

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: **EisnerAmper LLP**
Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B
 Engagement: **NJ Transit P.O. #B-51389-003**
 For Quarter Ending: **12/31/2021**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Sr. Director Construction Management	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	
9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$26,754,849.38 Henderson Substation (NJT00766) - \$24,891,934.79 Bayhead Substation (NJT00768) - \$15,243,170.40 ROC Unit Substation (NJT01113) - \$13,265,865.99	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	

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No.	Recipient Data Elements	Response	Comments
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	March 2023	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	<p>Participated, via conference call, progress meeting #40 on October 5, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #73 and #71 on October 6, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #52 on October 14, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #41 on October 19, 2021 related to contract 17-026X (Bay Head). Participated in NJ Transit OBD B2G application training on October 26, 2021. Participated, via conference call, progress meeting #53 on October 28, 2021 related to contract 16-035X (ROC). Participated, via conference call, on November 1, 2021 with NJ Transit CP and IAD regarding Naik CM DBE memo. Participated, via conference call, progress meeting #42 on November 2, 2021 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #54 on November 11, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #42 on November 16, 2021 related to contract 17-026X (Bay Head). Held a call with PKF on November 19, 2021 regarding open requests related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #44 on November 30, 2021 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #55 on December 2, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #45 on December 14, 2021 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #56 on December 16, 2021 related to contract 16-035X (ROC). Conducted various DBE interviews on November 16, 17 and 19, 2021.</p>	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #72 through #73 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #70 through #71 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #51 through #55 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #40 through #44 related to Contract No. 17-026X (Bay Head). Received various payment applications for Contracts No. 17-007 (Naik), 16-049X (Hoboken) and 17-026X (Bay Head). Received NPC logs and approval packages related to Contract 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Received various DBE forms for Contracts No. 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Received various construction documents including Submittal logs and work plans, RFI logs, look ahead schedules and daily inspection reports, certified payroll documents for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head). Received various procurement documents related to Contract No. 17-026X (Bay Head) and 16-049X (Hoboken). Received various expenditure listings related to Contract No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed invoice testing related to Contract No. 17-053X (Henderson), 17-007 (Naik), 17-026X (Bay Head), 16-049X (Hoboken), 16-035X (ROC) and 13-006A (Gannett Fleming). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures. Performed fieldwork construction monitoring procedures for Contracts No. 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Performed monitoring procedures related to NPCs for Contracts No. 17-026X (Bay Head), 17-053X (Henderson) and 16-049X (Hoboken). Performed procurement testing in connection with Contracts No. 17-026X (Bay Head) and 16-035X (ROC).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X), PKF Marks (Contract No. 17-026X), Gannett Fleming (Contract No. 13-006A) and Naik (Contract No. 17-007) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	

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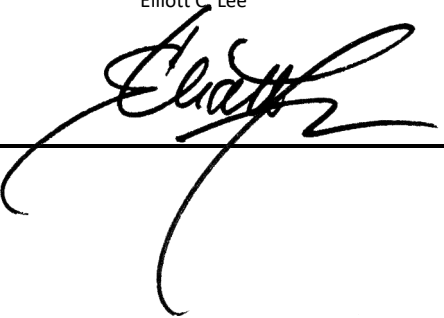
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20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	
21.	Provide details on any other items of note that have occurred in the past quarter	Note noted	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date:	March 31, 2022

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Department of Treasury
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IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
David A. Cace	0.20
Elliott Lee	17.55
Timothy Van Noy	2.95
Craig Mann	105.00
Nancy Pham	60.90
Brooke DiMarinis	9.70
Marisol Gonzalez	58.80
Ken Brzozowski*	7.20
Sasha Smith*	65.00
Tad Creamer*	59.65
Stephanie Blue*	32.25
Sara Harbinson*	4.00
Subtotal_IOM Services for the Substations Program	423.20
David A. Cace	2.00
Elliott Lee	4.55
Craig Mann	4.45
Nancy Pham	1.80
Brooke DiMarinis	2.50
Sasha Smith*	1.00
Stephanie Blue*	1.95
Subtotal_Rev. 1 – Substation Program: Construction Management Services	18.25
Total	441.45

* Member of EisnerAmper's DBE firm.