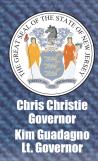
HEAlth Care Quality Assessment





Health Care Quality Assessment Office of Policy and Strategic Planning

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Cathleen D. Bennett Acting Commissioner

Health Care Quality Assessment

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Executive Summary

his report is for patients and families considering coronary artery bypass graft (CABG) surgery. It summarizes the results of a study of CABG surgery in New Jersey and answers many of the questions you may have about this common procedure.

An important goal of this report is to give you, the patient, and your family information that will help you have more informed discussions with your physicians. Since every patient has different health concerns and risks, we encourage you to discuss the information in this report with your physicians, who can answer your questions and concerns.

Another important goal of this report is to give New Jersey hospitals and surgeons meaningful data they can use in assessing quality of care related to CABG surgery. There is strong evidence, from the handful of states with similar studies, that this kind of information prompts hospitals to examine their process of care in order to improve the overall quality of CABG surgery, prevent infections, and ultimately save lives.

For this study, the Department of Health (Department) collected data on 7,562 patients undergoing open heart surgery at 18 hospitals in 2011. Of these patients, 3,709 had CABG surgery with no other major surgery during the same admission, i.e. isolated CABG surgery (or simply referred to as bypass surgery in this report).

This study was a collaborative effort with a select committee of experts known as the Cardiovascular Health Advisory Panel (CHAP), which includes physicians who specialize in cardiac surgery, cardiologists and other health care professionals.

How to Use This Report

Hospitals and doctors are not the same in their specialties and expertise. Some are better equipped than others to handle patients with different health conditions. These differences will influence the quality of care you receive and the outcomes of your bypass surgery.

Many consumers want a doctor's recommendation on hospitals and surgeons. Frequently, people collect as much information as possible to make informed decisions. This report will provide some of that information.

However, this report is not intended to be used alone. It is designed to provide important information to help you make informed decisions. There are many factors to consider in determining the best hospital for you. Among these are your own personal health risks as well as the experience certain hospitals have treating patients with those risk factors. Before you make your decisions, you should discuss this report with the physician, usually a cardiologist, who refers you for cardiac surgery. The cardiologist's knowledge and expertise will be a valuable guide in making your decision.

Key Findings

The Department analyzed the bypass surgery data using a statistical method to assess hospital and surgeon performance. Before analyzing the data, the Department performed extensive error checks on the entire open heart surgery data, sampled medical records from each hospital for independent medical audit and consulted with the clinical panel of the CHAP. The statistical analysis took into account the patient's health status before surgery as well as demographic factors. This process is commonly known as "risk-adjustment" and allows for fair comparisons among hospitals and surgeons treating diverse patient populations.

Some key findings of the 2011 data analysis are as follows:

Statewide Summary

- 49.0 percent (3,709) of the 7,562 total open heart surgeries performed in New Jersey in 2011 were bypass surgeries.
- Of the 3,709 bypass surgery patients, 50 died while in the hospital or within 30 days after surgery. The statewide observed operative mortality rate for bypass surgery patients in 2011 was 1.35 percent.

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- When comparing 2010 and 2011 on a riskadjusted basis, mortality rate decreased 26.0 percent.
- A review of the 18 years of pooled data suggests that the risk-adjusted bypass mortality rate in New Jersey has declined 67.6 percent between 1994 (4.38%) and 2011 (1.42%).

Mortality Rate by Hospital and by Surgeon

- Despite the variations in bypass mortality rates among hospitals and surgeons, the quality of care delivered by most hospitals and surgeons were similar to the statewide performance.
- In 2011, no hospital had a statistically significantly higher risk-adjusted mortality rate than the statewide rate.
- No hospital had statistically significantly lower risk-adjusted mortality rate than the statewide rate. Although their rates were not statistically significantly different from the statewide rate, it is nevertheless notable that Englewood Hospital, Jersey City Medical Center, St. Mary's Hospital (Passaic), UMDNJ University Hospital and Valley Hospital had no bypass surgery deaths during 2011.
- In the period 2010-2011, two surgeons had a statistically significantly higher risk-adjusted mortality rate than the statewide rate. Both surgeons have left the hospital since the data were reported.
- One surgeon, Dr. Joseph Kuchler from Our Lady of Lourdes Medical Center, had a statistically significantly lower risk-adjusted mortality rate than the statewide rate and no bypass surgery death.
- Although their rates were not statistically significantly different from the statewide rate, it is nevertheless notable that a few surgeons, including some who performed less than 100 bypass surgeries, had no bypass surgery death during the two-year period. Among surgeons who performed 100 or more bypass surgeries during the period 2010-2011, Dr. James Dralle of AtlantiCare Regional Medical Center, Dr. Ravindra Karanam from Newark Beth Israel Medical Center, Dr. Glenn Laub from St. Francis

Medical Center and Dr. Alex Zapolanski from Valley Hospital had no bypass surgery death.

Pre-surgery Patient Risk Factors

- Key factors that are associated with a patient's chance of surviving the operation include*:
 - patient's age;
 - whether the patient had various preoperative risk factors, such as renal failure requiring dialysis, moderate or severe lung disease, immunosuppressive therapy or peripheral vascular disease;
 - whether the patient had preoperative cardiac status such as cardiogenic shock or low ejection fraction.

Post-surgery Length of Stay

- The average post-surgery length of hospital stay for a typical bypass surgery patient in 2011 was 7.09 days, which was shorter than that of 7.29 days in 2010.
- The risk-adjusted length of stay by hospital ranged from 5.34 days at Valley Hospital to 7.59 days at St. Francis Medical Center.
- There were also differences in length of stay by surgeon. Risk-adjusted average length of stay by individual eligible surgeon in the period 2010-2011 ranged from 5.01 days to 7.69 days.

Post-surgery Infections

- In 2011, 5.12 percent of patients had some type of infection, including pneumonia, following bypass surgery. The overall infection rate decreased by 16.9 percent from 6.16 percent in 2010 to 5.12 percent in 2011 (not risk-adjusted).
- As expected, bypass surgery patients who developed infections after surgery had a much higher mortality rate (7.37 percent vs. 1.02 percent) and a longer hospital stay compared to those who had no infections (16.64 days vs. 6.57 days).
- * More information on risk factors and methods used in this report is presented in Appendix D.

Introduction

his report is for patients and families of patients facing the possibility of coronary artery bypass graft (CABG) surgery. It provides mortality rates for the 18 hospitals that performed cardiac surgery in 2011 and the physicians performing this procedure in 2010-2011. As part of the Department's continued effort to provide information to consumers, this report includes information on hospital length of stay and infections following CABG surgeries. The report provides riskadjusted length of hospital stay after CABG surgery by hospital and by eligible surgeon (i.e., surgeon who performed at least 100 isolated CABG operations in one hospital in the years 2010 and 2011 combined). The rates of infections are reported for the state as a whole.

An important goal of the report is to give you, the patient, and your family information that will help you have more informed discussions with your physician. Since every patient has different health concerns and risks, we encourage you to discuss the information in this report with your physician, who can best answer your questions and concerns.

Another important goal of this analysis is to give hospitals data they can use in assessing quality of care related to CABG surgery. There is strong evidence, from other states with similar reports, that this information encourages hospitals to examine their processes of care and make changes that can improve quality of care, prevent infections, and ultimately save lives.

For this report, the Department of Health collected data on 3,709 patients who had CABG surgery with no other major surgery during the same admission (simply referred to as isolated CABG surgery or bypass surgery in this report) in 2011. This is the most recent year for which death certificate data used to calculate mortality up to 30 days after discharge are available. The data have been "risk-adjusted," which means

that they were adjusted to take into account the patient's health conditions before surgery. The risk-adjustment process allows for fair comparisons among hospitals and surgeons treating diverse patient populations.

New Jersey's mortality rate for bypass surgery has shown marked decline since public reporting began with 1994 data. The risk-adjusted mortality rate declined 67.6 percent from 4.38 percent to 1.42 percent between 1994 and 2011, which is statistically significant. A difference is called "statistically significant" when it is too large to be due to chance or random variation.

The observed mortality rate in 2011 was 1.35 percent, which was lower than the 2010 mortality rate of 1.95 percent. The risk-adjusted mortality rate decreased 26.0 percent between 2010 and 2011, which is not statistically significant (Appendix D).

How to Use this Report

Hospitals and doctors are not the same in their specialties and expertise. Some are better equipped than others to handle patients with different health conditions. These differences will influence the quality of care you receive and the outcomes of your bypass surgery.

Many consumers want a doctor's recommendation on hospitals and surgeons. Frequently, people collect as much information as possible to make informed decisions. This report will provide some of that information. However, this report is not intended to be used alone. Volume, mortality rate and length of stay in this report are just some of the important factors to consider in deciding where to have cardiac surgery. There are many factors to consider in determining the best hospital for you. Among these are your own personal health risks as well as the experience certain hospitals have treating patients with those risk factors. Before you make your decisions, you should discuss this report with your physician, usually a cardiologist, who refers you for cardiac surgery. You and your physician together can

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make the best choice after full consideration of your medical needs.

Cardiovascular Health Advisory Panel

A Cardiovascular Health Advisory Panel (CHAP) was established by the Commissioner of Health by Executive Order (No. 187 (2001) and amended by Executive Directive 207) to provide the Commissioner with expert advice on sound cardiovascular health policy. CHAP provides advice on cardiovascular health promotion, disease prevention, standards of care, emerging technologies and their applications to cardiac services in the State, and review of the State's cardiac data for quality assessment, performance evaluation and research. CHAP's membership includes surgeons, cardiologists, nurses and professional associations and consumer representatives (See Appendix B).

