We perform labor audits to ensure labor law compliance and to confirm payment of wages and accurate overtime hours.
- **Safety** – Our weekly site visits include collecting basic information regarding safety practices employed at the site. Periodically, a member of our team with specific site safety expertise conducts an onsite review to identify safety issues.

**MJM Construction / New York City Department of Housing Preservation and Development
Affordable Housing Residential Apartments at 12 East Clarke Place
*August 2012 through February 2015***

In our role as labor compliance monitor, we monitor labor compliance during the construction of an affordable housing complex located at 12 East Clarke Place/27 East 169th Street, Bronx, New York. The project includes financing provided by the New York City Department of Housing Preservation and Development, to which we deliver periodic reports. The construction contract is valued at \$23.4 million. Services rendered during the monitoring assignment focus on the following areas:

- **Labor** – A primary focus of the assignment is to observe general site construction activities and to gather information from workers on a weekly to bi-weekly basis through unannounced site visits. This includes conducting headcounts, identifying subcontractors and observing general construction progress. In addition, we conduct brief interviews with workers regarding issues relating to wage rates, personal identification information, complaints and grievances. Audits are performed in conjunction with interviews and reviews of labor related documents such as sign-in logs obtained during site visits.
- **Forensic Auditing** – Contractors are required to submit certified payrolls and to accurately report hours worked, including overtime hours. We perform labor audits to ensure labor law compliance and to confirm payment of wages and accurate overtime hours.



- **Safety** – Our weekly site visits include collecting basic information regarding safety practices employed at the site. Periodically, a member of our team with specific site safety expertise conducts an onsite review to identify safety issues.

H. RESUMES

Guidepost Solutions, LLC assembles a project team of experienced professionals to lead each engagement. Our team members are selected based on their relevant professional experience and are assigned to the project from conception to completion. Key personnel will be available to the extent proposed for the duration of the project. Full resumes for all key personnel are included in Appendix "A". Key Guidepost personnel, including team members who will work on the project, are:

Thomas A. McShane, Esq.

Project Executive (Partner/Principal Director)

Thomas A. McShane, president of monitoring and investigations at Guidepost, has played a key supervisory role in each of Guidepost's monitoring assignments; including spearheading and directing the development of the company's Independent Monitoring Program. Prior to joining Guidepost, Mr. McShane managed and directed the New York office of Pinkerton Consulting and Investigation, practiced law at McKeegan, McShane & Drago, PC, served as a Chief Counsel to the New York State Temporary Commission on Local Government Ethics, was counsel to the New York State Commission on Government Integrity and served as a prosecutor in the Office of the Nassau County District Attorney where he specialized in the investigation and prosecution of public officials and employees.

Joseph Jaffe

Director

Joseph Jaffe is a senior managing director of Guidepost Solutions, LLC. Throughout his career, he has overseen and participated in a host of investigative and fact gathering assignments, including allegations of employee dishonesty, sexual harassment, union corruption, inventory theft, intellectual property theft, prevailing wage violations, corporate compliance, corporate governance, money laundering investigations, construction accidents, Foreign Corruption Practices Act violations, fraud violations, SEC and other federal law violations. Mr. Jaffe has supervised numerous due diligence investigations into the backgrounds of individuals for corporations and financial institutions and coordinated litigation support assignments for trial attorneys throughout the country in both the civil and criminal areas.

Robert C. Stewart

Project Manager

Robert C. Stewart is an investigative consultant with Stewart Consulting LLC and provides a complete range of traditional investigative services, employing an inter-disciplinary approach in case configuration and utilizing a nationwide network of retired federal and state



investigators. He specializes in complex investigations and in remediation and internal integrity monitoring projects.

Stephen R. Max

Project Advisor, Financial/Audit (Subject Matter Expert)

Stephen R. Max regularly assists legal counsel in all aspects of financial investigations that relate to or have the potential to result in civil or criminal litigation including extensive analysis of books and records, interviewing key witnesses, monitoring business activities, locating assets, tracing funds, conducting surveillances, and preparing detailed written reports of investigative findings. Additionally, he has participated in several court approved business monitoring assignments.

Mary Pisula Stewart

Program Manager

Mary Pisula Stewart is the managing member of Stewart Consulting LLC and specializes in complex investigations and project type engagements. The firm's resources include specialists in forensic accounting, computer security and content issues, electronic surveillance counter-measures and document analysis.

Thomas E. Mitchell

Subject Matter Expert

Thomas E. Mitchell has more than 32 years of construction consulting experience with all aspects of construction contracts from procurement and management to quality control and quality assurance inspections and from dispute to resolution. He designs, drafts and edits construction contracts including general and special provisions and technical specifications. He also performs construction management and contract administration; change order evaluations and negotiations; and construction claims analyses related to time extensions and cost damages entitlement. Mr. Mitchell's experience includes successfully providing expert testimony on matters related to quality management, entitlement, damages and delays.

