

# STATE OF NEW JERSEY DEPARTMENT OF HUMAN SERVICES DIVISION OF MENTAL HEALTH AND ADDICTION SERVICES

#### REQUEST FOR PROPOSALS

### **INTEGRATED CARE PROGRAM**

April 3, 2025

Renee C. Burawski, Assistant Commissioner

Division of Mental Health and Addiction Services

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#### I. Purpose and Intent

This Request for Proposals (RFP) is issued by the New Jersey Department of Human Services (DHS), Division of Mental Health and Addiction Services (DMHAS) for the implementation and promotion of the Integrated Care Program (ICP).

#### **Funding Overview:**

DMHAS anticipates making several awards for a combined total of \$900,000 per year in funding, with the possibility of renewal for up to three years.

Bidders must specify the number of individuals they propose to serve, and the total amount of funding requested. The number of awards granted will be contingent on the proposed client capacity and the feasibility of the funding request. We encourage bidders to adopt a conservative approach in their proposals. Contract may be renewed annually, contingent upon continued federal appropriations and successful completion of deliverables and reporting. A detailed project budget will be requested upon award.

This initiative will promote the integration and co-location of physical and behavioral healthcare to improve overall wellness and physical health status. The program is expected to promote the implementation and improvement of bi-directional integrated care services including; evidence-based or evidence-informed screening, assessment, diagnosis, prevention, treatment, and recovery services for a primary substance use disorder or a substance use disorder with a co-occurring mental illness, and co-occurring physical health conditions. With this initiative, DMHAS aims to improve the health outcomes for persons with behavioral health conditions by supporting an integrated care model for behavioral and primary physical health.

This RFP is funded through the Substance Abuse and Mental Health Services Administration's (SAMHSA) State Opioid Response (SOR) grant. The SOR grant period is September 30, 2024 to September 29, 2027. Funding is available for Federal Fiscal Year (FFY) 2025 and may be available for FFYs 2026-2027 depending on federal appropriations.

The successful bidder(s) shall ensure that the services provided ensure diversity, inclusion, equity, and cultural and linguistic competence to the target population. The successful bidder(s) shall continually assess and utilize demographic data of participants' catchment area in its development and delivery of programming, evaluation, and program outcomes to ensure it is relevant to the population served. Additionally, the successful bidder(s) shall analyze data to implement strategies to increase program participation.

No funding match is required; however, bidders shall identify any other sources of funding, both in-kind and monetary, that shall be used on their proposal budget. Bidders may not fund any costs incurred for the planning or preparing a proposal in response to this RFP from current DHS/DMHAS contracts.

The following summarizes the **anticipated** RFP schedule:

| April 3, 2025       | Notice of Funding Availability   |
|---------------------|--|
| April 10, 2025      | Questions on RFP are due no later than 4:00 p.m. ET  |
| May 8, 2025         | Deadline to submit written intent to apply - no later than 4:00 p.m. ET  |
| May 8, 2025         | Deadline to request DHS secure file transfer protocol (SFTP) site login credentials - no later than 4:00 p.m. ET |
| May 15, 2025<br>TBD | Deadline for receipt of proposals - no later than 4:00 p.m. ET Appeal deadline - no later than 4:00 p.m. ET      |

Bidders are responsible for monitoring the DHS website<sup>1</sup> for updates to the RFP schedule.

#### II. Background and Population to be Served

Individuals with substance use disorders (SUDs) and serious mental illness (SMI) are at higher risk for increased mortality and illness from chronic physical health conditions, such as cardiovascular disease, obesity, diabetes, hypertension, and dyslipidemia. These physical health conditions are often exacerbated by poor-quality health care services that are fragmented and inadequate to address their complex needs. To better address these needs, systems must provide integrated care that addresses both physical and behavioral health needs.

Access to behavioral health care is also a persistent problem.<sup>2</sup> Among those 18 years and older with any mental illness, 53.9% received MH treatment in the past year. Of those 12 years and older who had a need for SUD treatment, only 23.6% received SUD treatment in the past year. DMHAS aims to improve access to whole-person health by increasing capacity for treatment centers to provide integrated primary care and behavioral health care.

DMHAS was awarded a grant from the Substance Abuse and Mental Health Services Administration (SAMHSA) to implement a Promoting Integration of Primary and Behavioral Health Care (PIPBHC) initiative, a five-year project with a March 31, 2020 start date and ending on March 30, 2025. The services implemented from these awards addressed barriers to medical services and related care for eligible clients by developing an integrated system of care between participating Opioid Treatment Programs (OTPs) and primary care providers. DMHAS developed and tracked the effectiveness of two different service models; one model is a collaboration between an OTP and a Federally Qualified Healthcare Center (FQHC), the second model is with a single provider that has a co-located OTP and FQHC or other primary care clinic.

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<sup>&</sup>lt;sup>1</sup> https://www.nj.gov/humanservices/providers/grants/rfprfi/

<sup>&</sup>lt;sup>2</sup> https://www.samhsa.gov/data/report/2023-nsduh-annual-national-report

Throughout the duration of the program, DMHAS noted the effectiveness of the fully-integrated and co-located care services model. Many clients have engaged in the PIPBHC integrated physical health and addiction care with the overall desire to improve their health and their lives. The NJ PIPBHC has demonstrated that co-located care which provides easy access to integrated services is attractive to individuals in need of care and is effective. It is DMHAS's goal to continue the promotion of this model through the Integrative Care Program (ICP). Through the care delivered at the participating agencies, qualifying individuals will receive behavioral health services that are integrated with treatment for their substance use disorder.

#### III. Who Can Apply?

To be eligible for consideration for this RFP, the bidder must satisfy the following requirements:

- The bidder must be a non-profit or for-profit entity or governmental entity;
- The bidder must be licensed as an Ambulatory Care facility from the NJ Department of Health (DOH) and provide Medications for Opioid Use Disorder (MOUD), Medications for Alcohol Use Disorder (MAUD), behavioral health screening and assessment, case management, care coordination, and brief intervention;
- For a bidder that has a contract with DMHAS in place when this RFP is issued, that bidder must have all outstanding Plans of Correction for deficiencies submitted to DMHAS for approval prior to proposal submission;
- The bidder must be fiscally viable based upon an assessment of the bidder's audited financial statements. If a bidder is determined, in DMHAS' sole discretion, to be insolvent or to present insolvency within the twelve (12) months after bid submission, DMHAS will deem the proposal ineligible for contract award:
- The bidder must not appear on the State of <u>New Jersey Consolidated Debarment</u> <u>Report</u><sup>3</sup> or be suspended or debarred by any other State or Federal entity from receiving funds;
- Pursuant to DHS Contract Policy and Information Manual Policy Circular 8.05, the bidder shall not have a conflict, or the appearance of a conflict, between the private interests and the official responsibilities of a person in a position of trust. Persons in a position of trust include Provider Agency staff members, officers and Governing Board Members. A bidder must have written Conflict of Interest policies and procedures that satisfy the requirements of P8.05, thereby ensuring that paid Board members do not participate in transactions except as expressly provided in the P8.05 circular; and
- Pursuant to N.J.S.A. 52:32-44, a for-profit bidder and each proposed subcontractor must have a valid Business Registration Certificate on file with the

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<sup>&</sup>lt;sup>3</sup> http://www.nj.gov/treasury/revenue/debarment/debarsearch.shtml

Division of Revenue. This statutory requirement does not apply to non-profit organizations, private colleges and universities, or state and municipal agencies.