Heart Disease and Cardiac Surgery in New Jersey

Heart diseases continue to be the leading causes of death of Americans with 596,577 deaths in 2011. Almost every 30 seconds, someone in the United States will suffer a heart attack, and about once every minute, someone will die from one. In New Jersey, heart diseases are the leading causes of death, accounting for 18,330 deaths in 2011. The age-standardized death rate in 2011 was 174.9 per 100,000, which was slightly higher than the national age-standardized rate of 173.7 per 100,000.

(http://www.cdc.gov/nchs/data/nvsr/nvsr63/nvsr 63 03.pdf, page 76, table 19).

The most common form of heart disease is coronary artery disease. Coronary artery disease occurs when the coronary arteries, which carry blood to the heart muscle, become clogged or partially blocked by fatty deposits on the artery walls. This can lead to chest pain, or angina, which is a warning sign for a heart attack. A heart attack occurs when a coronary artery is totally blocked.

Treatment Options

Treatment for coronary artery disease will vary for different patients. The choice of treatment depends on the nature and severity of the disease and other factors unique to each patient.

For some patients, lifestyle changes such as quitting smoking, eating a low-fat diet, and getting more exercise may be enough. Some patients require special medications. Others may need medical procedures such as percutaneous coronary intervention (PCI, commonly known as angioplasty) or CABG surgery. Angioplasty reduces obstructions of fatty deposits in coronary arteries and has become an increasingly common treatment method. CABG surgery uses an artery or vein taken from another part of the body to divert blood around the clogged part of a patient's artery or arteries.

This report is about coronary artery bypass graft (CABG or bypass) surgery outcomes. It describes the performance records of 18 hospitals in New Jersey that offered this type of surgery in 2011 and the surgeons who performed this operation at least 100 times between January 2010 and December 2011 in a hospital.

Definition of Operative Mortality

Beginning with the 2000 report¹, the Department, after consulting with the CHAP, included in its definition of "operative mortality" deaths up to 30 days post-surgery or deaths occurring during the hospital stay in which the surgery was performed, no matter how many days after the procedure. Deaths occurring within 30 days after surgery, but post-discharge, have been identified by matching patient records in the Department's Open Heart Surgery database against the state's official death records.

I Prior to 2000, the Department defined patient death for this report as in-hospital death before discharge from the hospital after bypass surgery. As a result, patients who died after being discharged home or to post-acute care facilities were not counted for purposes of calculating bypass surgery mortality rates. This caused concerns about "gaming" of outcomes through discharge practices.

Further, in an attempt to continuously improve the quality of data used in assessing bypass surgery mortality, the Department, in consultation with CHAP, reviewed the way operative procedures are coded for the purpose of the cardiac surgery report in New Jersey. The Department issued an operative procedure coding guide to be followed by all hospitals starting with 2005 data. This guideline was designed to avoid differential reporting of operative procedures by hospitals.

Applying the revised definitions of mortality, the Department also recalculated the statewide bypass surgery mortality rates for the prior years, in order to analyze the trend over time. Trend in operative mortality rate estimates from 1994 to 2011 are presented in Figure 5. Appendix D, Table D3 also presents the statewide operative mortality rate estimates for the period 1994-2011.

Performance Data

In an isolated CABG (bypass) surgery, no other major heart procedure is performed at the same time. In 2011, the number of people who died during the hospitalization in which the operation was performed, or after discharge but within 30 days of the surgery, was 50. This represents 1.35 percent of the 3,709 who had bypass surgery in 2011. This rate is referred to as statewide operative mortality rate. This statewide operative mortality rate (1.35 percent) is used as the yard stick in evaluating hospital performance.

Risk-Adjusted Mortality

In evaluating the performance of hospitals and individual surgeons, it would be unfair to make comparisons only on the basis of how many patients died. The mortality risk for patients undergoing bypass surgery varies significantly with how healthy patients are prior to surgery. For instance, an 85-year-old who had renal failure which required dialysis and was in cardiogenic shock at the time of surgery would be at higher risk during this surgery than a 50-year-old who had no history of chronic disease.

In order to produce fair comparisons, the Department applied a method that estimates **risk-adjusted mortality rates**. Each hospital was required to submit data which contain a risk profile for each patient undergoing bypass surgery. The risk-adjusted mortality rate assigns "extra credit" to hospitals and surgeons with sicker patient populations, in order not to disadvantage them in the performance comparisons.

Key factors that are associated with a patient's chance of surviving the bypass operation include:

- patient's age;
- whether the patient had various preoperative risk factors, such as renal failure requiring dialysis, moderate or severe lung disease, immunosuppressive therapy or peripheral vascular disease;
- whether the patient had preoperative cardiac status such as cardiogenic shock or low ejection fraction.

Weights derived from the statistical model were assigned for each key risk factor and **risk-adjusted mortality rates** were calculated for each hospital as fair basis for comparison (see Appendix D for more details).

Performance Reports Lead to Improvement

This performance report is for use not only by you and your doctors, but also by hospitals to improve the quality of their care and their patients' outcomes. On a risk-adjusted basis, the New Jersey statewide risk-adjusted mortality rate for bypass surgery declined 67.6 percent from 4.38 percent in 1994 to 1.42 percent in 2011 (see Appendix D, Table D3). Evidence both from New Jersey and other states that have published similar performance reports (i.e. California, Massachusetts, New York and Pennsylvania) suggests that these reports contribute to the decline in mortality rates and improve the overall quality of bypass surgery.

Cardiac Surgery in New Jersey

Hospitals

This report provides risk-adjusted mortality rates for each of the 18 hospitals in New Jersey that were licensed to perform coronary artery bypass graft surgery in 2011. You will see that there are substantial variations among the 18 cardiac surgery hospitals. Through statistical analysis, the Department is able to determine in which cases the variations reflect real differences in performance after accounting for levels of risk among patients.

Nevertheless, these data should not be used as the sole factor in making choices about hospitals, but should be part of the discussion between you and your doctor.

Surgeons

A risk-adjusted mortality rate was also calculated for each of the 36 surgeons who performed at least 100 bypass operations in one hospital in the years 2010 and 2011 combined. Even though two years of data were combined, several surgeons still fell short of the 100 cases the Department considers the minimum needed to calculate reliable risk-adjusted mortality rates. The Department recognizes that the volumes of some surgeons may be low because they had left those facilities during the year. Statistics for these low-volume surgeons are grouped under the hospital where the operations took place, in a category called "All **Others."** These surgeons are listed by name but with no risk-adjusted mortality rates, since their small numbers do not permit an accurate indication of their performance (Table 2). This report shows the total number of open heart and bypass surgeries these low volume surgeons performed, as well as their number of bypass surgery operative deaths.

Volume Affects Quality

Many studies nationally and in other states have shown that, in general, hospitals and surgeons that perform bypass surgery more frequently have lower patient mortality rates. New Jersey's data also confirm this general trend. However, there are exceptions, and a number of hospitals with low volumes have results that are in line with the statewide rate.

Bypass Surgery Volume at New Jersey Hospitals in 2011

Bypass surgery is the most common type of cardiac surgery accounting for 49.0 percent in 2011. Figure 1 shows the number of bypass operations performed in 2011 in each of the 18 hospitals. You can see that some hospitals do more of these procedures than others, with bypass volumes ranging from a low of 38 at UMDNJ/University Hospital to a high of 542 at Morristown Memorial Hospital. Bypass surgery volume has been declining in New Jersey starting in 2000 while angioplasty has stabilized at a higher level. Between 2000 and 2011, the number of bypass surgeries in New Jersey has declined by 54.9 percent.

Hospital Risk-Adjusted Mortality

Figure 2 shows the risk-adjusted mortality rate for each New Jersey hospital performing bypass surgery in 2011². The risk-adjusted mortality rate takes into account the patient's risk factors before surgery as well as the actual mortality rate after the surgery, in order to make a fair assessment of hospital performance.

In trying to determine hospital or surgeon performance, it is important to account for the fact that some differences occur simply due to chance or random variation. Statistical tests are performed on the risk-adjusted bypass mortality estimates so that we can be as certain as possible that the differences are due to actual variations in performance. A difference is called "statistically significant" when it is too large to be due to chance or random variation.

Each hospital's and each surgeon's mortality rate reflects three components: *the quality of*

² These data may not reflect current performance of a specific hospital, which may have revamped its program since then.

their care, the patient's risk factors that affect mortality, and an element of random variation. Readers of this report should be interested only in the first component, the quality of care delivered by hospitals and surgeons. We use a nationally-accepted risk-adjustment method to control for the second component, risk factors of bypass surgery patients seen by hospitals and surgeons. Because the third component, random variation, cannot be observed to be controlled for in the statistical model, we estimate how much higher or lower the risk-adjusted mortality rate could have been given the impact of random variation, using a confidence interval given at the 95% level.

In Figure 2, the dark line in the middle of each hospital's bar represents its estimated riskadjusted mortality rate. When estimating rates using data, however, we cannot be sure if this number is the actual rate for the facility and not due to chance. We can only be relatively sure that the true rate falls somewhere within the bar. In analyzing data, we use what is called a "95 percent confidence interval," and the bar represents the lower and upper limits of this confidence interval. We are 95 percent confident that the hospital's actual risk-adjusted mortality rate falls within the range shown by the bar. Another way of saying it is that the bar represents the statistical margin of error for the estimation of that rate.