James R. Murray, CPA, CFE

Project Advisor, Financial/Audit (Subject Matter Expert)

James R. Murray, senior managing director at Guidepost, is a financial investigator with more than 32 years of diversified auditing and forensic accounting experience, including a distinguished 16 year career in law enforcement. He has been central to the growth of Guidepost's monitoring practice, and has developed the corruption prevention auditing programs that have been integral to Guidepost's monitoring assignments. Mr. Murray previously held senior management positions at Citigate Global Intelligence and Security, Pinkerton's Consulting and Investigations office and Kroll Associates. Mr. Murray's law



enforcement experience includes service as Chief Auditor for the New York State Deputy Attorney General, Chief Special Auditor for the New York State Commission of Investigation Chief Forensic Accountant for a New York metropolitan District Attorney's Office.

Kevin T. Brant, CPE, CFE

Financial/Audit Project Manager (Subject Matter Expert)

Kevin T. Brant has more than 25 years of experience performing forensic accounting, monitoring and compliance services. Prior to joining Guidepost, he was a director of litigation support and financial investigations at BDO Consulting, a senior managing director of the Financial Investigations practice at Citigate Global Intelligence & Security and a managing director at Kroll Associates. He also served as a Senior Special Auditor Investigator for the New York State Deputy Attorney General for Medicaid Fraud Control. He has been engaged on numerous occasions to perform investigations of complex financial transactions.

Alfred A. Baldino, CFE

Project Advisor, Investigations (Program Manager)

Alfred A. Baldino, a senior investigator at Guidepost, is a 21 year veteran of the New York City Police Department where he attained the rank of Lieutenant. He has worked with Guidepost almost since its inception, spearheading the company's investigative and intelligence work on integrity monitoring assignments. Mr. Baldino served in the NYPD Organized Crime Investigation Division (OCID), investigating traditional organized crime. His expertise has made him integral to the company's efforts to identify associations with organized criminal elements. In 1995, Mr. Baldino assumed supervision of OCID investigation "Operation Wasteland" which focused on organized crime in the waste hauling industry. In 1996, he was detailed to the New York City Trade Waste Commission. He ultimately became the Commanding Officer of the unit assigned to the New York City Business Integrity Commission.

Jonathan L. Newcomb, CFE

Research and Administration (Project Manager)

Jonathan L. Newcomb is a senior director at Guidepost with 15 years of experience as an investigator. His skills range from complex research analytics to conducting and supervising field investigations, including interviewing witnesses. He has a particular expertise developing and implementing investigative plans that involve a variety of investigative resources and personnel. Prior to joining Guidepost, Mr. Newcomb was a principal at Proactive Integrity Associates, LLC, senior manager in the Global Business Intelligence and Investigations practice at UHY Advisors FLVS, Inc., a senior associate at Citigate Global Intelligence & Security, Inc. and a manager at Decision Strategies, LLC, all of which are international investigative and forensic accounting firms.



Robert E. Uzcategui
Senior Investigator (Senior Consultant)

Robert E. Uzcategui is an owner and senior investigator with Dalton, a MBE subcontractor to Guidepost, and a 21 year veteran of the New York City Police Department where he attained the rank of Lieutenant. He has worked on almost all Guidepost's monitoring assignments since its inception. Mr. Uzcategui has brought to those assignments his own expertise in investigating organized crime. He spent five years investigating organized crime's influence in the wholesale markets and carting industry in New York City where he supervised and directed the investigation and prosecution of organized crime and its influence in the Hunts Point Market, resulting in the development and implementation of internal controls for licensing and monitoring businesses and vendors in the Hunts Point Market. Mr. Uzcategui was the Licensing Coordinator for the Business Integrity Commission, where he supervised and directed licensing investigations and intelligence gathering. His work has included cases involving extortion, vendor contracts, fraud and falsification of records, as well as labor and collective bargaining agreements.



APPENDIX "A"

Cost Quote

Cell to be completed by Contractor
Protected Cells

Firm Name:	Guidepost Solutions LLC
Engagement Number:	Belmar Boardwalk Reconstruction

Cost Quote for: <input checked="" type="checkbox"/> Year 1 <input type="checkbox"/> Year 2 <input type="checkbox"/> Year 3	Fill in Task	SOW Task A		SOW Task B		SOW Task C		SOW Task D		SOW Task E		SOW Task F		SOW Task G								Total Hours Per Staff Category	Total \$ Per Staff Category		
		Hours	Amount (\$)	Hours	Amount (\$)	Hours	Amount (\$)	Hours	Amount (\$)																
Partner/Principal/Director	\$325.00	4	\$1,300.00	2	\$650.00	2	\$650.00	4	\$1,300.00	4	\$1,300.00	2	\$650.00	2	\$650.00		\$0.00		\$0.00		\$0.00		\$0.00	20	\$6,500.00
Program Manager	\$195.00	2	\$390.00	4	\$780.00	4	\$780.00	4	\$780.00	4	\$780.00	2	\$390.00	4	\$780.00		\$0.00		\$0.00		\$0.00		\$0.00	24	\$4,680.00
Project Manager	\$250.00	8	\$2,000.00	12	\$3,000.00	12	\$3,000.00	12	\$3,000.00	12	\$3,000.00	12	\$3,000.00	8	\$2,000.00		\$0.00		\$0.00		\$0.00		\$0.00	76	\$19,000.00
Subject Matter Expert	\$285.00	8	\$2,280.00	48	\$13,680.00	40	\$11,400.00		\$0.00	28	\$7,980.00	28	\$7,980.00	8	\$2,280.00		\$0.00		\$0.00		\$0.00		\$0.00	160	\$45,600.00
Supervisor/Senior Consultant	\$165.00	4	\$660.00		\$0.00		\$0.00		\$0.00	10	\$1,650.00	16	\$2,640.00	4	\$660.00		\$0.00		\$0.00		\$0.00		\$0.00	34	\$5,610.00
Consultant	\$165.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	0	\$0.00
Associate/Staff	\$75.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	0	\$0.00
Administrative Support	\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	0	\$0.00
Engineer	\$285.00		\$0.00		\$0.00		\$0.00	42	\$11,970.00	16	\$4,560.00	12	\$3,420.00	2	\$570.00		\$0.00		\$0.00		\$0.00		\$0.00	72	\$20,520.00
Other			\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	0	\$0.00
Other			\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	0	\$0.00
Other			\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00	0	\$0.00