#### IV. Contract Scope of Work

#### **Program Description:**

- Each FQHC, FQHC Look-Alike<sup>4</sup>, and Primary Care clinic (PC) provider participating in this initiative shall obtain a commitment from a licensed OTP in order to receive and serve facilitated referrals and coordinate care. Each FQHC, FQHC Look-Alike, or PC provider may also choose to seek licensure as an OTP, but would need to affiliate with an existing OTP until they attain proper licensure.
- The successful bidder(s) must be enrolled in the 340B Drug Pricing Program.
- FQHC, FQHC Look-Alike, or PC provider will accept and coordinate referrals from partnering OTPs and walk-ins into the program.
- The successful bidder(s) shall affiliate with a local DOH designated Harm Reduction Center to receive referrals.
- In addition to medical staff with the ability to provide basic addiction services such as MOUD, MAUD and patient education services, the successful bidder(s) will provide the services of:
  - o A Behavioral Health Specialist who will provide brief intervention, and;
  - Patient Navigators; Peer Navigators and services are preferred but not required.
- Implementation of medical assessment and/or screening and treatment or referral for illnesses, including those related to SUD, is mandatory.
- The successful bidder(s) will provide medical assessments and treatment of skin and soft tissue infections (SSTI) related to injection drug and xylazine use.
- Health measurements will include blood pressure, cholesterol, HgbA1c, diabetes, tuberculosis, heart disease, cancer, HIV, sexually transmitted infections and Hepatitis A, B and C in accordance with the US Preventive Services Task Force (USPSTF).
- The agency will screen all admissions for substance use disorder and cooccurring mental illness, and tobacco/nicotine use using evidence-based tools. Those at risk will be referred to a FQHC, FQHC Look-Alike, or PC Behavioral Health Clinician for assessment, treatment, or referral to a specialty Behavioral Health provider agency.
- Hepatitis C screening, diagnosis, and treatment will be conducted using <sup>5</sup>point-of-care testing.
- Vaccination will be provided as needed, including HCV vaccines.
- Providers are required to offer HIV Pre-Exposure Prophylaxis (PrEP).

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<sup>&</sup>lt;sup>4</sup> A "Look-Alike" refers to a community-based healthcare provider that fully complies with all the requirements of the Health Resources and Services Administration (HRSA) Health Center Program, but does not receive any funding from the program.

<sup>&</sup>lt;sup>5</sup> https://www.fda.gov/news-events/press-announcements/

- The successful bidder(s) will prescribe and or dispense addiction medications, including but not limited to, oral and injectable buprenorphine, oral and injectable naltrexone, and naloxone, as well as methadone coordinated through an OTP.
- The clinic will offer nicotine replacement therapy to all patients, when clinically indicated.
- The successful bidder(s) will refer to and coordinate care with specialty behavioral health and specialty medical providers for patients, when clinically indicated.
- The successful bidder(s) will ensure that all behavioral health and physical health services are coordinated and delivered collaboratively.
- The successful bidder(s) will provide evidence-based practices that include motivational interviewing, harm reduction, and patient education.
- The successful bidder(s) will implement, Direct Acting Antiretroviral Therapy (DAART) for individuals receiving treatment for HCV. In order to ultimately achieve sustained virologic response (SVR), individuals with OUD/HCV must:
  - o be tested for, diagnosed with, and made aware of their HCV infection;
  - become engaged with a healthcare provider with adequate service capacity;
  - be evaluated for and initiate treatment;
  - o adhere to and complete treatment, and;
  - learn to avoid re-infection.
- The successful bidder(s) will provide interventions for individuals with HIV to improve patients' adherence to medication and their retention in treatment will be implemented, including:
  - o rapid linkage to treatment after diagnosis,
  - health literacy training, appointment reminders, confirmation calls, and follow-up calls after missed appointments,
  - o coordination or provision of transportation and child-care, and
  - education about harm reduction and strategies to reduce viral transmission to avoid reinfection cease spreading the virus.
- The successful bidder(s) will provide education about risks and transmission to those at risk for HIV/HCV.

The successful bidder(s) shall include evidence of their commitment to equity and reduction of disparities in access, quality, and treatment/program outcomes of marginalized populations. This includes a diversity, inclusion, equity, cultural/linguistic competence plan as outlined in the National Culturally and linguistically appropriate services (CLAS) standards. The plan should include information about the following domains: workforce diversity (data informed recruitment), workforce inclusion, reducing disparities in access quality, and outcomes in the target population, and soliciting input for diverse community stakeholders and organizations. Additionally, the successful bidder(s) should describe how it shall use available demographic data from agency and target population catchment area (race/ethnicity/gender/sexual/orientation/language) to shape decisions pertaining to services, agency policies, recruitment, and hiring of staff.

Providers and their system partners shall work together to identify and combat barriers that may impede the target population from seeking and accessing services. Obstacles to services may include misinformation and lack of knowledge regarding the target populations' race, ethnicity, sexual orientation, substance use, socioeconomic status, generational considerations, and language, etc.

The successful bidder(s) shall:

- Collaborate with system partners to ensure coordination, equity, and inclusion of care
- Deliver services in a culturally competent manner that exemplify National CLAS Standards.
- Ensure services meet the language access needs of individuals served by this project (e.g., limited English proficiency, Deaf/American Sign Language (ASL), Braille, limited reading skills).
- Coordinate and lead efforts to reduce disparities in access, quality, and program outcomes.

Capacity to accommodate individuals who present or are referred with legitimately prescribed medications can be accomplished either through direct provision of services associated with the provision or dispensing of medications and/or via development of viable networks/referrals/consultants/sub-contracting with those who are licensed and otherwise qualified to provide medications.

This program is designed to provide integrated and co-located behavioral health and physical health services in a welcoming and non-judgmental environment. The intent of this funding is to assist providers to increase their structural capacity to provide integrated services. It is the DMHAS goal that when this grant ends, the providers will have systems in place that can sustain integrated care for the patients they serve.

#### Staffing:

The successful bidder(s) will staff ICP services per the following:

- Hire and employ Behavioral Health (BH) Clinician(s) to perform assessments and brief BH care. Licensed Clinical Social Workers, Licensed Professional Counselors, Licensed Marriage and Family Therapists or other licensed behavioral health professional with training and/or experience in healthcare settings preferred.
- Hire and maintain the services of staff responsible for data collection and submit all data reporting as required by DMHAS and SAMSHA.
- Identify a liaison to oversee the project, serving as the primary point of contact.
- Hire and employ navigators. Navigators will possess a bachelor's degree or associate's degree. Peers are preferred, but not required in this role.
- The addition of peer services to the ICP treatment team is not required, but is recommended. If employed, peers will be or become certified as either a Peer

- Recovery Specialist (CPRS) or a National Certified Peer Recovery Support Specialist (NCPRSS).
- If applicable, the successful bidder(s) shall ensure compliance for Behavioral Health Clinicians with Title 45, Chapter 6, Clinical Supervision in the New Jersey Office of the Attorney General, Division of Consumer Affairs, State Board of Marriage and Family Therapy Examiners Alcohol and Drug Counselor Committee, Statutes and Regulations and Department of Health, Standards for licensure of Substance Use Disorder Treatment Facilities.
- All clinical supervision shall be documented, to include date, type, name of supervisor and supervisee, and cases/topics reviewed and discussed.

The successful bidder(s)' budget must reflect an indirect cost rate that complies with 45 CFR Part 75, and any additional terms and conditions of the federal Notice of Award. https://www.ecfr.gov/current/title-45/subtitle-A/subchapter-A/part-75.

Note the following direct salary restriction: The Consolidated Appropriations Act, 2022 (Public Law 111-103) restricts the amount of direct salary that may be charged to this initiative. Effective January 1, 2024, the salary limitation for Executive Level II is \$221,900. Salary amounts over the limitation are considered unallowable expenses under the grant and as such, cannot be direct charged by the successful bidder (the sub-recipient) to DMHAS, the pass-through entity. 48 CFR 352.231-70 and 48 CFR 331.101-70: see also. US DHHS Salary Limitations Rate https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-termsconditions.

The successful bidder(s) shall describe their efforts to ensure workforce diversity and inclusion in the recruiting, hiring, and retention of staff who are from or have had experience working with target population and other identified individuals served in this initiative. Additionally, the successful bidder(s) shall ensure that there is a training strategy related to diversity, inclusion, cultural competence, and the reduction of disparities in access, quality, and outcomes for the target population. The trainings shall include education about implicit bias, diversity, recruitment, creating inclusive work environments, and providing languages access services.

The successful bidder(s) must have in place established, facility-wide policies that prohibit discrimination against consumers of prevention, treatment and recovery support services who are assisted in their prevention, treatment and/or recovery with legitimately prescribed medication(s). These policies must be in writing, legible and posted in a clearly visible, common location accessible to all who enter the facility.

Moreover, no individual admitted into a treatment facility, or a recipient of or participant in any prevention, treatment or recovery support services, shall be denied full access to, participation in and enjoyment of that program, service or activity, available or offered to others, due to the use of legitimately prescribed medications.

