

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

Firm Name: **EisnerAmper LLP**

**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Contract No. 14-033B**

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **09/30/2021**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	<b>Gannett Fleming:</b> \$9,694,730 (Design / Engineering) <b>Northeast Remsco:</b> \$9,825,162 (ROC Substation) <b>John O'Hara Company:</b> \$18,060,638 (Henderson St. Substation) <b>DMR Construction:</b> \$19,899,184 (Hoboken Depot Substation) <b>Naik Consulting Group:</b> \$5,807,005 (Construction Management for Hoboken and Henderson Substations) <b>PKF Mark III, Inc.:</b> \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Sr. Director Construction Management	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - <b>NJT00766, Henderson St. Substation Repair:</b> This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - <b>NJT00768, Bay Head Yard Substation Repair:</b> This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - <b>NJT00762, Hoboken Terminal House Power Repair:</b> This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - <b>NJT01113, ROC Unit Substation:</b> This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - <b>Construction Management (CM) Services:</b> NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

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9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$25,003,752.72 Henderson Substation (NJT00766) - \$23,761,103.98 Bayhead Substation (NJT00768) - \$11,696,054.78 ROC Unit Substation (NJT01113) - \$12,118,479.83	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	March 2023	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated via conference call, progress meeting #62 and #60 on July 1, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Conducted Fraud Risk Assessment interview on July 7, 2021 with PKF related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #46 on July 8, 2021 related to contract 16-035X (ROC). Attended conference call on July 9, 2021 with Naik, NJT IAD and OBD regarding DBE reporting. Participated, via conference call, progress meeting #34 on July 13, 2021 related to contract 17-026X (Bay Head). Participated in pencil pay application meeting on July 13, 2021 for contract 17-053X (Henderson). Participated via conference call, progress meeting #63 and #61 on July 14, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #35 on July 27, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #64 and #62 on July 28, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Attend meeting on August 4, 2021 regarding building height variance in connection to Contract No. 17-026X (Bay Head). Attend conference call on August 5, 2021 with M. Craven (DMR) and NJ IAD regarding payroll monitoring of contract no. 16-049X (Hoboken). Participated, via conference call, progress meeting #47 on August 5, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #36 on August 10, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #65 and #63 on August 11, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated in pencil pay application #23 meeting on August 16, 2021 for contract 16-049X (Hoboken). Participated via conference call, progress meeting #66 and #64 on August 18, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken).	

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		<p>Participated, via conference call, progress meeting #48 on August 19, 2021 related to contract 16-035X (ROC). Attend conference call on August 19, 2021 with NJT PM, CP, IAD, OBD regarding Naik DBE monitoring. Participated in pencil pay application meeting on August 23, 2021 for contract 17-053X (Henderson). Participated, via conference call, progress meeting #37 on August 24, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #65 on August 25, 2021 related to contract 16-049X (Hoboken). Attend conference call on August 25, 2021 with NJT CP regarding meeting protocols. Participated via conference call, progress meeting #67 on August 26, 2021 related to contract 17-053X (Henderson). Conducted site visit on August 27, 2021 to observe project status for contracts 16-049X (Hoboken) and 17-053X (Henderson). Participated via conference call, progress meeting #68 and #66 on September 1, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #49 on September 2, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #38 on September 7, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #69 and #67 on September 10, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Conducted site visit on September 14, 2021 to observe project status for contract 17-026X (Bay Head). Participated via conference call, progress meeting #70 and #68 on September 15, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #50 on September 16, 2021 related to contract 16-035X (ROC). Attend conference call on September 16, 2021 with NJT Procurement regarding draft Naik DBE monitoring. Conducted site visit on September 21, 2021 to observe project status for contract 16-035X (ROC). Participated, via conference call, progress meeting #39 on September 21, 2021 related to contract 17-026X (Bay Head).</p>	
		<p>Participated via conference call, progress meeting #71 and #69 on September 22, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated in pencil pay application #25 meeting on September 22, 2021 for contract 16-049X (Hoboken). Participated via conference call, progress meeting #70 on September 29, 2021 related to contract 16-049X (Hoboken). Participated, via conference call, progress meeting #51 on September 30, 2021 related to contract 16-035X (ROC).</p>	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #62 through #71 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #60 through #69 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #45 through #50 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #33 through #38 related to Contract No. 17-026X (Bay Head). Received various DBE forms for Contracts No. 16-049X (Hoboken), 17-053X (Henderson) and 17-007 (Naik). Received various payment applications for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head), 13-006A (Gannett Fleming) and 17-007 (Naik). Received NPC logs and approval packages related to Contract 17-053X (Henderson) and 16-049X (Hoboken). Received various construction documents including Submittal logs, RFI logs, look ahead schedules and daily inspection reports, certified payroll documents for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed invoice testing related to Contract No. 17-053X (Henderson), 17-007 (Naik), 17-026X (Bay Head), 16-049X (Hoboken), 16-035X (ROC). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures. Performed fieldwork construction site visits for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X), PKF Marks (Contract No. 17-026X), Gannett Fleming (Contract No. 13-006A) and Naik (Contract No. 17-007) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	

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21.	Provide details on any other items of note that have occurred in the past quarter	Note noted	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:

EisnerAmper LLP

Name of Report Preparer:

Elliott C. Lee

Signature:



Date: December 30, 2021

**State of New Jersey**  
**Department of Treasury**  
**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Rev. 1 – Substation Program: Construction Management Services**  
**Contract No. 14-033B**  
**NJ Transit P.O. #B-51389-003**

Attachment for Question #23

<b>Professional</b>	<b>Hours</b>
Elliott Lee	27.65
Timothy Van Noy	5.30
Maureen Egan	1.30
Craig Mann	137.30
Nancy Pham	30.10
Marisol Gonzalez	37.30
Ken Brzozowski*	7.30
Quinn Allen*	44.50
Tad Creamer*	7.75
Stephanie Blue*	16.45
<b>Subtotal_IOM Services for the Substations Program</b>	<b>314.95</b>
David A. Cace	2.05
Elliott Lee	9.70
Craig Mann	4.35
Nancy Pham	2.65
Ken Brzozowski*	0.50
Quinn Allen*	1.25
Stephanie Blue*	2.15
<b>Subtotal_Rev. 1 – Substation Program: Construction Management Services</b>	<b>22.65</b>
<b>Total</b>	<b>337.60</b>

\* Member of EisnerAmper's DBE firm.