26 \$6,630.00 66 \$18,110.00 58 \$15,830.00 62 \$17,050.00 74 \$19,270.00 72 \$18,080.00 28 \$6,940.00 0 \$0.00 0 \$0.00 0 \$0.00 0 \$0.00 0 \$0.00 386 \$101,910.00 (Staffing)

Total Direct Cost	\$0.00
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\$0.00 (Direct Cost)

Total Travel Cost	\$3,000.00
--------------------------	------------

\$3,000.00 (Travel Cost)

Grand Total \$104,910.00

Guidepost Solutions LLC
 Belmar Boardwalk Reconstruction

Staffing Category		SPECIFIC STAFF PROPOSED TO PERFORM THE REQUIRED SERVICES	Hourly Billing Rate (Yr 1)
PROJECT EXECUTIVE / DIRECTOR	1	Joseph Jaffe	\$ 325
	2	Thomas McShane	\$ 325
	3		
	4		
	5		
	6		
	7		
	8		
	9		
	10		
PROGRAM MANAGER	1	Jonathan Newcomb	\$ 195
	2	Mary Stewart	\$ 195
	3		
	4		
	5		
	6		
	7		
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PROJECT MANAGER	1	Robert Stewart	\$ 250
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SUBJECT MATTER EXPERT	1	Stephen R. Max	\$ 285
	2	Kevin T. Brant	\$ 285
	3	Scott Allegretto	\$ 285
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SUPERVISOR / SENIOR CONSULTANT	1	Alfred Baldino	\$	165
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CONSULTANT	1	Robert Uzcategui	\$	165
	2	Mary Stewart	\$	165
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ASSOCIATE / STAFF	1	Sean Inouye	\$	75
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ENGINEER	1	Thomas Mitchell	\$	285
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Thomas A. McShane, Esq.

President

Monitoring and Investigations Practice

Thomas A. McShane oversees the Monitoring and Investigations practice for Guidepost Solutions. During his tenure, Mr. McShane directed complex investigations into allegations of corrupt practices by the management of corporations in the entertainment, healthcare, media and industrial products fields; coordinated and oversaw the development of comprehensive security solutions for two major sports facilities recently built in the New York metropolitan area; and spearheaded the development of the firm's Independent Monitorship Program under which Guidepost is currently retained on behalf of law enforcement authorities to oversee and report on the operations of a major metropolitan area hospital, a prominent Long Island construction company and one of the largest electrical contractors on the east coast.

Mr. McShane has worked with numerous governmental and quasi-governmental authorities, including the Department of Investigation of the City of New York, the Port Authority of New York and New Jersey, the New York State Attorney General, the New York City Business Integrity Commission, and the Dormitory Authority of the State of New York.

Mr. McShane began his career as a prosecutor in the Office of the Nassau County District Attorney where he specialized in the investigation and prosecution of public officials and employees. He left to serve as a counsel to the New York State Commission on Government Integrity, a blue-ribbon commission created by the Governor of New York to investigate the roots of corruption in the institutions of government throughout the State. In that capacity, he designed and implemented investigative strategies and supervised the commission's team of investigative auditors and detectives in broad-ranging investigations of government practices.

Mr. McShane later served as Chief Counsel to a successor commission, the New York State Temporary Commission on Local Government Ethics. In that role, he supervised the Commission's investigative and legal staff in a statewide audit of the procedures and practices of municipal government. He provided counsel and guidance to municipalities regarding the creation of codes of ethics crafted to eliminate corrupt practices and to strengthen public confidence in the integrity of government.

Prior to joining Guidepost, Mr. McShane was a partner in the New York City law firm of McKeegan, McShane & Drago, PC, where he specialized in the representation of individual and commercial clients in all aspects of civil litigation, including trials and appeals.

