

Firm Name: Thacher Associates LLC
 Engagement: NJ Transit - Gladstone Line Poles & Foundations Replacement Project
 For Quarter Ending: 09/30/2015

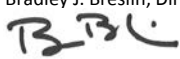
Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Emergency Relief Program	
5.	Award Amount	\$13,308,080.00	Represents the contract value of the single contract in Thacher Associates current scope of work.
6.	Contract/Program Person/Title	Aamir Marvi, Director, Internal, Capital & IS Audits	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of installing new concrete foundations and steel poles on the Gladstone Branch of New Jersey Transit's Morris & Essex Line.	
8.	Contract/Program Location	Gladstone Branch (New Providence to Gladstone Rail Yard)	
9.	Amount Expended to Date	\$9,312,830.64 through August 31, 2015; approved by New Jersey Transit and paid to Case Foundation Company (CFC).	As of September 30, 2015, Thacher Associates had received and reviewed payment requisitions through August 31, 2015.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	Anticipated to be completed by December 2017	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher Associates (Thacher) commenced fieldwork in July 2015 beginning with a formal document request dated July 6, 2015. Thacher met with New Jersey Transit (NJT) at Penn Plaza Headquarters (on multiple occasions between July 1, 2015 and September 30, 2015 to discuss various aspects of the IOM services project); and conducted a site visit on August 1, 2015 to observe work performed near the Peapack train station. from CFC (the prime contractor) and L&R Construction (a DBE subcontractor). Thacher also visited NJT's field office located in Plainfield, NJ (on August 6, 2015) to review documents compiled in response to our July 2015 document request.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	NJT provided Thacher with electronic copies of payment requisitions and certified payroll reports in September 2015. Thacher has performed preliminary reviews of this information and has submitted additional follow-up requests to NJT for communication to CFC and L&R and reviews are ongoing.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher is currently reviewing payment requisitions and certified payroll reports to assess CFC's compliance with contractual and legal requirements in the areas of procurement, prevailing wage rules, and payment to task order contractors.	

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17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for February 2015 through June 2015 were received from NJT in September 2015 and Thacher reviewed areas pertaining to the schedule of values, allowances, quantities/amounts billed, and stored materials (where applicable).	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher conducted a site visit on August 1, 2015 and interviewed workers on site at the Peapack train station (on August 1, 2015) from CFC (the prime contractor) and L&R Construction (a DBE subcontractor to CFC). Preliminary activities detailed in box 16 (above) are ongoing. Our reviews have not uncovered any integrity-related issues to date.	
19.	Provide details of any integrity issues/findings	N/A	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	N/A	
21.	Provide details on any other items of note that have occurred in the past quarter	N/A	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	N/A	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period July 1, 2015 through September 30, 2015, Thacher expended 92.50 hours at a cost of \$16,730.00 and no expenses. This includes site and office visits, document reviews, and preliminary follow-up discussions with NJT. Additionally, Thacher has regularly provided updates to NJT and filed quarterly reports with the Department of the Treasury for the quarter ended June 30, 2015.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Bradley J. Breslin, Director of Operations Signature:  Date: January 11, 2016
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