

**CORRESPONDING CODE OF FEDERAL REGULATIONS (CFR) AND DRBC UNIT NUMBERS FOR THE
ADMINISTRATIVE MANUAL PART II – RULES OF PRACTICE AND PROCEDURE**

CFR Unit	DRBC Unit	Subpart or Section Title
Title 18 Conservation of Power and Water Resources	—	N/A
Chapter III—Delaware River Basin Commission	—	
Subchapter A— Administrative Manual	Administrative Manual [Part II]	
Part 401—Rules of Practice and Procedure	Rules of Practice and Procedure	
401.0 Introduction	Introduction	
Subpart A	Article 1	Comprehensive Plan
401.1	2.1.1	Scope
401.2	2.1.2	Concept of the Plan
401.3	2.1.3	Other Agencies
401.4	2.1.4	Project Applications and Proposed Revisions and Changes
401.5	2.1.5	Review of Applications
401.6	2.1.6	Proposed Revisions and Changes
401.7	2.1.7	Further Action
401.8	2.1.8	Public Projects under Article 11 of the Compact
401.9	2.1.9	Custody and Availability
Subpart B	Article 2	Water Resources Program
401.21	2.2.1	Scope
401.22	2.2.2	Concept of the Program
401.23	2.2.3	Procedure
401.24	2.2.4	Preparation and Adoption
401.25	2.2.5	Alternatives for Public Projects

CFR Unit	DRBC Unit	Subpart or Section Title
401.26	2.2.6	Inventory of Other Projects
Subpart C	Article 3	Project Review Under Section 3.8 of the Compact
401.31	2.3.1	Scope
401.32	2.3.2	Concept of 3.8
401.33	2.3.3	Administrative Agreements
401.34	2.3.4	Submission of Project Required
401.35	2.3.5	Classification of Projects for Review under Section 3.8 of the Compact
401.36	2.3.5.1	Water Supply Projects – Conservation Requirements
401.37	2.3.6	Sequence of Approval
401.38	2.3.7	Form of Referral by State or Federal Agency
401.39	2.3.8	Form of Submission of Projects Not Requiring Prior Approval by State or Federal Agencies
401.39 (a)	2.3.8 A.	Exhibits to Accompany Application
401.39 (b)	2.3.8 B.	Letter of Transmittal
401.40	2.3.9	Informal Conferences and Emergencies
401.41	2.3.10	Limitation of Approval
401.42	2.3.11	One Permit Program
401.42 (a)	2.3.11 A.	Purpose
401.42 (b)	2.3.11 B.	Scope
401.42 (c)	2.3.11 C.	Regulatory programs
401.42 (d)	2.3.11 D.	Procedure
401.42 (e)	2.3.11 E.	Comprehensive Plan Projects
401.42 (f)	2.3.11 F.	Retention of Commission review and enforcement authorities
401.42 (g)	2.3.11 G.	Exhaustion of Signatory Party administrative remedies prerequisite to appeal
401.42 (h)	2.3.11 H.	Fees

CFR Unit	DRBC Unit	Subpart or Section Title
401.42 (i)	2.3.11 I.	Effect of One Permit Program on Commission dockets
401.42 (j)	2.3.11 J.	Modification of Rules of Practice and Procedure to conform to this section
401.42 (k)	2.3.11 K.	No interference with Supreme Court decree
401.43	2.3.12	Regulatory program fees
401.43 (a)	2.3.12 A.	Purpose
401.43 (b)	2.3.12 B.	Types of fees
401.43 (c)	2.3.12 C.	Indexed adjustment
401.43 (d)	2.3.12 D.	Late payment charge
401.43 (e)	2.3.12 E.	Fee schedules
Subpart D	Article 4	[Reserved]
Subpart E	Article 5	Appeals or Objections to Decisions of the Executive Director in Water Quality Cases
401.71	2.5.1	Scope
401.72	2.5.2	Notice and Request for Hearing
401.73	2.5.3	Form of Request
401.74	2.5.4	Form and Contents of Report
401.74 (a)	2.5.4 A.	Generally
401.74 (b)	2.5.4 B.	Waste Loading
401.75	2.5.5	Protection of Trade Secrets; Confidential Information
401.76	2.5.6	Failure to Furnish Report
401.77	2.5.7	Informal Conference
401.78	2.5.8	Consolidation of Hearings
Subpart F	Article 6	Administrative and Other Hearings
401.81	2.6.1	Hearings Generally
401.81 (a)	2.6.1 A.	Scope of Subpart (Article)
401.81 (b)	2.6.1 B.	Definition of Contested Case