#### **Data Collection and Reporting:**

The successful bidder(s) must comply with the DMHAS' program evaluation by responding to all data requests from DMHAS and its third-party evaluator, thus enabling DMHAS to meet all federal data reporting requirements, including but not limited to the GPRA data collection.

#### 1. Government Performance and Results Requirements Act of 2010 (GPRA):

The successful bidder(s) must collect and report certain data so that SAMHSA can meet its obligations under GPRA. The successful bidder(s) is required to report a series of data elements that shall enable SAMHSA to determine the impact of the program on opioid use, and opioid-related morbidity and mortality. Grantee is required to report client-level data on elements including but not limited to: diagnosis, demographic characteristics, substance use, services received, types of Medication Assisted Treatment (MAT) received; length of stay in treatment; employment status, criminal justice involvement, and housing. The successful bidder(s) is required to ensure all data reported are accurate.

Data shall be collected via a face-to-face interview using this tool at three data collection points: intake to services, six-months post intake, and at discharge. The GPRA intake interview must be completed within four days after the client begins receiving services. The GPRA follow-up window for the six-month interview is five to eight months after the intake interview. The discharge interview should be conducted on the day of discharge or after 30 days have elapsed if the client is lost to contact and has had no contact with the program for 30 days.

The successful bidder(s) shall be expected to do a GPRA interview on all clients in their specified unduplicated target number and are also expected to achieve a sixmonth follow-up rate of 80 percent. The successful bidder(s) must submit completed GPRA forms to DMHAS for inputting into SAMHSA's Performance Accountability and Reporting System (SPARS). Details regarding submission of GPRA forms to DMHAS will be provided after award. GPRA training and technical assistance will be offered to bidders.

- Complete the following data collection responsibilities including:
  - Employing staff responsible to collect GPRA and other data and staff assigned to track and coordinate data.
  - Interviewing patients to collect data at the assigned intervals within the designated timeframes required.
  - Tracking follow-up interviews needed and assuring that all follow up data collection interviews are identified and scheduled.
  - Discharge clients from GPRA who are lost to contact.
  - Provide outreach to patients to ensure that they return for data collection interviews.
  - The process for submitting data to DMHAS.
    - If applicable, tracking and reporting on any incentives offered to patients for GPRA completion. The maximum incentive allowed is

\$30 per patient for completion of a GPRA follow up interview. Any incentives must be in the form of non-cash, e.g., a generic gift cards (i.e., Visa, MasterCard) that can be used anywhere. The successful bidder(s) will track and manage patient incentives.

- An incentive may be offered to patients for completion of the 6-month follow-up interview as long as an intake GPRA interview was also completed.
   Incentives may only be offered to patients for completion of the follow-up interviews. Please include the incentives in the budget.
- The successful bidder(s) shall submit a separate budget and quarterly expenditure report identifying expenses incurred by the program to the Division of Mental Health and Addiction Services (DMHAS) Fiscal Unit.

The successful bidder(s) will report in the NJ-SOR module, which includes: basic demographic, admission, discharge, and referral information.

#### Other:

All data, technical information, materials gathered, originated, developed, prepared, used or obtained in the performance of the requested services, including but not limited to, all papers, reports, surveys, plans, charts, records, analyses or publications produced for, or as a result of, this agreement (hereinafter "Work Product") shall bear an acknowledgement of DMHAS' support and shall be the property of DMHAS. The successful bidder(s) shall submit any such work product to DMHAS sixty (60) days prior to the publication or presentation. DMHAS shall have sixty (60) days from the date the document is delivered to review. A party shall agree to abide by the policies of the applicable journals and presentations organizers as to such matter as the public release or availability of data related to the publication or presentation, including poster presentations (collectively "Publications"). All parties shall mutually agree to resolve any difference which may arise during the review of a Publication. Authorship of Publications of the research results will be determined in accordance with appropriate scientific and academic standards and customs. Proper acknowledgements will be made for the contribution of each party to the research. Due consideration shall be given to the scheduling of any Publication to allow time to: (a) seek protection of any intellectual property which may be developed by one of the parties, such period not to exceed thirty (30) days and (b) identify confidential information which one party may wish to delete. It is recognized that due to the nature of the services of the RFP, articles may be jointly authored, and such joint authorship shall be so recognized where appropriate. No work product produced utilizing funds or data obtained under this Agreement shall be released to the public without the prior written consent of DMHAS. DMHAS shall have the right to edit such work product and shall further have the right to add co-authorship or disclaimers as it, in its sole discretion, deems appropriate. DMHAS shall assume all responsibilities relative to determining compliance and effect of the Open Public Records Act (N.J.S.A. 47:1A-1) as it pertains to work products provided by the successful bidder(s).

DMHAS reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, the work products (deliverables) developed pursuant to the RFP.

This funding is payer of last resort. It cannot supplant other funding sources.

 The successful bidder(s) shall ensure that when a consumer has other health insurance, including NJFamilyCare, such benefits must be used first and to the fullest extent, prior to utilizing DMHAS funding. Other examples, include, but are not limited to, third party insurance, the state uncompensated care fund, and other grants.

#### V. General Contracting Information

Bidders must currently meet or be able to meet the terms and conditions of the Department of Human Services (DHS) contracting rules and regulations as set forth in the Standard Language Document, the Contract Reimbursement Manual, and the Contract Policy and Information Manual. These documents are available on the <u>DHS</u> website<sup>6</sup>.

Bidders are required to comply with the Affirmative Action Requirements of Public Law 1975, c. 124 (N.J.A.C. 17:27) and the requirements of the Americans with Disabilities Act of 1991 (P.L. 101-336).

Budgets should accurately reflect the scope of responsibilities in order to accomplish the goals of this project.

All bidders will be notified in writing of the State's intent to award a contract.

Funds may be used only to support services that are specific to this award; hence, this funding may not be used to supplant or duplicate existing funding streams. Actual funding levels will depend on the availability of funds and satisfactory performance.

Should the provision of services be delayed through no fault of the successful bidder(s), funding continuation will be considered on a case-by-case basis dependent upon the circumstances creating the delay. In no case shall the DMHAS continue funding when service commencement commitments are not met, and in no case shall funding be provided for a period of non-service provision in excess of three (3) months. In the event that the timeframe will be longer than three (3) months, DMHAS must be notified so the circumstances resulting in the anticipated delay may be reviewed and addressed. Should services not be rendered, funds provided pursuant to this agreement shall be returned to DMHAS.

The bidder must comply with all rules and regulations for any DMHAS program element of service proposed by the bidder. Additionally, please take note of the Community

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<sup>&</sup>lt;sup>6</sup> https://www.nj.gov/humanservices/olra/contracting/policy/

Mental Health Services Regulations N.J.A.C. § 10:37-1.1 et. seq., which apply to all contracted mental health services. These regulations can be accessed on the <a href="DHS website">DHS website</a><sup>7</sup>.

#### VI. Written Intent to Apply and Contact for Further Information

Bidders must email <u>SUD.upload@dhs.nj.gov</u> no later than 4:00 p.m. ET on May 8, 2025 indicating their agency's intent to submit a proposal for the Integrated Care Program. The bidder must email their notice of intent to submit a proposal no later than the May 8, 2025 deadline. If a bidder's notice to intent to submit a proposal is received after the deadline their agency is not eligible to submit a proposal for consideration. Submitting a notice of intent to apply does not obligate an agency to apply.

RFP directed Any questions regarding this should be via email to SUD.upload@dhs.nj.gov no later than 4:00 p.m. ET on April 10, 2025. All questions and responses will be compiled and emailed to all those who submit a question or provide a notice of intent to apply. Bidders are guided to rely upon the information in this RFP and the responses to questions submitted by email to develop their proposals. Specific guidance, however, will not be provided to individual bidders at any time.

#### VII. Required Proposal Content

All bidders must submit a written narrative proposal that addresses the following topics, and adheres to all instructions and includes required supporting documentation, noted below:

#### Funding Proposal Cover Sheet (RFP Attachment A)

#### **Bidder's Organization, History and Experience (10 points)**

Provide a brief and concise summary of the bidder's background and experience in implementing this or related types of services and explain how the bidder is qualified qualification to fulfill the obligations of the RFP. The written narrative should:

- 1. Describe the agency's history, mission, purpose, current licenses and modalities, and record of accomplishments. Explain the agency's work with the target population and marginalized underserved populations, and the number of years' experience working with the target population and marginalized underserved populations.
- 2. Please describe the need in your community and how the ICP can address this need.
- 3. Describe the number of clients to be served by the treatment team funded by this initiative.
- 4. Describe the bidder's background and experience in implementing this or related types of services. Describe why the bidder is the most appropriate and best qualified to implement this program in the target service area.