Education

- Juris Doctor, Fordham University School of Law
- Bachelor of Arts, Hamilton College

Bar Admissions

- U.S. Supreme Court
- U.S. Eastern District of New York
- U.S. Southern District of New York
- State of Massachusetts
- State of New York

Affiliations

- Westchester County Solid Waste Commission, Chairman

Areas of Expertise

- Construction Monitoring
- Integrity Monitoring
- Due Diligence
- Investigations

Selected Experience

- New York City Rapid Repairs Program
- World Trade Center Vehicular Security & Related Projects
- Welsbach Electric Corp.
- Ruttura & Sons Construction
- Bovis Lend Lease LMB, Inc.
- Vantage Properties, LLC
- Lincoln Tunnel Access Program
- Bayonne Bridge Navigational Clearance Project



Joseph Jaffe

Senior Managing Director

Monitoring and Investigations Practice

Joseph Jaffe is a senior managing director of Guidepost Solutions Ltd., and leader of its Europe, Middle East, and Africa practice. Throughout his career, he has overseen and participated in a host of investigative and fact gathering assignments, including allegations of employee dishonesty, sexual harassment, union corruption, inventory theft, intellectual property theft, prevailing wage violations, corporate compliance, corporate governance, money laundering investigations, construction accidents, Foreign Corruption Practices Act violations, fraud violations, SEC and other federal law violations. Mr. Jaffe has supervised numerous due diligence investigations into the backgrounds of individuals for corporations and financial institutions and coordinated litigation support assignments for trial attorneys throughout the country in both the civil and criminal areas. He has also overseen investigative assignments in the United States and throughout the world for state, federal and private entities as well as for overseas governments.

Mr. Jaffe has served as an IPSIG, Integrity Monitor and Outside Compliance Officer for numerous assignments.

Mr. Jaffe joined Guidepost after serving as partner at LePatner and Associates, LLP, where he led the forensic and due diligence team of Proactive Integrity Associates LLC. Prior to his work at LePatner, he was a partner in a general practice law firm for ten years and specialized in civil and criminal litigation for general commercial and municipal clients.

Mr. Jaffe left private practice to help form a private investigative consulting and security firm and later founded his own investigative firm, SIRM Services, Ltd. He later served as managing director and service line leader of the Forensic Litigation and Valuation practice of UHY Advisors FLVS, Inc.

Earlier in his career, Mr. Jaffe was elected District Attorney of Sullivan County, New York and was responsible for investigating and prosecuting a wide range of state crimes. He spent seven years in the United States Attorney's Office for the Southern District of New York as Assistant United States Attorney, Deputy Chief of the Criminal Division and Chief of the Official Corruption Section and as Administrative Assistant United States Attorney. He also served as Acting Chief Inspector of the U.S. Drug Enforcement Administration, where he investigated and tried complex business fraud, public corruption, terrorist and bombing cases.

Mr. Jaffe served as Chairman of the Merit Selection Panel for Magistrate Judges of the Southern District of New York and as a member of the New York State Third Department Judicial Nominating Committee. He is Past Chair of the Criminal Justice Section of the New York State Bar Association and Founding Member and past Secretary of the New York State Association of Criminal Defense Lawyers.

Mr. Jaffe regularly lectures, presents and writes for attorneys, accountants and the business and financial communities.

Education

- Juris Doctor, New York University School of Law
- Bachelor of Science, Cornell University

Affiliations

- International Association of the Independent Private Sector Inspectors General, Vice President
- American Bar Association
- New York State Bar Association
- Association of the Bar of the City of New York
- Sullivan County Bar Association
- Federal Bar Council

Areas of Expertise

- Investigations
- Integrity Monitoring
- Corporate Compliance
- Due Diligence
- FCPA Violations
- SEC Violations
- Litigation Support

Selected Experience

- Miami Heat Arena
- Tulane University Post Katrina Rehabilitation
- Petrocelli Electric Co., Inc.

Robert C. Stewart

Investigative Consultant Stewart Consulting, LLC

Robert C. Stewart is an investigative consultant with Stewart Consulting LLC and provides a complete range of traditional investigative services, employing an inter-disciplinary approach in case configuration and utilizing a nationwide network of retired federal and state investigators. He specializes in complex investigations and in remediation and internal integrity monitoring projects.

Prior to Stewart Consulting, Mr. Stewart held federal and state positions with various government and quasi-government agencies including the Bureau of Drug Enforcement for the Maryland State Police where he developed a special Fourth Amendment Training Project to address the issue of racial profiling; serving as a Supervisory Assistant U.S. Attorney for the Chief of the Strike Force Division at the U.S. Attorney's Office, District of New Jersey; Chief of the Newark Strike Force; and both Chief and Special Attorney for the Buffalo Strike Force. Earlier in his career, Mr. Stewart was Chief of the Organized Crime Unit and Trial Assistant for the Investigation Section of the State's Attorney's Office for Baltimore City. In addition, he served as the Mental Health Information Officer for the Supreme Court of New York Appellate Division.

Mr. Stewart has provided expert witness testimony on various matters related to organized crime and labor racketeering. In addition, he served as a court appointed monitor for several cases involving organized crime.

