

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

Firm Name: RSM US LLP  
 Engagement: MMC ROC Project - 14-033C  
 For Quarter Ending: 09/30/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Transportation Trust Fund \$4,821,158.91	
4.	Award Type	Federal Transit Administration Public Transportation Emergency Relief Program	
5.	Award Amount	\$22,901,040.94	
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project is for design and installation of systems and devices to prevent or reduce water from entering the Meadows Maintenance Complex (MMC) buildings and the Rail Operations Center (ROC). These resilience improvements will protect ROC and MMC facilities during storms so that they can continue to operate normally, or to allow the rapid resumption of services once a storm is over. The project includes design, project administration, construction, and the purchase of necessary equipment and materials.	
8.	Contract/Program Location	NJ TRANSIT Meadows Maintenance Complex (MMC), Kearny, New Jersey	
9.	Amount Expended to Date	\$21,906,350.93	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	Summer 2020	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Preparation and submission of monthly Form E.  RSM obtained and reviewed project documentation in conjunction with our fraud risk assessment. We performed our initial site visit and walkthrough on July 15, 2019, and submitted our draft fraud risk assessment to NJT for review and discussion on September 13, 2019.	

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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	RSM requested an initial round of documents to facilitate our fraud risk assessment, as well as for the purposes of developing an understanding of the project. This information included but was not limited to: -Grant agreement -Contracts -Procurement / bidding documentation -Invoices / pay applications -Change orders / Proposed changes -Vendor contact information -Weekly project meeting minutes  During the quarter, RSM reviewed this information to aid in the development of our testing plan, to be executed upon approval by NJT.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	None. We have performed preliminary review of tabulations and will conduct detailed inspections after approval of our fraud risk assessment.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	None. We have performed preliminary review / rollforward of project pay applications, and will conduct detailed inspections after approval of our fraud risk assessment.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Our meetings with key stakeholders, attendance at bi-weekly project status meetings, requests and review of source documents, and site inspection all contribute to preventing and detecting waste, fraud and abuse.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
<b>C. Miscellaneous</b>			

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23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period July 1, 2019 - September 30, 2019, the following individuals have expended a total of 111.2 hours and have requested reimbursement of expenses totalling \$693.15.  Name: Shawn Dahl David Luker Brian Tracy Matthew Blondell Luckie Kaufman Ryan Daly Brandon Smith (MBE subcontractor) Marcelo Fuentes (MBE subcontractor)	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor:	RSM US LLP
Name of Report Preparer:	David Luker
Signature:	
Date:	2-Jan-20