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<sup>&</sup>lt;sup>7</sup> http://www.nj.gov/humanservices/providers/rulefees/regs/

- 5. Summarize the bidder's administrative and organizational capacity to establish and implement sound administrative practices and successfully carry out the proposed program.
- 6. Describe the bidder's current status and history relative to debarment by any State, Federal or local government agency. If there is debarment activity, it must be explained with supporting documentation, such as an appendix, to the bidder's proposal.
- 7. Provide a description of all active litigation in which the bidder is involved, including pending litigation of which the bidder has received notice. Failure to disclose active or pending litigation may result in the agency being ineligible for contract award at DMHAS' sole discretion.
- 8. Include a description of the bidder's ability and commitment to provide culturally competent services (CLAS Standards) and diversity (Law against Discrimination, N.J.S.A. 10.5-1et seq.). Attach a cultural competency plan as an addendum and discuss in the narrative how the plan shall be updated and reviewed regularly.
- 9. Describe the bidder's plan to bring the initiative to a conclusion at the end of the contract.
- 10. If applicable, document that the bidder's submissions are up-to-date in the New Jersey Substance Abuse Management System (NJSAMS), Unified Service Transaction Form (USTF+), Quarterly Contract Monitoring Report (QCMR) and Bed Enrollment Data System (BEDS).
- 11. Describe the bidder's current status and compliance with DMHAS contract commitments in regard to programmatic performance and level of service, if applicable.
- 12. Provide the bidders ownership chart that shows the financial and voting interests, among other attributes. The company ownership chart must identify the types of legal entities and Federal Tax Identification Number (FEIN).
- 13. A Department Contract is not a Marketable Asset that may be purchased from a Provider Agency by another organization through an Acquisition, Affiliation, Consolidation, Merger, etc. Provide details of recent or pending Acquisition, Affiliation, Consolidation, or Merger of the bidder.

#### **Project Description (35 points)**

In this section, the bidder shall provide an overview of how the services detailed in the scope of work shall be implemented and the timeframes involved, specifically addressing the following:

#### 1. Organization capacity:

- Include in the attachments a copy of a document which will memorialize an OTP's commitment to coordinate with and to receive and serve facilitated referrals and coordinate care when appropriate.
- If applicable, include in attachments, the provider license as an OTP.
- Include an attestation that your agency is enrolled in the 340B Drug Pricing Program.
- Describe how your agency will incorporate the services of a Behavioral Health Specialist and Patient Navigators into its clinic work flow.

• Please describe interdisciplinary teams and team meetings at your agency and how the staff of this program will be incorporated.

#### **2. Direct Services** – Describe how the agency shall provide the following:

- Describe how all patients seeking services to the FQHC, FQHC Look-Alike, or PC will be screened for the risk of SUD and mental health disorders
- Identify any changes in your current policies and procedures that you will need in order to implement universal screening for SUD.
- Describe your process for identifying and referring patients to the ICP services within your agency.
- Describe how you will implement screening for mental health and tobacco/nicotine using an evidence-based tool.
- Based on the screening results, describe how you will refer for or provide a full assessment for patients.
- Describe how you will provide medical assessments and treatment of skin and soft tissue infections (SSTI) related to injected drugs and xylazine use.
- Describe your ability to provide addiction medications, including, oral and injectable buprenorphine, oral and injectable naltrexone, naloxone and nicotine replacement therapy.
- Describe how you will coordinate methadone through an OTP, when clinically appropriate.
- Describe your process for screening and testing for blood pressure, cholesterol, HgbA1c, diabetes, tuberculosis, heart disease, cancer, HIV, sexually transmitted infections and Hepatitis A, B and C in accordance with the US Prevented Services Task Force (USPSTF).
- Describe which of the above-mentioned diagnoses you will treat and when you will refer to specialty care.
- Describe your process to offer patient education about high-risk behaviors and preventative measures related to diseases that commonly co-occur with SUD and mental illness.
- Describe your treatment or referral process for patients with tobacco use disorder.
- Describe how your team will monitor, refer to and coordinate care with specialty behavioral health and specialty medical providers for patients when clinically indicated.
- Describe the evidence-based practices that include motivational interviewing, harm reduction, patient education, that will be utilized in your program.
- Detail a proactive approach for identifying potential challenges, including specific strategies for addressing difficulties and barriers.

#### 3. Eliminating Disparities:

 Describe the organization's committees or workgroups that focus on efforts to reduce disparities in access, quality, and program outcomes for the target population. Include the membership of committee members and their efforts to review agency services/programs, correspond and collaborate with quality

- assurance/improvement, and make recommendations to executive management with respect to cultural competency.
- Describe how the demographic makeup of the catchment area population (race, ethnicity, gender, sexual orientation, language, etc.) shall shape the design and implementation of evidence based and best practice program approaches and interpretation of outcomes.
- Provide services according to cultural competency and diversity, including competency in treating individuals with OUD<sup>8</sup> and AUD.
- The bidder's capacity to accommodate all individuals who take legitimately prescribed medications and who are referred to or present for admission.
- Summary of the policies that prohibit discrimination against individuals who are assisted in their prevention, treatment and/or recovery from substance use disorders and/or mental illness with legitimately prescribed medication(s).

#### 4. Implementation Schedule:

- Share all anticipated barriers and potential problems the bidder foresees itself and/or the State encountering in the successful realization of the initiative described herein; and
- Describe all other resources needed to satisfy the requirements of the contract resulting from this RFP.
- Share the implementation schedule for the contract, including a detailed monthly timeline of activities, commencing with the date of award, through service initiation, to timely contract closure.
- Share a proposed approach to the business opportunity or problem described in the State's RFP, including the following.
  - o how the bidder's approach satisfies the requirements as stated in the RFP;
  - o the bidder's understanding of the project goals and measurable objectives;
  - all anticipated collaboration with other entities in the course of fulfilling the requirements of the contract resulting from this RFP;

#### Outcome(s) and Evaluation (10 points)

Provide the following information related to the projected outcomes associated with the proposal as well any evaluation method that shall be utilized to measure successes and/or setbacks associated with this project:

- 1. The bidder's approach to measurement of consumer satisfaction.
- 2. The bidder's measurement of the achievement of identified goals and objectives.
- 3. The evaluation of contract outcomes.
- 4. Description of all tools to be used in the evaluation.
- 5. Details about any outside entity planned for use to conduct the evaluation, including but not limited to the entity's name, contact information, brief description of credentials and experience conducting program evaluation.
- 6. Tools and activities the bidder shall implement to ensure fidelity to the evidence-based practice.

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<sup>&</sup>lt;sup>8</sup> Law Against Discrimination, N.J.S.A. 10:5-1et seq.

- 7. The assessment, review, implementation, and evaluation of quality assurance and quality improvement recommendations particularly noting any reduction of disparities and barriers in access, quality, and treatment/program outcomes.
- 8. Assurance that the bidder shall complete the data collection tool developed by DMHAS and cooperate with the DMHAS evaluator.
- 9. Identify the staff position that shall be responsible for oversight of the data collection.
- 10. Describe how data collection shall be incorporated in your agency's workflow.
- 11. Describe how you shall ensure that all data required by DMHAS and by the federal Government Performance Requirements Act (GPRA) is supplied to DMHAS and/or the SOR third-party evaluator.

## THERE MAY BE EXCEPTIONS LISTED FOR STAFFING, SUCH AS AN RFP FOR START UP FUNDS OR ONE TIME FUNDS

#### Staffing (20 points)

Bidders must determine staff structure to satisfy the contract requirements. Bidders should describe the proposed staffing structure and identify how many staff members shall be hired to meet the needs of the program.