The vertical line on Figure 2 represents New Jersey's statewide bypass surgery operative

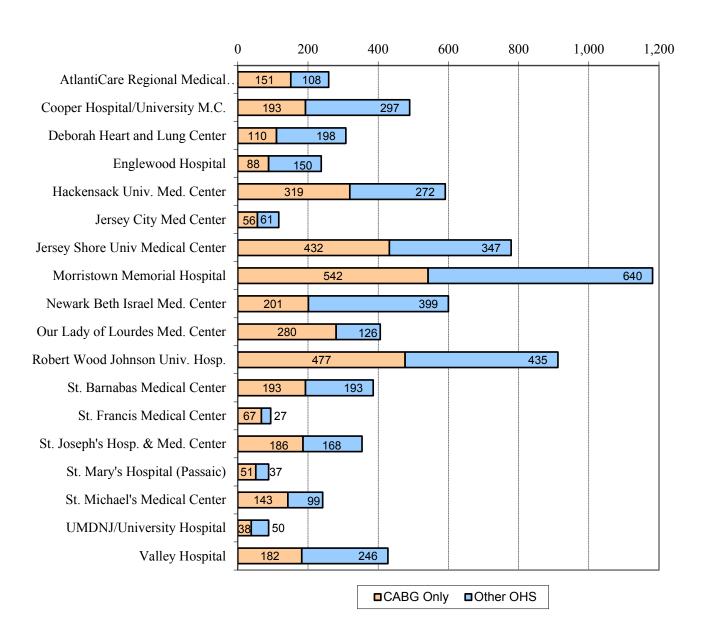
mortality rate per 100 cases for 2011, i.e. 1.35. Each hospital's performance is displayed graphically in relation to this statewide rate.

Figure 2 indicates all 18 hospitals have bars that cross the statewide mortality rate line (1.35 percent). That means that their risk-adjusted mortality rates were not statistically different from the statewide rate.

When using this report, it is important to remember that the charts are designed to show whether a hospital's or surgeon's risk-adjusted mortality rate is significantly above or below the statewide rate, or whether a rate is statistically the same as the statewide rate. Thus, it is more important to view the bars in relation to the statewide mortality rate line than it is to examine the individual calculated rates on the bars. The chart should not be used to make hospital-to-hospital or surgeon-to-surgeon comparisons, only to compare hospitals and surgeons to the statewide rate.

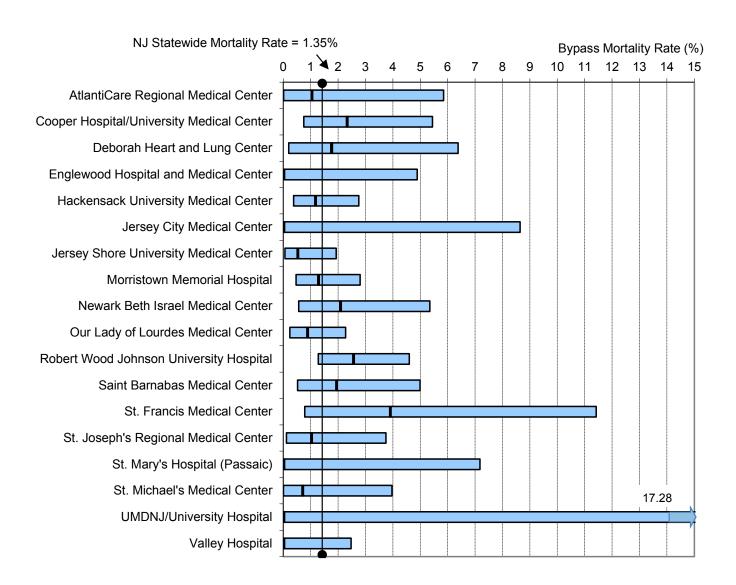
In examining the charts, you will see that some bars are shorter than others. The bar is shorter for hospitals or surgeons performing more surgeries, and longer for those with lower volumes. This reflects the fact that larger numbers -- in this case, more surgeries -- increase the precision of a statistic.

Figure 1
Number of Isolated Coronary Bypass Graft Surgeries vs. Other Open Heart Surgeries, 2011



Source: New Jersey Department of Health

Figure 2
Risk-Adjusted Operative Mortality Rate* by Hospital (2011)



* = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

Statewide Bypass Surgery Related Infections

The Department has included information on bypass surgery in-hospital infections as an additional tool to monitor hospital performance. The statewide infection rates are provided as one more factor to be considered by policy makers and others involved in quality of care monitoring.

Infections reported in the Open Heart Surgery database included sternal-deep infection (involving muscle, bone and/or mediastinum requiring operative intervention), thoracotomy, leg infections, septicemia (presence of bacteria in the blood stream) and urinary tract infections (UTI). The table also includes post-operative pneumonia. For comparison purposes, statewide infection rates, the corresponding mortality rates and the average length of stay are presented in Table 1 to provide perspective to the statewide rates.

Table 1 shows that, statewide, 5.12 percent of patients who underwent bypass surgery had some type of infection (including pneumonia). 2.78 percent of bypass patients had pneumonia, 2.02 percent of patients had UTI and 0.65 percent had septicemia.

Observed bypass surgery mortality for those who had infections (7.37%) was more than seven times as high as those who did not (1.02%). In addition, patients who developed post-surgery infections stayed in the hospital more than two and half times as long (16.64 days) as those who had no infection (6.57 days).

Besides thoracotomy where the only infected patient died, septicemia had the highest mortality rate of 29.17 percent among all infections reported, followed by pneumonia (9.71%), and UTI (4.00%).

Statewide, overall infection rate after bypass surgery decreased 16.9 percent from 6.16 percent in 2010 to 5.12 percent in 2011 (not risk-adjusted). The decline in infection rate occurred to all infections reported, from sternal-deep (0.46% to 0.24%) to thoracotomy (0.05% to 0.03%), leg infection (0.44% to 0.30%), septicemia (1.05% to 0.65%), UTI (2.65% to 2.02%) and pneumonia (2.95% to 2.78%) (see http://www.state.nj.us/health/healthcarequality/documents/cardconsumer14.pdf for more information on Cardiac Surgery in New Jersey 2010).

Table 1Statewide In-hospital Infection Rate and Operative Mortality Rate by Infection Type, 2011

	Number of Cases	Infection Rate	Operative	Average	
		(%)	Number	Rate (%) (Observed)	Length of Stay (in Days)
Cases with Infections	190	5.12	14	7.37	16.64
Sternal-Deep	9	0.24	0	0.00	22.44
Thoracotomy	1	0.03	1	100.00	41.00
Leg	11	0.30	0	0.00	12.18
Septicemia	24	0.65	7	29.17	22.08
UTI	75	2.02	3	4.00	15.04
Pneumonia	103	2.78	10	9.71	19.85
Cases without Infections	3,519		36	1.02	6.57
Total CABG cases	3,709		50	1.35	7.09

^{*} Operative Mortality includes:

⁽I) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and

⁽²⁾ those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

Length of Stay by Hospital

The Department has included information on post-surgery length of stay as an additional tool to monitor hospital and surgeon performance on bypass surgery. The statewide post-surgery length of stay is 7.09 days.

The risk-adjustment model excludes inhospital deaths, very low lengths of stay (low outliers) and very long lengths of stay (high outliers) while fitting the regression model to reduce outlier effects on the model.

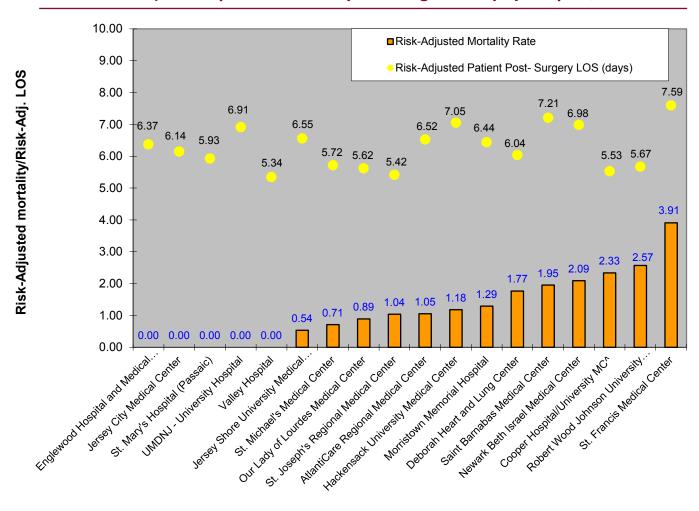
The risk-adjusted lengths of stay by hospital are displayed in Figure 3 and

compared against their respective risk-adjusted mortality rates.

Figure 3 shows that there is a marked variation in risk-adjusted length of stay by hospital. The risk-adjusted length of stay by hospital ranges from a low of 5.34 days at Valley Hospital to a high of 7.59 days at St. Francis Medical Center. Even though one hospital has the highest mortality and the longest length of stay, the correlation between hospital mortality rate and length of stay is not statistically significant.

Length of stay data for individual surgeons is presented later in this report.

Figure 3
Risk-Adjusted Operative Mortality and Length of Stay by Hospital, 2011



Individual Surgeon Performance

Figure 4 and Table 2 show the risk-adjusted mortality rate for each of the 36 surgeons who performed at least 100 bypass surgery operations in one hospital in New Jersey in the years 2010 and 2011 combined³. In addition, Table 2 shows the risk-adjusted length of stay for each surgeon.