Education

- Juris Doctor, George Washington University School of Law
- Bachelor of Arts, University of Maryland

Bar Admissions

- U.S. District Court for the District of Maryland
- State of Maryland
- District of Columbia

Affiliations

- International Association of Independent Private Sector Inspectors General, Former Charter Member

Areas of Expertise

- Complex Investigations
- Integrity Monitoring
- Expert Witness Testimony
- Organized Crime
- Labor Racketeering

Selected Experience

- Genovese Crime Family
- Waterfront Commission of New York Harbor
- Local 560 (IBT) Civil RICO Remediation
- Deputy Administrator, International Longshoremen's Association, RICO Remedial Action
- Independent Investigator, background reports on licensing applications, New York State Department of Banking

Stephen R. Max

Investigative Consultant

Stephen R. Max regularly assists legal counsel in all aspects of financial investigations that relate to or have the potential to result in civil or criminal litigation including extensive analysis of books and records, interviewing key witnesses, monitoring business activities, locating assets, tracing funds, conducting surveillances, and preparing detailed written reports of investigative findings. He has participated in several court approved business monitorships and has been appointed by the U. S. District Court as the forensic investigator to assist the Administrator in monitoring a 450 member longshoremen's Labor Union. Mr. Max provided forensic accounting support to the Federal Monitor in the University of Medicine and Dentistry of New Jersey matter. In addition, since 2007, he has been engaged as the primary investigator for a large New Jersey law firm specializing in white collar criminal tax defense.

Earlier in his career, Mr. Max was a Senior Special Agent with the Intelligence/Criminal Investigation Division of the U.S. Department of Treasury Internal Revenue Service. There he conducted complex investigations involving income tax evasion, embezzlement, fraud, public corruption, false claims, money laundering, and suspicious currency transactions.

Education

- Bachelor of Business Administration, Accounting, Temple University

Certifications & Affiliations

- Licensed Private Investigator, New Jersey
- Forensic Accountant
- Enrolled Agent
- New Jersey State Policemen's Benevolent Association
- Federal Law Enforcement Officers Association
- Association of Former Special Agents, IRS-CID
- Springfield Municipal Drug Alliance, Former Member

Areas of Expertise

- Financial Investigations
- Business Monitorships
- Asset & Fund Tracing
- Surveillances
- White Collar Crime
- Bankruptcy

Project Experience

- University of Medicine and Dentistry of New Jersey
- Longshoremen's Labor Union

Mary Pisula Stewart

Managing Member Stewart Consulting, LLC

Mary Pisula Stewart is the managing member of Stewart Consulting LLC, a duly licensed private investigations firm which utilizes an interdisciplinary approach in case configuration and management and specializes in complex investigations and project type engagements. The firm's resources include specialists in forensic accounting, computer security and content issues, electronic surveillance counter-measures and document analysis. Complex investigative retainers have included several dozen assignments from multiple religious orders and archdioceses of the Catholic Church in various jurisdictions around the country to conduct independent investigations into allegations of sexual or financial misconduct; developing the critical evidence in a successful "Project Innocence" initiative which exonerated a wrongly identified and subsequently "framed" suspect who spent nearly two decades in prison for a rape-murder committed by others; multiple assignments to federal and state death penalty defense teams around the country including successive teams in the 42-defendant Aryan Brotherhood federal death penalty project, as to which no case resulted in the imposition of a death sentence; and some half dozen federal political corruption prosecutions, most involving charges of graft in regard to construction projects.

Prior to Stewart Consulting, Ms. Stewart was with a New York investigative firm where she was assigned to general investigative matters on a wide range of conventional assignments. Earlier in her career, she worked at the Union County Prosecutor's Office, was an Intelligence Research Specialist in the Organized Crime and Racketeering Section of the U.S. Department of Justice and was with the Federal Bureau of Investigation where she was assigned to the Intelligence Unit.

Education

- Master of Arts, Liberal Arts, Kean College of New Jersey
- Bachelor of Arts, English, Mount St. Mary's College

Areas of Expertise

- Complex Investigations
- Computer Security
- Surveillance

Selected Experience

- Catholic Church Misconduct Investigations
- Aryan Brotherhood Federal Death Penalty

Thomas E. Mitchell, PE

**Vice President, National Construction Consulting Services,
Urban Engineers, Inc.
Subject Matter Expert**

Thomas E. Mitchell's 32 years of construction consulting experience includes expert witness testimony for construction claims, as well as technical and management experience. He has worked with clients on federal, state, local and private projects in the heavy marine, highway, transit, educational, hospital and health-care, military, aviation, hotel, and other commercial construction industries with all aspects of construction contracts from procurement and management to dispute and resolution.

Mr. Mitchell designs, drafts and edits construction contracts including general and special provisions and technical specifications. He performs quality control and quality assurance inspections for construction projects. He also performs construction management and contract administration; change order evaluations and negotiations; and construction claims analyses related to time extensions and cost damages entitlement.

Mr. Mitchell's experience also includes dispute resolution of construction claims and has successfully provided expert testimony on matters related to quality management, entitlement, damages and delays. He provides training courses and seminars on a wide variety of construction topics. In addition to construction experience, Mr. Mitchell has provided insurance claim investigations to determine cause and origin of physical property damage. Notably, Mr. Mitchell's most recent and present cases include:

Maryland State Highway Administration Construction Claim and Schedule Services: Mr. Mitchell oversees three construction scheduling review service task order assignments for two bridge replacement projects and the construction of a new interchange. Additionally, he is overseeing a construction claim assignment and performing the claim review and analysis for another claim assignment that have been appealed to the Maryland Board of Contracts.