- 1. Describe the composition and skill set of the proposed program team, including staff qualifications.
- 2. Describe how the staff to be hired will be incorporated in current staffing, e.g.
  - a) where will they be placed in your organization structure and who they will report to,
  - b) what meetings will they attend,
  - c) what role they will play on the interdisciplinary team(s),
  - d) how they will collaborate with other staff that are not included in the ICP.
- 3. Provide details of the Full Time Equivalent (FTE) staffing required to satisfy the contract scope of work. Describe proposed staff qualifications, including professional licensing and related experience.
- 4. Details should include currently on-board or to be hired staff, with details of recruitment effort. Identify bilingual staff and staff reflective of the population served.
- 5. Describe program efforts to recruit, hire and train staff who are from or have experience working with target population.
- 6. Describe the management level person responsible for coordinating and leading efforts to reduce disparities in access, quality, and outcomes for the populations served. Information provided should include the individual's title, organizational positioning, education, and relevant experience.
- 7. Provide copies of job descriptions or resumes as an appendix limited to two (2) pages each for all proposed staff.
- 8. Identify the number of work hours per week that constitute each FTE in the bidder's proposal. If applicable, define the Part Time Equivalent work hours.
- 9. Description of the proposed organizational structure, including an organizational chart in an appendix to the bidder's proposal.
- 10. The bidder's hiring policies, including background and credential checks, as well as handling of prior criminal convictions.

- 11. Provide a description of how the ICP staff/team will be integrated into the bidder(s) full programming. For example, their inclusion in multi-disciplinary team meeting.
- 12. Describe the strategy to deliver topics related to diversity, inclusion, cultural competence, and the reduction of discrepancies in the access, quality, and program outcomes, which includes information on implicit bias, diversity, recruitment, creating inclusive working environments, and providing languages access services.
- 13. The approach for supervision of clinical staff, if applicable.
- 14. The approach for peer supervision if peers are employed.
- 15. A list of the bidder's board members and their current terms, including each member's professional licensure and organizational affiliation(s). The proposal shall indicate if the Board of Directors votes on contract-related matters.
- 16. A list of consultants the bidder intends to utilize for the contract resulting from this RFP, including each consultant's professional licensure and organizational affiliation(s). Each consultant must be further described as to whether they are also a board member and, if so, whether they are a voting member. The bidder must identify all reimbursement the consultant received as a board member over the last twelve (12) months
- 17. Describe qualifications of the Behavioral Health Clinician(s). Provide details of Behavioral Health Clinician to be hired; include hours/staffing schedule, number of hours per staff, and credentials of staff. Identify bilingual staff.
- 18. Describe qualifications of Navigators. Provide details of Navigators to be hired; include hours/staffing schedule, number of hours per staff, and credentials of staff. Identify bilingual staff.

#### Facilities, Logistics, Equipment (5 points)

The bidder should detail its facilities where normal business operations shall be performed and identify equipment and other logistical issues, including:

- 1. A description of the manner in which tangible assets, i.e., computers, phones, other special service equipment, etc., shall be acquired and allocated.
- 2. A description of the bidder's Americans with Disabilities Act (ADA) accessibility to its facilities and/or offices for individuals with disabilities.
- 3. A description of the location(s) in which the program shall be held. Please provide information about accessibility, safety, access to public transportation, etc.

#### **Budget (20 points)**

DMHAS will consider the cost efficiency of the proposed budget as it relates to the scope of work. Therefore, bidders must clearly indicate how this funding shall be used to meet the program goals and/or requirements. In addition to the required Budget forms, bidders are asked to provide budget notes.

The budget should be reasonable and reflect the scope of responsibilities required to accomplish the goals of this project. All costs associated with the completion of the project must be delineated and the budget notes must clearly articulate budget items including a description of miscellaneous expenses and other costs.

- 1. A detailed budget using the Excel Budget template is required. Bidders must submit pricing using the Excel Budget template accompanying this RFP. Bidders should refer to Instructions for Excel Budget Template (Attachment E) for a clear understanding of how to work within the template file. The Budget template must be uploaded as an Excel file onto the file transfer protocol site as instructed in VIII. Submission of Proposal Requirements. Failure to submit the budget as an Excel file may result in a deduction of points. The standard budget categories for expenses include: A. Personnel, B. Consultants and Professionals, C. Materials and Supplies, D. Facility Costs, E. Specific Assistance to Clients, and F. Other. Supporting schedules for Revenue and General and Administrative Costs Allocation are also required. The budget must include two (2) separate, clearly labeled sections:
  - a. Section 1 Full annualized operating costs to satisfy the scope of work detailed in the RFP and revenues excluding one-time costs; and
  - b. Section 2 Proposed one-time costs, if any, which shall be included in the Total Gross Costs.
- 2. Budget notes detailing and explaining the proposed budget methodology, estimates and assumptions made for expenses and the calculations/computations to support the proposed budget are required. The State's proposal reviewers need to fully understand the bidder's budget projections from the information presented in its proposal. Failure to provide adequate information could result in lower ranking of the proposal. Budget notes, to the extent possible, should be displayed on the Excel template itself.
- 3. The name and address of each organization other than third-party payers providing support and/or money to help fund the program for which the proposal is being submitted.
- 4. For all proposed personnel, the template should identify the staff position titles and staff names for current staff and total hours per workweek.
- 5. Identify the number of hours per clinical consultant.
- 6. Staff fringe benefit expenses, which may be presented as a percentage factor of total salary costs, should be consistent with the bidder's current fringe benefit package.
- 7. If applicable, General & Administrative (G&A) expenses, otherwise known as indirect or overhead costs, should be included if attributable and allocable to the proposed program. Since administrative costs for existing DMHAS programs reallocated to a new program do not require new DMHAS resources, a bidder that currently contracts with DMHAS should limit its G&A expense projection to "new" G&A only by showing the full amount of G&A as an expense and the off-set savings from other programs' G&A in the revenue section.
  - a. There is a 15% limitation on indirect cost allocations for this initiative. Accordingly, the indirect/General and Administrative (G&A) costs in your proposal must *not* exceed 15% of your <u>overall</u> budget.
  - b. The maximum incentive allowed by SAMHSA is \$30 per patient for completion of the follow-up interview.
- 8. Written assurance that if the bidder receives an award pursuant to this RFP, it shall pursue all available sources of revenue and support upon award and in future contracts, including agreement to obtain approval as a Medicaid-eligible provider.

#### 9. Budget GPRA incentives.

#### **Attachments/Appendices**

The enumerated items of Required Attachments #1 through #10 and Appendices #1 through #10 must be included with the bidder's proposal.

Please note that if Required Attachments #1 through #6 are not submitted and complete, the proposal will not be considered. Furthermore, the failure to provide documents necessary to assess fiscal viability (as identified in Attachments #7 through #9) may result in the disqualification of the bidder's proposal.

The collective of Required Attachments #1 through #6 and Appendices #1 through #10 is limited to a total of 50 pages. Audits and interim financial statements (Required Attachments #7, #8 and #9) do not count towards the appendices' 50-page limit. Attachments/Appendix information exceeding 50 pages will not be reviewed.

#### **Required Attachments**

- 1. Department of Human Services Statement of Assurances (RFP Attachment C);
- 2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions (RFP Attachment D);
- 3. Disclosure of Investment in Iran<sup>9</sup>;
- 4. Certificate of Non-Involvement in Prohibited Activities in Russia and Belarus<sup>10</sup>;
- 5. Statement of Bidder/Vendor Ownership Disclosure<sup>11</sup>;
- 6. Disclosure of Investigations and Other Actions Involving Bidder<sup>12</sup>
- 7. Pursuant to Policy Circular P 1.11, a description of all pending and in-process audits identifying the requestor, the firm's name and telephone number, and the type and scope of the audit:
- 8. Audited financial statements and Single Audits (A133), prepared for the two (2) most recent fiscal years:
- 9. All interim financial statements prepared since the end of the bidder's most recent fiscal year. If interim financial statements have not already been prepared, provide interim financial statements (balance sheet, income statement and cash flows) for the current fiscal year through the most recent quarter ended prior to submission of the bid; and
- 10. Department of Human Services Commitment to Defend and Indemnify Form (Attachment G).

