**Firm Name:** EisnerAmper LLP  
**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Contract No. 14-033B**

**Engagement:** NJ Transit P.O. #B-51389-003  
**For Quarter Ending:** 09/30/2018  
**Contract No.** 14-033B  
**NJ Transit P.O.** #B-51389-003  
**P.O. #** B-51389-003

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Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

<table>
<thead>
<tr>
<th>No.</th>
<th>Recipient Data Elements</th>
<th>Response</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>General Info</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.</td>
<td>Recipient of funding</td>
<td>New Jersey Transit Corporation</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Federal Funding Agency? (e.g. HUD, FEMA)</td>
<td>Federal Transit Administration</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>State Funding (if applicable)</td>
<td>Not applicable</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Award Type</td>
<td>FTA-Public Transportation Emergency Relief Program</td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>Award Amount</td>
<td>Gannett Fleming: $9,694,730</td>
<td>The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was $9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling $2,743,373 (Change Order #1 - $1,595,679, Change Order #2 - $130,562 and Change Order #3 - $1,017,132).</td>
</tr>
<tr>
<td>6.</td>
<td>Contract/Program Person/Title</td>
<td>Jignasa Desai-McCleary, Chief, Procurement &amp; Support Services</td>
<td></td>
</tr>
</tbody>
</table>
| 7. | Brief Description, Purpose and Rationale of Project/Program | Provide Integrity Oversight Monitoring Services for the Substations Program, including:  
- NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls.  
- NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. | |
Firm Name: EisnerAmper LLP  
Work Authorization No. 3  
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Contract No. 14-033B  
Engagement: NJ Transit P.O. #8-51389-003  
For Quarter Ending: 09/30/2018

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<tr>
<td>8.</td>
<td>Contract/Program Location</td>
<td>Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.</td>
<td></td>
</tr>
<tr>
<td>9.</td>
<td>Amount Expended to Date</td>
<td>$11,289,032.84</td>
<td></td>
</tr>
<tr>
<td>10.</td>
<td>Amount Provided to other State or Local Entities</td>
<td>None</td>
<td></td>
</tr>
<tr>
<td>11.</td>
<td>Completion Status of Contract or Program</td>
<td>In progress</td>
<td></td>
</tr>
<tr>
<td>12.</td>
<td>Expected Contract End Date/Time Period</td>
<td>September 2021</td>
<td></td>
</tr>
<tr>
<td>13.</td>
<td>IF FEMA funded, brief description of the status of the project worksheet and its support.</td>
<td>Not applicable</td>
<td></td>
</tr>
<tr>
<td>14.</td>
<td>Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)</td>
<td>Held a meeting with NJT Procurement regarding access to and review of CP4.</td>
<td></td>
</tr>
<tr>
<td>15.</td>
<td>Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.</td>
<td>Received access to CP4. Received the RFP document issued in connection with Design Phase of the Substations Program. Received access to NJT Procurement Calendar.</td>
<td></td>
</tr>
<tr>
<td>16.</td>
<td>Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.</td>
<td>Drafting and updating ongoing monitoring procedures/workplan, including updates to the invoice and grant management test plan for the Construction Phase of the contract.</td>
<td></td>
</tr>
</tbody>
</table>
State of New Jersey  
Department of the Treasury  
Integrity Oversight Monitoring Reporting Model  

Firm Name: EisnerAmper LLP  
Work Authorization No. 3  
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<tr>
<td>17</td>
<td>Have payment requisitions in connection with the contract/program been reviewed? Please describe</td>
<td>Completed testing of a sample of payment requisitions including payment request memos prepared by NJT Project Manager, invoice review checklists and quality control forms and discussed with NJT Procurement regarding results.</td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>Description of quarterly activity to prevent and detect waste, fraud and abuse.</td>
<td>Update ongoing monitoring procedures and workplan in connection with the construction phase of the contract.</td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Provide details of any integrity issues/findings</td>
<td>None noted</td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Provide details of any work quality or safety/environmental/historical preservation issue(s).</td>
<td>None noted</td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Provide details on any other items of note that have occurred in the past quarter</td>
<td>None noted</td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters</td>
<td>Not applicable</td>
<td></td>
</tr>
</tbody>
</table>

C. Miscellaneous

| 23  | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review | See attached hours summary.                                                                                                               |          |
| 24  | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program | None noted                                                                                                                                  |          |

Name of Integrity Monitor: EisnerAmper LLP  
Name of Report Preparer: Elliott C. Lee  
Signature:  
Date: January 2, 2019
State of New Jersey  
Department of Treasury  
Work Authorization No. 3  
IOM Services for the Substations Program Contract No. 14-033B  
NJ Transit P.O. #B-51389-003  

Attachment for Question #23

<table>
<thead>
<tr>
<th>Professional</th>
<th>Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>David A. Cace</td>
<td>0.10</td>
</tr>
<tr>
<td>Elliott Lee</td>
<td>6.50</td>
</tr>
<tr>
<td>Timothy Van Noy</td>
<td>30.00</td>
</tr>
<tr>
<td>Jason Hoff</td>
<td>4.50</td>
</tr>
<tr>
<td>Nancy Pham</td>
<td>19.90</td>
</tr>
<tr>
<td>Jared Lindenberg</td>
<td>13.20</td>
</tr>
<tr>
<td>Marisol Gonzalez</td>
<td>16.05</td>
</tr>
<tr>
<td></td>
<td><strong>90.25</strong></td>
</tr>
</tbody>
</table>