Table 2 lists surgeons by name under the hospital in which they practiced. At the end of each list of named surgeons, some hospitals have an "All Others" category. "All Others" includes all surgeons who performed too few procedures in that hospital for an individual risk-adjusted mortality rate to be calculated. Mortality rate for the "All Others" category is displayed in Table 2 only when it includes at least two or more surgeons and 25 or more total bypass patients. Similarly, Figure 4 displays a bar for a surgeon only if 100 or more bypass surgeries were performed by the surgeon in one hospital in the years 2010 and 2011 combined. For a group of surgeons (i.e. **All Others**) a bar is shown when the group includes at least two or more surgeons and 25 or more total patients. It is important to note that some surgeons may no longer be practicing cardiac surgery in the facilities where they are listed.

Once again, the vertical line in Figure 4 represents the statewide operative mortality rate for 2010-2011 combined. Note that, when two years' data are combined, the statewide operative mortality rate was 1.67 percent. If a surgeon has a bar completely to the left of the statewide line, i.e. 1.67, it means that the surgeon's mortality rate was statistically significantly lower than the statewide rate. One surgeon, Dr. Joseph Kuchler from Our Lady of Lourdes Medical Center, had a statistically significantly lower risk-adjusted mortality rate than the statewide rate and no bypass surgery death.

As is the case for some in this report, it is possible for a surgeon to have no patient deaths and still have his/her bar cross the statewide line. Though not intuitive, this happens because the bar is the result of an upper and lower bound which includes standard errors of the estimated mortality rate. Although their rates were not statistically significantly different from the statewide rate, it is nevertheless notable that a few surgeons, including some who performed less than 100 bypass surgeries, had no bypass surgery death during the two-year period. Among surgeons who performed 100 or more bypass surgeries in the period 2010-2011, Dr. James Dralle of AtlantiCare Regional Medical Center, Dr. Ravindra Karanam from Newark Beth Israel Medical Center, Dr. Glenn Laub from St. Francis Medical Center and Dr. Alex Zapolanski from Valley Hospital had no bypass surgery death.

If a surgeon has a bar completely to the right of the statewide mortality rate line, it means that the surgeon's mortality rate was statistically significantly higher than the statewide rate for this two-year period. In 2010-2011, two surgeons had a statistically significantly higher risk-adjusted mortality rate than the statewide rate. Both surgeons have left the hospital since the data were reported.

In addition to risk-adjusted mortality for surgeons, Table 2 also shows risk-adjusted patient length of stay for each surgeon who performed at least 100 bypass surgeries in the 2010-2011 reporting period. There is marked variation in length of stay among eligible surgeons where the shortest length of stay was 5.01 days and the longest was 7.69 days. The reasons behind the wide variation in lengths of stay are not clear and need further study.

³ These data may not reflect the current performance of a specific surgeon, who may have improved his/her performance since then. Also, some surgeons listed in the cardiac surgery centers may have already left the facility since the data were reported.

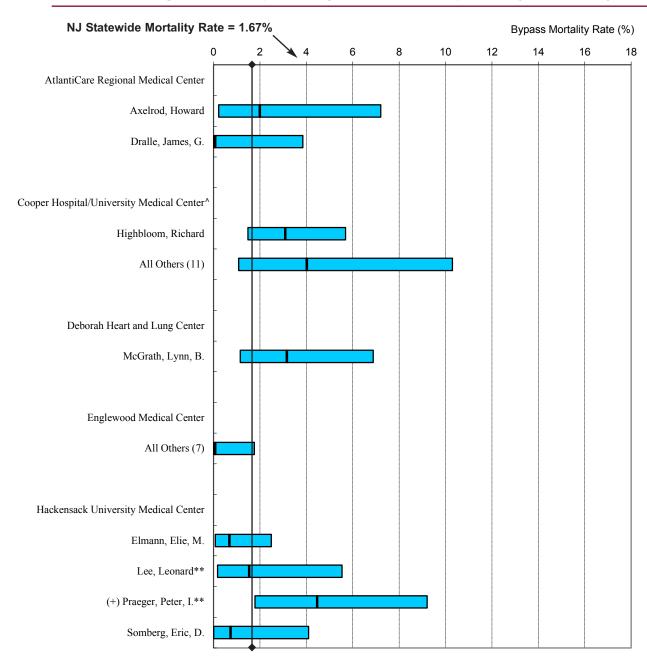
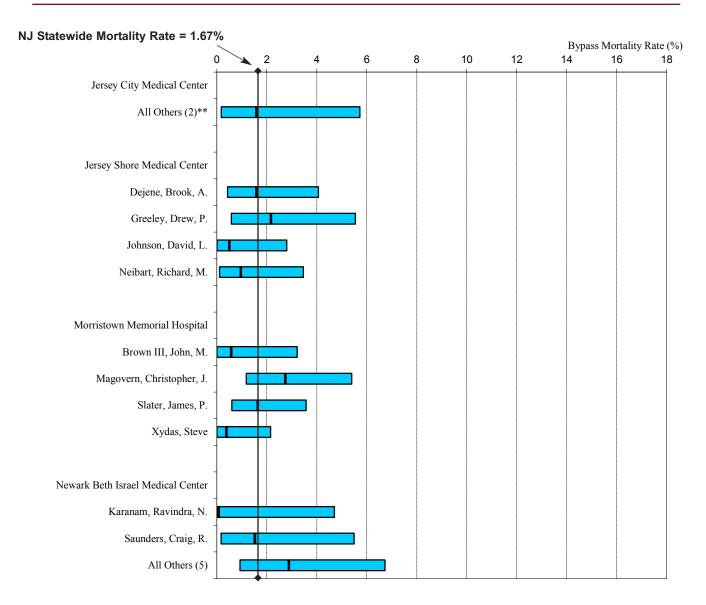


Figure 4
Surgeon Risk-Adjusted Operative Mortality* Rate (2010 - 2011)

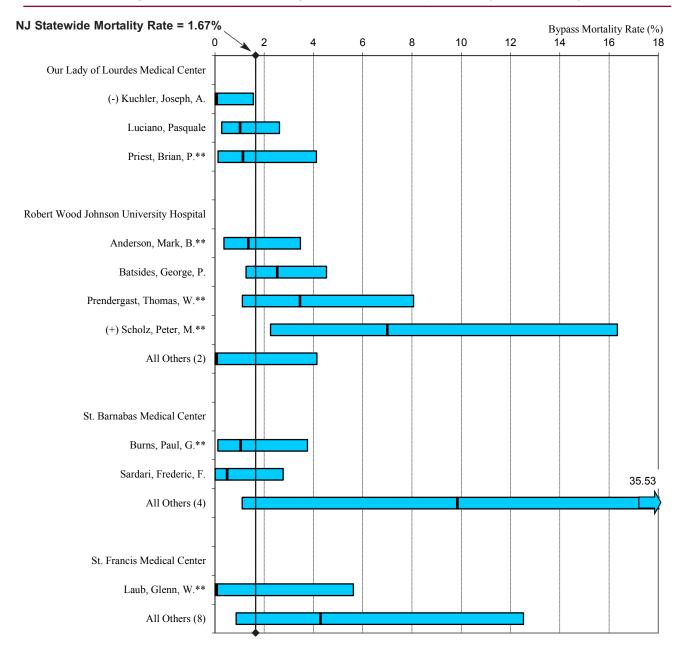
- Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
 (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
 - (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- (-) = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- ** = Surgeon not currently performing CABG surgery in this hospital.
- ^ = Facility refused to sign-off on its 2010 data.

Figure 4 (continued) Surgeon Risk-Adjusted Operative Mortality* Rate (2010 - 2011)



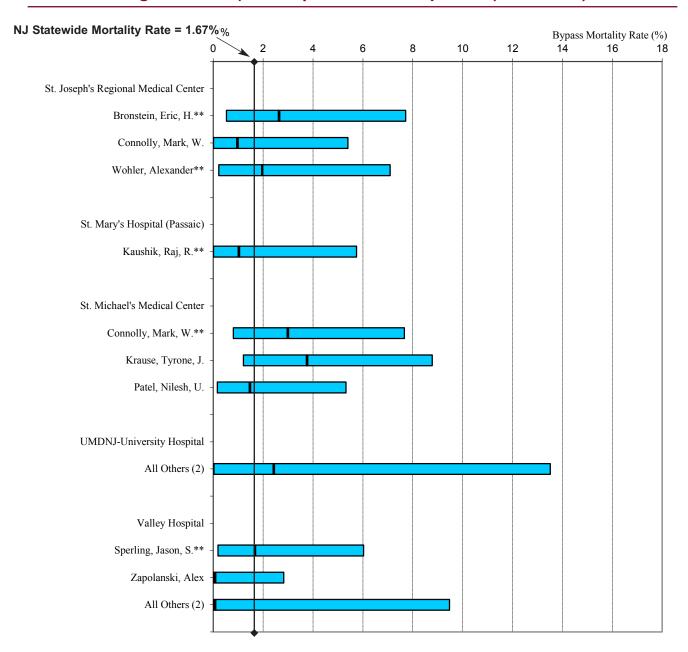
- * = Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
 - (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- (-) = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- ** = Surgeon not currently performing CABG surgery in this hospital.

Figure 4 (continued)
Surgeon Risk-Adjusted Operative Mortality* Rate (2010 - 2011)



- Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
 - (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- (-) = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- ** = Surgeon not currently performing CABG surgery in this hospital.