#### **Appendices**

- 1. Copy of documentation of the bidder's charitable registration status<sup>13</sup>;
- 2. Bidder mission statement;

<sup>&</sup>lt;sup>9</sup> www.nj.gov/treasury/purchase/forms.shtml

<sup>10</sup> https://www.nj.gov/treasury/administration/pdf/DisclosureofProhibitedActivitesinRussiaBelarus.pdf

<sup>&</sup>lt;sup>11</sup> www.nj.gov/treasury/purchase/forms.shtml

www.nj.gov/treasury/purchase/forms.shtml

<sup>13</sup> www.njconsumeraffairs.gov/charities

- 3. Organizational chart;
- 4. Job descriptions of key personnel;
- 5. Resumes of proposed personnel if on staff, limited to two (2) pages each;
- 6. List of the board of directors, officers and terms;
- 7. Original and/or copies of letters of commitment/support;
- 8. Provide an ownership chart that shows the financial and voting interests, among other attributes. The company ownership chart must identify the types of legal entities and FEIN, limited to four (4) pages;
- 9. Cultural Competency Plan; and
- 10. Include additional attachments that were requested in the written narrative section.

#### VIII. Submission of Proposal Requirements

#### A. Format and Submission Requirements

DMHAS assumes no responsibility and bears no liability for costs incurred by the bidder in the preparation and submittal of a proposal in response to this RFP. The narrative portion of the proposal should be no more than 10 pages, be single-spaced with one (1") inch margins, normal character spacing that is not condensed, and not be in smaller than twelve (12) point Arial, Courier New or Times New Roman font. For example, if the bidder's narrative starts on page 3 and ends on page 13 it is 11 pages long, not 10 pages. DMHAS will not consider any information submitted beyond the page limit for RFP evaluation purposes. The budget notes and appendix items do not count towards the narrative page limit.

Proposals must be submitted no later than 4:00 p.m. ET on May 15, 2025. The bidder must submit its proposal (including proposal narrative, budget, budget notes, and appendices) electronically using the DHS secure file transfer protocol (SFTP) site. Proposals should be submitted in the following three files.

- PDF file of entire proposal consisting of proposal narrative, budget, budget notes, attachments and appendices. Do not include interim and audited financial statements and Single Audits (A133) which should be submitted in a separate PDF file (see #3 below). Label file with the following title: Name of Agency/Provider Integrated Care Program Proposal
- 2. Excel file of budget using the DMHAS Excel budget template. Label file with the following title: Name of Agency/Provider Integrated Care Program Budget
- 3. PDF file of interim and audited financial statements and Single Audits (A133), prepared for the two (2) most recent fiscal years template. Label file with the following title: Name of Agency/Provider Integrated Care Program Audit

Additionally, bidders must request login credentials for this RFP by emailing <u>SUD.upload@dhs.nj.gov</u> no later than 4:00 p.m. ET on May 8, 2025, in order to receive unique login credentials for the Integrated Care Program RFP to upload your proposal to the SFTP site. Email requests for login credentials must include the title of this RFP, individual's first name, last name, email address and name of agency/provider.

Proposals must be uploaded to the DHS SFTP site, <a href="https://securexfer.dhs.state.nj.us/login">https://securexfer.dhs.state.nj.us/login</a> using your unique login credentials.

#### B. Confidentiality/Commitment to Defend and Indemnify

Pursuant to the New Jersey Open Public Records Act (OPRA), N.J.S.A. 47:1A-1 et seq., or the common law right to know, proposals can be released to the public in accordance with N.J.A.C. 17:12-1.2(b) and (c).

Bidder should submit a completed and signed Commitment to Defend and Indemnify Form (Attachment G) with the proposal. In the event that Bidder does not submit the Commitment to Defend and Indemnify Form with the proposal, DHS reserves the right to request that the Bidder submit the form after proposal submission.

After the opening of the proposals, all information submitted by a Bidder in response to a Bid Solicitation is considered public information notwithstanding any disclaimers to the contrary submitted by a Bidder. Proprietary, financial, security and confidential information may be exempt from public disclosure by OPRA and/or the common law when the Bidder has a good faith, legal/factual basis for such assertion.

As part of its proposal, a Bidder may request that portions of the proposal be exempt from public disclosure under OPRA and/or the common law. Bidder must provide a detailed statement clearly identifying those sections of the proposal that it claims are exempt from production, and the legal and factual basis that supports said exemption(s) as a matter of law. DHS will not honor any attempts by a Bidder to designate its price sheet, price list/catalog, and/or the entire proposal as proprietary and/or confidential, and/or to claim copyright protection for its entire proposal. If DHS does not agree with a Bidder's designation of proprietary and/or confidential information, DHS will use commercially reasonable efforts to advise the Bidder. Copyright law does not prohibit access to a record which is otherwise available under OPRA.

DHS reserves the right to make the determination as to what to disclose in response to an OPRA request. Any information that DHS determines to be exempt from disclosure under OPRA will be redacted.

In the event of any challenge to the Bidder's assertion of confidentiality that is contrary to the DHS' determination of confidentiality, the Bidder shall be solely responsible for defending its designation, but in doing so, all costs and expenses associated therewith shall be the responsibility of the Bidder. DHS assumes no such responsibility or liability.

In order not to delay consideration of the proposal or DHS' response to a request for documents, DHS requires that Bidder respond to any request regarding confidentiality markings within the timeframe designated in DHS' correspondence regarding confidentiality. If no response is received by the designated date and time, DHS will be

permitted to release a copy of the proposal with DHS making the determination regarding what may be proprietary or confidential.

#### IX. Review of Proposals

There will be a review process for responsive proposals. DMHAS will convene a review committee of public employees to conduct a review of each responsive proposal.

The bidder must obtain a minimum score of 70 points out of 100 points for the proposal narrative and budget sections in order to be considered eligible for funding. In the event no bidder obtains the required minimum scores, DMHAS shall have discretion to award the contract to the highest scoring bidder(s).

DMHAS will award up to 20 points for fiscal viability, using a standardized scoring rubric based on the audit, which will be added to the average score given to the proposal from the review committee. Thus, the maximum points any proposal can receive is 120 points, which includes the review committee's averaged score for the proposal's narrative and budget sections combined with the fiscal viability score.

In addition, if a bidder is determined, in DMHAS' sole discretion, to be insolvent or to present insolvency within the twelve (12) months after bid submission, DMHAS will deem the proposal ineligible for contract award.

Contract award recommendations will be based on such factors as the proposal scope, quality and appropriateness, bidder history and experience, as well as budget reasonableness. The review committee will look for evidence of cultural competence in each section of the narrative. The review committee may choose to visit all bidder finalists to review existing program(s) and/or invite all bidder finalists for interview. The bidder is advised that the contract award may be conditional upon final contract and budget negotiation.

DMHAS reserves the right to reject any and all proposals when circumstances indicate that it is in its best interest to do so. DMHAS' best interests in this context include, but are not limited to, loss of funding, inability of the bidder(s) to provide adequate services, an indication of misrepresentation of information and/or non-compliance with State and federal laws and regulations, existing DHS contracts, and procedures set forth in Policy Circular P1.04<sup>14</sup>.

DMHAS will notify all bidders of contract awards, contingent upon the satisfactory final negotiation of a contract.

#### X. Appeal of Award Decisions

<sup>&</sup>lt;sup>14</sup> https://www.nj.gov/humanservices/olra/contracting/policy/

All appeals must be made in writing by 4:00 p.m. ET on Date to Be Determined, by emailing it to <a href="mailto:SUD.upload@dhs.nj.gov">SUD.upload@dhs.nj.gov</a> (subject line must include "Appeal and RFP title") and/or mailing or faxing it to:

Department of Human Services
Division of Mental Health and Addiction Services
Office of the Assistant Commissioner
PO Box 362
Trenton, NJ 08625-0362

Fax: 609-341-2302

The written appeal must clearly set forth the basis for the appeal.

Any appeals sent to an email/address/fax number not mentioned above, will not be considered.

Please note that all costs incurred in connection with appeals of DMHAS decisions are considered unallowable cost for the purpose of DMHAS contract funding.

DMHAS will review all appeals and render a final decision. Contract award(s) will not be considered final until all timely filed appeals have been reviewed and final decisions rendered.

#### **XI. Post Award Required Documentation**

Upon final contract award announcement, the successful bidder(s) must be prepared to submit (if not already on file), one (1) original signed document for those requiring a signature or copy of the following documentation (unless noted otherwise) in order to process the contract in a timely manner, as well as any other contract documents required by DHS/DMHAS.

