

Firm Name: **EisnerAmper LLP**
Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B
 Engagement: **NJ Transit P.O. #B-51389-003**
 For Quarter Ending: **09/30/2020**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

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9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) - NJT00762 - \$15,459,742.60 Henderson Substation - NJT00766 - \$12,632,971.35 Bayhead Substation - NJT00768 - \$4,948,733.33 ROC Unit Substation - NJT01113 - \$7,226,601.25	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within EisnerAmper's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	September 2021	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated, via conference call, progress meeting #22 on July 9, 2020 related to contract 16-035X (ROC). Held a conference call on July 9, 2020 with Resident Engineer regarding project status related to contract 16-035X (ROC). Participated, via conference call, progress meeting #15 on July 14, 2020 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #39 and #37 on July 15, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #23 on July 23, 2020 related to contract 16-035X (ROC). Conduct Fraud Risk Assessment interview via conference call with PKF Mark III, Inc. on July 27, 2020 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #16 on July 28, 2020 related to contract 17-026X (Bay Head). Held a conference call with DMR on August 18, 2020 regarding invoicing procedures and payment to sub-contractors related to contract 16-049X (Hoboken). Held a conference calls with Naik DBE sub-contractors on August 18,, 2020. Participated via conference call, progress meeting #41 and #39 on August 19, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Held a conference call with O'Hara on August 20, 2020 regarding invoicing procedures and payments to sub-contractors related to contract 17-053X (Henderson). Participated, via conference call, progress meeting #25 on August 20, 2020 related to contract 16-35X (ROC). Participated via conference call, progress meeting #42 and #40 on September 2, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #25 on September 3, 2020 related to contract 16-35X (ROC). Participated, via conference call, progress meeting #26 on September 17, 2020 related to contract 16-35X (ROC). Held a conference call with Naik DBE sub-contractor on September 21, 2020. Held a conference call on September 29, 2020 with NJT CP and IAD regarding grant management. Participated via conference call, progress meeting #44 and #42 on September 30, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken).	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #38 through #43 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #36 through #41 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #21 through #26 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #14 through #18 related to Contract No. 17-026X (Bay Head). Received various procurement documents for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik CM). Received various payment applications for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head). Received NPC approval packages related to Contract 16-049X (Hoboken). Received various construction documents including Submittal logs, RFI logs, and look ahead schedules for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Continued testing of procurement files related Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Performed invoice testing related to Contracts No. 17-053X (Henderson) and 16-049X (Hoboken). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures related to contract 17-007 (Naik CM). Conduct background investigations of contractor entities and contractor personnel.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X) and PKF Marks (Contract No. 17-026X) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	On March 9, 2020, Governor Phil Murphy declared a State of Emergency and a Public Health Emergency, effective immediately, to ramp up New Jersey's efforts to contain the spread of COVID-19. This order was extended on April 7, May 6, June 4, July 2, August 1, August 27, September 25. This has impacted project scheduling to ensure the safety of workers.	

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21.	Provide details on any other items of note that have occurred in the past quarter	For contract no. 16-035X (ROC), the temporary stand-by generator cutover was completed on September 18th and 19th. For contract no. 17-026X (Bay Head), on May 11, 2020, the Borough of Bay Head filed an Order to Show Cause and Complaint in Ocean County Superior Court. On May 15, 2020, NJ TRANSIT was served with an Order, preliminarily enjoining NJ TRANSIT from performing any construction or land disturbance associated with the construction of Bay Head Substation. Effective on August 31, 2020, NJ TRANSIT provided a suspension of work notification for this contract.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date:	December 31, 2020

State of New Jersey
Department of Treasury
Work Authorization No. 3
IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
Elliott Lee	16.90
Timothy Van Noy	8.20
Maureen Egan	31.55
Nancy Pham	83.95
Marisol Gonzalez	33.00
Elwood Hill*	6.00
Courtney Meizinger*	4.50
Stephanie Blue*	4.50
Stuart Drobny	5.00
Ina Xhoxhaj	36.00
Nicholas Devine	69.50
Subtotal_IOM Services for the Substations Program	299.10
David A. Cace	1.00
Elliott Lee	11.75
Timothy Van Noy	0.90
Nancy Pham	2.60
Elwood Hill*	7.00
Courtney Meizinger*	5.00
Stephanie Blue*	1.50
Subtotal_Rev. 1 – Substation Program: Construction Management Services	29.75
Total	328.85

* Member of EisnerAmper's DBE firm.