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KAITLAN BASTON, MD, MSc, DFASAM Commissioner

TO:

Administrators for Assisted Living Residences, Comprehensive Personal Care Homes, Assisted Living Programs, Long-Term Care Facilities, Residential Health Care Facilities attached to another facility type licensed by the Department, Dementia Care Homes and Adult and Pediatric Day Health Services Facilities

FROM:

Michael J. Kennedy, J.D.

Executive Director, Certificate of Need and Healthcare Facility Licensure

Division of Certificate of Need and Licensing

DATE:

April 21, 2025

SUBJECT: Reportable Events

The Department of Health's (Department) licensing standards for health care facilities require that facilities notify the Department's Assessment and Survey Unit of reportable events in accordance with all applicable State and federal regulations.

In December 2019, the Department provided an updated facility reportable event grid upon request from the New Jersey Hospital Association, the New Jersey Health Care Association, and LeadingAge New Jersey.

The Department has attached an updated reference guide to reflect the current reportable event requirements. Please note:

- Facilities are no longer required to report emergency generator testing. However, documentation of the testing should be maintained by the facility.
- The Department will continue to require facilities to report elopements. For purposes of reporting, an elopement is whenever facility staff is not aware of a resident's whereabouts outside of the building.
- The Department will continue to require facilities to report resident suicide.

Additionally, although there are telephone numbers which appear in the specific facilitytype regulations, the Department should be notified of reportable events only at (609) 633-8991, or at (800) 792-9770 during non-business hours. Written notification of reportable events should be sent to the Department at CNandLicensingRequests@doh.nj.gov.

For certain providers (Assisted Living Residences, Comprehensive Personal Care Homes, Dementia Care Homes, Long-Term Care and Residential Health Care Facilities), the Department requests the use of NoviSurvey for the electronic submission of reportable events.

The attached table should be used for reference only. Facilities are responsible for complying with the full text of their applicable State and federal regulations regarding current reportable event requirements.

If you have any questions regarding reportable events, you may contact Carol Fogarty, Program Manager, Long Term Care Complaints, at (609) 633-8991.

Attachment

The facility shall notify the Department of Health immediately by telephone at (609) 633-8991, or (800) 792-9770 after business hours or Hippocrates URL: https://hippocrates.nj.gov/access, followed by written confirmation within 72 hours for the following:	LTC	AL ALP CPCH	RHC	Adult MDC	Pediatric MDC	Dementia Homes	Comments
All alleged or suspected crimes committed, by or against participants or residents, which shall also be reported at the time of occurrence to the local police department.				8:43F-3.4 (a)(6)			
All suspected cases of resident abuse or exploitation that have been reported to the State of New Jersey Office of the Ombudsman for the Institutionalized Elderly and/or to the county welfare agencies.		8:36- 5.10(a)(3)	8:43- 4.9(a) (3)				
The facility shall notify the State Office of the Ombudsman for the Institutionalized Elderly at (800) 792-8820) immediately of any suspected or reported resident abuse, neglect, or exploitation of residents aged 60 or older, pursuant to P.L. 1983 c. 43, N.J.S.A. 52:270-7.1, and shall notify the Department immediately by telephone with respect to residents under the age of 60. LTC: See Federal Regulations F608	8:39-9.4(f) See also 42 C.F.R. 483.12						
Notification of any suspected case of participant abuse or exploitation that occurs during the participant's participution in adult day health services lo State Office of the Ombudsman for the Institutionalized Elderly, pursuant to N.J.S.A. 52:270-7.1 el seq., if the participant is 60 years of age or older, and if less than 60 years of age, to the Assessment & Survey Unit in the Division of Health Facilities Evaluation and Licensing of the Deoartment.				8:43F- 3.3(d) 8(i)			
Notification of any suspected case of participant abuse or exploitation that occurs outside of the participant's participation in adult day health services that is discovered by the facility staff to Adult Protective Services, pursuant to N.J.S.A. 52:27D-46 et seq., if the participant is 60 years of a2e or older.		8:36- 5.7(a)4		8:43F-3.3 (d) 8(ii)			
Notification of any suspected case of abuse or exploitation of a participant who is 16 or 17 years of age to the NJ Department of Children and Families, Division of Youth and Family Services.				8:43F-3.3 (d) 8(iii)			
Notification of any suspected case of child abuse or exploitation to New Jersey Department of Children and Families, Division of Youth and Family Services.					8:43J-3.4 (e)17(i)		
Termination of employment of the administrator, and the name and qualifications of the administrator's replacement. If a new administrator cannot be designated within 72 hours, the facility shall notify the Department in writing and the facility shall make arrangements, which are acceptable to the Department, for administrative supervision. A new administrator shall be appointed within 30 days.	8:39-9.4(e)	8:36- 5.10(a)1	8:43- 4.9(a)1	8:43F-3.4 (a)(2)	8:43J-3.6 (a) 1(i-ii)	8:37-2.3	