Figure 4 (continued) Surgeon Risk-Adjusted Operative Mortality* Rate (2010 - 2011)



- Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
 (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- (+) = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- (-) = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence interval.
- ** = Surgeon not currently performing CABG surgery in this hospital.

Table 2Risk-Adjusted Operative Mortality* Rate and Post-Surgery Length of Stay by Surgeon (2010 - 2011)

		Number of						
	Total Open	Isolated	Patient	Observed	Expected	Risk-Adjusted	95%	Risk-Adjusted
	Heart	CABG	Operative	Patient	Patient	Patient	Confidence	Post-Surgery
Hospital and Surgeon	Procedures		Deaths*	Mortality(%)	Mortality(%)	Mortality (%)		Length of Stay
AtlantiCare Regional Medical C	antar							
Axelrod, Howard	263	156	2	1.28	1.07	2.00	(0.22, 7.21)	6.39
Dralle, James, G.	284	154	0	0.00	1.07	0.00	(0.22, 7.21) (0.00, 3.78)	6.74
All Others (1)			0	0.00	1.03	0.00	(0.00, 3.78)	0.74
	3 3	1 1	0					
Grossi, Eugene		1	U					
Cooper Hospital/University Med								
Highbloom, Richard	354	300	10	3.33	1.80	3.09	(1.48, 5.69)	5.05
All Others (11)	662	109	4	3.67	1.53	4.02	(1.08, 10.30)	6.18
Bowen, Frank	306	64	3					
Chovanes, John	1	0	0					
Fox, Nicole	2	0	0					
Fusco, Cynthia**	1	0	0					
Green, Raymond	1	0	0					
Hagendorf, Benjamin**	8	0	0					
Joseph, D'Andrea, K.**	4	0	0					
Manis, George**	4	0	0					
Rosenbloom, Michael	326	45	1					
Ross, Steven, E.	4	0	0					
Tsiotsias, George**	5	0	0					
Deborah Heart and Lung Center	r							
McGrath, Lynn, B.	506	189	6	3.17	1.68	3.16	(1.16, 6.89)	6.29
All Others (1)	176	68	2					
Ng, Arthur, F.**	176	68	2					
Englewood Hospital & Medical	Center							
All Others (7)	501	182	0	0.00	2.00	0.00	(0.00, 1.69)	6.49
Arnofsky, Adam	90	64						
Elmann, Elie, M.	1	1						
Ergin, Arisan, M.**	7	0						
Klein, James, J.	227	68						
McCullough, Jock, N.**	164	40						
Praeger, Peter, I.**	11	8						
Somberg, Eric, D.	1	1						
Hackensack University Medical	Center							
Elmann, Elie, M.	368	252	2	0.79	1.92	0.69	(0.08, 2.49)	7.20
Lee, Leonard**	413	131	2	1.53	1.66	1.53	(0.17, 5.54)	6.25
Praeger, Peter, I.**	238	178	7	3.93	1.47	4.47 HI		6.85
Somberg, Eric, D.	232	167	1	0.60	1.36	0.74	(0.01, 4.10)	7.42
All Others (1)	52	10	0				, , ,	
McCullough, Jock, N.**	52	10	0					
. .								

^{* =} Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

^{** =} Surgeon not currently performing CABG surgery in this hospital.

HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.

LO = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence internval.

^{^ =} Facility refused to sign-off on its 2010 data.

Table 2 (continued)

Risk-Adjusted Operative Mortality* Rate and Post-Surgery Length of Stay by Surgeon (2010 - 2011)

Hospital and Surgeon	Total Open Heart Procedures	Number of Isolated CABG Operations	Patient Operative Deaths*	Observed Patient Mortality(%)	Expected Patient Mortality(%)	Risk-Adjusted Patient Mortality (%)	95% Confidence Interval	Risk-Adjusted Post-Surgery Length of Stay
Jersey City Medical Center						_		
All Others (2)**	268	147	2	1.36	1.44	1.58	(0.18, 5.72)	6.79
Hanhan, Ziad, G.**	88	54	1				(*****,****=)	
McMurtry, Kirk, A.**	180	93	1					
Jersey Shore University Medica	l Center							
Dejene, Brook, A.	407	255	4	1.57	1.65	1.59	(0.43, 4.07)	6.57
Greeley, Drew, P.	405	234	4	1.71	1.32	2.17	(0.58, 5.55)	6.30
Johnson, David, L.	410	189	1	0.53	1.76	0.50	(0.01, 2.80)	6.45
Neibart, Richard, M.	383	233	2	0.86	1.50	0.96	(0.11, 3.47)	6.71
All Others (1)	17	14	0					
Youdelman, Benjamin	17	14	0					
Morristown Memorial Hospital								
Brown III, John, M.	759	183	1	0.55	1.58	0.58	(0.01, 3.21)	6.08
Magovern, Christopher, J.	566	325	8	2.46	1.50	2.74	(1.18, 5.39)	6.51
Slater, James, P.	530	356	6	1.69	1.72	1.64	(0.60, 3.57)	6.47
Xydas, Steve	477	253	1	0.40	1.71	0.39	(0.01, 2.15)	6.55
Newark Beth Israel Medical Ce	nter							
Karanam, Ravindra, N.	242	111	0	0.00	1.19	0.00	(0.00, 4.63)	6.96
Saunders, Craig, R.	486	177	2	1.13	1.24	1.52	(0.17, 5.49)	7.09
All Others (5)	461	134	5	3.73	2.16	2.88	(0.93, 6.73)	7.69
Burns, Paul, G.**	7	2	0					
Camacho, Margarita	216	28	1					
McBride, Lawrence**	3	3	1					
Sardari, Frederic, F.	27	12	0					
Simsir, Sinan**	208	89	3					
Our Lady of Lourdes Medical C								
Kuchler, Joseph, A.	323	184	0	0.00	2.24	0.00 LO	, ,	6.33
Luciano, Pasquale	294	225	4	1.78	2.90	1.02	(0.28, 2.62)	6.21
Priest, Brian, P.**	146	110	2	1.82	2.66	1.14	(0.13, 4.12)	6.67
All Others (1)	108	85	2					
Martella, Arthur	108	85	2					
Robert Wood Johnson University	-	• • • •			. =0		(0.05.0.1 =)	
Anderson, Mark, B.**	731	290	4	1.38	1.70	1.36	(0.36, 3.47)	5.20
Batsides, George, P.	504	318	11	3.46	2.29	2.53	(1.26, 4.53)	5.94
Prendergast, Thomas, W.**	283	192	5	2.60	1.26	3.46	(1.11, 8.06)	5.86
Scholz, Peter, M.** All Others (2)	230 121	102 87	5 0	4.90 0.00	1.17 1.74	7.00 HI 0.00	(2.26, 16.34)	5.42 5.31
Lemaire, Anthony	22	18	0	0.00	1./4	0.00	(0.00, 4.06)	5.51
Plate, Juan, F.**	99	69	0					
riate, Juan, r. **	99	09	U					

^{* =} Operative Mortality includes: (I) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

^{** =} Surgeon not currently performing CABG surgery in this hospital.

HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.

LO = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence internval.

Table 2 (continued)

Risk-Adjusted Operative Mortality* Rate and Post-Surgery Length of Stay by Surgeon (2010 - 2011)

		Number of						
	Total Open	Isolated	Patient	Observed		Risk-Adjusted	95%	Risk-Adjusted
	Heart		Operative	Patient	Patient	Patient	Confidence	Post-Surgery
Hospital and Surgeon	Procedures	Operations	Deaths*	Mortality(%)	Mortality(%)	Mortality (%)	Interval	Length of Stay
St Barnabas Medical Center						-		
Burns, Paul, G.**	378	177	2	1.13	1.82	1.04	(0.12, 3.75)	7.13
Sardari, Frederic, F.	360	202	1	0.50	1.67	0.50	(0.01, 2.77)	7.14
All Others (4)	18	4	2	50.00	8.50	9.84	(1.11, 35.53)	7.58
Camacho, Margarita	5	1	0					
Karanam, Ravindra, N.	5	1	1					
Saunders, Craig, R.	7	2	1					
Simsir, Sinan**	1	0	0					
St Francis Medical Center								
Laub, Glenn, W.**	142	108	0	0.00	1.02	0.00	(0.00, 5.55)	7.55
All Others (8)	79	57	3	5.26	2.05	4.29	(0.86, 12.53)	7.15
Anderson, Mark, B.**	28	13	3					
Batsides, George, P.**	4	3	0					
Costic, Joseph**	10	6	0					
Deshpande, Anil	6	5	0					
Lemaire, Anthony**	11	11	0					
Nixon, Todd**	2	2	0					
Scholz, Peter, M.**	4	4	0					
Shariff, Haji	14	13	0					
St Joseph's Regional Medical Ce	nter							
Bronstein, Eric, H.**	235	131	3	2.29	1.45	2.64	(0.53, 7.72)	5.01
Connolly, Mark, W.	223	120	1	0.83	1.44	0.97	(0.01, 5.40)	5.41
Wohler, Alexander**	202	106	2	1.89	1.61	1.96	(0.22, 7.09)	6.01
St. Mary's Hospital (Passaic)								
Kaushik, Raj, R.**	197	125	1	0.80	1.30	1.03	(0.01, 5.74)	5.88
All Others (1)	19	15	0					
Shakir, Huzaifa, A.	19	15	0					
St Michael's Medical Center								
Connolly, Mark, W.**	257	130	4	3.08	1.72	2.99	(0.81, 7.66)	5.10
Krause, Tyrone, J.	186	104	5	4.81	2.14	3.76	(1.21, 8.78)	5.81
Patel, Nilesh, U.	232	156	2	1.28	1.45	1.47	(0.17, 5.32)	5.43
UMDNJ University Hospital								
All Others (2)	182	79	1	1.27	0.87	2.43	(0.03, 13.51)	6.10
Lovoulos, Constantinos	87	36	1				, , ,	
Sambol, Justin, T.	95	43	0					
Valley Hospital								
Sperling, Jason, S.**	380	158	2	1.27	1.27	1.67	(0.19, 6.03)	5.37
Zapolanski, Alex	468	189	0	0.00	1.18	0.00	(0.00, 2.75)	5.08
All Others (2)	83	49	0	0.00	1.33	0.00	(0.00, 9.40)	5.18
Brizzio, Mariano	27	12	0	2.30	55		(,)	2.10
Grau, Juan	56	37	0					
State Total (2010 - 2011)	15,844	8,011	134	1.67	1.67	1.67		6.83

^{* =} Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

^{** =} Surgeon not currently performing CABG surgery in this hospital.