- 1. Most recent IRS Form 990/IRS Form 1120, and Pension Form 5500 (if applicable) (submit two [2] copies);
- 2. Copy of the Annual Report-Charitable Organization 15;
- 3. A list of all current contracts and grants as well as those for which the bidder has applied from any Federal, state, local government or private agency during the contract term proposed herein, including awarding agency name, amount, period of performance, and purpose of the contract/grant, as well as a contact name for each award and the phone number;
- 4. Proof of insurance naming the State of New Jersey, Department of Human Services, Division of Mental Health and Addiction Services, PO Box 362, Trenton, NJ 08625-0362 as an additional insured;
- 5. Board Resolution identifying the authorized staff and signatories for contract actions on behalf of the bidder:

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<sup>&</sup>lt;sup>15</sup> https://www.niportal.com/DOR/annualreports/

- 6. Current Agency By-laws;
- 7. Current Personnel Manual or Employee Handbook;
- 8. Copy of Lease or Mortgage;
- 9. Certificate of Incorporation;
- 10. Co-occurring policies and procedures;
- 11. Policies regarding the use of medications, if applicable;
- 12. Policies regarding Recovery Support, specifically peer support services;
- 13. Conflict of Interest Policy;
- 14. Affirmative Action Policy;
- 15. Affirmative Action Certificate of Employee Information Report, newly completed AA 302 form, or a copy of Federal Letter of Approval verifying operation under a federally approved or sanctioned Affirmative Action program. (AA Certificate must be submitted within 60 days of submitting completed AA302 form to Office of Contract Compliance);
- 16. A copy of all applicable licenses;
- 17. Local Certificates of Occupancy;
- 18. Current State of New Jersey Business Registration;
- 19. Procurement Policy;
- 20. Current equipment inventory of items purchased with DHS funds (Note: the inventory shall include: a description of the item [make, model], a State identifying number or code, original date of purchase, purchase price, date of receipt, location at the Provider Agency, person(s) assigned to the equipment, etc.);
- 21. All subcontracts or consultant agreements, related to the DHS contract, signed and dated by both parties;
- 22. Business Associate Agreement (BAA) for Health Insurance Portability Accountability Act of 1996 compliance, if applicable, signed and dated;
- 23. Updated single audit report (A133) or certified statements, if differs from one submitted with proposal;
- 24. Business Registration (online inquiry to obtain copy at Registration Form 16; for an entity doing business with the State for the first time, it may register at the NJ Treasury website 17;
- 25. Source Disclosure (EO129)18;
- 26. Chapter 51 Pay-to-Play Certification 19; and
- 27. Successful bidder's active Unique Entity Identifier ("UEI"), if project funding includes any federal grant resources. The UEI is a 12-character alphanumeric ID assigned to an entity registered at SAM.gov. It replaced the DUNS, and is distinct from the entity's Employer Identification Number (EIN or Employer ID). The UEI provided must match the successful bidder's legal business name and address, and it must be updated annually (or sooner if changes occur) and maintained during the period of subaward.

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<sup>&</sup>lt;sup>16</sup> https://www1.state.nj.us/TYTR\_BRC/jsp/BRCLoginJsp.jsp

<sup>17</sup> http://www.nj.gov/treasury/revenue

<sup>&</sup>lt;sup>18</sup> www.nj.gov/treasury/purchase/forms.shtml

<sup>19</sup> www.nj.gov/treasury/purchase/forms.shtml

#### XII. Attachments

Attachment A – Proposal Cover Sheet

Attachment B – Addendum to RFP for Social Service and Training Contracts

Attachment C – Statement of Assurances

Attachment D – Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions

Attachment E – Instructions for Excel Budget Template

Attachment F – Mandatory Equal Employment Opportunity Language

Attachment G – Commitment to Defend and Indemnify Form

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|-------|-------------------|-----------------------------|
| Date  | D E C             | eived                       |

# STATE OF NEW JERSEY DEPARTMENT OF HUMAN SERVICES

Division of Mental Health and Addiction Services Proposal Cover Sheet

| Name of RFP: <u>INTEGRATED CARE PROC</u>   | GRAM   |   |  |  |  |  |
|--|--|---|--|--|--|--|
| Incorporated Name of Bidder:   |  |   |  |  |  |  |
| Type: Public Profit  | Non-Profit   | Hospital-Based  |  |  |  |  |
| Federal ID Number: Char  | Federal ID Number: Charities Reg. Number (if applicable) |   |  |  |  |  |
| Unique Entity Identifier (UEI) Number:   |  |   |  |  |  |  |
| Address of Bidder:   |  |   |  |  |  |  |
|  |  |   |  |  |  |  |
| Chief Executive Officer Name and Title:  |  |   |  |  |  |  |
| Phone No.:   | Email Address:   |   |  |  |  |  |
| Contact Person Name and Title:   |  |   |  |  |  |  |
| Phone No.:   | _ Email Address:   |   |  |  |  |  |
| Total dollar amount requested:   | Fiscal Year End  | d:  |  |  |  |  |
| Funding Period: From   | to   |   |  |  |  |  |
| Total number of unduplicated individuals to  | be served:   |   |  |  |  |  |
| County in which services are to be provided  | d:   |   |  |  |  |  |
| Brief description of services by program nar   | me and level of service to                               | be provided:  |  |  |  |  |
|  |  |   |  |  |  |  |
| NOTE: In order to contract with the State of responding to Request for Proposals (RFPs), system known as NJSTART. You may register <a href="https://www.nj.gov/treasury/purchase/vendor">https://www.nj.gov/treasury/purchase/vendor</a> | MUST be pre-registered your organization by proceed      | with the online eProcurement eding to the following web site: |  |  |  |  |
| Authorization: Chief Executive Officer (print  | ted name):   |   |  |  |  |  |
| Signature:   | Date:  |   |  |  |  |  |

#### Attachment B – Addendum to RFP for Social Service and Training Contracts

#### STATE OF NEW JERSEY DEPARTMENT OF HUMAN SERVICES

#### ADDENDUM TO REQUEST FOR PROPOSAL FOR SOCIAL SERVICE AND TRAINING CONTRACTS

Executive Order No. 189 establishes the expected standard of responsibility for all parties that enter into a contract with the State of New Jersey. All such parties must meet a standard of responsibility that assures the State and its citizens that such parties will compete and perform honestly in their dealings with the State and avoid conflicts of interest.

As used in this document, "provider agency" or "provider" means any person, firm, corporation, or other entity or representative or employee thereof that offers or proposes to provide goods or services to or performs any contract for the Department of Human Services.

In compliance with Paragraph 3 of Executive Order No. 189, no provider agency shall pay, offer to pay, or agree to pay, either directly or indirectly, any fee, commission, compensation, gift, gratuity, or other thing of value of any kind to any State officer or employee or special State officer or employee, as defined by N.J.S.A. 52:13D-13b and e, in the Department of the Treasury or any other agency with which such provider agency transacts or offers or proposes to transact business, or to any member of the immediate family, as defined by N.J.S.A. 52:13D-13i, of any such officer or employee, or any partnership, firm, or corporation with which they are employed or associated, or in which such officer or employee has an interest within the meaning of N.J.S.A. 52:13D-13g.

The solicitation of any fee, commission, compensation, gift, gratuity or other thing of value by any State officer or employee or special State officer or employee from any provider agency shall be reported in writing forthwith by the provider agency to the Attorney General and the Executive Commission on Ethical Standards.

No provider agency may, directly or indirectly, undertake any private business, commercial or entrepreneurial relationship with, whether or not pursuant to employment, contract or other agreement, express or implied, or sell any interest in such provider agency to, any State officer or employee or special State officer or employee having any duties or responsibilities in connection with the purchase, acquisition or sale of any property or services by or to any State agency or any instrumentality thereof, or with any person, firm or entity with which he is employed or associated or in which he has an interest within the meaning of N.J.S.A. 52:13D-13g. Any relationships subject to this provision shall be reported in writing forthwith to the Executive Commission on Ethical Standards, which may grant a waiver of this restriction upon application of the State officer or employee or special State officer or employee upon a finding that the present or proposed relationship does not present the potential, actuality or appearance of a conflict of interest.

No provider agency shall influence, or attempt to influence or cause to be influenced, any State officer or employee or special State officer or employee in his official capacity in any manner which might tend to impair the objectivity or independence of judgment of said officer or employee.

No provider agency shall cause or influence, or attempt to cause or influence, any State officer or employee or special State officer or employee to use, or attempt to use, his official position to secure unwarranted privileges or advantages for the provider agency or any other person.

