

Firm Name: **EisnerAmper LLP**

**Work Authorization No. 3**

**IOM Services for the Substations Program**

**Contract No. 14-033B**

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **03/31/2024**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	<b>Gannett Fleming:</b> \$9,694,730 (Design / Engineering) <b>Northeast Remsco:</b> \$9,825,162 (ROC Substation) <b>John O'Hara Company:</b> \$18,060,638 (Henderson St. Substation) <b>DMR Construction:</b> \$19,899,184 (Hoboken Depot Substation) <b>Naik Consulting Group:</b> \$5,807,005 (Construction Management for Hoboken and Henderson Substations) <b>PKF Mark III, Inc.:</b> \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Chief Procurement Officer (Acting)	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - <b>NJT00766, Henderson St. Substation Repair:</b> This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - <b>NJT00768, Bay Head Yard Substation Repair:</b> This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - <b>NJT00762, Hoboken Terminal House Power Repair:</b> This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - <b>NJT01113, ROC Unit Substation:</b> This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - <b>Construction Management (CM) Services:</b> NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

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9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$29,953,123.23 Henderson Substation (NJT00766) - \$28,475,599.53 Bayhead Substation (NJT00768) - \$35,185,117.70 ROC Unit Substation (NJT01113) - \$17,495,479.53	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	December 2024	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated, via conference call, progress meeting #94 on January 9, 2024 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #100 on January 18, 2024 related to contract 16-035X (ROC). Participated, via conference call, to project status update call on January 19, 2024 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #95 on January 23, 2024 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #96 on February 6, 2024 related to contract 17-026X (Bay Head). Attended annual NJ Transit safety training on February 14, 2024. Participated, via conference call, progress meeting #101 on February 15, 2024 related to contract 16-035X (ROC). Conducted a site visit for contract 17-026X (Bay Head) on February 20, 2024 to observe project status and construction progress. Participated, via conference call, progress meeting #97 on February 20, 2024 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #98 on March 5, 2024 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #102 on March 14, 2024 related to contract 16-035X (ROC). Participated, via conference call, to project status update call on March 18, 2024 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #99 on March 19, 2024 related to contract 17-026X (Bay Head).	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #100 and 101 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #93 through #99 related to Contract No. 17-026X (Bay Head). Received various payment applications for Contract No. 16-035X (ROC). Received various construction documents including commissioning plans, daily inspection reports, site specific work plans, non-conformance reports, NPC logs and punch lists for Contracts 17-026X (Bay Head), 16-049X (Hoboken), 17-053X (Henderson) and 16-035X (ROC). Received NPC / Change order approval packages related to Contracts No. 17-026X (Bay Head) and 16-049X (Hoboken). Received various DBE forms for Contract No. 17-026X (Bay Head).	

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For Quarter Ending: **03/31/2024**

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No.	Recipient Data Elements	Response	Comments
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed ongoing invoice testing related to Contract No. 17-026X (Bay Head), 16-035X (ROC), 17-053X (Henderson), 16-049X (Hoboken) and 17-007 (Naik). Attended construction progress meetings and performed fieldwork construction monitoring procedures for Contracts No. 16-035X (ROC), 17-026X (Bay Head), 17-053X (Henderson) and 16-049X (Hoboken). Performed monitoring procedures related to NPCs for Contracts No. Contract No. 17-026X (Bay Head) and 16-049X (Hoboken).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for PKF (Contract No. 17-026X) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and project management related to Contract No. 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	
21.	Provide details on any other items of note that have occurred in the past quarter	For Contract Nos. 16-049X (Hoboken), 17-053X (Henderson) and 16-035X (ROC), the project completion date has lapsed. Per NJ Transit, a change order is in process and will require Board Approval. Negotiations are underway with the Contractor for the extension of time and potential additional compensation.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Tim Van Noy
Signature:	
Date:	June 27, 2024

**State of New Jersey**  
**Department of Treasury**  
**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Rev. 1 – Substation Program: Construction Management Services**  
**Contract No. 14-033B**  
**NJ Transit P.O. #B-51389-003**

Attachment for Question #23

<b>Professional</b>	<b>Hours</b>
Tim Van Noy	7.05
Craig Mann	35.05
Nancy Pham	29.60
Brooke DiMarinis	9.90
Andrew Woloszynski	6.00
Marisol Gonzalez	28.30
Ken Brzozowski*	2.00
Peris Lehmann*	1.50
Sasha Smith*	34.00
John Dobbs*	12.50
Wilhelmenia Anderson*	9.50
Sara Harbinson*	5.00
<b>Subtotal_IOM Services for the Substations Program</b>	<b>180.40</b>
Craig Mann	8.15
Nancy Pham	2.45
<b>Subtotal_Rev. 1 – Substation Program: Construction Management Services</b>	<b>10.60</b>
<b>Total</b>	<b>191.00</b>

\* Member of EisnerAmper's DBE firm.