Sordoni Construction Company v. Pennsylvania Department of Transportation: Mr. Mitchell was selected by the Office of General Counsel and the Pennsylvania Department of Transportation to provide expert witness services related to the \$1.3 million claim filed by Sordoni for the Scranton Park, Plaza and Pedestrian Court.

Mascaro Contracting v. Pennsylvania Department of Transportation: Mr. Mitchell was selected by the Office of General Counsel and the Pennsylvania Department of Transportation to provide expert witness services for the \$5.4 million construction claim submitted by Mascaro.

2nd Avenue Subway PMO, Federal Transit Administration, New York City, NY: Mr. Mitchell serves as an analyst for this \$3 billion project to assess the labor availability, provide a risk analysis and evaluate change orders for compliance to prescribed procedures and time extension requests.

East Side Access, Long Island Railroad, Federal Transit Administration, New York City, NY – Mr. Mitchell serves as an analyst for this \$6 billion expansion project to assess labor availability, provide a risk analysis and evaluate change orders for compliance to prescribed procedures and requests for time extensions.

Education

- Bachelor of Science, Civil Engineering, Loyola Marymount University
- Master of Civil Engineering, Villanova University
- Project Management Training Certificate, Urban Engineers, Inc.

Designations & Affiliations

- Professional Engineer CA, MD, CT, PA, OH
- American Arbitration Association, Roster of Neutrals & National Construction Dispute Resolution Committee
- Construction Management Association of America
- American Society of Civil Engineers, Construction Institute & Claims Avoidance and Resolution Committee

Areas of Expertise

- Expert witness testimony
- Construction claims litigation
- Construction management consulting

Selected Experience

- Maryland State Highway Administration Construction Claim and Schedule Services
- Sordoni Construction Company vs. Pennsylvania Department of Transportation
- Mascaro Contracting vs. Pennsylvania Department of Transportation
- 2nd Avenue Subway, Federal Transit Administration
- Eastside Access Long Island Railroad, Federal Transit Administration

**Urban Engineers, Inc.
530 Walnut Street
Philadelphia, PA 19106**



James R. Murray, CPA, CFE

Senior Managing Director Monitoring and Investigations Practice

James R. Murray is a financial investigator with more than 35 years of diversified auditing and forensic accounting experience, including a distinguished 16-year career in law enforcement.

Mr. Murray successfully conducted and directed hundreds of complex fraud and litigation investigations in a wide range of industries and for a diverse group of clients. His primary expertise is developing investigative strategies, analyzing financial documents and interviewing witnesses. He has testified as an expert witness at numerous trials and public hearings.

Since joining Guidepost in 2005, Mr. Murray has directed and conducted more than 100 financial investigations and integrity monitorships for law firms, corporations, nonprofit organizations and government agencies. Some of his noteworthy cases include a high-profile bankruptcy fraud matter, several embezzlement investigations involving high-ranking corporate employees, the falsification of books and records in a major litigation matter, the tracing of assets in a multimillion dollar fraud and money laundering scheme and the restructuring of the compliance program for a major healthcare provider.

Prior to joining Guidepost, Mr. Murray was a senior managing director with Citigate Global Intelligence and Security. He also managed Pinkerton's Consulting and Investigations New York office where he oversaw its Financial Investigations Practice. Additionally, Mr. Murray was a senior managing director for Kroll Associates, where he founded its Financial Investigations Group. During his 11-year tenure with Kroll, he primarily focused on the investigation of embezzlement and financial irregularities. Some of his notable successful investigations included a \$100 million "cooking the books" scheme; money laundering and tax fraud by a Russian business organization, a \$85,000 hospital inventory theft; a \$2.5 million fictitious billing scam by a chemical company's senior vice president; and the submission of \$8.0 million in erroneous charges by the former owners of a regional car rental agency.

Mr. Murray created Kroll's Construction Risk Mitigation Services program to assist owners in addressing integrity issues during the construction process. He has developed an expertise in the prevention and detection of fraudulent and abusive construction practices and in the creation and implementation of integrity monitoring and compliance programs.

Previously, Mr. Murray was the president of Forensic Accounting Services, P.C., an entity specializing in litigation support and financial investigations for law firms in such matters as insurance loss analysis, partnership disputes, due diligence, asset tracing and economic crime detection.

Mr. Murray's law enforcement experience includes service as Chief Auditor for the New York State Deputy Attorney General responsible for investigating fraud and abuse in the healthcare industry. In addition, he was the Chief Special Auditor for the New York State Commission of Investigation where he oversaw fraud inquiries for state-funded programs and investigations of political corruption. Mr. Murray ended his law enforcement career after serving as Chief Forensic Accountant for a New York Metropolitan District Attorney's office. There he conducted investigations for bank embezzlements, charitable organization thefts, tracing of illegal drug sales proceeds and murder allegations.