The provisions cited above shall not be construed to prohibit a State officer or employee or special State officer or employee from receiving gifts from or contracting with provider agencies under the same terms and conditions as are offered or made available to members of the general public subject to any quidelines the Executive Commission on Ethical Standards may promulgate.

#### Attachment C – Statement of Assurances

## Department of Human Services Statement of Assurances

As the duly authorized Chief Executive Officer/Administrator, I am aware that submission to the Department of Human Services of the accompanying application constitutes the creation of a public document that may be made available upon request at the completion of the RFP process. This may include the application, budget, and list of applicants (bidder's list). In addition, I certify that the applicant:

- Has legal authority to apply for the funds made available under the requirements of the RFP, and has the institutional, managerial and financial capacity (including funds sufficient to pay the non-Federal/State share of project costs, as appropriate) to ensure proper planning, management and completion of the project described in this application.
- Will give the New Jersey Department of Human Services, or its authorized representatives, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with Generally Accepted Accounting Principles (GAAP). Will give proper notice to the independent auditor that DHS will rely upon the fiscal year end audit report to demonstrate compliance with the terms of the contract.
- Will establish safeguards to prohibit employees from using their positions for a purpose that
  constitutes or presents the appearance of personal or organizational conflict of interest, or
  personal gain. This means that the applicant did not have any involvement in the
  preparation of the RFP, including development of specifications, requirements, statement of
  works, or the evaluation of the RFP applications/bids.
- Will comply with all federal and State statutes and regulations relating to non-discrimination. These include but are not limited to: 1) Title VI of the Civil Rights Act of 1964 (P.L. 88-352;34 C.F.R. Part 100) which prohibits discrimination based on race, color or national origin; 2) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794; 34 C.F.R. Part 104), which prohibits discrimination based on handicaps and the Americans with Disabilities Act (ADA), 42 U.S.C. 12101 et seq.; 3) Age Discrimination Act of 1975, as amended (42 U.S.C. 6101 et. seq.; 45 C.F.R. part 90), which prohibits discrimination on the basis of age; 4) P.L. 2975, Chapter 127, of the State of New Jersey (N.J.S.A. 10:5-31 et. seq.) and associated executive orders pertaining to affirmative action and non-discrimination on public contracts; 5) federal Equal Employment Opportunities Act; and 6) Affirmative Action Requirements of PL 1975 c. 127 (N.J.A.C. 17:27).
- Will comply with all applicable federal and State laws and regulations.
- Will comply with the Davis-Bacon Act, 40 U.S.C. 276a-276a-5 (29 C.F.R. 5.5) and the New Jersey Prevailing Wage Act, N.J.S.A. 34:11-56.27 et seq. and all regulations pertaining thereto.
- Is in compliance, for all contracts in excess of \$100,000, with the Byrd Anti-Lobbying amendment, incorporated at Title 31 U.S.C. 1352. This certification extends to all lower tier subcontracts as well.

- Has included a statement of explanation regarding any and all involvement in any litigation, criminal or civil.
- Has signed the certification in compliance with federal Executive Orders 12549 and 12689 and State Executive Order 34 and is not presently debarred, proposed for debarment, declared ineligible, or voluntarily excluded. The applicant will have signed certifications on file for all subcontracted funds.
- Understands that this provider agency is an independent, private employer with all the rights and obligations of such, and is not a political subdivision of the Department of Human Services.
- Understands that unresolved monies owed the Department and/or the State of New Jersey may preclude the receipt of this award.

| Applicant Organization | Signature: CEO or equivalent |
|------------------------|------------------------------|
| Date                   | Typed Name and Title         |
| 6/97                   |                              |

# Attachment D - Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions

READ THE ATTACHED INSTRUCTIONS BEFORE SIGNING THIS CERTIFICATION. THE INSTRUCTIONS ARE AN INTEGRAL PART OF THE CERTIFICATION.

## Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by an Federal department or agency.
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

| Name and Title of Authorized Representative | -    |
|---|------|
|   |      |
|   |      |
|   |      |
| Signature                                   | Date |

This certification is required by the regulations implementing Executive order 12549, Debarment and Suspension, 29 C.F.R. Part 98, Section 98.510.

## Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions

#### Instructions for Certification

- 1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
- 2. The certification in this clause is a material representation of facts upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- 3. The prospective lower tier participant shall provide immediate written notice to the person to whom this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
- 4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 C.F.R. part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 C.F.R. part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-Procurement Programs.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 C.F.R. part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

#### **Attachment E - Instructions for Excel Budget Template**

The Excel template, posted with the RFP, contains a template spreadsheet. <u>Please open the respective template file tab and read the below guidance at the same time.</u> This will allow for a clear understanding of how to work within the template file.

- 1. In the turquoise section, you will enter the proposed costs for this RFP. This should include all information from budget categories A-F, G/A, as well as your number of consumers to serve. FTE's in Category A are to be broken down between direct care, administration, and support. FTE's will not appear until three cells are completed: hours worked per employee on contract (column C), hours worked per employee per week (column D), and the amount of salary (column H) respectively. Category B is to be broken down between medical/clinical consultants, and non-medical/clinical consultants.
- 2. There is also a One-Time budget section at the bottom in the turquoise section for your use. Onetimes are shown separately, but included in Total Gross Costs right after Gross Costs.
- 3. Please use the <u>"Explanatory Budget Notes"</u> column to help support anything that you feel needs to be explained in written word for evaluators to understand your intent regarding any cost/volume data populated in your template submission. Please provide notes, as well as, calculations that support any and all offsetting revenue streams. If you double up expenses on one budget line, please provide the individual expense details in the budget notes. Many cells are protected, but you can expand rows to give more room in the notes column should you need it.
- 6. General and Administrative Costs should be recorded in the template per the instructions in the RFP. That is, only additional G&A associated with this proposal should be included, not your normal G&A rate.
- 7. Make sure to remember to place your <u>Agency Name and Region or County</u> in the subject line when you send your template in *Excel* format.

SAVE ALL YOUR WORK, REVIEW AND PREPARE TO SEND IN *EXCEL* FORMAT.

#### **Attachment F - Mandatory Equal Employment Opportunity Language**

MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE
N.J.S.A. 10:5-31 et seq. (P.L. 1975, C. 127)
N.J.A.C. 17:27
GOODS, PROFESSIONAL SERVICE AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affection-al or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, up-grading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprentice-ship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor's commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act. The contractor or subcontractor agrees to make good faith efforts to meet targeted county employment goals established in accordance with N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the

basis of age, race, creed, col-or, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

Letter of Federal Affirmative Action Plan Approval;

Certificate of Employee Information Report; or

Employee Information Report Form AA-302 (electronically provided by the Division through the Division's website at: http://www.state.nj.us/treasury/contract\_compliance.

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Purchase & Property, CCAU, EEO Monitoring Program as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Purchase & Property, CCAU, EEO Monitoring Program for conducting a compliance investigation pursuant to N.J.A.C. 17:27-1.1 et seq.

#### Attachment G – Commitment to Defend and Indemnify Form

# Department of Human Services Commitment to Defend and Indemnify Form

| that the Company will defend, and cooperate in the defend. New Jersey ("State") or the New Jersey Department of Prelated to, the non-disclosure, due to the Company's restate of New Jersey and DHS, and relating to the Recard PROGRAM ("RFP"), which may become the subjunder the New Jersey Open Public Records Act, N. Company agrees to indemnify and hold harmless the costs, or attorney's fees assessed against the State of any action arising from, or related to, the non-disclos documents submitted to the State and DHS, and relationships of a request for government records under OPR. | Human Services ("DHS") arising from, or request, of documents submitted to the equest for Proposals for INTEGRATED ject of a request for government records J.S.A. 47:1A-1 et seq. ("OPRA"). The State and DHS against any judgments, New Jersey or DHS in connection with ure, due to the Company's request, of the to the RFP, which may become the |
|---|---|
| The Company makes the foregoing agreement with the may immediately disclose any documents withheld without to cooperate in the defense of any action against the States described non-disclosure due to the Company's request   | out further notice if the Company ceases rate arising from or related to the above-   |
| I further certify that I am legally authorized to make Company to said defense.   | this commitment and thus commit the   |
|   |   |
|   | (Signature)   |
|   | (Print Name)  |
|   | Title   |
|   | Entity Represented  |
|   | <br>Date  |