CFR Unit	DRBC Unit	Subpart or Section Title
401.81 (c)	2.6.1 C.	Requests for Hearings
401.81 (d)	2.6.1 D.	Optional Joint Hearings
401.81 (e)	2.6.1 E.	Schedule
401.81 (f)	2.6.1 F.	Notice of Hearing
401.82	2.6.2	Authorization to Conduct Hearings
401.82 (a)	2.6.2 A.	Written Requests for Hearings
401.82 (b)	2.6.2 B.	Commission Directed Hearings
401.83	2.6.3	Hearing Officer
401.83 (a)	2.6.3 A.	Generally
401.83 (b)	2.6.3 B.	Wasteload Allocation Cases
401.84	2.6.4	Hearing Procedure
401.84 (a)	2.6.4 A.	Participation in the Hearing
401.84 (b)	2.6.4 B.	Powers of the Hearing Officer
401.85	2.6.5	Staff and Other Expert Testimony
401.85 (a)	2.6.5 A.	Presentation on Behalf of the Commission
401.85 (b)	2.6.5 B.	Expert Witnesses
401.86	2.6.6	Record of Proceedings
401.87	2.6.7	Assessment of Costs; Appeals
401.88	2.6.8	Findings, Report and Commission Review
401.89	2.6.9	Action by the Commission
401.90	2.6.10	Appeals from Final Commission Action; Time for Appeals
Subpart G	Article 7	Penalties and Settlements in Lieu of Penalties
401.91	2.7.1	Scope of Subpart (Article)
401.92	2.7.2	Notice of Possible Violators
401.93	2.7.3	The Record for Decision Making

CFR Unit	DRBC Unit	Subpart or Section Title
401.93 (a)	2.7.3 A.	Written Submission
401.93 (b)	2.7.3 B.	Presentation to the Commission
401.94	2.7.4	Adjudicatory Hearings
401.95	2.7.5	Assessment of a Penalty
401.96	2.7.6	Factors to be Applied in Fixing Penalty Amount
401.97	2.7.7	Enforcement of Penalties
401.98	2.7.8	Settlement by Agreement in Lieu of Penalty
401.99	2.7.9	Suspension or Modification of Penalty
Subpart H	Article 8	Public Access to Records and Information
401.101	2.8.1	Policy on Disclosure of Commission Records
401.102	2.8.2	Partial Disclosure of Records
401.103	2.8.3	Request for Existing Records
401.104	2.8.4	Preparation of New Records
401.105	2.8.5	Indexes of Certain Records
401.106	2.8.6	FOIA Officer
401.107	2.8.7	Permanent File of Requests for Commission Records
401.108	2.8.8	Filing a Request for Records
401.109	2.8.9	Time Limitations
401.110	2.8.10	Fees
401.111	2.8.11	Waiver of Fees
401.112	2.8.12	Exempt Information
401.113	2.8.13	Segregable Materials
401.114	2.8.14	Data and Information Previously Disclosed to the Public
401.115	2.8.15	Discretionary Disclosure by the Executive Director
401.116	2.8.16	Disclosure to Consultants, Advisory Committees, State and Local Government Officials, and Other Special Government Employees

CFR Unit	DRBC Unit	Subpart or Section Title
401.117	2.8.17	Disclosure to Other Federal Government Departments and Agencies
401.118	2.8.18	Disclosure in Administrative or Court Proceedings
401.119	2.8.19	Disclosure to Congress
Subpart I	Article 9	General Provisions
401.121	2.9.1	Definitions
401.122	2.9.2	Supplementary Details
401.123	2.9.3	Waiver of Rules
401.124	2.9.4	Construction
401.125	2.9.5	Effective Date