


Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Department of Transportation and New Jersey Department of Environmental Protection	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	FHWA	
3.	State Funding (if applicable)	Yes	
4.	Award Type	FHWA	
5.	Award Amount	Approximately \$242 million excluding change orders	
6.	Contract/Program Person/Title	Ahmad Qureshi is the Project Manager for DOT projects and Erick Doyle is the Project Manager for the DEP project	
7.	Brief Description, Purpose and Rationale of Project/Program	NJDOT and NJDEP received funding from the Federal Highway Administration (FHWA) and Federal Emergency Management Agency (FEMA) for contracts in connection with damage caused by Superstorm Sandy. NJDOT procured the services to 3 contractors for 3 different projects for restoration repairs along Route 35. Those 3 contractors are Union Paving and Construction Company (DP 13130), George Harms Construction Company (DP 13120), and Agate Construction Company (DP 13114). NJDEP procured the services of EIC Associates to construct and install a four-mile long steel seawall to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters.	
8.	Contract/Program Location	NJ Department of Transportation, Trenton NJ and NJ Department of Environmental Protection, Toms River, NJ	
9.	Amount Expended to Date	All DOT projects as of 9/30/16 and including change orders: DP 13130 = approximately \$85.8 million; DP 13120 = approximately \$131 million; DP 13114 = approximately \$44.1 million. NJDEP = approximately \$24.5 million.	
10.	Amount Provided to other State or Local Entities	\$0	
11.	Completion Status of Contract or Program	All the projects assigned (EIC Associates, DP 13130, DP 13120, and DP 13114) to CLA have been substantially completed as of 9/30/16. All projects are in the closeout phase.	
12.	Expected Contract End Date/Time Period	DP 13130 - substantially complete - awaiting closeout; DP 13120 - substantially complete - awaiting closeout; DP 13114 - substantially complete - awaiting closeout; EIC substantially complete.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	All the projects assigned (EIC Associates, DP 13130, DP 13120, and DP 13114) to CLA have been substantially completed as of 9/30/16. All projects are in the closeout phase.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Transactions and expenditure testing were performed this quarter for all four projects and ongoing review and testing of the expenditures as deemed necessary.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Management has been cooperative in making themselves available to help move our monitoring of the contracts.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Testing of expenditures/payments for the 3 DOT projects have been conducted and continue to perform ongoing review activities. All onsite field visits were conducted during this quarter as well. No issues noted to date.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Yes, see item #16.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	See #19 below	

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
19.	Provide details of any integrity issues/findings	No such findings were noted this quarter.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	See #19 above	
21.	Provide details on any other items of note that have occurred in the past quarter	See #19 above	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None noted	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	SEE ATTACHMENT - NEXT TAB IN SPREADSHEET	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	N/A	

Name of Integrity Monitor:	CliftonLarsonAllen LLP
Name of Report Preparer:	Gregory J. Bussink, III, Principal
Signature:	
Date:	1/3/2017

State of New Jersey
Department of Treasury
Integrity Monitoring Reporting Model
Engagement: Task Order #: DOT 1-1A-E; Contract # 88364
For Quarter Ending: 9/30/2016

HOURS

Principal	26.25
Program manager	104.60
Project manager	270.50
Associate/staff	<u>21.30</u>
Total Hours	<u><u>422.65</u></u>

Expenses

Travel and other	\$ 1,061.45
------------------	-------------