HI = Risk-adjusted mortality rate significantly higher than the New Jersey statewide mortality rate based on 95 percent confidence internval.

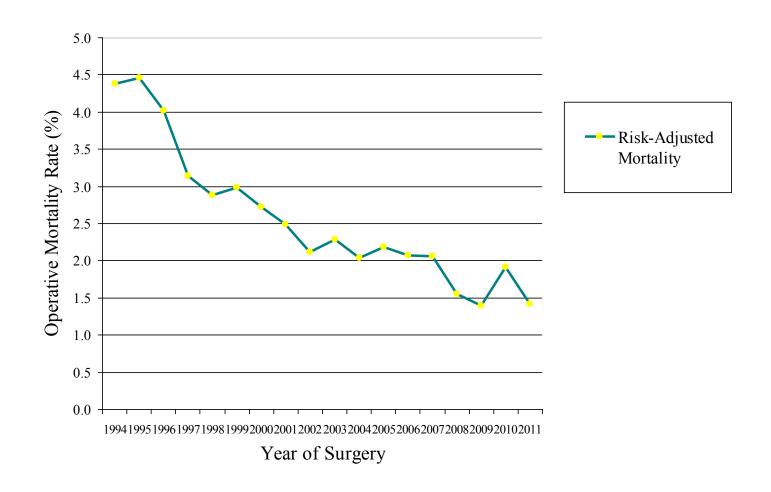
LO = Risk-adjusted mortality rate significantly lower than the New Jersey statewide mortality rate based on 95 percent confidence internval.

Statewide Trends in Risk-adjusted Bypass Surgery Mortality Rates: Pooled Estimates

Figure 5 presents the statewide risk-adjusted mortality rates for years 1994 to 2011 derived by pooling data from all years (Sources: Appendix C; Appendix D, Table D3). When compared with 1994, the risk-adjusted operative mortality rate for bypass surgery in 2011 dropped 67.6 percent.

When a linear regression line is fitted to the pooled annual estimates, bypass mortality rate has been declining, in absolute terms, at the rate of 0.16 percentage points per year (See Appendix D, Figure D1).

Figure 5
Trends in Statewide Bypass Surgery Mortality Rates



Appendix A

Questions and Answers

hese are answers to some commonly asked questions that may be of interest to you as you read this report.

Q: Should I go only to the hospitals with below-average risk-adjusted mortality rates?

A: Not necessarily. There are many factors to consider in determining the best hospital for you. Among these are your own personal risk factors and the experience certain hospitals have treating patients with those risk factors. Before making up your mind, you should discuss this report with the physician, usually a cardiologist, who refers you for cardiac surgery. The cardiologist's knowledge and expertise will be a valuable guide in making your decision. You should also keep in mind that the data in this guide is from 2011 and that a hospital's performance may have changed since then.

Q: Should I avoid any surgeon whose volume is low in this report?

A: No, not necessarily. First, there are lower volume surgeons with good patient outcomes. Second, there may be a good explanation for why a surgeon had a low volume that is unrelated to his/her experience. For example, the surgeon may have recently moved from another state, where he/she performed a high volume of these procedures. It is best to discuss your concerns with your referring doctor.

Q: Should I refuse to go to a hospital or a surgeon for heart surgery if that hospital or surgeon has a worse than average mortality record?

A: Important decisions in areas such as cardiac surgery should be made after considering all available information. The statistics in this report are a starting point for discussions with your doctor. But they do not tell the complete story. That is why it is critical to bring your concerns and questions to your doctor.

Q: Is it better to go to a hospital with a high volume of cases?

A: National studies have demonstrated that, in general, hospitals with higher volumes have better results. However, some hospitals with high volumes have relatively high mortality rates, while others with low volumes have lower mortality rates.

Notes on Data:

The data used in this study were reported by hospitals according to criteria established by the Department, with assistance from the clinical experts. Additionally, the Department has made a good faith effort to ensure that the data elements and definitions are consistent with those issued by the Society for Thoracic Surgeons (STS). The data were audited by an independent reviewer under contract to the Department.

Throughout the process of developing this report, the Department has taken steps to make sure that all hospitals were informed about data reporting and auditing requirements, as well as the statistical methods being used to risk-adjust the reported mortality data.

The Department considers it a vital function of hospitals to be able to collect and report complete, accurate medical information on patients. This function is critical not only to the success of the cardiac surgery report, but to the hospitals' own ongoing efforts to improve the quality of care for all patients. The Department and hospitals will continue working to improve data collection procedures so that this report contains the best possible information.

Appendix B

New Jersey's Cardiovascular Health Advisory Panel (CHAP) Members

Charles Dennis, MD, MBA, FACC Chairperson of the CHAP

Medical Director, Cardiac Catheterization Laboratory Virtua Memorial Hospital Mt. Holly, New Jersey

Mary T. Abed, MD, FACC

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Juana Jackson

Appendix C
Statewide Observed In-hospital and Operative Mortality Rates:

	Mortality Rate						
Year of Operation	In-hospital	Operative Mortality *					
1994-1995	3.75	4.14					
1996-1997	3.37	3.75					
1998	2.60	3.01					
1999	2.89	3.31					
2000	2.22	2.68					
2001	2.01	2.51					
2002	1.80	2.15					
2003	1.91	2.33					
2004	1.54	1.98					
2005	1.83	2.10					
2006	1.73	2.00					
2007	1.66	2.00					
2008	1.19	1.47					
2009	1.00	1.31					
2010	1.58	1.95					
2011	1.13	1.35					

^{*} Operative mortality includes the following:

- all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and
- deaths occurring after discharge from hospital, but within 30 days of the procedures.

Appendix D Summary of Methods Used in this Report

Background

Five states, including New Jersey, have issued reports on isolated Coronary Artery Bypass Graft (CABG or bypass) surgery outcomes for hospitals and surgeons. New York first published a bypass surgery report in 1990 presenting 1989 data, with the latest report released in March 2014 using 2009-2011 data. Starting with its 1990 data, Pennsylvania has published several cardiac surgery reports, with its latest report released in November 2013 using 2011-2012 data. California has also published several cardiac surgery reports, with the most recent released in February 2014 using 2011 data. Massachusetts published its first report on bypass surgery in October 2004 using 2002 data and released its latest report on a fiscal year basis (October 1, 2011 to September 30, 2012) in February 2014. In 1997, New Jersey began reporting on patient mortality for bypass surgery hospitals and surgeons, using 1994 and 1995 data combined.

The experience from these states is that disclosures have contributed to hospital quality improvement initiatives and significant reductions in bypass surgery mortality rate.

Factors That Affect a Patient's Risk of Bypass Surgery Mortality

The observed patient bypass surgery mortality rate for a hospital or surgeon is estimated as the number of bypass surgery patients who died in the hospital during or after surgery, or patients who died after discharge but within 30 days post-surgery, divided by the total number of patients who underwent the bypass surgery.

Unfortunately, this observed patient mortality rate is not a complete measure of the quality of care provided by a hospital or a surgeon, because it does not account for how sick the patients were before surgery. If one hospital had considerably sicker patients than another hospital, it would be expected that its observed mortality rate would be somewhat higher. So it would not be fair to evaluate surgeons and hospitals performing bypass surgery solely on the basis of the percentage of their patients that died. For instance, an 85-year-old who had renal failure which required dialysis and was in cardiogenic shock at the time of surgery would be at higher risk during this surgery than a 50-year-old who had no history of chronic disease.

To perform an even-handed analysis of the quality of surgical care provided by surgeons and hospitals performing bypass surgery, the Department adjusts the patient mortality rates for each surgeon and each hospital by the presurgery risk factors of each patient. This method gives hospitals and surgeons who operate on less healthy patients "extra credit." Such hospitals and surgeons are not at a disadvantage when the outcome of the surgical care they provide is presented next to that of other hospitals and surgeons. Additionally, as stated earlier, extremely high risk patients, where the probability of death is very high, may, with the concurrence of the expert clinical panel, be excluded from the calculation.

The risk adjustment method is a statistical approach that uses results of a logistic regression analysis to assess the average risk of a bypass surgery for a patient. Key elements of the health histories of patients who have undergone bypass surgery in the same period, as well as their socio-demographic characteristics, are taken into account to estimate the expected outcome of a bypass surgery.