Education

- Bachelor of Arts, Accounting, Florida Atlantic University

Accreditations & Affiliations

- Certified Public Accountant
- Certified Fraud Examiner
- International Association of Independent Private Sector Inspectors General, Treasurer & Director

Areas of Expertise

- Auditing
- Forensic Accounting
- Financial Investigations
- Integrity Monitoring
- Expert Witness Testimony

Selected Experience

- World Trade Center Vehicular Security and Related Projects
- Welsbach Electric Corp.
- MJM Construction
- Ruttura & Sons Construction
- Lincoln Tunnel Access Program
- Bayonne Bridge Navigational Clearance Project



Kevin T. Brant, CPA, CFE

Senior Managing Director Monitoring and Investigations Practice

Kevin T. Brant is a senior managing director of forensic accounting services based in the New York office. He has more than 25 years of experience performing forensic accounting, monitoring and compliance services.

Prior to joining Guidepost, Mr. Brant was a director of litigation support and financial investigations at BDO Consulting and a senior managing director of financial investigations at Citigate Global Intelligence & Security. He also worked at Kroll Associates as a managing director for financial investigations and has served as a senior special auditor investigator for the New York State Deputy Attorney General for Medicaid Fraud Control.

Mr. Brant has been engaged on numerous occasions to perform investigations of complex financial transactions, including clients in the financial sector. He worked with outside counsel for a major financial institution in response to a federal investigation of fraud and money laundering involving suspect Russian and American bank accounts. Mr. Brant has also assisted outside counsel to a prominent university to investigate a misappropriation of funds, which led to the arrest and conviction of employees in the cashier's office and a complicit bank employee. In addition, he has been retained to perform a tracing of funds in support of litigation involving hedge fund investments in the education sector. Mr. Brant worked jointly with outside counsel for a Fortune 100 specialty retailer to investigate and quantify losses of \$34 million resulting from a vendor kickback arrangement with the company's procurement manager. He provided management with recommendations for improvement of internal controls over the procurement process. Mr. Brant has also performed an investigation of a residential property manager in New York City that disclosed a scheme to embezzle funds through the use of fictitious vendor invoices. He has served as a neutral intermediary in the quantification of losses related to a multi-million dollar procurement fraud by construction contractors working for the Metropolitan Transportation Authority.

Education

- Bachelor of Science, Accounting, Long Island University C.W. Post Campus

Certifications

- Certified Public Accountant
- Certified Fraud Examiner

Areas of Expertise

- Forensic Accounting
- Monitoring
- Compliance
- Financial Investigations
- Asset Tracing
- Internal Controls

Selected Experience

- Bovis Lend Lease LMB, Inc.
- Welsbach Electric Corp.
- Lincoln Tunnel Access Program
- Bayonne Bridge Navigational Clearance Project

Alfred A. Baldino, CFE

Senior Investigator

Monitoring and Investigations Practice

Fred Baldino is a 21 year veteran of the New York City Police Department (NYPD) where he attained the rank of Lieutenant. He has worked on Guidepost's major investigative and monitoring assignments for the past seven years.

During his law enforcement career, Mr. Baldino served eight years in the NYPD Organized Crime Investigation Division (OCID), investigating traditional organized crime in the metropolitan area. In 1995, he assumed supervision of OCID investigation "Operation Wasteland" which focused on organized crime in the waste hauling industry. The subsequent, far reaching arrests, indictments, convictions and forfeitures of numerous individuals, companies, and associations in "Operation Wasteland" largely contributed to the creation of the New York City Trade Waste Commission (TWC) in 1996.

In 1996 Mr. Baldino was detailed to the TWC and assigned the task of establishing the investigative component by utilizing NYPD detectives. He ultimately became the Commanding Officer of the unit assigned to the New York City Business Integrity Commission, which is mandated to use its licensing authority to purge regulated industries of mob connected firms. Mr. Baldino was responsible for overall operation and supervision of regulatory and criminal investigations. He has conducted and supervised more than 3,000 individual background investigations and more than 600 business background investigations.

Mr. Baldino established the current model used by investigators for the regulatory oversight of corrupt businesses. Largely in part to his work, the private carting industry now operates in a competitive environment free of predatory practices. As Investigations Coordinator he provided written and oral briefings to agency attorneys and auditors involved in the licensing process. During his tenure, Mr. Baldino established a vast institutional knowledge regarding organized crime figures involved in the carting industry and other legitimate industries throughout the greater metropolitan area.

Mr. Baldino also supervised and directed organized crime investigations involving the Security Exchange Commission (stock fraud), labor unions (ERISA violations and featherbedding), the construction industry (bid rigging and commercial bribery), the private carting industry (restraint of trade) and the World Trade Center disaster (fraud). He spearheaded investigations that uncovered systematic fraud and waste in the private sector, public health sector and regulated industries.

In 1997, Mr. Baldino attended the Federal Bureau of Investigation National Law Enforcement Academy in Quantico, Virginia. While there he studied organized crime and white-collar crime.