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Examples of reportable events in the nature of physical plant and operational interruptions include, but are not limited to, the following:							
1. Loss of heat or air conditioning;	8:43E- 10.11 (c) (1)	8:43E- 10.11 (c) (1)	8:43E- 10.11 (c) (1)	8:43E- 10.11 (c) (1)	8:43E- 10.11 (c) (1)	8:43E- 10.11 (c) (1)	
2. Loss or significant reduction of water, electrical power, or any other essential utilities necessary to the operation of the facility;	8:43E- 10.11 (c) (2)	8:43E- 10.11 (c) (2)	8:43E- 10.11 (c) (2)	8:43E- 10.11 (c) (2)	8:43E- 10.11 (c) (2)	8:43E- 10.11 (c) (2)	
3. Fires, disasters, or accidents that result in injury or death of patients, residents or employees, or in evacuation of patients or residents from all or part of the facility.	8:43E-10.11 (c) (3)	8:43E- 10.11 (c) (3)	8:43E- 10.11 (c) (3)	8:43E-10.11 (c) (3) and 8:43F- 3.4(a)(5)	8:43E-10.11 (c) (3)	8:43E-10.11 (c) (3)	
4. A labor stoppage or staffing shortage sufficient to require the temporary closure of a service; and	8:43E-10.11 (c) (4)	8:43E- 10.11 (c) (4)	8:43E- 10.11 (c) (4)	8:43E- 10.11 (c) (4)	8:43E- 10.11 (c) (4)	8:43E- 10.11 (c) (4)	
5. Notices of a potential strike that a facility receives from an employee bargaining unit. (See full text regulation)	8:43E-10.11 (c)(5)	8:43E- 10.11 (c) (5)	8:43E- 10.11 (c) (5)	8:43E- 10.11 (c) (5)	8:43E- 10.11 (c) (5)	8:43E-l0.11 (c)(5)	
Unanticipated interruption or cessation of program services for 3 hours or more (excluding closure for inclement weather).				8:43F- 3.4 (a)1			
Interruption for three or more hours of basic physical plant services, such as heat, light, power, water, food or staff		ALP: 8:36- 23.1 (a)(b)1					
Any actual or expected interruption or cessation in assisted living program operations and services		8:36-23.17 (b)(2)					
A health care facility shall immediately report to the appropriate police authorities all criminal acts or potentially criminal acts that occur within a facility and pose a danger to the life or safety of patients or residents, employees, medical staff or members of the public present in the facility. (** Federal LTC F608 see comments)	8:43E-10.11 (a) ** F608 483.12 (b)(5)(i)	8:43E- 10.11 (a)	8:43E- 10.11 (a)	8:43E- 10.11 (a)	8:43E- 10.11 (a)	8:43E-10.11 (a)	Per Federal S&C-11- 30-NH letter, revised 1-20-11
Examples of reportable events in the nature of potentially criminal acts include, but are not limited to, the following:							
1. Any instance of care ordered by or provided by someone impersonating a physician, nurse, pharmacist, or other licensed health care provider;	8:43E-10.11 (d)(1)	8:43E- 10.11 (d)(1)	8:43E- 10.11 (d)(1)	8:43E- 10.11 (d)(1)	8:43E- 10.11 (d)(l)	8:43E-10.11 (d)(1)	
2. Abduction of a patient or resident of any age;	8:43E-10.11 (d)(2)	8:43E- 10.11 (d)(2)	8:43E- 10.11 (d)(2)	8:43E-10.11 (d)(2)	8:43E- 10.11 (d)(2)	8:43E-to.11 (d)(2)	
3. Sexual assault on a patient or resident, staff member, or visitor within or on the grounds of a facility; and	8:43E-10.11 (d)(3)	8:43E- 10.11 (d)(3)	8:43E- 10.11 (d)(3)	8:43E- 10.11 (d)(3)	8:43E-10.11 (d)(3)	8:43E-10.11 (d)(3)	

The facility shall notify the Department of Health immediately by telephone at (609) 633-8991, or (800) 792-9770 after business hours or Hippocrates URL: https://hippocrates.nj.govlaccess,followed by written confirmation within 72 hours for the following:	LTC	AL ALP CPCH	RHC	Adult MDC	Pediatric MDC	Dementia Homes	Comments
Termination of employment of the Director of Nursing and the name and qualifications of the Director of Nursing's replacement. (See full text of regulations for additional mandatory notification requirements.)				8:43F-3.4 (a)(3)	8:43J- 3.6(a)l and 3.6(a) 2(i,ii)		
A health facility shall report incidents of infectious and communicable diseases to the Department pursuant to N.J.A.C. 8:57.	8:43E-10.11 (e)	8:43E- 10.11 (e)	8:43E- 10.11 (e)	8:43E- 10.11 (e)	8:43J- 3.4(e)17(iv) 8:43E- 10.11 (e)	8:43E-l0.11 (e)	
The facility shall have a system for investigating, evaluating, and reporting the occurrence of all reportable infections and diseases as specified in Chapter II of the State Sanitary Code (N.J.A.C. 8:57-1).	8:39-19.4(f)						
In accordance with Chapter II, NJ State Sanitacy Code, Communicable Diseases, N.J.A.C. 8:57, a system for investigating, reporting, and evaluating the occurrence of all infections or diseases which are reportable or conditions which may be related to activities and procedures of the facility, and maintaining records for all residents or personnel having these infections, diseases, or conditions.		8:36- 18.3(a)	8:43- 16.3(a)l	8:43F- 16.2(i)l	8:43J- 15.3(b)l		
Occurrence of epidemic disease in the facility.				8:43F- 3.4(a)4			
The facility shall notify the Department mediately in writing at such time as it becomes financially insolvent and upon the filing of a voluntary or involuntary petition for bankruptcy under Title 11 of the United States Code.	8:39-9.4(d)						
Any elopements.		8:36- 5.10(a)2					
The facility shall notify the Department of the admission of any resident under 18 years of age.	8:39-9.4(g)	2.13(4)2					
All residents who are missing for 24 hours.			8:43- 4.9(a)2				