Assessing Patient Risk Factors

A logistic regression model which included all the before-surgery health and demographic factors was fitted to the data for the period covered by this report to identify those risk factors that were important in predicting whether a patient would die after a bypass surgery. The general form of a logistic regression model for estimating the "logit" of the probability of dying (p), denoted by Yi, is presented as follows:

$$Y_{i} = \sum_{k}^{K} \beta_{k} X_{ki} + \varepsilon_{i}, Where X_{0i} = 1;$$

$$Y_{i} = \log_{e} \left(\frac{p_{i}}{1 - p_{i}} \right) = \text{ the "logit" of p}_{i}$$

i = 1,2,...,n; k = 0,1,2,...,K,

 β_k = Logistic regression coefficient for risk factor X_k ,

K = Number of risk factors in the model,

n = Number of patients,

 ε_i = Random error term i.

The statistically significant risk factors for this report (X_k) identified by the stepwise logistic regression analysis method are presented in Table D1. Table D1 also includes estimates of coefficients for the statistically significant risk factors, an indication of the level of statistical significance (p-values), and odds ratios. The list of risk factors includes only those that were statistically significant in predicting bypass surgery mortality with p-values of 0.05 or smaller.

The odds ratios are derived from the coefficients, and are used to compare the relative importance of the risk factors in predicting mortality from bypass surgery. For each of the risk factors identified in Table D1, the odds ratio represents how likely a patient is to die when compared to a patient who is in the reference group. So, for example, Table D1 shows that a patient who had moderate or severe lung disease is more than two and half times (odds ratio = 2.56) as likely to die during or after bypass surgery compared to a patient who did not have moderate or severe lung disease. This is based on the assumption that both patients have the same set of other risk factors presented in the table.

Similarly, the odds of dying during or after bypass surgery for a patient who is in cardiogenic shock at the time of surgery is seven times as likely (odds ratio= 7.31) compared with the odds of a patient who is not in cardiogenic shock at the time of surgery.

Estimation of Risk-adjusted Mortality Rates

The risk factors presented in Table D1 were used in the fitted logistic regression model to predict the probability of death from bypass surgery for each patient. The sum of predicted probabilities of dying for patients operated on in each hospital divided by the number of patients operated on in that hospital provides the predicted (or expected) death rate associated with the hospital. A similar analysis for a surgeon results in the expected death rate associated with that surgeon. Terms such as "expected" and "predicted" are used interchangeably in this report to signify that the estimates are derived from predicted probabilities after accounting for risk factors.

The predicted probability of dying for patient i (\hat{p}_i) is given as follows:

$$\hat{p}_{i} = \frac{e^{(\hat{Y}_{i})}}{1 + e^{(\hat{Y}_{i})}}, \text{ Where } i = 1, 2, 3, ..., n; \text{ and}$$

$$\hat{Y}_{i} = \hat{\beta}_{0} + \hat{\beta}_{1} X_{1i} + \hat{\beta}_{2} X_{2i} + \hat{\beta}_{3} X_{3i} + ... + \hat{\beta}_{k} X_{ki}$$

To assess the performance of each hospital or surgeon, we compared the observed patient mortality with the expected or predicted patient mortality, based on the risk factors existing for the hospital's or surgeon's patients. First, the observed patient mortality is divided by the expected mortality. If the resulting ratio is larger than one, the hospital or surgeon has a higher patient mortality than expected on the basis of their patient mix. If the ratio is smaller than one, the hospital or surgeon has a lower mortality than expected, based on their patient mix. The ratio is then multiplied by the statewide patient mortality rate to produce the risk-adjusted patient mortality rate for the hospital or the surgeon.

Cardiac Surgery in New Jersey

The risk-adjusted mortality rate represents the best estimate the fitted model provides using the statistically significant health risk factors. The risk-adjusted patient mortality rate represents what a hospital's or surgeon's patient mortality rate would have been if they had a mix of patients identical to the statewide mix. Thus, the risk-adjusted patient mortality has, to the extent possible, ironed out differences among hospitals and surgeons in patient mortality arising from the severity of illness of their patients.

The statistical methods described above are tested to determine if they are sufficiently accurate in predicting the risk of death for all patients – for those who are severely ill prior to undergoing bypass surgery as well as those who are relatively healthy. In the analysis of data for this report, the

tests confirmed that the model is reasonably accurate in predicting how patients of different risk levels will fare when undergoing bypass surgery. The area under the Receiver Operating Characteristic (ROC) curve, denoted by C-statistic in Table D1, was used to evaluate model performance. The C-statistic may be interpreted as the degree to which the risk factors in the model predicted the probability of death for bypass surgery patients. Specifically, the C-statistic measures the tendency of the predicted mortality for patients in the sample that died to be higher than that for patients who were discharged alive and were also alive 30 days after bypass surgery. The 2011 model C-statistic is 78.8 percent and is fairly high, suggesting that the model has strong predictive power.

Table D1Risk Factors Identified for Isolated CABG Surgery Operative Mortality* (2011)

	Proportion	Logistic Regression Results				
Patient Risk Factors Identified	of patients (%)	Coefficient	P-Value	Odds Ratio		
Demographic factors						
Age		0.0343	0.0190	1.035		
Health factors						
Renal Failure with Dialysis	3.48	1.0189	0.0328	2.770		
Lung Disease - Moderate or Severe	8.73	0.9384	0.0066	2.556		
Immunosuppressive Thearapy	3.83	1.1932	0.0084	3.298		
Peripheral Vascular Disease	15.04	0.7496	0.0189	2.116		
Factors related to functioning of the hear	rt					
Cardiogenic Shock	1.86	1.9895	<.0001	7.312		
Ejection Fraction 1 - 29%	6.31	1.0371	0.0229	2.821		
Ejection Fraction 30 - 49%	27.39	0.8715	0.0062	2.391		
Intercept	-7.6698					
C-Statistic	0.788					
Number of CABGs (N)	3,709					

^{*} Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

Risk-adjusted Patient Mortality Rate Estimates

This section presents the results of our analysis including:

- (1) comparisons of risk-adjusted patient mortality rates for hospitals to the statewide rate in 2011;
- (2) comparisons of the statewide riskadjusted patient mortality rate for each year in 1994-2011 to the rate for the whole period.

The risk-adjusted mortality rate estimates are presented in percentage points. The results also include the lowest and the highest risk-adjusted mortality rate estimates one would expect, using a 95 percent confidence level*.

* 95% confidence limits are calculated as follows:

$$LCL = \frac{D\left(1 - \frac{1}{9D} - \frac{1.96}{3\sqrt{D}}\right)^{3}}{E}S$$

$$UCL = \frac{(D+1)\left(1 - \frac{1}{9(D+1)} + \frac{1.96}{3\sqrt{(D+1)}}\right)^{3}}{E}S$$

Where D = Observed mortality, and E = Predicted or Expected mortality, S = Statewide rate.

(Source: Liddell, F. D. K., Simple Exact Analysis of the Standardised Mortality Ratio. Journal of Epidemiology and Community Health, 1984, 38, 85-88.)

Patient bypass surgery mortality rate by hospital compared with the statewide rate in 2011

The risk-adjusted patient mortality estimates from bypass surgery for each hospital in 2011 are presented in Table D2. The results compare each hospital's risk-adjusted patient mortality rate with the statewide mortality rate.

After adjusting for how sick the patients were before surgery at each hospital, we present the estimates of risk-adjusted patient mortality rate for each hospital in the sixth column of Table D2.

If a hospital's 95 percent confidence interval contains the statewide rate, it means that the difference between the hospital's risk-adjusted mortality rate and the statewide rate was not statistically significant. If the whole of a hospital's 95 percent confidence interval clearly falls to the left of the statewide rate, it means that the hospital's risk-adjusted patient mortality rate was statistically significantly lower than the statewide rate. If the whole of the 95 percent confidence interval falls to the right of the statewide rate, it means that the hospital's risk-adjusted mortality rate was statistically significantly higher than the statewide rate.

The observed operative mortality rate statewide in 2011 for bypass patients was 1.35 percent, based on 50 deaths out of 3,709 bypass operations performed. Table D2 presents the bypass volume, observed mortality rate, expected mortality rate, risk-adjusted mortality rate and its confidence interval, as well as risk-adjusted length of stay following bypass surgery for each of the 18 hospitals.

In 2011, all 18 hospitals had risk-adjusted mortality rate that were similar to the statewide rate.