Education

- Master's Degree, Northeastern University, Public Administration
- Federal Bureau of Investigation National Law Enforcement Academy

Certifications

- Certified Fraud Examiner

Affiliations

- FBI National Academy Associates
- International Association of Certified Fraud Examiners

Areas of Expertise

- Regulatory & Criminal Investigations
- Background Investigations
- Organized Crime
- White Collar Crime

Project Experience

- WTC Vehicular Security and Related Projects
- Welsbach Electric Corp.
- Ruttura & Sons Construction
- Bovis Lend Lease LMB, Inc.
- Lincoln Tunnel Access Program
- Bayonne Bridge Navigational Clearance Project



Jonathan L. Newcomb, CFE

Senior Director

Monitoring and Investigations Practice

Jonathan L. Newcomb has worked as an investigator for 15 years. His skills range from complex research analytics to conducting various aspects of field investigations, including interviewing witnesses. Currently, Mr. Newcomb manages complex litigation support assignments, internal investigations of fraud, embezzlement, FCPA and other violations, corporate integrity reviews and monitorships, and domestic and international complex due diligence for Fortune 500 companies, law firms, high net worth individuals and governmental agencies. He has a particular expertise in developing and implementing investigative plans that involve a variety of investigative resources and personnel.

Prior to joining Guidepost, Mr. Newcomb was a principal at Proactive Integrity Associates, LLC, an investigative firm focused on construction-related matters. Earlier in his career, he worked as a senior manager in the Global Business Intelligence and Investigations practice at UHY Advisors FLVS, Inc., a senior associate at Citigate Global Intelligence & Security, Inc. and a manager at Decision Strategies, LLC, all of which are international investigative and forensic accounting firms.

Mr. Newcomb's significant experience includes:

- Leading the field operations for integrity monitoring of a prime construction contractor repairing residential properties as part of New York City's Rapid Repairs program following Superstorm Sandy. The project included, among other things, oversight of field labor activities, ensuring the integrity of the approval, verification and billing processes, checking the accuracy of contractor submissions and investigation of possible fraud.
- Supervising an asset search for \$70 million embezzled from escrow account by an attorney convicted of running a \$700 million Ponzi scheme. This asset search involved individuals, entities and assets located in the United States, Caribbean and Europe.
- Leading a major litigation support fact finding investigation involving the September 11, 2001 attacks on the World Trade Center relating to insurance coverage for business interruption, property damage and personal injury, verification of specific damage claims for damages arising out of the attack, and collection and analysis of data used by engineers testifying as expert witnesses.
- Participating in an internal investigation at a credit card processing company involving allegations of conflict of interest, theft of trade secrets and falsified reporting of commissions and financial results. The investigation included a forensic review of the financial and other books and records of the company, extensive interviews with key employees in the financial control group, and background investigations of suspected employees.

In addition to his experience and certifications, he has pursued continuing professional education in a variety of disciplines, including fraud investigations, interrogation and interview techniques, anti-money laundering, white collar crime, digital forensics, relational databases and several other areas pertaining to investigations and compliance.

Education

- Bachelor of Arts, Political Science and Criminal Justice, University of Richmond

Certifications

- Certified Fraud Examiner
- Private Investigator, State of New York

Affiliations

- Association of Certified Fraud Examiners New York Chapter, First Vice President

Areas of Expertise

- Field Investigations
- Litigation Support
- Internal Investigations
- Integrity Reviews & Monitoring
- Due Diligence

Selected Experience

- New York City Rapid Repairs Program
- Lincoln Tunnel Access Program
- Bayonne Bridge Navigational Clearance Project
- MJM Construction
- Barrier Free Living

Robert Uzcategui

Senior Field Investigator Dalton Investigative Services LLC

Mr. Uzcategui is a senior investigator for Dalton Investigative Services LLC and a 21 year veteran of the New York City Police Department where he attained the rank of Lieutenant.

Mr. Uzcategui has also worked with Guidepost Solutions, LLC almost since its inception on numerous monitoring assignments concentrating on the company's investigative and intelligence. He has brought to those assignments his own expertise in investigating organized crime. Mr. Uzcategui spent five years investigating organized crime's influence in the wholesale markets and carting industry in New York City when he supervised and directed the investigation and prosecution of organized crime and its influence in the Hunts Point Market. As a result of those efforts, the Bronx District Attorney and the New York City Department of Investigation developed and implemented internal controls for licensing and monitoring businesses and vendors in the Hunts Point Market.

Prior to entering the private sector, Mr. Uzcategui was the Licensing Coordinator for the Business Integrity Commission where he supervised and directed licensing investigations and intelligence gathering. The Commission has responsibility for licensing all New York City public markets and trade waste businesses, industries with a long history of anti-competitive and corrupt business practices as well as organized crime influences. Mr. Uzcategui's work has included cases involving extortion, vendor contracts, fraud and falsification of records, as well as labor and collective bargaining agreements. He assisted in the successful arrest, prosecution and debarment of numerous individuals in the wholesale markets and carting industry. In addition, Mr. Uzcategui has worked with various state and federal agencies.

Education

- Master's Degree, Public Administration and Criminal Justice

Affiliations

- American Society of Industrial Security

Areas of Expertise

- Integrity Monitoring
- Organized Crime Investigations
- Licensing Investigations

Selected Experience

- WTC Vehicular Security and Related Projects
- Welsbach Electric Corp.
- Ruttura & Sons Construction
- Lincoln Tunnel Access Program
- Staten Island University Hospital