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Table D2Patient Operative Mortality* and Length of Stay After Isolated CABG Surgery by Hospital (2011)

Hospital	Number of Isolated CABG Operations	Patient Operative Deaths*	Observed Patient Mortality (%)	Expected Patient Mortality (%) M	Risk- Adjusted Patient fortality (%)	95% Confidence Interval	Risk-Adjusted Patient Post- Surgery LOS (days)
AtlantiCare Regional Medical Center	151	1	0.66	0.85	1.05	(0.01, 5.85)	6.52
Cooper Hospital/University Medical Center	193	5	2.59	1.50	2.33	(0.75, 5.45)	5.53
Deborah Heart and Lung Center	110	2	1.82	1.39	1.77	(0.20, 6.38)	6.04
Englewood Hospital and Medical Center	88	0	0.00	1.16	0.00	(0.00, 4.86)	6.37
Hackensack University Medical Center	319	5	1.57	1.79	1.18	(0.38, 2.76)	7.05
Jersey City Medical Center	56	0	0.00	1.02	0.00	(0.00, 8.61)	6.14
Jersey Shore University Medical Center	432	2	0.46	1.17	0.54	(0.06, 1.93)	6.55
Morristown Memorial Hospital	542	6	1.11	1.16	1.29	(0.47, 2.81)	6.44
Newark Beth Israel Medical Center	201	4	1.99	1.28	2.09	(0.56, 5.35)	6.98
Our Lady of Lourdes Medical Center	280	4	1.43	2.17	0.89	(0.24, 2.28)	5.62
Robert Wood Johnson University Hospital	477	11	2.31	1.21	2.57	(1.28, 4.60)	5.67
Saint Barnabas Medical Center	193	4	2.07	1.43	1.95	(0.52, 4.99)	7.21
St. Francis Medical Center	67	3	4.48	1.54	3.91	(0.79, 11.42)	7.59
St. Joseph's Regional Medical Center	186	2	1.08	1.40	1.04	(0.12, 3.74)	5.42
St. Mary's Hospital (Passaic)	51	0	0.00	1.36	0.00	(0.00, 7.15)	5.93
St. Michael's Medical Center	143	1	0.70	1.32	0.71	(0.01, 3.96)	5.72
UMDNJ - University Hospital	38	0	0.00	0.75	0.00	(0.00, 17.28)	6.91
Valley Hospital	182	0	0.00	1.11	0.00	(0.00, 2.45)	5.34
Statewide	3,709	50	1.35	1.35	1.35		6.20

^{* =} Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

Annual risk-adjusted mortality compared to the combined 1994-2011 risk-adjusted mortality

Table D3 presents the results of an analysis to identify the trend in the statewide mortality rate of patients who underwent bypass surgery using a statistical model based on the pooled data collected over the period 1994-2011. For each of the years, the table presents the observed patient mortality rate, the expected patient mortality rate, and the statewide risk-adjusted patient mortality rate estimate. Note that the numbers differ from those shown in reports produced before, due to the revised definition of mortality and the use of pooled data for the analysis. The table further exhibits whether the risk-adjusted mortality rate for the year is statistically different from the pooled mortality rate for the 1994-2011 period.

Table D3 also shows that between 2010 and 2011, the number of bypass surgeries performed

in New Jersey decreased from 4,302 to 3,709 or by 13.8 percent. Over the same time period, the number of deaths decreased from 84 to 50 or by 40.4 percent. On a risk-adjusted basis, the mortality rate decreased 26.0 percent between 2010 and 2011, which was not statistically significant. Nevertheless, since 1994 risk-adjusted mortality rate has declined 67.6 percent, which was statistically significant.

The trend in operative bypass mortality rate between 1994 and 2011 was estimated by fitting a regression line of pooled annual risk-adjusted bypass mortality rates to procedure year (Figure D1). According to the fitted regression line, operative mortality from bypass surgery has been declining, in absolute terms, at the rate of 0.16 percentage points per year between 1994 and 2011 (R2 = 0.86).

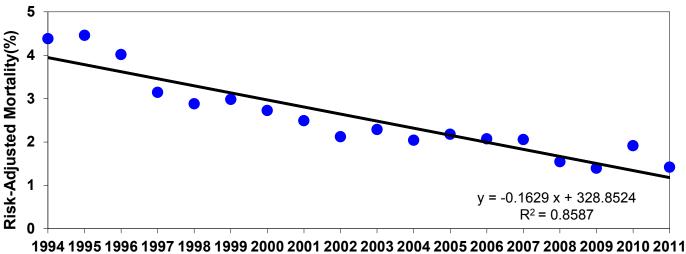
Table D3

Annual Risk-Adjusted Patient Operative Mortality Rate* Derived from the Pooled Data for the Period 1994-2011

Year	Number of Isolated CABG Operations	Operative Patient Mortality*	Observed Patient Mortality Rate (%)	Predicted Patient Mortality Rate (%)	Risk- Adjusted Patient Mortality Rate (%)		Yearly Change in Risk- Adjusted Mortality Rate (%)	Percent Change from 1994 Risk- Adjusted Mortality Rate (%)
1994	6,957	274	3.94	2.45	4.38	НІ		
1995	7,553	327	4.33	2.64	4.46	НІ	0.08	1.8
1996	8,262	341	4.13	2.80	4.02	НІ	-0.44	-8.3
1997	8,286	280	3.38	2.92	3.14	НІ	-0.87	-28.3
1998	8,377	252	3.01	2.84	2.88	SA	-0.26	-34.2
1999	8,108	268	3.31	3.02	2.98	SA	0.10	-31.9
2000	8,220	220	2.68	2.67	2.73	SA	-0.26	-37.8
2001	8,045	202	2.51	2.74	2.49	SA	-0.24	-43.2
2002	7,391	159	2.15	2.76	2.12	LO	-0.37	-51.6
2003	6,817	159	2.33	2.77	2.29	LO	0.17	-47.7
2004	6,177	122	1.98	2.63	2.04	LO	-0.25	-53.4
2005	5,576	117	2.10	2.62	2.18	LO	0.14	-50.3
2006	5,211	104	2.00	2.62	2.07	LO	-0.10	-52.7
2007	4,943	99	2.00	2.65	2.06	LO	-0.02	-53.1
2008	4,620	68	1.47	2.59	1.55	LO	-0.51	-64.7
2009	4,497	59	1.31	2.56	1.40	LO	-0.15	-68.1
2010	4,302	84	1.95	2.77	1.92	LO	0.52	-56.3
2011	3,709	50	1.35	2.58	1.42	LO	-0.50	-67.6
1994-2011	117,051	3,185	2.72	2.72	2.72			

- * Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.
- LO The risk-adjusted patient mortality is significantly lower than the mortality for the 1994-2011 combined when evaluated with a 95 percent confidence interval.
- **SA** The risk-adjusted patient mortality is same as the mortality for the 1994-2011 combined when evaluated with a 95 percent confidence interval.
- **HI** The risk-adjusted patient mortality is significantly higher than the mortality for the 1994-2011 combined when evaluated with a 95 percent confidence interval.

Figure D1
Trend in Risk-Adjusted Operative Mortality* Rate (1994-2011)



* Operative Mortality includes: (1) all deaths occurring during the hospitalization in which the operation was performed, even after 30 days; and (2) those deaths occurring after discharge from the hospital, but within 30 days of the procedures.

Risk Factors for Post-surgery Length of Stay

In an attempt to predict a patient's postoperative length of stay, we fitted a generalized linear regression model on the log transformation of length of stay. The model was developed using demographic factors, health factors, factors related to functioning of the heart and prior cardiac intervention as predictors. Patients who died during the bypass surgery hospitalization were excluded from analysis as were patients who stayed fewer than two days in hospital and those who stayed over 30 days. Table D4 presents the final model used to estimate risk-adjusted length of stay by hospital and includes only those predictors found to be statistically significant at five percent or lower levels. Consistent with findings in Pennsylvania, the predictive power of the model is low (only 15.6 percent). Such low predictive power is usually common when one fits a regression model using individual level data as large as these.

Please note that the coefficients provided in Table D4 are in log form and interpretation of the values should take that into consideration.

Table D4Risk Factors Identified for Isolated CABG Surgery Length of Stay (2011)

	Proportion	Generalized Linear Regression Results			
Patient Risk Factors Identified	of Patients(%)	Coefficient	P-Value		
Demographic factors					
Age (in years) Squared		0.00005	<.0001		
African American	7.33	0.07947	0.0008		
Non-hispanic Other	10.79	0.06012	0.0027		
Health factors					
Cerebrovascular Disease - CVA	6.21	0.05979	0.0190		
Diabetes - Insulin	14.39	0.06884	0.0001		
Lung Disease - Mild	8.92	0.06459	0.0027		
Lung Disease - Moderate	5.99	0.09604	0.0002		
Lung Disease - Severe	2.44	0.15872	<.0001		
Obesity	12.60	0.11058	<.0001		
Renal Failure without Dialysis	3.46	0.18220	<.0001		
Renal Failure with Dialysis	3.38	0.19550	<.0001		
Factors related to functioning of the heart					
Angina - Unstable	39.02	0.03186	0.0186		
Arrhythmia	11.97	0.10546	<.0001		
Cardiogenic Shock	1.59	0.24064	<.0001		
Congestive Heart Failure	18.31	0.09280	<.0001		
Ejection Fraction 1 - 49%	33.28	0.05839	<.0001		
Myocardial Infarction <24 Hours	2.75	0.14846	0.0002		
Myocardial Infarction 1 - 7 days	21.17	0.03379	0.0385		
Number of Diseased Vessels - One	4.81	-0.08479	0.0033		
Number of Diseased Vessels - Two	19.58	-0.07732	<.0001		
Intercept	1.4665				
R-Square	15.60				
Number of CABGs (N)*	3,642				

^{*} Excluded are patients who died during hospitalization where CABG was performed; patients with postsurgical LOS > 30 days; and patients with post-surgical LOS < 2 days.

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- Pennsylvania Health Care Cost Containment Council, <u>Cardiac Surgery in Pennsylvania</u>, <u>Information about Hospitals and Cardiothoracic Surgeons</u>, <u>Data: July 1, 2011 to December 31, 2012: Information about hospitals and cardiothoracic surgeons</u>. November 2013. http://www.phc4.org/reports/cabg/12/docs/cabg2012report.pdf

Limited copies are available by writing to the New Jersey Department of Health, Office of Health Care Quality Assessment, P.O. Box 360, Trenton, NJ 08625; or by phone at (800) 418-1397; or fax at (609) 984-7735; or email to hcqa@doh.state.nj.us. The report is also posted on our website at:

www.nj.gov/health/healthcarequality/cardiacsurgery.shtml.



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